



Ad Hoc Working Group on the Paris Agreement

Seventh part of the first session

Katowice, 2–8 December 2018

Agenda item 2(b)

Organizational matters

Adoption of the agenda

Agenda and annotations

Note by the Executive Secretary

I. Agenda

1. Opening of the session.
2. Organizational matters:
 - (a) Election of officers;
 - (b) Adoption of the agenda;
 - (c) Organization of the work of the session.
3. Further guidance in relation to the mitigation section of decision 1/CP.21 on:
 - (a) Features of nationally determined contributions, as specified in paragraph 26;
 - (b) Information to facilitate clarity, transparency and understanding of nationally determined contributions, as specified in paragraph 28;
 - (c) Accounting for Parties' nationally determined contributions, as specified in paragraph 31.
4. Further guidance in relation to the adaptation communication, including, inter alia, as a component of nationally determined contributions, referred to in Article 7, paragraphs 10 and 11, of the Paris Agreement.
5. Modalities, procedures and guidelines for the transparency framework for action and support referred to in Article 13 of the Paris Agreement.
6. Matters relating to the global stocktake referred to in Article 14 of the Paris Agreement:
 - (a) Identification of the sources of input for the global stocktake;
 - (b) Development of the modalities of the global stocktake.
7. Modalities and procedures for the effective operation of the committee to facilitate implementation and promote compliance referred to in Article 15, paragraph 2, of the Paris Agreement.



8. Further matters related to implementation of the Paris Agreement:
 - (a) Preparing for the convening of the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement;
 - (b) Taking stock of progress made by the subsidiary and constituted bodies in relation to their mandated work under the Paris Agreement and section III of decision 1/CP.21, in order to promote and facilitate coordination and coherence in the implementation of the work programme, and, if appropriate, take action, which may include recommendations.
9. Other matters.
10. Closure of and report on the session.

II. Annotations to the agenda

1. Opening of the session

1. The Ad Hoc Working Group on the Paris Agreement (APA), at the end of APA 1.6, held in Bangkok, Thailand, from 4 to 9 September 2018, agreed to further suspend its first session. APA 1.7 will take place in conjunction with the forty-ninth sessions of the subsidiary bodies in Katowice, Poland. The first plenary meeting at this session will be presided over by the APA Co-Chairs, Ms. Sarah Baashan (Parties not included in Annex I to the Convention) and Ms. Jo Tyndall (Parties included in Annex I to the Convention), on Sunday, 2 December 2018.

2. Organizational matters

(a) Election of officers

2. APA 1.4 elected Ms. Baashan and Ms. Tyndall as APA Co-Chairs and Ms. Anna Serzysko (Parties included in Annex I to the Convention) as Rapporteur, all for a second consecutive term of one year until December 2018.¹

(b) Adoption of the agenda

3. The APA adopted the agenda for its first session at APA 1.1.² Following the entry into force of the Paris Agreement on 4 November 2016, APA 1.2 agreed that no further work was required under the agenda sub-item “Preparing for the entry into force of the Paris Agreement”³ and the agenda was amended accordingly. The amended agenda applied subsequently will also apply at APA 1.7.⁴ Matters relating to the Adaptation Fund will continue to be taken up under agenda sub-item 8(a), “Preparing for the convening of the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement”.⁵

(c) Organization of the work of the session

4. The Co-Chairs will suggest that the APA apply at APA 1.7 the following modalities for the organization of its work:⁶

(a) The APA will continue working in a single contact group on agenda items 3–8;

(b) The contact group will meet at least twice during the session: at an opening meeting to set the direction of work, and at a closing meeting to assess the results of the session and adopt conclusions. Additional contact group meetings may be convened to assess

¹ FCCC/APA/2017/4, paragraphs 4 and 6.

² FCCC/APA/2016/2, paragraph 9.

³ FCCC/APA/2016/4, paragraph 9.

⁴ See document FCCC/APA/2017/1, paragraph 5.

⁵ See document FCCC/APA/2016/4, paragraph 5.

⁶ See document FCCC/APA/2016/2, paragraph 21.

progress, adjust guidance and consider any changes to the organization of the session that might be needed;

(c) The contact group will conduct technical work for each of the substantive agenda items; work under each item will be facilitated by two co-facilitators. The APA Co-Chairs will announce the team of facilitators in a communication to Parties well in advance of the resumed session. The APA Co-Chairs will facilitate the informal consultations on agenda item 8, with the exception of matters relating to the Adaptation Fund, which will be facilitated by a pair of co-facilitators, as per previous practice;

(d) Efforts will be made to ensure that all items have the necessary negotiating time within a manageable meeting timetable that allows Parties to participate effectively, and clashes between informal consultations on agenda items with known substantive linkages will be avoided as much as possible;

(e) The APA Co-Chairs will provide, at the opening contact group meeting, a clear mandate and guidance to the co-facilitators on the direction of the work and the expected outcomes of the session. As the work evolves, the guidance will be reassessed and, if needed, adjusted. This mode of work will allow conclusions to be developed for each substantive agenda item.

5. The joint reflections note⁷ to be prepared by the presiding officers of the APA, the Subsidiary Body for Scientific and Technological Advice (SBSTA) and the Subsidiary Body for Implementation (SBI) and made available by mid-October 2018 will provide further details on the organization of the work of the session.⁸

6. Detailed information on the work of the session will be posted on the APA 1.7 web page.⁹ Delegates are invited to refer to the overview schedule and the in-session Daily Programme¹⁰ and to regularly consult the closed-circuit television screens for an up-to-date schedule of the work of the APA. In order to maximize negotiation time and ensure timely closure of the session, the presiding officers of the APA, the SBI and the SBSTA will propose, in consultation with Parties and in a transparent manner, time-saving approaches to the organization and scheduling of meetings during the session, taking into account previous relevant conclusions of the SBI.¹¹ In this context, the presiding officers of the APA, the SBSTA and the SBI will propose cut-off times for group work and deadlines for the submission of conclusions to ensure that they are available in all six official languages of the United Nations for the closing plenary.

Agenda items 3–8

7. To facilitate deliberations at APA 1.7, in the joint reflections note referred to in paragraph 5 above the presiding officers will address progress made to date and identify ways forward, including textual proposals that would be helpful for advancing Parties' deliberations.¹² The annotations should therefore be read in conjunction with the information in the joint reflections note and its addenda.

3. Further guidance in relation to the mitigation section of decision 1/CP.21 on:

- (a) Features of nationally determined contributions, as specified in paragraph 26
- (b) Information to facilitate clarity, transparency and understanding of nationally determined contributions, as specified in paragraph 28
- (c) Accounting for Parties' nationally determined contributions, as specified in paragraph 31

8. *Background:* Article 4, paragraph 2, of the Paris Agreement requires each Party to prepare, communicate and maintain successive nationally determined contributions (NDCs)

⁷ To be made available at <https://unfccc.int/event/apa-1-7>.

⁸ See document FCCC/APA/2018/4, paragraph 18.

⁹ <https://unfccc.int/event/apa-1-7>.

¹⁰ <https://unfccc.int/dp-cop24>.

¹¹ FCCC/SBI/2014/8, paragraphs 218–221.

¹² As footnote 8 above.

that it intends to achieve, as well as pursue domestic mitigation measures with the aim of achieving the objectives of such contributions.

9. The Conference of the Parties (COP) requested the APA:¹³

(a) To develop further guidance on features of the NDCs;

(b) To develop further guidance for the information to be provided by Parties in order to facilitate clarity, transparency and understanding of NDCs;

(c) To elaborate, drawing from approaches established under the Convention and its related legal instruments, as appropriate, guidance for accounting for Parties' NDCs.¹⁴

10. COP 21 requested the APA to develop the guidance referred to in paragraph 9 above for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (CMA) at its first session.¹⁵

11. Consideration of this agenda item continued at APA 1.6. A link to the revised additional tool¹⁶ is contained in the Bangkok outcome, in the annex to the report on APA 1.6.¹⁷

12. *Action:* Pursuant to decision 1/CP.21, paragraph 11, the APA will be invited to continue its consideration of these matters, based on the Bangkok outcome and informed by the joint reflections note referred to in paragraph 5 above, with a view to completing the work thereon at this session.

4. Further guidance in relation to the adaptation communication, including, inter alia, as a component of nationally determined contributions, referred to in Article 7, paragraphs 10 and 11, of the Paris Agreement

13. *Background:* Article 7, paragraph 10, of the Paris Agreement states that each Party should, as appropriate, submit and update periodically an adaptation communication, which may include its priorities, implementation and support needs, plans and actions, without creating any additional burden for developing country Parties. Article 7, paragraph 11, of the Paris Agreement states that the adaptation communication shall be, as appropriate, submitted and updated periodically, as a component of or in conjunction with other communications or documents, including a national adaptation plan, an NDC¹⁸ and/or a national communication.

14. Consideration of this agenda item continued at APA 1.6. A link to the revised additional tool¹⁹ is contained in the Bangkok outcome.

15. *Action:* Pursuant to decision 1/CP.21, paragraph 11, the APA will be invited to continue its consideration of this matter, based on the Bangkok outcome and informed by the joint reflections note referred to in paragraph 5 above, with a view to completing the work thereon at this session.

5. Modalities, procedures and guidelines for the transparency framework for action and support referred to in Article 13 of the Paris Agreement

16. *Background:* Article 13 of the Paris Agreement established the transparency framework for action and support. The COP established a Capacity-building Initiative for Transparency²⁰ and provided guidance for taking forward the transparency of action and support under the Paris Agreement.²¹ Building on experience from the arrangements related

¹³ Decision 1/CP.21, paragraphs 26, 28 and 31. See also Article 4, paragraph 13, of the Paris Agreement.

¹⁴ See Article 4, paragraph 13, of the Paris Agreement.

¹⁵ Decision 1/CP.21, paragraphs 26, 28 and 31.

¹⁶ <https://unfccc.int/documents/182109>.

¹⁷ FCCC/APA/2018/4.

¹⁸ See Article 4, paragraph 2, of the Paris Agreement.

¹⁹ <https://unfccc.int/documents/182087>.

²⁰ Decision 1/CP.21, paragraphs 84–88.

²¹ Decision 1/CP.21, paragraphs 89–98.

to transparency under the Convention, and elaborating on the provisions of Article 13 of the Paris Agreement, CMA 1 is to adopt common modalities, procedures and guidelines, as appropriate, for the transparency of action and support.²²

17. COP 21 requested the APA to develop recommendations for the modalities, procedures and guidelines in accordance with Article 13, paragraph 13, of the Paris Agreement and to define the year of their first and subsequent review and update, as appropriate, at regular intervals, for consideration at COP 24 with a view to the COP forwarding them for consideration and adoption at CMA 1.²³ COP 21 also requested the APA to report on the progress of work on these modalities, procedures and guidelines at future sessions of the COP, and that this work be concluded no later than 2018.²⁴

18. Consideration of this agenda item continued at APA 1.6. A link to the revised additional tool²⁵ is contained in the Bangkok outcome.

19. *Action:* Pursuant to decision 1/CP.21, paragraph 11, the APA will be invited to continue its consideration of this matter, based on the Bangkok outcome and informed by the joint reflections note referred to in paragraph 5 above, with a view to completing the work thereon at this session.

6. Matters relating to the global stocktake referred to in Article 14 of the Paris Agreement

- (a) Identification of the sources of input for the global stocktake
- (b) Development of the modalities of the global stocktake

20. *Background:* Article 14 of the Paris Agreement requires the CMA to periodically take stock of the implementation of the Paris Agreement in order to assess the collective progress towards achieving its purpose and its long-term goals (the global stocktake), in a comprehensive and facilitative manner, considering mitigation, adaptation and the means of implementation and support, and in the light of equity and the best available science. The CMA is to undertake the first global stocktake in 2023 and every five years thereafter, unless otherwise decided by the CMA.²⁶

21. COP 21 requested the APA to identify the sources of input for the global stocktake, to develop its modalities and to report to the COP with a view to the COP making a recommendation for consideration and adoption at CMA 1.²⁷

22. Consideration of this agenda item continued at APA 1.6. A link to the revised additional tool²⁸ is contained in the Bangkok outcome.

23. *Action:* Pursuant to decision 1/CP.21, paragraph 11, the APA will be invited to continue its consideration of these matters, based on the Bangkok outcome and informed by the joint reflections note referred to in paragraphs 5 above, with a view to completing the work thereon at this session.

7. Modalities and procedures for the effective operation of the committee to facilitate implementation and promote compliance referred to in Article 15, paragraph 2, of the Paris Agreement

24. *Background:* Article 15, paragraph 1, of the Paris Agreement establishes a mechanism to facilitate implementation of and promote compliance with the provisions of the Paris Agreement. Paragraph 2 of the same Article provides that the mechanism will consist of a

²² As per Article 13, paragraph 13, of the Paris Agreement.

²³ Decision 1/CP.21, paragraph 91.

²⁴ Decision 1/CP.21, paragraph 96.

²⁵ <https://unfccc.int/documents/182088>.

²⁶ Article 14, paragraphs 1 and 2, of the Paris Agreement.

²⁷ Decision 1/CP.21, paragraphs 99 and 101.

²⁸ <https://unfccc.int/documents/182097>.

committee that, in accordance with paragraph 3 of the same Article, will operate under the modalities and procedures adopted at CMA 1.

25. COP 21 requested the APA to develop the modalities and procedures for the effective operation of the committee referred to in paragraph 24 above with a view to the APA completing its work on such modalities and procedures for consideration and adoption at CMA 1.²⁹

26. Consideration of this agenda item continued at APA 1.6. A link to the revised additional tool³⁰ is contained in the Bangkok outcome.

27. *Action:* Pursuant to decision 1/CP.21, paragraph 11, the APA will be invited to continue its consideration of this matter, based on the Bangkok outcome and informed by the joint reflections note referred to in paragraph 5 above, with a view to completing the work thereon at this session.

8. Further matters related to implementation of the Paris Agreement

- (a) Preparing for the convening of the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement
- (b) Taking stock of progress made by the subsidiary and constituted bodies in relation to their mandated work under the Paris Agreement and section III of decision 1/CP.21, in order to promote and facilitate coordination and coherence in the implementation of the work programme, and, if appropriate, take action, which may include recommendations

28. *Background:* The COP decided that the APA shall prepare for the convening of CMA 1. The COP also decided to oversee the implementation of the work programme resulting from the relevant requests contained in decision 1/CP.21, to be carried out by the subsidiary and constituted bodies under the Convention.³¹

29. APA 1.2 agreed to consider these matters under agenda sub-item 8(a), “Preparing for the convening of the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement”.³²

Matters relating to the Adaptation Fund

30. In response to the invitation of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its eleventh session,³³ COP 22 referred matters relating to the Adaptation Fund to the APA.³⁴ In addition, COP 22 requested the APA, in its consideration of the necessary preparatory work on the Adaptation Fund, to address the governance and institutional arrangements, safeguards and operating modalities for the Adaptation Fund to serve the Paris Agreement.³⁵

31. Consideration of matters relating to the Adaptation Fund continued at APA 1.6. A link to the revised additional tool³⁶ is contained in the Bangkok outcome.

32. *Action:* Pursuant to the request of COP 22,³⁷ the APA will be invited to continue its consideration of these matters, based on the Bangkok outcome and informed by the joint reflections note referred to in paragraph 5 above, with a view to completing the work thereon at this session.

²⁹ Decision 1/CP.21, paragraph 103.

³⁰ <https://unfccc.int/documents/182082>.

³¹ Decision 1/CP.21, paragraphs 8 and 9.

³² FCCC/APA/2016/4, paragraph 5.

³³ Decision 1/CMP.11, paragraph 9.

³⁴ FCCC/CP/2016/10, paragraph 18.

³⁵ Decision 1/CP.22, paragraph 14.

³⁶ <https://unfccc.int/documents/182117>.

³⁷ See document FCCC/CP/2016/10, paragraph 18.

Possible additional matters

33. Consideration of the possible additional matters³⁸ related to implementation of the Paris Agreement continued at APA 1.6. A link to the revised additional tool³⁹ is contained in the Bangkok outcome.

34. *Action:* The APA will be invited to continue its consideration of these matters, based on the Bangkok outcome and informed by the joint reflections note referred to in paragraph 5 above, with a view to concluding its consideration thereof at this session.

9. Other matters

35. Any other matters arising during the session will be taken up under this agenda item.

10. Closure of and report on the session

36. After the draft report on this part of the session has been presented to the APA for consideration and adoption, the Co-Chairs will declare the session closed.

³⁸ The possible additional matters are: (1) modalities for biennially communicating finance information on the provision of public financial resources to developing countries in accordance with Article 9, paragraph 5, of the Paris Agreement; (2) initial guidance by the CMA to the operating entities of the Financial Mechanism (the Green Climate Fund and the Global Environment Facility) under Article 9, paragraph 8, of the Paris Agreement and decision 1/CP.21, paragraphs 58 and 61–63; (3) initial guidance by the CMA to the Least Developed Countries Fund and the Special Climate Change Fund in accordance with decision 1/CP.21, paragraph 58; (4) guidance by the CMA on the adjustment of existing NDCs under Article 4, paragraph 11, of the Paris Agreement; and (5) setting a new collective quantified goal on finance in accordance with decision 1/CP.21, paragraph 53.

³⁹ <https://unfccc.int/documents/182077>.