



## **Background document on the linkages with the Subsidiary Body for Implementation and the thematic bodies under the Convention**

**Twentieth meeting of the Standing Committee on Finance  
Bonn, Germany, 21–22 March 2019**

### *Expected actions by the Standing Committee on Finance*

The Standing Committee on Finance (SCF) will be invited to agree on:

- (a) Its overall approach to maintaining linkages with the thematic bodies with a view to further refine its approach based on available resources and working modalities;
- (b) The appointment of SCF representatives to the various thematic areas of work;
- (c) Possible areas of cooperation between the SCF and the thematic bodies in 2019 in line with its existing activities;
- (d) Input to the Executive Committee of the Warsaw International Mechanism for Loss and Damage.

## **I. Possible actions for consideration by the SCF**

1. The Standing Committee on Finance (SCF) may wish to agree on its continued approach to maintaining linkages with the thematic bodies under the Convention, keeping in mind the request by COP 23 to further refine its approach to maintaining linkages with the subsidiary and thematic bodies based on available resources available and working modalities.<sup>1</sup>

2. In doing so, the SCF may wish to consider the current activities under item, and whether to further enhance its modalities. Activities at present include:

(a) Sharing the SCF 2019 work plan with the thematic bodies, highlighting specific areas of work that the SCF and the thematic bodies may wish to collaborate (see also the information contained in the annex outlining the work of the thematic bodies and possible areas of collaboration with the SCF in 2019);

(b) Drawing on existing SCF work and related outputs with regard to the representation in, and inputs provided to, other bodies;

(c) Sharing of ad hoc requests by other thematic bodies to all SCF members, e.g. to provide inputs to products being developed by those bodies, with the respective SCF focal point(s) taking the lead;

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<sup>1</sup> The COP, at its 17<sup>th</sup> session, decided that the SCF shall maintain linkages with the Subsidiary Body for Implementation and the thematic bodies of the Convention. Furthermore, Parties, at COP 19, called on the SCF to further enhance its linkages with the SBI and the thematic bodies of the Convention.

(d) Representation in meetings of other thematic bodies (either in person or via virtual means) by SCF members in their personal expert capacity, sharing presentations with the SCF ahead of the respective meeting or date of submission on a non-objections basis, and reporting back to the SCF on attendance at other meetings.

## **B. Appointment of representatives of the SCF to the various thematic areas of work**

3. Based on the mandates received by the COP, the SCF may wish to appoint one or more members to be the focal point for:

- (a) Adaptation-related matters, which includes the representation in the:
  - (i) Task Force on National Adaptation Plans (NAPs) of the Adaptation Committee (AC);
  - (ii) Working group of the AC on the technical examination process on adaptation (TEP-A), noting the invitation by COP 23 to the thematic bodies to enhance their engagement in the technical examination processes;
- (b) Technology-related matters, including representing the SCF in the Advisory Board of the Climate Technology Centre and Network (CTCN);
- (c) Capacity-building-related matters, including representing the SCF in the Paris Committee on Capacity-building (PCCB) for a term of one year;
- (d) Loss and damage-related matters, including the input by the SCF representatives to support the technical paper and work related to elaborating the sources of financial support, as provided through the Financial Mechanism, for addressing loss and damage as described in relevant decisions, as well as modalities for accessing such support with the Executive Committee of the Warsaw International Mechanism for Loss and Damage (Executive Committee).

## **C. Possible areas of cooperation between the SCF and the thematic bodies in 2019**

4. Additionally, the SCF may wish to take into consideration the information on work of the thematic bodies under the Convention and their potential cooperation with the SCF in 2019, as outlined in the annex, with a view to identifying possible areas of cooperation between the SCF and the thematic bodies in 2019, both from a perspective of its own activities, as well as the perspective of work being undertaken by other bodies.

5. In doing so, the SCF may wish to task its respective working groups taking forward the 2019 activities with ensuring that the necessary outreach and engagement activities are conducted to ensure close cooperation with the respective bodies as appropriate.

## Annex

### Work of the thematic bodies of the Convention and possible areas of cooperation with the Standing Committee on Finance in 2019<sup>2</sup>

Mandate	Possible areas of cooperation with the SCF in 2019
<u><i>Adaptation Committee</i></u>	
<p>The Adaptation Committee (AC) is mandated to promote the implementation of enhanced action on adaptation in a coherent manner under the Convention, inter alia, through the following functions:</p> <p>a) Providing technical support and guidance to the Parties;</p> <p>b) Sharing of relevant information, knowledge, experience and good practices;</p> <p>c) Promoting synergy and strengthening engagement with national, regional and international organizations, centres and networks;</p> <p>d) Providing information and recommendations, drawing on adaptation good practices, for consideration by the COP when providing guidance on means to incentivize the implementation of adaptation actions, including finance, technology and capacity-building;</p> <p>e) Considering information communicated by Parties on their monitoring and review of adaptation actions, support provided and received.<sup>a</sup></p> <p>As per its revised flexible workplan for the period 2019–2021, in 2019 the AC will:<sup>b</sup></p> <p>Workstream A. Technical support and guidance to the Parties on adaptation action, activities will include:</p> <ul style="list-style-type: none"> <li>• Prepare a technical paper on countries' needs and solutions in relation to</li> </ul>	<p>In addition to the work on the TEP-A and the NAP taskforce, the SCF may wish to consider the below areas of possible cooperation with the AC:</p> <p><u>Draft guidance to the operating entities of the Financial Mechanism</u></p> <ul style="list-style-type: none"> <li>• In line with past practice, the SCF may wish to engage the AC in the context of its work on the draft guidance to the operating entities.</li> <li>• In addition, the SCF may wish to take note of the work to be conducted by the AC in 2019 and indicate to the AC that it stands ready to engage with the AC in this regard.</li> </ul> <p><u>Determination of Needs</u></p> <ul style="list-style-type: none"> <li>• In its work on the determination of needs of developing countries, the SCF may wish to take into consideration the below activities of the AC in 2019: <ul style="list-style-type: none"> <li>○ Prepare a technical paper on countries' needs and solutions in relation to accessing, developing and using data systems for planning and implementing adaptation action</li> <li>○ Develop by June 2020 and to regularly update an inventory of relevant methodologies for assessing adaptation needs, including needs related to action, finance, capacity-building and technological support in the context of national adaptation planning and implementation, and to make the information available on the adaptation knowledge portal. It also requested the AC to</li> </ul> </li> </ul>

<sup>2</sup> This table has been prepared to assist the SCF in exercising its functions through the activity as agreed in decision 2/CP.17, para 121 (b) – maintaining linkages with the Subsidiary Body for Implementation and the thematic bodies of the Convention.

Information contained in this table refers to information as reported by the respective body to COP 24, as well as the respective COP 24 decision. Concrete workplan activities may be subject to further deliberations by the respective body during its first meeting of the year (19–21 Mar 2019 AC 15, 9–11 Apr 2019 Executive Committee 9, 25–27 March 2019 TEC 18, PCCB 3 (in conjunction with SB 50)).

<sup>a</sup> Decision 1/CP.16, paragraph 20.

<sup>b</sup> FCCC/SB/2018/3, annex.

Mandate	Possible areas of cooperation with the SCF in 2019
<p>accessing, developing and using data systems for planning and implementing adaptation action</p> <ul style="list-style-type: none"> <li>Organize an event for sharing existing knowledge on and tools for monitoring and evaluation</li> </ul> <p>Workstream B. Technical support and guidance to the Parties on means of implementation, activities will include:</p> <ul style="list-style-type: none"> <li>Prepare a scoping note for a technical paper on national experience in implementing adaptation action as a result of accessing financial flows, in particular from the GCF and other financial institutions under the Convention (technical paper to be prepared in 2020);</li> <li>Follow-up work on the private sector workshop aimed at strengthening engagement with the private sector on mobilizing financial resources;</li> <li>Explore opportunities for enhanced interaction with the TEC, the CTCN, the PCCB;</li> <li>Invite submissions from Parties and prepare an information document on capacity gaps in accessing adaptation funding, including their experience, successes, and remaining challenges;</li> </ul> <p>Workstream C: Awareness-raising, outreach and sharing of information:</p> <ul style="list-style-type: none"> <li>Adaptation Forum as appropriate, in conjunction, with other adaptation-related events. The Adaptation Forum will be organized as part of the Korea Global Adaptation Week, that will also include a regional Technical Expert Meeting on Adaptation organized by the Green Climate Fund;</li> </ul> <p>Workstream D: Technical Examination Process on Adaptation (TEP-A):</p> <ul style="list-style-type: none"> <li>Prepare technical paper and conduct 2019 TEP-A and associated TEMs on opportunities to enhance adaptation action, on the basis of the results of the TEM-As to identify short-term opportunities to enhance adaptation action and support.</li> </ul> <p>COP 22 welcomed the establishment of the AC's working group on the technical examination process on adaptation (TEP-A), consisting, inter alia, of members of the SCF and observer constituencies, and requested the AC, in conducting TEP-A, to accelerate the preparations for the 2017 technical expert meetings on adaptation, including the selection of topics. Furthermore, it requested the AC to ensure that the TEP-A meets its objective of identifying concrete opportunities for strengthening resilience, reducing vulnerabilities and increasing the</p>	<p>prepare a technical paper on methodologies for assessing adaptation needs and their application.</p> <p><u>MRV of support / BA</u></p> <ul style="list-style-type: none"> <li>In its work on the next BA, and more broadly in the context of its work on MRV of support, the SCF may wish to take into consideration the work undertaken by the AC on issues, such as, inter alia, national experience in implementing adaptation action as a result of accessing financial flows, and capacity gaps in accessing adaptation funding.</li> </ul> <p><u>TEP-A process</u></p> <ul style="list-style-type: none"> <li>As outlined above, the SCF may wish to further contribute to the work of the working group on the TEP-A which it is a member of, in particular with the regional technical expert meeting organized by the Green Climate Fund, and also the Technical Expert Meeting in conjunction with the June 2019 sessions on the topic of adaptation finance.</li> </ul>

Mandate	Possible areas of cooperation with the SCF in 2019
<p>understanding and implementation of adaptation action, including through technical papers. (decision 5/CP.22, paragraphs 6 to 8)</p> <p>COP 23 strongly urged the Chairs of the SBs, the high-level champions, the AC, the Technology Executive Committee and the Climate Technology Centre and Network to focus the technical examination processes on specific policy options and opportunities for enhancing mitigation and adaptation that are actionable in the short term, including those with sustainable development co-benefits. It also requested the AC, in conducting the TEP-A, to consider the needs of Parties expressed in their NDCs, NAPs and national communications, to address all four functions of the technical examination process on adaptation, and to include in its annual report to the COP recommendations for respective processes and for constituted bodies under the Convention, Parties and other organizations on ways forward and necessary actions to be taken, based on the outcomes of the technical expert meetings;</p> <p>COP 24 invited relevant institutions under the Convention and non-Party stakeholders to strengthen support (financial, technical, technological and capacity building) for adaptation planning, including for collecting climate data and information, noting the urgent need for adaptation action to address current and short- and long-term risks of climate change.</p> <p>CMA 1 requested the AC, in collaboration with the LEG, partner organizations of the Nairobi work programme, users and developers of relevant methodologies, including academia and the private sector, to develop by June 2020 and to regularly update an inventory of relevant methodologies for assessing adaptation needs, including needs related to action, finance, capacity-building and technological support in the context of national adaptation planning and implementation, and to make the information available on the adaptation knowledge portal. It also requested the AC to prepare a technical paper on methodologies for assessing adaptation needs and their application.</p>	
<b><u>Least Developed Countries Expert Group</u></b>	
<p>The LEG is established by the COP to provide technical support and advice to the least developed countries (LDCs) on the national adaptation programmes of action (NAPAs) and the LDC work programme, and to provide technical guidance and support to the NAP process. At COP 21, Parties extended the mandate of the LEG for five years (2016–2020) under the current terms of reference.<sup>c</sup></p>	<p>The SCF may wish to take note of the below potential areas of cooperation with the LEG. Furthermore, the SCF may wish to take note that the next NAP Expo will be held from 8–12 April 2019 in Songdo, Korea as part of the Korea Global Adaptation Week and will, inter</p>

<sup>c</sup> Decisions 29/CP.7, 7/CP.9, 4/CP.11, 8/CP.13, 6/CP.16, 5/CP.17, 12/CP.18 and 3/CP.20.

Mandate	Possible areas of cooperation with the SCF in 2019
<p>The COP also decided to mandate the LEG to provide technical guidance and advice on the following:<sup>d</sup></p> <ul style="list-style-type: none"> <li>(a) The integration of climate change adaptation into national planning and the development of programmes that address both objectives of the process to formulate and implement national adaptation plans;</li> <li>(b) Regional approaches to adaptation planning;</li> <li>(c) Accessing funding from the GCF for the process to formulate and implement national adaptation plans in collaboration with the GCF secretariat;</li> <li>(d) Needs related to adaptation that may arise from the Paris Agreement and the decisions adopted at COP 21.</li> </ul> <p>The COP requested the LEG to collaborate with other constituted bodies under the Convention, including by inviting members of these bodies to participate, as appropriate, in its meetings.</p> <p>The LEG has developed a rolling work programme for 2018–2019, which takes into account new COP and SBI mandates, emerging gaps and needs for LDC support and its vision of supporting LDCs in producing good quality NAPs by 2018, or by 2020 at the latest. The work programme covers the following 8 clusters of activities:</p> <ul style="list-style-type: none"> <li>• Supporting the SBI in the assessment of progress made in the process to formulate and implement NAPs, and the implementation of Article 4, paragraph 9, of the Convention and assessment thereof;</li> <li>• Advancing NAPs through technical guidelines and support, training, global and regional outreach and engagement events;</li> <li>• Supporting the implementation of NAPs;</li> <li>• Providing technical guidance and support for NAPAs and the least developed countries work programme;</li> <li>• Enhancing LDCs' access to GCF funding for NAPs (by engaging with the GCF secretariat);</li> <li>• Interaction with the LDCs and other Parties and relevant organizations;</li> <li>• Collaborating with relevant bodies under the Convention;</li> </ul>	<p>alia, offer a platform for countries to interact with providers of support, including the GCF and GEF, and bilateral agencies as means to improve access to financing for NAPs.</p> <p><u>MRV of support / BA</u></p> <ul style="list-style-type: none"> <li>• In its work on the next BA, and more broadly in the context of its work on MRV of support, the SCF may wish to take into consideration the work undertaken by the LEG on issues such as the monitoring and evaluation of progress, learning and effectiveness, gaps and needs in supporting the LDCs in producing good-quality NAPs.</li> </ul> <p><u>Linkages with the LEG</u></p> <ul style="list-style-type: none"> <li>• The SCF may also wish to indicate that it stands ready to engage with the LEG in its collaboration with relevant bodies under the Convention, including, inter alia, through the LEG and SCF engagement in the AC task force on NAPs and the AC task force on the technical examination process on adaptation.</li> </ul>

<sup>d</sup> Decision 19/CP.21.

Mandate	Possible areas of cooperation with the SCF in 2019
<ul style="list-style-type: none"> <li>Engaging regional centres and networks and relevant organizations.<sup>e</sup></li> </ul> <p>The next NAP Expo will be held from 8–12 April 2019 in Songdo, Korea as part of the Korea Global Adaptation Week. It will be organized by the LEG with inputs from the Adaptation Committee, the global support programmes for NAPs, and many other partner organizations.</p> <p>Objectives:</p> <ul style="list-style-type: none"> <li>To facilitate the interaction between country NAP teams and providers of support, including the GCF, GEF and AF, as well as bilateral agencies and other relevant organizations, to enhance access to financing for NAPs;</li> <li>To create an interactive global forum on NAPs for countries to share experience, best practices and lessons learned; different organizations and bodies to conduct specialized meetings and workshops; and for Parties and non-Party stakeholders to interact, in advancing the formulation and implementation of NAPs;</li> <li>To serve as the global platform to advance technical and practical measures towards the production of first NAPs by 2020 and their effective implementation.<sup>f</sup></li> </ul>	
<b><u>Executive Committee of the Warsaw International Mechanism for Loss and Damage</u></b>	
<p>By decision 2/CP.19, the COP established the Executive Committee of the Warsaw International Mechanism for Loss and Damage (Executive Committee) and entrusted it with the task to develop its initial two-year workplan for the implementation of the functions of the Warsaw International Mechanism for Loss and Damage.</p> <p>COP 23 noted the flexible five-year rolling workplan of the Executive Committee,<sup>g</sup> which enables the timely consideration of cross-cutting issues and current, urgent and emerging needs (decision 5/CP.23, para. 4)</p> <p>This workplan will be undertaken in the context of the Paris Agreement, decisions 1/CP.16, 3/CP.18, 2/CP.19, 2/CP.20, 1/CP.21, 2/CP.21, 3/CP.22 and</p>	<p>In addition to the issues identified in the follow-up activities as contained in its report on the 2016 forum,<sup>h</sup> the SCF may wish to engage with the Executive Committee on the below issues:</p> <p><u>2020 BA</u></p> <ul style="list-style-type: none"> <li>In line with its report on the 2016 forum and one of the the follow-up activities identified therein, the SCF may wish to continue its consideration in the context of its work on the next BA on the ExCOM activities related to:             <ul style="list-style-type: none"> <li>Financial instruments that address the risks of loss and damage;</li> <li>Discussions related to the technical paper elaborating the sources of financial support, as provided through the Financial Mechanism, for</li> </ul> </li> </ul>

<sup>e</sup> FCCC/SBI/2018/4, annex I.

<sup>f</sup> <<http://napexpo.org/2019/>>.

<sup>g</sup> FCCC/SB/2017/1/Add.1, annex.

<sup>h</sup> FCCC/CP/2016/8, annex III, paragraph 69.

Mandate	Possible areas of cooperation with the SCF in 2019
<p>4/CP.22 and future relevant decisions and will implement the following three functions of the Warsaw International Mechanism:</p> <p>(a) Enhancing knowledge and understanding of comprehensive risk management approaches to address loss and damage associated with the adverse effects of climate change, including slow onset impacts;</p> <p>(b) Strengthening dialogue, coordination, coherence and synergies among relevant stakeholders;</p> <p>(c) Enhancing action and support, including finance, technology and capacity-building, to address loss and damage associated with the adverse effects of climate change.</p> <p>2. The workplan will take into account, in a cross-cutting manner:</p> <p>(a) Actions to complement, draw upon the work of and involve other bodies under and outside the Convention;</p> <p>(b) Particularly vulnerable developing countries; segments of the population that are already vulnerable owing to geography, socioeconomic status, livelihood, gender, age, indigenous or minority status or disability; and the ecosystems that they depend on;</p> <p>(c) The role of sustainable development, including policy and regulatory enabling environments;</p> <p>(d) Events that may involve irreversible and permanent loss and damage.</p> <p>3. The following strategic outlook informed the development of the activities contained herein:</p> <p>(a) Loss and damage being incorporated into global and national policy and practice;</p> <p>(b) A focus on vulnerable people, communities, developing countries and ecosystems;</p> <p>(c) Being better equipped to avert, minimize and address loss and damage;</p> <p>(d) Effective systems for delivering effective action and support.</p> <p>The below are the strategic workstreams identified in the workplan:</p> <p>Strategic workstream (a): Enhanced cooperation and facilitation in relation to slow onset events;</p>	<p>addressing loss and damage as described in relevant decisions, as well as modalities for accessing such support.</p> <p><u>Linkages with the Executive Committee</u></p> <ul style="list-style-type: none"> <li>• The SCF may wish to take note of the on-going work by the Executive Committee on the technical paper and SBI 50 discussions related to finance available for addressing loss and damage as described in relevant decisions, outside the Financial Mechanism, as well as the modalities for accessing it;</li> <li>• The SCF may also wish to indicate that it stands ready to engage with the Executive Committee on this matter, including on the forthcoming collaboration with the Executive Committee to invite relevant actors to consider how to facilitate or enhance, as appropriate, the availability of finance relevant to loss and damage at the regional and national levels.</li> </ul>



Mandate	Possible areas of cooperation with the SCF in 2019
<p>Strategic workstream (b): Enhanced cooperation and facilitation in relation to non-economic losses;</p> <p>Strategic workstream (c): Enhanced cooperation and facilitation in relation to comprehensive risk management approaches (including assessment, reduction, transfer and retention) to address and build long-term resilience of countries, vulnerable populations and communities to loss and damage, including in relation to extreme and slow onset events, inter alia, through: emergency preparedness, including early warning systems; measures to enhance recovery and rehabilitation and build back/forward better; social protection instruments, including social safety nets; and transformational approaches;</p> <p>Strategic workstream (d): Enhanced cooperation and facilitation in relation to human mobility, including migration, displacement and planned relocation;</p> <p>Strategic workstream (e): Enhanced cooperation and facilitation in relation to action and support, including finance, technology and capacity-building, to address loss and damage associated with the adverse effects of climate change; in 2019, the Executive Committee will conduct the following activities under this workstream:</p> <p>Finance</p> <ul style="list-style-type: none"> <li>• The Executive Committee to support the secretariat in determining the scope of the technical paper referred to in decision 4/CP.22, paragraph 2(f) and (g) [(f) technical paper elaborating the sources of financial support, as provided through the Financial Mechanism, for addressing loss and damage as described in relevant decisions, as well as modalities for accessing such support; (g) The technical paper to include an elaboration of finance available for addressing loss and damage as described in relevant decisions, outside the Financial Mechanism, as well as the modalities for accessing it], with a view to making the paper available to Parties prior to SB 50 (June 2019) for consideration in the review of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts. The SCF was invited to support the Executive Committee in defining the scope of the technical paper and encouraged by decision 4/CP.24, para 11 to provide input to the technical paper.</li> <li>• Invite the SCF to continue its collaboration and engagement with the Executive Committee, including through consideration of how to include financial instruments that address the risks of loss and damage in its work related to the biennial assessment and overview of climate finance flows (Financial instruments that address the risks of loss and damage considered in the work of the SCF related to the biennial assessment and overview of</li> </ul>	

Mandate	Possible areas of cooperation with the SCF in 2019
<p>climate finance flows) (Summary report on the recommendations of the BA to be possibly considered at Excom 10);</p> <ul style="list-style-type: none"> <li>• Invite, in collaboration with the SCF, relevant actors to consider how to facilitate or enhance, as appropriate, the availability of finance relevant to loss and damage at the regional and national levels (Options for how to facilitate or enhance the availability of finance for loss and damage better understood) (Excom 9);</li> </ul> <p>COP 23 also encouraged the Executive Committee to collaborate with other bodies under the Convention and the Paris Agreement within the scope of their respective mandates and to continue considering ways for facilitating the mobilization and securing of expertise, and enhancement of support, including finance, technology and capacity-building, including its work on enhancing action and support, and when considering the composition and mandates of its expert groups and those it may establish; (decision 5/CP.23, para. 20)</p> <p>COP 24 encouraged the SCF to provide input to the technical paper of the Executive Committee on the sources of financial support (FCCC/CP/2018/8, paragraph 14 (g)).</p> <p><b><u>Review of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts</u></b></p> <p>In its decision on the review of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts, COP 22 recommended that:</p> <p>(a) There be a process to periodically review the Warsaw International Mechanism and that reviews take place no more than five years apart;</p> <p>(b) The next review be held in 2019, and that the periodicity of future reviews be decided at that time;</p> <p>(c) Future reviews of the Warsaw International Mechanism should consider, inter alia, progress on the implementation of the workplan of the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts as well as its long-term vision that guides ways in which the Warsaw International Mechanism may be enhanced and strengthened, as appropriate;</p> <p>(d) The subsidiary bodies finalize terms of reference for each review of the Warsaw International Mechanism at least six months prior to the review being undertaken;</p>	

Mandate	Possible areas of cooperation with the SCF in 2019
<p>(e) The subsidiary bodies take into consideration inputs and submissions from Parties and relevant organizations, as appropriate, when developing the terms of reference referred to in paragraph 2(d) above;</p> <p>(f) As an input to the review in 2019, a technical paper be prepared by the secretariat elaborating the sources of financial support, as provided through the Financial Mechanism, for addressing loss and damage as described in relevant decisions, as well as modalities for accessing such support;</p> <p>(g) The technical paper referred to in paragraph 2(f) above include an elaboration of finance available for addressing loss and damage as described in relevant decisions, outside the Financial Mechanism, as well as the modalities for accessing it;</p> <p>(h) The secretariat be assisted by the Executive Committee of the Warsaw International Mechanism in determining the scope of the technical paper referred to in paragraph 2(f) above, with a view to making the paper available to Parties by the fiftieth sessions of the subsidiary bodies (June 2019) for consideration in the review of the Warsaw International Mechanism;</p> <p>COP 24 recalled the invitation for the subsidiary bodies to finalize the terms of reference for the review at their 50<sup>th</sup> sessions (June 2019).</p>	
<b><u>Technology Mechanism</u></b>	
The Technology Executive Committee (TEC), together with the Climate Technology Centre and Network (CTCN), is mandated to facilitate the effective implementation of the Technology Mechanism, under the guidance of the COP.	
<i>Climate Technology Centre and Network</i>	
<p>Established at COP 16,<sup>i</sup> the CTCN facilitates the transfer of technologies through three core services:</p> <ul style="list-style-type: none"> <li>• Providing technical assistance at the request of developing countries to accelerate the transfer of climate technologies;</li> <li>• Creating access to information and knowledge on climate technologies;</li> <li>• Fostering collaboration among climate technology stakeholders via the Centre's network of regional and sectoral experts from academia, the private sector, and public and research institutions.</li> </ul>	<p><u>Overall</u>: Participation of the SCF representative in the Advisory Board meetings in line with the agreed approach regarding representation of the SCF in other bodies.</p>

<sup>i</sup> Decision 1/CP.16.

Mandate	Possible areas of cooperation with the SCF in 2019
<p>Modalities and procedures of the CTCN include the following six key elements:</p> <ul style="list-style-type: none"> <li>a) Roles and responsibilities of the CTCN;</li> <li>b) Managing requests from national designated entities of developing countries and delivering responses;</li> <li>c) Fostering collaboration and access to information and knowledge in order to accelerate climate technology transfer;</li> <li>d) Strengthening networks, partnerships and capacity-building for climate technology transfer;</li> <li>e) Linkages with the TEC;</li> <li>f) Information and knowledge-sharing.<sup>j</sup></li> </ul> <p>The Advisory Board of the CTCN shall include, inter alia, one of the co-chairs, or a member designated by the co-chairs, of the SCF in his/her official capacity as SCF representative.<sup>k</sup></p> <p>In line with decision 2/CP.17, annex VII, para. 20, the secretariat, subject to the availability of resources, shall commission an independent review of the effective implementation of the CTCN four years after its inception. The findings of the review, including any recommendations regarding enhancing the performance of the CTCN, will be considered by the COP. Subsequently, periodic independent reviews of the effectiveness of the CTCN will be conducted every four years.</p> <p>COP 24 invited the operating entities of the Financial Mechanism to consider implementing the relevant recommendations<sup>l</sup> from the review when implementing their further activities relevant to the work of the CTCN. For example, Recommendation 5: Encouraged the CTCN, the GEF and the GCF to continue exploring how to further facilitate the provision of sustained funding for CTCN activities and enhance operational linkages between the organizations, in line with their respective mandates.</p> <p>COP 24 encouraged the CTCN to enhance its engagement with the Green Climate Fund with respect to utilizing the Readiness and Preparatory Support Programme and the Project Preparation Facility. It also encouraged the enhanced engagement of the Climate Technology Centre and Network with the Green Climate Fund, including through the strengthening of the collaboration between national designated authorities for the Green Climate Fund and national designated entities for technology development and transfer.</p>	

<sup>j</sup> Decision 25/CP.19.

<sup>k</sup> Annex II to decision 14/CP.18.

<sup>l</sup> FCCC/CP/2017/3, FCCC/SBI/2018/INF.5.

Mandate	Possible areas of cooperation with the SCF in 2019
<i>Technology Executive Committee</i>	
<p>The TEC is mandated by the COP to:</p> <ul style="list-style-type: none"> <li>(a) Provide an overview of technological needs and analysis of policy and technical issues related to the development and transfer of technologies for mitigation and adaptation;</li> <li>(b) Consider and recommend actions to promote technology development and transfer, in order to accelerate action on mitigation and adaptation;</li> <li>(c) Recommend guidance on policies and programme priorities related to technology development and transfer with special consideration given to the least developed country Parties;</li> <li>(d) Promote and facilitate collaboration on the development and transfer of technologies for mitigation and adaptation between governments, the private sector, non-profit organizations and academic and research communities;</li> <li>(e) Recommend actions to address the barriers to technology development and transfer in order to enable enhanced action on mitigation and adaptation;</li> <li>(f) Seek cooperation with relevant international technology initiatives, stakeholders and organizations, and promote coherence and cooperation across technology activities, including activities under and outside of the Convention;</li> <li>(g) Catalyse the development and use of technology road maps or action plans at the international, regional and national levels through cooperation between relevant stakeholders, particularly governments and relevant organizations or bodies, including the development of best practice guidelines as facilitative tools for action on mitigation and adaptation.<sup>m</sup></li> </ul> <p>COP 20 adopted the modalities of the TEC on linkages with other relevant institutional arrangements under and outside of the Convention.<sup>n</sup> For the SCF, the modalities may include, inter alia, the following:</p>	<p><u>Draft guidance to the operating entities of the Financial Mechanism</u></p> <ul style="list-style-type: none"> <li>○ In line with past practice, the SCF may wish to engage the TEC in the context of its work on this matter.</li> <li>○ Particularly of interest in this regard might be following areas of work of the TEC in 2019: <ul style="list-style-type: none"> <li>▪ Providing input into the draft guidance of the operating entities of the FM;</li> <li>▪ Updating the PSP (Poznan strategic programme on technology transfer evaluation) report;</li> </ul> </li> </ul> <p><u>2020 BA / MRV of support</u></p> <ul style="list-style-type: none"> <li>○ In its work on the next BA, and more broadly in the context of its work on MRV of support, the SCF may wish to take into consideration the work undertaken by the TEC on mapping of TNAs, NDCs and requests submitted to the CTCN.</li> </ul> <p><u>2019 Forum:</u></p> <ul style="list-style-type: none"> <li>○ The SCF may wish to revisit the on-going work by the TEC in 2019 in order to identify possible areas of cooperation of relevance for its forum.</li> </ul>

<sup>m</sup> Decision 1/CP.16.

<sup>n</sup> Contained in the annex to FCCC/SB/2013/1.

Mandate	Possible areas of cooperation with the SCF in 2019
<p>(a) Cross-participation in the meetings of the relevant bodies, including workshops and events organized by such bodies, or jointly organized, on issues of common interest;</p> <p>(b) Inviting inputs to support the implementation of particular activities specified in the workplan of the TEC;</p> <p>(c) Providing inputs to other institutional arrangements under the Convention, in response to requests made by the COP and/or invitations made by respective institutions, to facilitate the work of those institutions;</p> <p>(d) Knowledge and information sharing.</p> <p>In 2016, the TEC agreed on its rolling workplan for 2016–2018. In 2019, the TEC will agree on its next workplan for 2019. To date, the activities and work of the TEC have been organized in three workstreams:</p> <p><u>(a) Workstream 1: analyze technology issues and provide policy recommendations</u></p> <p><u>(b) Workstream 2: catalyze support and facilitate and promote technology cooperation and partnership to scale up implementation of actions</u></p> <p><u>(c) Workstream 3: work in collaboration with the CTCN to promote coherence and synergy within the Technology Mechanism</u></p> <p>Activities from the rolling workplan for 20162018 that will continue in 2019 include:</p> <ul style="list-style-type: none"> <li>- Mapping barriers and enablers TNA, NDC, CTCN</li> <li>- Paper on linkages between the technology needs assessment process and the nationally determined contribution process</li> <li>- Inputs into the draft guidance of the operating entities of the Financial Mechanism</li> <li>- Inputs for the annual meeting of the GCF with the UNFCCC thematic bodies</li> <li>- Updating the PSP evaluation report</li> <li>- Engagement in TEP-M and TEP-A</li> </ul> <p>COP 22 welcomed with appreciation the collaboration of the TEC and the CTCN with the constituted bodies under the Convention and relevant stakeholders, enabling the bodies of the Technology Mechanism to successfully implement their activities in 2016. (decision 15/CP.22, para. 3).</p>	

Mandate	Possible areas of cooperation with the SCF in 2019
<p>COP 23 invited the TEC to continue to enhance the linkages between TNA process and the NDC process, and between the TNA process and the NAPs process, and encouraged the TEC to continue strengthening collaboration with other constituted bodies under the Convention, including the AC and the Executive Committee (decision 15/CP.23, paras. 9 and 10).</p>	
<p><i>Linkages between the Technology Mechanism and the Financial Mechanism of the Convention</i></p>	
<p>Pursuant to decisions 1/CP.18, paragraph 62, and 3/CP.17, paragraph 17, COP 22 welcomed with appreciation the progress made by the TEC, the CTCN and the operating entities of the Financial Mechanism in further elaborating the linkages between the Technology Mechanism and the Financial Mechanism, including through an in-session workshop.</p> <p>Further, it encouraged those bodies to enhance the involvement of relevant stakeholders as they undertake actions to strengthen the linkages between the Technology Mechanism and the Financial Mechanism. It also invited them to provide information on their actions in strengthening the linkages between the Technology Mechanism and the Financial Mechanism in their annual reports to the COP for guidance on further actions if needed.</p> <p>It agreed to continue its consideration of this matter at COP 24 (decision 14/CP.22, paras. 1, 8 to 10).</p> <p>COP 24 encouraged enhanced coordination between the national designated entities for technology development and transfer and the national designated authorities of the GCF and GEF focal points.</p> <p>COP 24 invited the Climate Technology Centre and Network and the Green Climate Fund to continue enhancing collaboration, wherein the services and expertise of the Climate Technology Centre and Network can be used to strengthen proposals seeking support under the Readiness and Preparatory Support Programme of the Fund, noting the need for such engagement in supporting developing country Parties in building their capacity for undertaking technology projects and programmes.</p> <p>COP 24 also invited developing country Parties to seek support from the Climate Technology Centre and Network to develop and submit technology-related projects, including those resulting from technology needs assessments and from the technical assistance of the Climate Technology Centre and Network, to the operating entities of the Financial Mechanism for implementation, in accordance</p>	<p><u>Draft guidance to the operating entities of the Financial Mechanism</u></p> <ul style="list-style-type: none"> <li>• In its work on the provision of draft guidance to the operating entities the SCF may wish to take into consideration the work being undertaken by the TEC and the CTCN the issue of strengthening the linkages between the Technology Mechanism and the Financial Mechanism, also bearing in light of the outcomes on this subject matter at COP 24 (see also relevant information above on the work of the TEC).</li> </ul> <p><u>2019 forum:</u></p> <ul style="list-style-type: none"> <li>• The SCF may wish to revisit the issue of linkages between the Technology Mechanism and the Financial Mechanism in order to identify possible areas of cooperation of relevance for its Forum, including, inter alia: <ul style="list-style-type: none"> <li>○ The Climate Technology Centre and Network and the Green Climate Fund to continue enhancing collaboration, wherein the services and expertise of the Climate Technology Centre and Network can be used to strengthen proposals seeking support under the Readiness and Preparatory Support Programme of the Fund, noting the need for such engagement in supporting developing country Parties in building their capacity for undertaking technology projects and programmes.</li> </ul> </li> </ul>

Mandate	Possible areas of cooperation with the SCF in 2019
<p>with their respective policies and processes. It further invited the Climate Technology Centre and Network to consult with the Green Climate Fund and the Global Environment Facility to identify ways to enhance information sharing among national designated entities, national designated authorities and Global Environment Facility focal points.</p>	
<p><i>Technology framework under Article 10, paragraph 4, of the Paris Agreement</i></p>	
<p>Under the Paris Agreement, a technology framework was established to provide overarching guidance to the work of the Technology Mechanism in promoting and facilitating enhanced action on technology development and transfer in order to support the implementation of the Paris Agreement, in pursuit of the long-term vision referred to in paragraph 1 of Article 10 (Article 10, para. 4).</p> <p>CMA 1 adopted the technology framework that includes five key themes that represent focussed areas of action.</p> <ol style="list-style-type: none"> <li>1) Innovation</li> <li>2) Implementation</li> <li>3) Enabling environment and capacity-building</li> <li>4) Collaboration and stakeholder engagement</li> <li>5) Support</li> </ol> <p>Actions and activities under the theme of support include:</p> <p>(a) Enhancing the collaboration of the Technology Mechanism with the Financial Mechanism for enhanced support for technology development and transfer;</p> <p>(b) Identifying and promoting innovative finance and investment at different stages of the technology cycle;</p> <p>(c) Providing enhanced technical support to developing country Parties, in a country-driven manner, and facilitating their access to financing for innovation, including for RD&amp;D, enabling environments and capacity-building, developing and implementing the results of TNAs, and engagement and collaboration with stakeholders, including organizational and institutional support;</p> <p>(d) Enhancing the mobilization of various types of support, including pro bono and in-kind support, from various sources for the implementation of actions and activities in each key theme of the technology framework;</p>	<p><u>Draft guidance to the operating entities of the Financial Mechanism</u></p> <ul style="list-style-type: none"> <li>• In its work on the provision of draft guidance to the operating entities the SCF may wish to take into consideration the actions and activities of the support theme of the technology framework</li> </ul> <p><u>2019 forum:</u></p> <ul style="list-style-type: none"> <li>• The SCF may wish to revisit the issue of on-going work regarding the elaboration of the technology framework in order to identify possible areas of cooperation of relevance for its forum, for example, inter alia: <ul style="list-style-type: none"> <li>○ Identifying and promoting innovative finance and investment at different stages of the technology cycle;</li> <li>○ Enhancing the mobilization of various types of support, including pro bono and in-kind support, from various sources for the implementation of actions and activities in each key theme of the technology framework.</li> </ul> </li> </ul>



Mandate	Possible areas of cooperation with the SCF in 2019
(e) Developing and/or enhancing a system for monitoring and tracking of actions and activities undertaken, and support received, by the Technology Mechanism to implement the technology framework, with a view that such information may also contribute to the enhanced transparency framework referred to in Article 13 and the global stocktake referred to in Article 14 of the Paris Agreement.	
<b><u>Consultative Group of Experts</u></b>	
<p>COP 24 decided to extend the mandate of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention for eight years, from 1 January 2019 to 31 December 2026 and rename it the Consultative Group of Experts (CGE).</p> <p>At its first meeting on 21–22 February 2019, a workplan was discussed and agreed upon.</p> <p>The 2019 workplan for the CGE may include in its activities (subject to finalization of the CGE workplan):</p> <ul style="list-style-type: none"> <li>- Assessment of MRV/transparency gaps and needs</li> <li>- IT support tools related to information on gaps and needs, and support, MRV/Transparency helpdesk</li> <li>- Training for the technical analysis of BURs</li> </ul>	<p>Subject to finalization of the CGE workplan, the SCF may wish to consider:</p> <p><u>2020 BA / MRV of support</u></p> <ul style="list-style-type: none"> <li>○ In its work on the next BA, and more broadly in the context of its work on MRV of support, the SCF may wish to take into consideration the activities of the CGE in relation to MRV/Transparency, and training for the technical analysis of BURs</li> </ul> <p><u>Determination of Needs</u></p> <ul style="list-style-type: none"> <li>• In its work on the determination of needs of developing countries, the SCF may wish to take into consideration activities of the CGE in 2019 including the assessment of MRV/transparency gaps and needs</li> </ul>
<b><u>Paris Committee on Capacity-building</u></b>	
<p>The objective of the Paris Committee on Capacity-building (PCCB) is to address gaps and needs, both current and emerging, in implementing capacity-building in developing country Parties and further enhance capacity-building efforts, including with regard to coherence and coordination in capacity-building activities under the Convention (decision 1/CP.21, para. 71).</p> <p>The PCCB created its rolling workplan for 2017–2019,<sup>o</sup> which will cover the following elements, which it will implement, to the extent possible, through the lens of its annual focus area or theme:</p> <p>It will manage and oversee the workplan for the period 2016–2020 with the following activities:</p>	<p>One representative of the SCF will be invited to represent the SCF in the third meeting of the PCCB (June 2019). The below outlines possible areas of cooperation.</p> <p><u>Determination of Needs</u></p> <ul style="list-style-type: none"> <li>• In its work on the determination of needs of developing countries, the SCF may wish to take into consideration activities of the PCCB in 2019 on Identifying capacity gaps and needs and recommending ways to address them</li> </ul> <p><u>2019 forum:</u></p>

<sup>o</sup> FCCC/SBI/2017/11, annex IV.

Mandate	Possible areas of cooperation with the SCF in 2019
<p>(a) Assessing how to increase synergies through cooperation and avoid duplication among existing bodies established under the Convention that implement capacity-building activities, including through collaborating with institutions under and outside the Convention;</p> <p>In 2019: Assessment report on opportunities to increase synergies through:</p> <ul style="list-style-type: none"> <li>- Identifying possibilities for cooperation;</li> <li>- Avoiding duplication;</li> <li>- Collaborating with institutions under and outside the Convention;</li> </ul> <p>(b) Identifying capacity gaps and needs and recommending ways to address them;</p> <p>(c) Promoting the development and dissemination of tools and methodologies for the implementation of capacity-building;</p> <p>In 2019: Assessment report and dissemination of information gathered; Outreach activities, including through use of the capacity-building portal</p> <p>(d) Fostering global, regional, national and subnational cooperation;</p> <p>(e) Identifying and collecting good practices, challenges, experiences and lessons learned from work on capacity-building by bodies established under the Convention;</p> <p>(f) Exploring how developing country Parties can take ownership of building and maintaining capacity over time and space;</p> <p>(g) Identifying opportunities to strengthen capacity at the national, regional and subnational level;</p> <p>(h) Fostering dialogue, coordination, collaboration and coherence among relevant processes and initiatives under the Convention, including through exchanging information on capacity-building activities and strategies of bodies established under the Convention;</p> <p>(i) Providing guidance to the secretariat on the maintenance and further development of the web-based capacity-building portal; (decision 1/CP.21, para. 73)</p> <p>In managing the 2016–2020 workplan, the PCCB is to:</p> <p>(a) Take into consideration cross-cutting issues such as gender responsiveness, human rights and indigenous peoples' knowledge;</p>	<ul style="list-style-type: none"> <li>• The SCF may wish to engage with the PCCB in light of this year's focus area or theme for the PCCB on capacity-building activities for the implementation of NDCs in the context of the Paris Agreement.</li> </ul> <p><u>Linkages with the SBI and the thematic bodies under the Convention</u></p> <ul style="list-style-type: none"> <li>• Regarding its mandate to maintain linkages with the SBI and the thematic bodies, the SCF may wish to particularly take note of the workplan activities of the PCCB, for example, activities related to:             <ul style="list-style-type: none"> <li>○ The assessment report to increase synergies with Convention bodies;</li> <li>○ Promoting and exploring linkages with other constituted bodies under the Convention and the Paris Agreement, as appropriate, that include capacity-building in their scopes.</li> </ul> </li> </ul>

Mandate	Possible areas of cooperation with the SCF in 2019
<p>(b) Take into consideration the outcomes of the third comprehensive review of the implementation of the framework for capacity-building in developing countries;</p> <p>(c) Take into consideration previous work undertaken on indicators for capacity-building;</p> <p>In 2019: Identification and review of previous work undertaken on indicators for capacity-building;</p> <p>(d) Promote and explore linkages with other constituted bodies under the Convention and the Paris Agreement, as appropriate, that include capacity-building in their scopes;</p> <p>(e) Promote and explore synergies for enhanced collaboration with institutions outside the Convention and the Paris Agreement engaged in implementing capacity-building activities;</p> <p>(f) Take into consideration ways of enhancing reporting on capacity-building activities, taking into account all initiatives, actions and measures on capacity-building under the Convention and the Paris Agreement as well as existing reporting mandates, in order to achieve coherence and coordination. (decision 16/CP.22, para 4).</p> <p>The 2019 focus area or theme for the PCCB will be the same as for 2018 on capacity-building activities for the implementation of NDCs in the context of the Paris Agreement (decision 16/CP.23, para. 8).</p>	
<b><i>Durban Forum on Capacity Building</i></b>	
<p>COP 17 requested the SBI to further enhance the monitoring and review of the effectiveness of capacity-building by organizing an annual in-session Durban Forum for in-depth discussion on capacity-building with the participation of Parties, representatives of the relevant bodies established under the Convention, and relevant experts and practitioners, with a view to sharing their experiences and exchanging ideas, best practices and lessons learned regarding the implementation of capacity-building activities; (decision 2/CP.17, para 144).</p> <p>COP 21 invited representatives of the relevant bodies established under the Convention, operating entities of the Financial Mechanism, etc., to integrate into their work programmes and activities the lessons learned at, and the main outcomes of the meetings of the Durban Forum (decision 14/CP.21, paragraph 8).</p>	<p>The SCF may wish to consider actively participating in the meeting of the Durban Forum, as appropriate, which will be thematically aligned with the 2019 focus area or theme of the PCCB on capacity-building activities for the implementation of nationally determined contributions in the context of the Paris Agreement.</p> <p><u>2019 Forum</u></p> <ul style="list-style-type: none"> <li>Depending on the outcome of discussions on the topic of its 2019 Forum, the SCF may wish to consider the main outcomes of the 7<sup>th</sup> meeting of the Durban Forum in the organization of its forum.</li> </ul>

Mandate	Possible areas of cooperation with the SCF in 2019
<p>The Outcomes of the 7<sup>th</sup> Durban Forum<sup>p</sup> included, inter alia, the following findings:</p> <ul style="list-style-type: none"> <li>• Action related to the implementation of NDCs should not be undertaken in silos or in isolation but be integrated into the broader SD agenda;</li> <li>• Strong domestic political will and commitment are needed to ensure the effective planning and implementation of NDCs. Experience from the NDC Partnership has shown that commitment at the highest levels of government, as well as making influential ministries such as ministries of planning and finance the focal points, can be instrumental in mainstreaming climate considerations in sectoral and budget planning, engaging all relevant ministries and driving the climate action agenda forward;</li> <li>• The effective translation of national-level climate change plans and programmes such as NDCs and national adaptation plans into action requires capacity-building for local, regional and national stakeholders. Access to finance by local communities should be improved;</li> </ul> <p>COP 24 requested the SBI to thematically align future meetings of the Durban Forum with the annual focus area of the PCCB.</p>	

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<sup>p</sup> FCCC/SBI/2018/13, section II.