

Adaptation communication as an adaptation component of an NDC: guidelines and considerations

Bonn, Germany

Monday 26 October 2020



Matti Goldberg, Programme Officer
UNFCCC secretariat, Adaptation Division

- Under the Paris Agreement and Katowice decisions, **adaptation components of NDCs are considered part of adaptation communications**. Decision **9/CMA.1** (guidance for adaptation communications) **provides the guidance** for adaptation components of NDCs.
- 9/CMA.1 outlines the **purpose, process, linkages**, and possible **sources of support** relevant to adaptation communications, including as adaptation component of NDCs. It also identifies **nine types of information** to include and encourages inclusion of forward-looking information.
- In context of the multiple arrangements for adaptation information, to reduce duplications and additional burdens, **Parties can build their adaptation communication on the information generated during the process to formulate and implement NAPs** - consistent with the role the NAP as the planning instrument, and of the adaptation communication as a communication instrument. Biennial transparency reports can contain information on progress.



- **Art. 7.10:** each Party should submit an adaptation communication reflecting its priorities, implementation and support needs, and plans and actions.
- **Art. 7.11:** the adaptation communication shall be submitted, as appropriate, “as a component of or in conjunction with” other communications or documents, including NAP, NDC or national communication.
- **Art. 7.12:** adaptation communications are recorded in an international registry maintained by the secretariat.
- **Decision 4/CMA.1, para. 8:** Parties may provide information other than mitigation in their NDCs, in particular, an adaptation communication may be submitted with an NDCs, noting the guidance in decision 9/CMA.1.
- **Decision 9/CMA.1, para. 11:** those Parties submitting an adaptation communication with an NDC are encouraged the guidance in this this decision (9/CMA.1).



Aspect	Provisions
Purpose	<ul style="list-style-type: none"> - Visibility and profile of adaptation, balance with mitigation - Strengthen action and support - Input to global stocktake - Learning and understanding
Principles	Country-driven, flexible, no additional burden or basis for comparison or review
Process	Submitted together with other documents (NAP documents, NC, NDC, BTR) => registry maintained by UNFCCC secretariat => synthesized for global stocktake
Timing	Parties invited to submit in time to inform each global stocktake
Content	<ul style="list-style-type: none"> - Include information on elements (a-d), and additionally on (e-i), or the annex - May “tailor” information, considering the document used for submitting - Invited to include ex ante information
Linkages	If submitted together with: <ul style="list-style-type: none"> - NDCs: use guidance in 9/CMA.1 + invited to include information on mitigation co-benefits - NCs or NAP: consider existing guidelines



ADAPTATION COMMUNICATIONS/ADAPTATION COMPONENTS OF NDCS: TYPES OF INFORMATION PARTIES MAY INCLUDE

(a) National circumstances, institutions, legal frameworks

(b) Impacts, risks, vulnerabilities

(c) Adaptation priorities, strategies, policies, plans, goals, actions

(d) Implementation and support needs, provisions of support

(e) Implementation of adaptation (progress, results, cooperation, barriers, good practices, monitoring and evaluation)

(f) Adaptation/economic diversification, co-benefits

(g) How adaptation contributes to other international frameworks

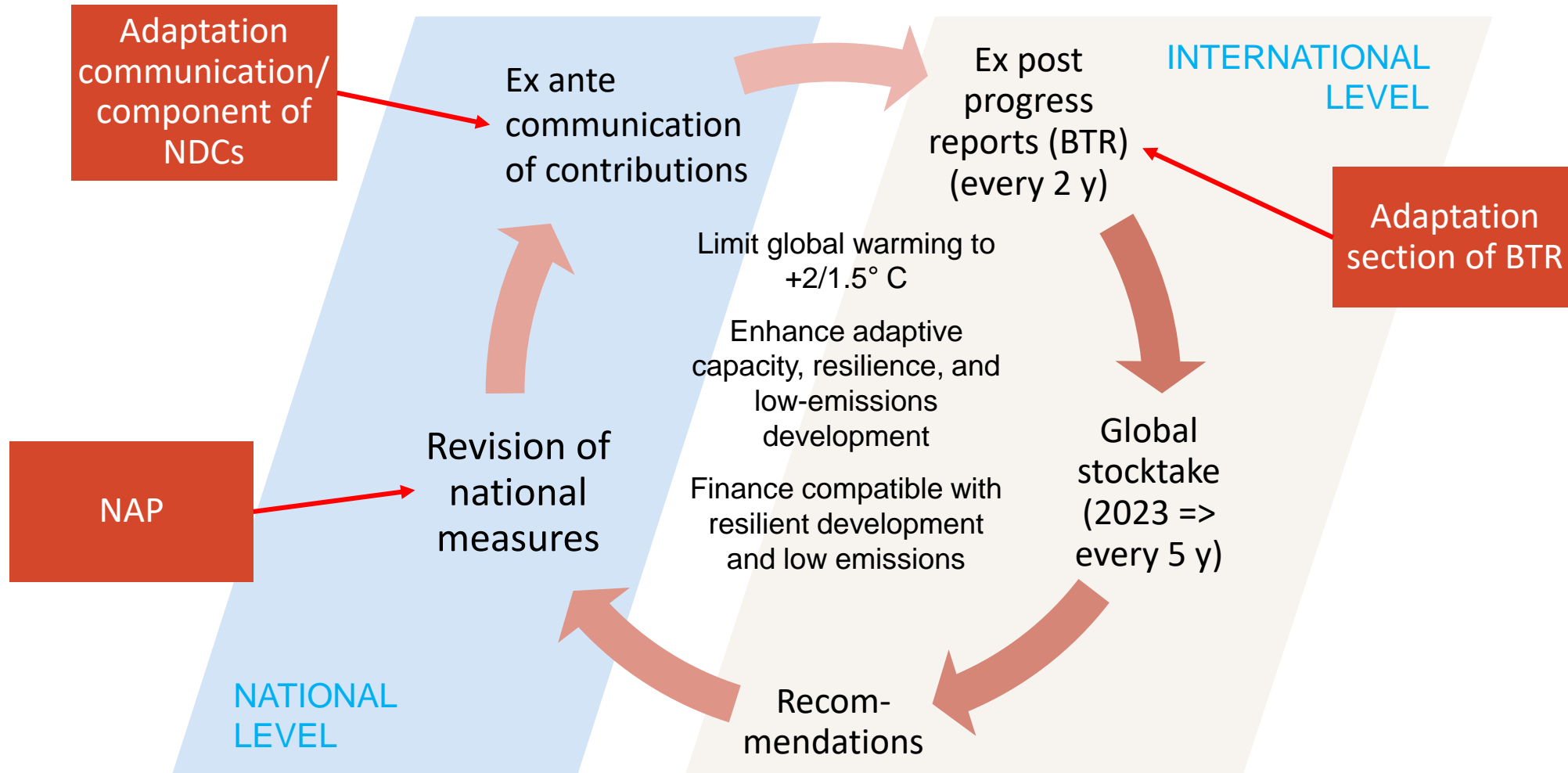
(h) Gender-responsive adaptation and traditional/indigenous/local knowledge

(i) Other information



	Cancun Adaptation Framework	Paris Agreement
Purposes	Plan and implement	Communicate and report
Instrument	National Adaptation Plans	Adaptation communications Adaptation sections of BTRs

ROLE OF ADAPTATION ARRANGEMENTS IN THE PARIS AGREEMENT CYCLE



Process to formulate and implement NAPs

Laying the Groundwork and addressing gaps

- A.1. Initiating the NAP process
- A.2.: Stocktaking
- A.3.: Addressing Capacity Gaps
- A.4.: Development Needs and Climate Vulnerabilities

Preparatory elements

- B.1. Analysing Current & Future Climate
- B.2. Assessing Climate Vulnerabilities and Adaptation Options
- B.3. Review and Appraisal of Options
- B.4. Compiling, Communicating NAPs
- B.5. Integrating NAP into Development, Planning

Implementation strategies

- C.1. Prioritizing CCA in National Planning
- C.2. Long-term Implementation Strategy
- C.3. Enhancing Capacity for Planning, Implementation
- C.4. Promoting Coordination and Synergy

Reporting, Monitoring, Review

- D.1. Monitoring the NAP Process
- D.2. Assess Progress, Effectiveness, Gaps
- D.3. Iteratively Update NAPs
- D.4. Outreach and Reporting on Progress

Elements of an adaptation communication

- (a) National circumstances, institutional arrangements and legal frameworks
- (b) Impacts, risks and vulnerabilities, as appropriate
- (c) National adaptation priorities, strategies, policies, plans, goals and actions
- (d) Implementation and support needs of, and provision of support to, developing country Parties
- (e) Implementation of adaptation actions and plans, including:
 - (i) Progress and results achieved;
 - (ii) Adaptation efforts of developing countries for recognition;
 - (iii) Cooperation on enhancing adaptation at the national, regional and international level, as appropriate;
 - (iv) Barriers, challenges and gaps related to the implementation of adaptation;
 - (v) Good practices, lessons learned and information-sharing;
 - (vi) Monitoring and evaluation
- (f) Adaptation actions and/or economic diversification plans, including those that result in mitigation co-benefits
- (g) How adaptation actions contribute to other international frameworks and/or conventions
- (d) Implementation and support needs of, and provision of support to, developing country Parties



Thank you!

mgoldberg@unfccc.int

