APA agenda item 5

Modalities, procedures and guidelines for the transparency framework for action and support referred to in Article 13 of the Paris Agreement

Tool prepared by the co-facilitators on section H issues for discussion Date of issue: 4 May, 2018

This document contains the views of Parties on two issues in section H of the light revision of the co-facilitator's informal note, specifically sections H.4 (format and steps) and H.5 (timing). This tool identifies "approaches" that are based on a visual illustration used during the third and fourth informal consultations, the light revision of the co-facilitator's informal note, views proposed by Parties during the third and fourth informal consultation, and written input. Bracketed language at the end of certain elements references paragraphs in the light revision of the co-facilitator's informal note, the "approaches" identified in this tool are not necessarily mutually exclusive. A few Parties provided input on other issues relevant to section H not contained in this tool. We will consider this input when we reach these sections.

This tool has been prepared by the co-facilitators under their own responsibility. This tool does not prejudge further work or prevent Parties from expressing their views at any time. It is recognized that the outcome of deliberations on this item will form part of the overall outcome under the Paris Agreement Work Programme.

H. Facilitative, multilateral consideration of progress

<mark>Approach I</mark>

H.4. Format and steps, including events to be convened, the roles of Parties and the secretariat

<u>Steps</u>

Approach 1:

1. Secretariat coordinates procedural arrangements for FMCP. [H.4.10]

Step 1: Q&A phase

- 1. Q&A on transparency report. [Each Party / Developed country Parties / Developing country Parties [H.4.3]
- Dedicated on-line platform for each Party where the biennial transparency report and other relevant information shall be posted and where on-line tools should be used, based on Parties discretion. ^(Each Party)
 [H.4.4]
- 3. Q&A on biennial transparency report (BTR) and TER report (if available); through a dedicated on-line platform for each Party where the BTR and other relevant information shall be posted and where on-line tools should be used, based on Parties discretion.
- 4. It is important that Parties will continue to receive questions in advance from other Parties in regard to their reports.
- 5. Parties should have the freedom to respond to questions or not arising from the FMCP.
- 6. Questions must be submitted electronically 3 months prior to presentation.
- 7. Questions on a Party's use of flexibility or underlying policies not permitted.
- 8. Requirement for written responses should respect national capacities and cannot be mandatory for developing country parties.

Step 2: Presentation by Party, followed by discussion

 Workshops parallel to negotiation sections similar to FSV/MA. (Each Party / Developed country Parties / Developing country Parties) [H.4.3]

- An FMCP session entails a presentation by the Party on the information within the scope of the FMCP, followed by a discussion session, focused on advance questions and topics covered by the presentation. [Each Party] [H.4.5]
- 3. Participate fully in the FMCP process, including by giving a brief presentation during the FMCP session and making every reasonable effort to respond to written questions within agreed time frames. ^(Each Party) [H.4.12]
- 4. An FMCP session (parallel to SBs sessions) that entails a presentation by the Party on the information within the scope of the FMCP, followed by a discussion session, focused on advance questions and topics covered by the presentation.

Step 3: Additional written responses by Party.

 Additional responses may be provided by the Party undergoing the FMCP in writing after the session. ^{(Each} Party) [H.4.5]

Step 4: Record of the FMCP

1. The Secretariat prepares a record of questions and response for publication on the UNFCCC website with presentations of Parties ^(Each Party) [H.4.11] and a video of the session.

<u>Format</u>

Approach 1: In-person during the SBI session.

- 1. In-person during the SBI session. (Each Party / Developed country Parties / Developing country Parties) [H.4.1]
- 2. In-person during the SBI session, preceded by an online written question and answer period.

Approach 2: FMCP occurs either during sessions of the SBI, or as an online conference. ^(Each Party) [H.4.2] **Approach 3**: Back-to-back with UNFCCC sessions.

Individual vs. group

Approach 1: Undergo FMCP as a group of Parties. (Each Party / Developing country Parties) [H.4.8.]

Approach 2: Undergo FMCP individually.

Approach 3: Self-determine the format to attend, for example as a group of Parties. ^(Developing country Parties)

Participation by the Party

Approach 1: Each Party is considered under FMCP ^(Each Party) [H.4.6]

Approach 2: Self determined by a Party

1. A Party may elect to participate. (Each Party / Developing country Parties) [H.4.7.]

Approach 3: LDCs and SIDS undergo FMCP at their discretion [H.4.8]

<u>Actors</u>

Approach 1: All Parties. ^(Each Party) [H.4.9.a.]

1. Only Parties can raise questions to Parties during FMCP.

Approach 2: All Parties, relevant stakeholders, and registered Observers. ^(Each Party) [H.4.9.b.]

- 1. Parties, relevant stakeholders, and registered Observers can observe and raise questions to Parties during FMCP.
- 2. Observers can observe the process without raising questions to the Parties.

Approach 3: All Parties and registered observers.

H.5. Frequency and timing

Frequency of FMCP

Approach 1: At regular intervals based on submission of reports.

1. At regular intervals. (Each Party / Developing country Parties) [H.5.2.a.]

Approach 1.1: Every XX years.

- 1. Every 2 years. ^(Each Party) [H.5.2.e.]
- 2. Every 5 years.
- 3. Every 2 years, with flexibility for LDCs and SIDS to undergo FMCP at their discretion.

Approach 1.2: Once in every two transparency reports. ^(Each Party) [H.5.2.f.] **Approach 1.3:** FMCP for the progress every 2 years, FMCP of NDCs every 5 years. ^(Each Party) [H.5.2.g.] **Approach 1.4:** Every 5 years to take place proximate to GST.

Approach 2: Linked with NDC cycle

Approach 2.1: Once for achievement of NDC, at the end of the NDC cycle. ^(Each Party) [H.5.2.b.] **Approach 2.2:** At least once during NDC implementation. ^(Each Party) [H.5.2.c.] **Approach 2.3:** Twice during each NDC implementation cycle. ^(Each Party) [H.5.2.d.]

Approach 3: Self-determined

- 1. Nationally determined by participating Party. (Those developing country Parties that need it in the light of their capacities / Developing country Parties) [H.5.1.]
- 2. Parties activate through a self-trigger. (Each Party) [H.5.2.h.]
- 3. Conduction of FMCP is dependent on support from developed Parties for preparing the reports, which is the input to the FMCP.
- 4. Frequency self-determined but not less than once in every NDC cycle.^(Developing country Parties)

Commencement of FMCP

Approach 1: Linked to the submission of biennial transparency report

1. FMPC to start within 4/6/12/XX months after the submission of the transparency report. (Each Party) [H.5.3.]

Approach 2: Linked to the completion of the TER

1. FMCP to start after the completion of the TER. (Each Party) [H.5.4.]

Approach 3: Not linked with the completion of the TER

1. TER and FMCP could be conducted independently, with the FMCP starting before the conclusion of the TER. ^(Each Party) [H.5.5.]

Approach 4: FMCP starts after completion of the TER for those Parties that have submitted a BTR during the relevant cycle, and within one year of the start of the new cycle for those Parties that have not submitted a BTR within [X months] of its due date.

Completion of FMCP

Approach 1: Completed within 12 months of transparency report submission. ^(Each Party) [H.5.6.a]

Approach 2: Completed within X months of technical expert review report

- 1. Within 9 months after the publication of the technical expert review report. (Each Party) [H.5.6.b]
- 2. Within 24 months after the publication of the technical expert review report.

Approach 3: Completed once all steps identified above are finalized.

Approach 4: Completion within 9 months of the TER report for those Parties that have submitted a BTR during the relevant cycle, and within 18 months of the start of the new cycle for those Parties that have not submitted a BTR within [X months] of its due date.

Approach II: Current arrangements under the Convention as a starting point and discussing at a later point in time moving to Approach I

Approach III: Current arrangements under the Convention