



19 October 2023

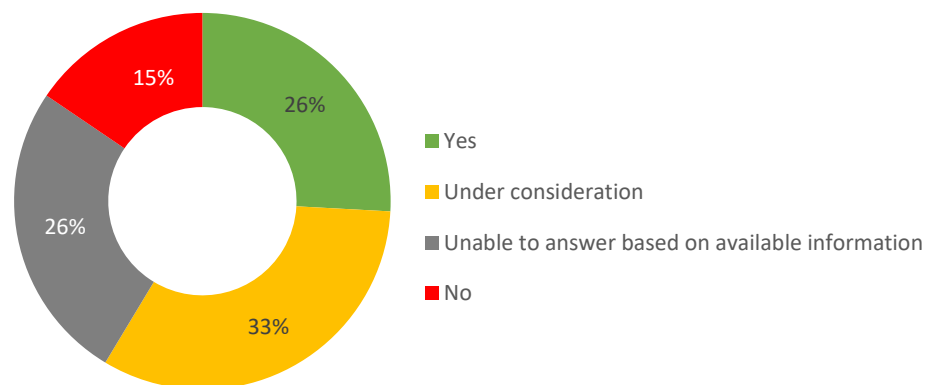
**Survey on the choice between establishing a national registry or using the international registry**

## I. Introduction

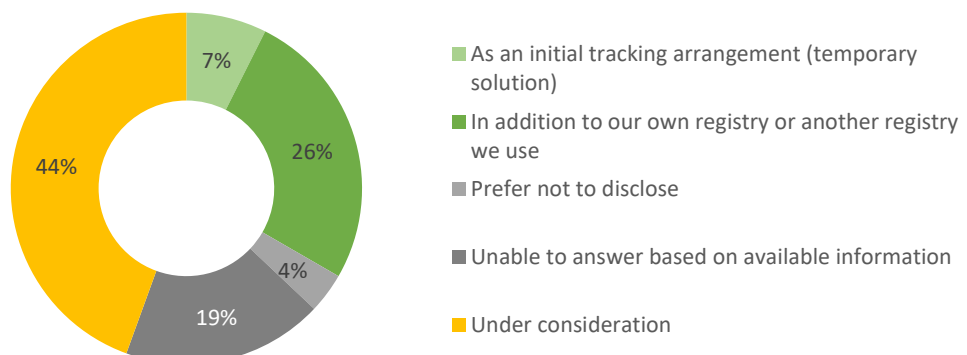
1. The Subsidiary Body for Scientific and Technological Advice at its fifty-eighth session (SBSTA 58) requested the secretariat to conduct a survey of Parties on their choice between establishing a national registry or using the international registry, including the expected nature of such use, and on planned timing to submit their initial report, as well as their capacity-building needs in this regard.
2. The results of the survey have been included as part of the technical work prepared by the secretariat on options for funding the activities related to the infrastructure under Article 6, paragraph 2, and the Article 6 technical expert review, as requested by the SBSTA.

## II. Results

3. A total of 90 responses were received for the survey. However, it is worth noting that six responses were deemed invalid, and an additional 26 responses were identified as subsequent submissions from parties who had provided multiple answers. In total, 58 distinct parties participated in the survey. Of these, 18 parties were from developed countries, 39 were from developing countries, and one party was a multinational entity.
4. In the subsequent paragraphs, the results and the comments provided by 58 parties have been summarized providing a valuable overview of the appetite for utilizing the international registry, as well as the expected capabilities associated with its implementation.
5. **Question 1:** Does your country plan to use the international registry to track internationally transferred mitigation outcomes (ITMOs)? (58 Parties responded to this question)

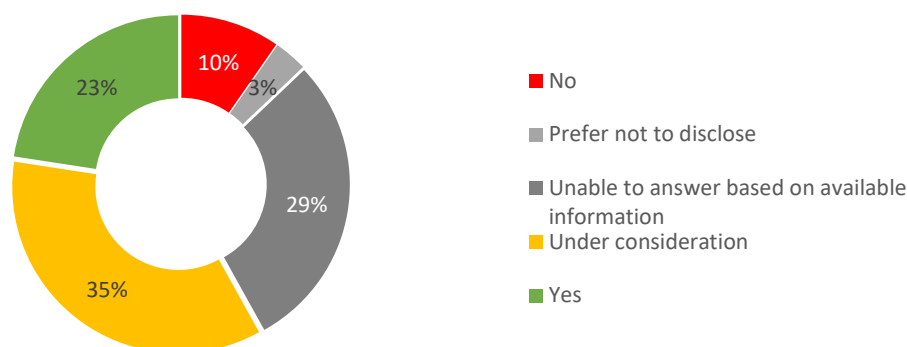


6. **Question 2:** How do you plan to use the international registry? (27 Parties responded to this question)  
(For those who answered question 1 = “Yes” or “Under consideration”).

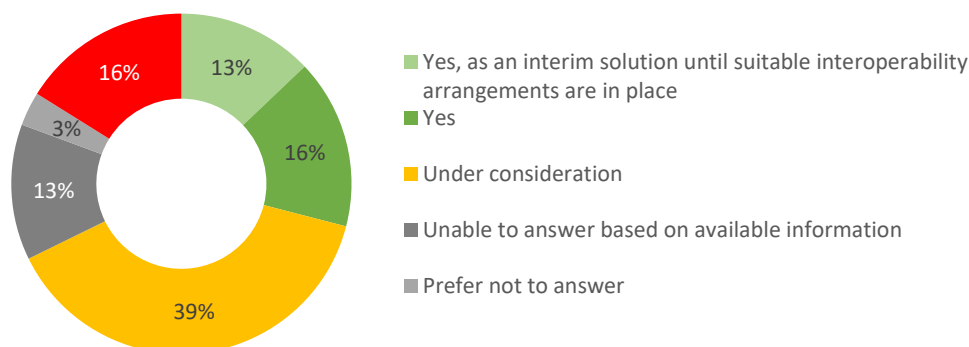


7. **Question 3:** Do you intend to use the international registry before the end of 2024? (31 Parties responded to this question)

(For those who answered question 1 = “Yes” or “Under consideration”).



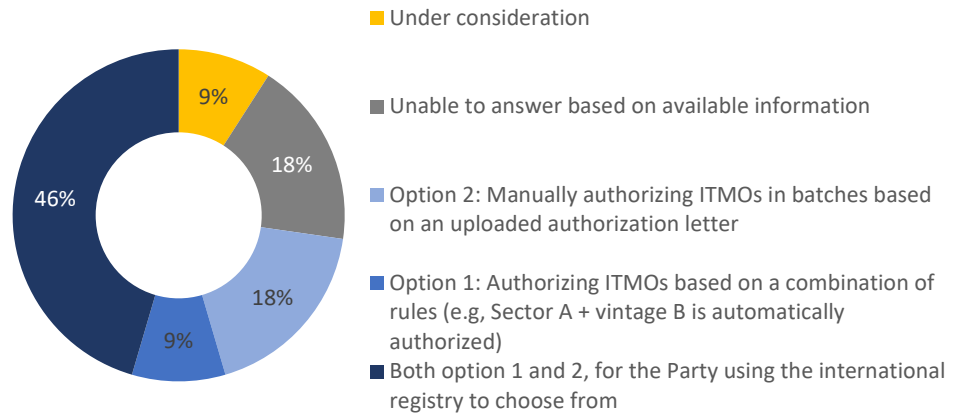
8. **Question 4:** If the international registry is not connected to other registries, do you plan to perform external transfers of ITMOs through the cancellation and re-creation method (cancelling ITMOs in the international registry and recreating the ITMOs in another registry)? (31 Parties responded to this question)



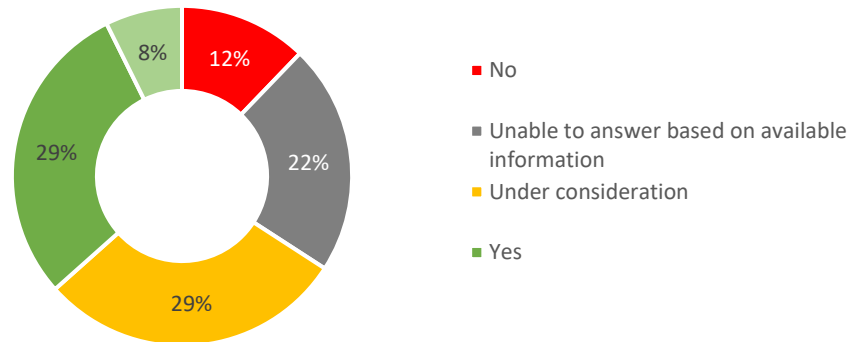
9. **Question 5:** In addition to the minimum functionalities (as per paras. 29–30 of the annex to decision 2/CMA.3), which other functionalities would you like the international registry to have? (23 Parties responded to this question)

<i>Summary</i>	<i>Answers</i>
Registry's functionality and interconnection with other systems.	6
Automatic prefilling forms and transfers.	6
Reporting capabilities.	4
Integrating with other systems.	2
Functionality and data security.	1
Compatibility with other systems.	1
System's scalability.	1
Use of unique identifiers.	1
Improving the user interface.	1

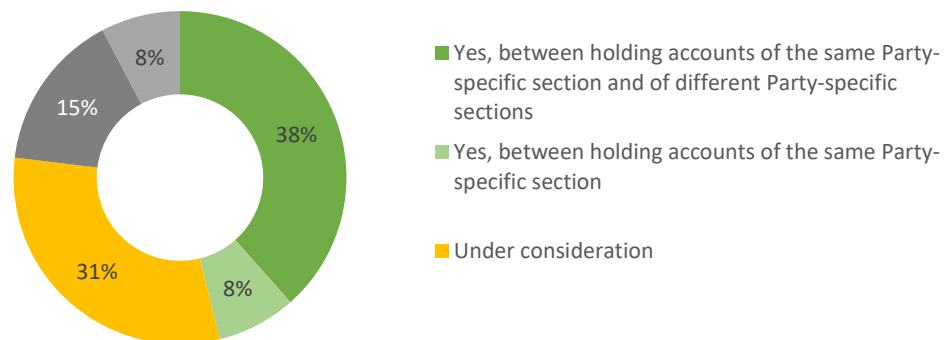
10. **Question 6:** How do you envision the process of authorization in the international registry? (11 Parties responded to this question)



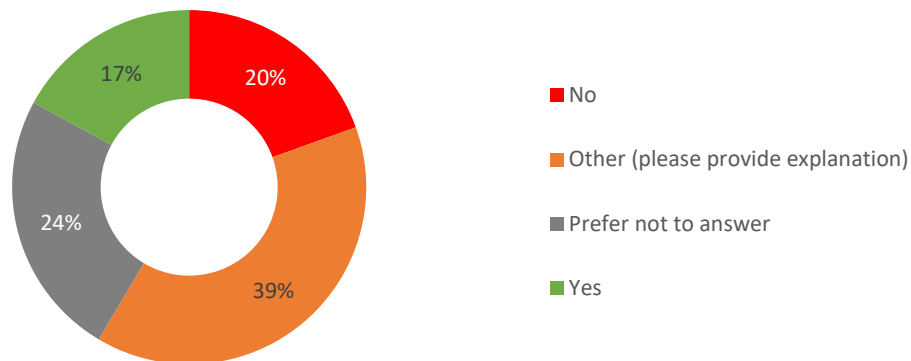
11. **Question 7:** Should all entities authorized by your Party be able to hold accounts in the international registry? (41 Parties responded to this question)



12. **Question 8:** Should transfers of ITMOs between holding accounts be enabled in the international registry? (39 Parties responded to this question)

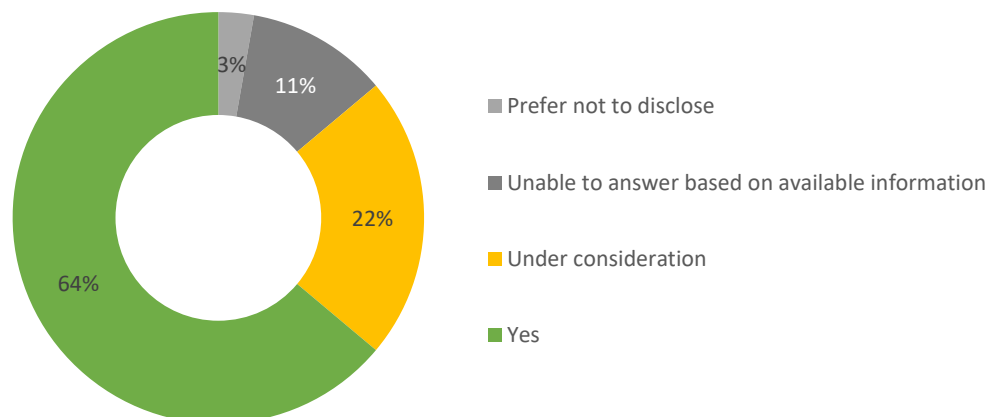


13. **Question 9:** Should the international registry charge fees to account holders with the objective of being a self-funded service? (41 Parties responded to this question)

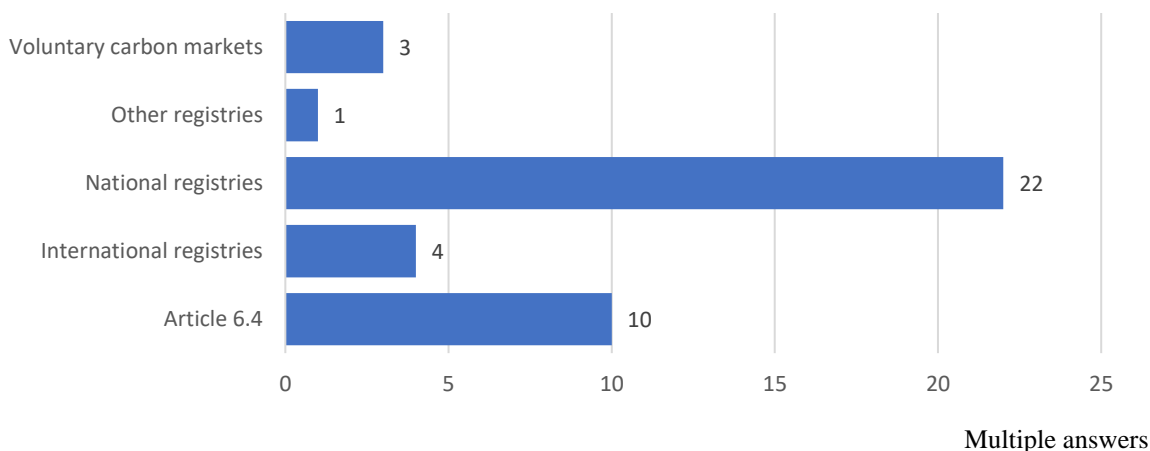


Summary of explanations provided under “Other”

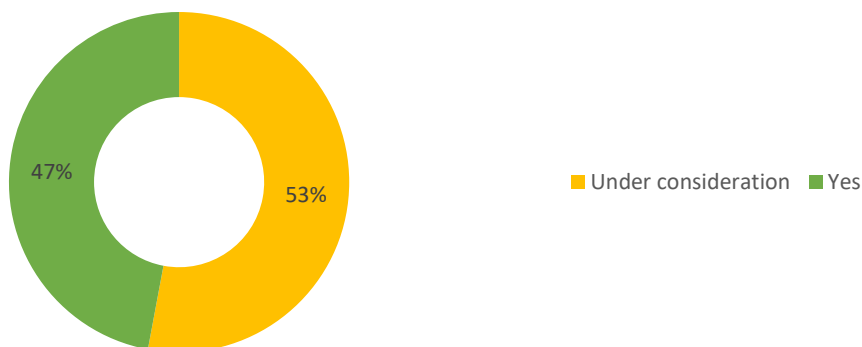
- i. **Fee Structure:** Involves discussions about the structure and application of fees for using the international registry. There is an emphasis on considering economic capacity and the differentiation of fees to ensure that smaller or less economically developed countries are not discouraged from participating.
  - ii. **Further Consultation:** Suggestions regarding the need for additional consultation and decision-making processes related to funding and fees for the international registry. It highlights the importance of gathering more information, understanding user preferences, and reaching a consensus before finalizing decisions related to fees.
  - iii. **Non-Mandatory Features:** It is suggested that users opting to utilize non-mandatory and additional registry functionalities should bear the associated costs. However, it is acknowledged that further work is needed to identify these functionalities and determine how costs can be distributed fairly.
  - iv. **Self-Funding and Sustainability:** It is underscored the importance of the international registry being self-funded to ensure its sustainability over time. It emphasizes the need for a funding model that enables the registry to support its operations without relying heavily on external sources.
14. **Question 10:** Do you foresee the need for the international registry to interoperate with any other registry for the purpose of enabling electronic transactions with ITMOs? (36 Parties responded to this question)



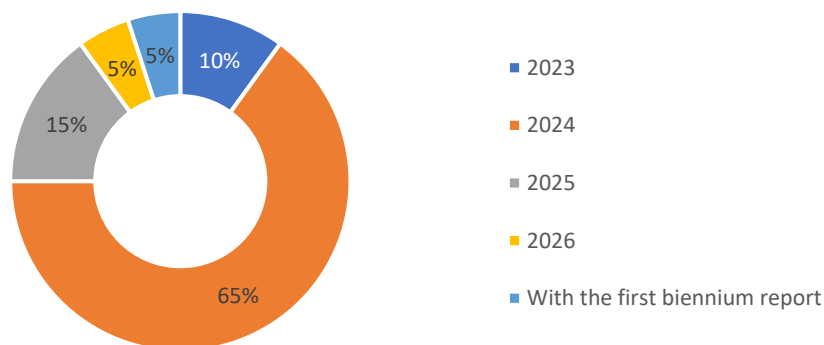
15. **Question 11:** To what other registries (please include indication if the operator of such other registries is to be another participating Party or an independent organization as much as possible, including names of independent organizations) (24 Parties responded to this question)



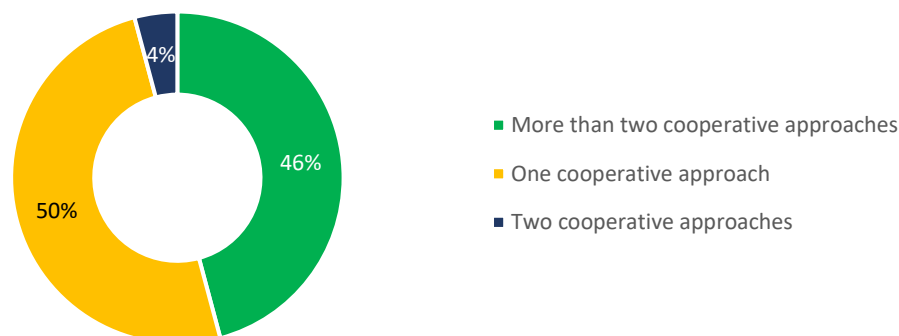
16. **Question 12:** Have you implemented, or do you plan to implement an information system that will track the mitigation activities of the cooperative approaches your Party participates in? (36 Parties responded to this question)



17. **Question 13:** When do you plan to submit an initial report? (28 Parties responded to this question)



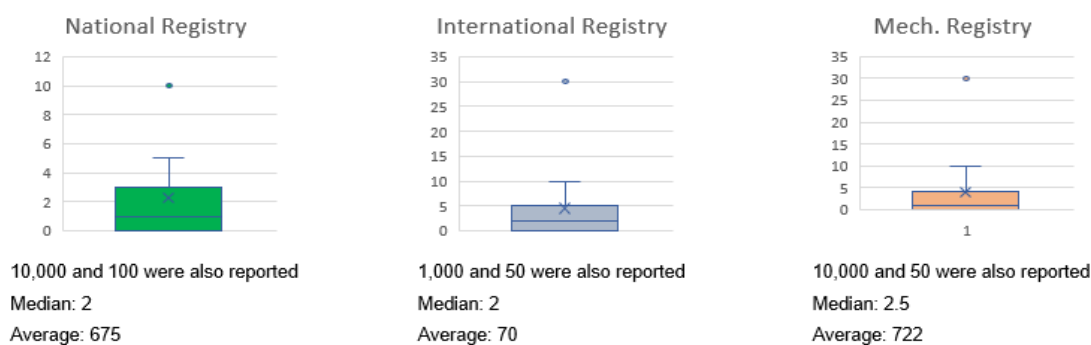
18. **Question 14:** How many cooperative approaches will be reported through your Party's initial report? (24 Parties responded to this question)



19. **Question 15:** What is the expected mitigation in your country in million tCO<sub>2</sub>e for each of the cooperative approaches between now and the end of 2025? (14 Parties responded to this question)

- i. No valid or representative answers were provided. The answers mostly were “Not sure” or “Not available”

20. **Question 16:** Including any entities which you may authorize, approximately, how many accounts do you expect to have? (17 Parties responded to this question)



21. **Question 17:** Provide information on capacity building needs in relation to the international registry (32 Parties responded to this question)

Category/Topic	Summary	Answers
Capacity Building	Need for understanding and using the international registry, particularly in relation to Article 6 of the Paris Agreement.	16
Training Needs	Various aspects of training needs, including data access, account setup, avoiding double counting, and understanding registry functionalities.	13
User Guide and Training Resources	Requests for a user guide, online training courses, webinars, and workshops related to the international registry.	4
General Information	Questions about the functions, roles, scope, and requirements of the international registry.	3
Infrastructure Planning	Capacity building required to decide on the infrastructure supporting participation in Article 6 mechanisms.	1
Service Desk	Mentioned as a resource for answering questions related to the international registry.	1
Technical Specifications	Uncertainty regarding how the international registry will work and interact with other registries due to ongoing technical specification development.	1

22. **Question 18:** Provide information on capacity building needs in relation to the preparation of initial reports (29 Parties responded to this question)

<i>Category/Topic</i>	<i>Summary</i>	<i>Answers</i>
Capacity Building	Mentioned as a need for understanding the preparation of initial reports, covering various aspects including content, format, and compliance.	16
Training Resources	Requested training and support on various aspects related to report preparation, data collection, and compliance with guidelines.	9
Specific Reporting Issues	Highlighted the challenge of identifying the right level of detail in responses and raised questions about CORSIA and ITMOs.	7
Reporting Requirements	Mentioned regarding what needs to be reported, format, review, and linkage with other processes like BTR.	3
Templates and Examples	Requested for assistance in the form of templates and practical examples for developing initial reports.	2
Outreach and Forums	Suggested outreach to other countries and the potential usefulness of an ad hoc forum for sharing information.	2
Guidance Documents	Mentioned the usefulness of short and visual guidance documents due to limited resources.	1

23. **Question 19:** List any nomenclatures, that may need to be maintained centrally in order to assure standardization and comparability in reporting under Article 6.2 (20 Parties responded to this question)

<i>Multiple parties</i>	<i>Several parties</i>	<i>Few parties</i>
Cooperative approach	Tag for reversal arrangements	Environmental integrity
Authorization	MO subject to reversals	Share of proceeds
Sector	Metrics	Acquisitions
Registries	Uses	Corresponding adjustments
Party	Unique identifiers	Retirements
Activity types	OIMP	

24. **Question 20:** Which standards are you using to classify mitigation activities for domestic or other purposes, please provide all the names and references? Do you use a standardized taxonomy for the classification of mitigation activities for domestic or other purposes? (21 Parties responded to this question)

<i>Mentioned multiple times</i>	<i>Mentioned few times</i>	<i>Mentioned once</i>
ISO Standards	Plan Vivo	Global Carbon Council
Kyoto Protocol and UNFCCC	American Carbon Registry	Green Deal
Gold Standard	Climate Action Reserve	T-VER standards
National standards	VCS	ESG framework
	IPCC	



25. **Question 21:** What procedures for reporting and review for the cooperative approaches of the participating Parties requesting the services of the international registry may be required in addition to the relevant guidance in decision 2/CMA.3, annex and in decision 6/CMA.4, annex I? (16 Parties responded to this question)

<i>Mentioned multiple times</i>	<i>Mentioned once</i>
Interoperability of Registries	Use of International Registry for Simplifying Procedures
Review of Submitted Reports	Automated Review Process
Arrangements for Tracking and Authorization	Simplified Reporting Procedures for LDCs and Small Developing Countries
Reporting or Review Requirements	Training for Parties on the Process of Reviews for Reports

26. **Question 22:** Do you have any further input in relation to the subjects of this survey? (7 Parties responded to this question)

- Need to embrace NMA activities
- Uncertainties related to requirements coming out of the CMA.
- An international registry with adequate functionalities could be a useful fallback.
- A6.4ER units, both authorized and non-authorized, shall remain in the mechanism registry. The centralized nature of the A6.4. mechanism provides a more robust system where to keep A6.4ERs, thus ensuring the traceability and transparency of operations involving them.
- Capacity building relevant to the reporting works.
- Technical assistant is needed
- Require better comprehension on the new mechanism and the benefits for, it is too early for us take a commitment on the market mechanism
- Do not have the necessary modalities/mechanism/ legal framework in place to implement Article 6
- Important to understand what the country would retain as its NDC contributions and what it would trade
- Once the modalities and framework are established, we would be in a better position to decide on the type of registry (national or international) appropriate for the country.
- An assessment is required to inform the transition from the Clean Development Mechanism under the Kyoto Protocol to Article 6 of the Paris Agreement.
- Interoperability between registries for 6.2 and 6.4
- Based on the survey, the Secretariat needs to take into consideration all views expressed by all Parties on board and accordingly provide responses and views to take forward the international registry.