

DRAFT TEXT

on

APA 1.7 agenda item 6

Matters relating to the global stocktake referred to in Article 14 of the Paris Agreement: (a) identification of sources of input for the global stocktake; and (b) development of the modalities of the global stocktake

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Third iteration

I. [Modalities

Overarching elements

Recalling Articles 2 and 14 of the Paris Agreement, decision 1/CP.21, paragraphs 99–101, and other relevant Articles of the Paris Agreement and paragraphs of decision 1/CP.21,

Recognizing that the global stocktake referred to in Article 14, paragraph 1, of the Paris Agreement is crucial for enhancing the collective ambition of action and support towards achieving the purpose and long-term goals of the Paris Agreement,

1.

Option 1: *Reiterates* that the global stocktake will be conducted in the light of equity and the best available science pursuant to Article 14, paragraph 1, of the Paris Agreement;

Option 2: *Decides* that full consideration will be given to equity in terms of the process, thematic areas and outcome of the global stocktake in a cross-cutting manner to reflect the linkage between action and support;

2. *Decides* that the global stocktake will consist of the following components:

a) Information collection and preparation, focusing on gathering, compiling and synthesizing information and preparing for conducting a technical assessment referred to in paragraph 2(b) below;

b) Technical assessment, focusing on taking stock of the implementation of the Paris Agreement to assess collective progress towards achieving the purpose and long-term goals of the Paris Agreement, as well as opportunities for enhanced action and support to achieve its purpose and goals;

c) Consideration of outputs, focusing on discussing the implications of the findings of the technical assessment with a view to achieving the outcome of the global stocktake of informing Parties in updating and enhancing, in a nationally determined manner, their actions and support, in accordance with relevant provisions of the Paris Agreement, as well as in enhancing international cooperation for climate action;

3. *Decides* that the global stocktake will be conducted with the assistance of the SBI and the SBSTA, which will establish a joint contact group on this matter;

4. *Resolves* to engage in a technical dialogue that aims to support the work of the joint contact group referred to in paragraph 3 above through expert consideration of inputs, as identified in the sources of input referred to in paragraphs 37 and 38 below for the global stocktake;

5. *Also decides* to establish the technical dialogue referred to in paragraph 4 above that will:

(a) Undertake its work through a focused exchange of views, information and ideas in in-session round tables, workshops or other activities;

(b) Organize its work in line with taking stock of the implementation of the Paris Agreement to assess collective progress towards achieving its purpose and long-term goals, [on a thematic basis to address][in three thematic areas on] mitigation, adaptation and finance flows and means of implementation and support,

Option 1: taking into account issues relating to response measures and economic diversification and issues relating to loss and damage in accordance with the relevant provisions of the Paris Agreement;

Option 2: each of them assessing one of the long-term goals of the Paris Agreement as stated in its Article 2, paragraph 1(a)–(c), Article 4, paragraph 1, and Article 7, paragraph 1, and interlinkages, for ensuring the comprehensiveness stipulated in Article 14, paragraph 1, of the Paris Agreement;

(c) Be facilitated by two co-facilitators¹ who will be responsible for conducting the dialogue and the preparation of a factual synthesis report and other outputs of the technical assessment, with the assistance of the secretariat, under the guidance of the joint contact group referred to in paragraph 3 above;

6. *Requests* the joint contact group to develop, with input from Parties, technical guidance for all components of the global stocktake, including specific thematic and cross-cutting questions, one session of the subsidiary bodies prior to the relevant activities under the global stocktake being carried out;²

7. *Decides* that the information collection and preparation component of the global stocktake will commence one session before the start of the technical assessment, which will take place during the two (depending on the timing of the publication of the IPCC Assessments Reports, three) successive sessions of the subsidiary bodies preceding the session of the CMA in 2023 during which the consideration of outputs will take place, with the cycle repeating every five years thereafter;

8. *Also decides* that the global stocktake will be conducted in a comprehensive, facilitative, effective and efficient manner, avoiding duplication of work and taking into account the results of relevant work conducted under the Paris Agreement, the Convention and the Kyoto Protocol;

9. *Further decides* that the global stocktake will be a Party-driven process conducted in a transparent manner and with the active participation of non-Party stakeholders throughout the process, and that to support such effective and equitable participation, all inputs will be fully accessible by Parties, including online, as referred to in paragraph 21 below;

10. *Decides* that the full participation of Parties in the global stocktake should be ensured through the provision of adequate funding for the participation and representation of developing country Parties in all activities under the global stocktake, including the technical dialogue, workshops, round tables and sessions of the subsidiary bodies and the CMA that contain global stocktake activities, in accordance with existing practices;

11. *Invites* developed country Parties to mobilize support for capacity-building so that the least developed countries, small island developing States and other developing countries can effectively participate in the global stocktake and take up relevant global stocktake information;

12. *Decides* that the outputs of the components of the global stocktake referred to in paragraph 2 above should identify opportunities for, and gaps and challenges in collective

¹ Who will be selected by their groups.

² For the first global stocktake, the proposed questions contained in the appendix to document APA-SBSTA-SBI-2018.Informal.2.Add.7 should be taken into consideration.

progress and ways for addressing them in the light of equity and the best available science, as well as lessons learned and good practices, with a view to achieving the outcome identified in Article 14, paragraph 3, of the Paris Agreement;

13. *Emphasizes* that the outputs of the global stocktake should focus on taking stock of the implementation of the Paris Agreement to assess collective progress, have no individual Party focus, and include non-policy prescriptive consideration of collective progress that Parties can use to inform the updating and enhancing, in a nationally determined manner, of their actions and support in accordance with relevant provisions of the Paris Agreement as well as in enhancing international cooperation for climate action;

14. *Decides* to consider refining the procedural and logistical elements of the overall global stocktake process on the basis of experience gained after the first and subsequent global stocktakes, as appropriate;

15. *Requests* the Chairs of the SBSTA and SBI to organize the global stocktake in a flexible and appropriate manner, to work on identifying opportunities for learning-by-doing, including for assessing collective progress, and to take the necessary steps for consideration of inputs as they become available;

16. *Invites* Parties to submit their nationally determined contributions, informed by the outcome of the global stocktake, at a special event under the auspices of the Secretary-General of the United Nations;

17. *Recognizes* that other related events within and outside the UNFCCC can contribute to the global stocktake and the implementation of its outcome;

Information collection and preparation

18. *Invites* Parties and providers of the inputs referred to in paragraph 38 below to prepare their information taking into account the information needs referred to in paragraph 37 below, as well as the guidance for the information from sources of input referred to in paragraph 40 below and the specific thematic and cross-cutting questions for the global stocktake referred to in paragraphs 6 above;

19. *Requests* the Chairs of the SBSTA and the SBI to issue a call for the inputs referred to in paragraphs 37 and 38 below, taking into account that such inputs should be submitted at least three months before their consideration in the technical assessment;

20. *Decides* that the information collection and preparation component of the global stocktake will end no later than six months before the consideration of outputs to ensure timely consideration of inputs, unless critical information that requires consideration emerges after the cut-off date;

21. *Requests* the secretariat to facilitate online availability to all inputs to the global stocktake from Parties, by thematic area, and to organize a webinar to clarify the methodologies and assumptions used to aggregate the inputs, to be held after the deadline for submission of inputs referred to in paragraph 19 above and prior to the commencement of the technical assessment;

22. *Invites* the secretariat to compile for the technical assessment the most up-to-date inputs from the sources identified in paragraph 38 below two sessions of the subsidiary bodies prior to the consideration of outputs;

23. *Requests* the secretariat, under the guidance of the co-facilitators referred to in paragraph 5(c), to prepare for the technical assessment:

a) A synthesis report on the state of greenhouse gas emissions and mitigation efforts undertaken by Parties summarizing the most recent information identified in paragraph 37 (a) below, taking into account previous experience in preparing such reports;

b) A synthesis report on the state of adaptation efforts, experience and priorities summarizing the most recent information identified in paragraph 37(c) below;

c) A synthesis report on the overall effect of nationally determined contributions communicated by Parties summarizing the most recent information identified in paragraph 37(b) below;

24. *Requests* the constituted bodies and forums and other institutional arrangements under the subsidiary bodies and/or serving the Paris Agreement referred to in paragraph 38(d) below³, to prepare for the technical assessment, with the assistance of the secretariat, a synthesis report on the information identified in paragraph 37 below in their areas of expertise;

25. *Also requests* the SBSTA and the SBI to identify potential information gaps in relation to the global stocktake and, where necessary and feasible, make requests for additional input, taking into account the cut-off date for the information collection and preparation component of the global stocktake and the need to consider critical information, and taking into account the relevant gaps identified in the reports of the IPCC and their impacts in relation to the purpose and long-term goals of the Paris Agreement;

Technical assessment

26. *Notes* that to make effective use of time, the technical assessment could overlap with the information collection and preparation component of the global stocktake;

27. *Confirms* that all the inputs and topics, in particular the linkage among various issues, should be discussed in a balanced, holistic and comprehensive manner with a balanced allocation of time between thematic areas, taking into account equity considerations;

28. *Recognizes*, taking into consideration the advice provided by the SBSTA⁴ pursuant to decision 1/CP.21, paragraph 100, that the assessments of the IPCC should be considered in an effective and balanced manner, taking into account lessons learned from past experience;

29. *Also recognizes* that a dialogue between IPCC experts and Parties, and SBSTA–IPCC special events, should be utilized to enable a focused scientific and technical exchange of information on the findings in IPCC products in an open and transparent manner and that the SBSTA–IPCC Joint Working Group should continue to be used to enhance communication and coordination between the SBSTA and the IPCC in the context of the global stocktake;

30. *Also decides* that the events referred to in paragraph 29 above will be open, inclusive, transparent and facilitative, and will allow Parties to engage and discuss with the constituted bodies and forums and other institutional arrangements under the subsidiary bodies and/or serving the Paris Agreement and experts and consider inputs and assess the collective efforts;

31. *Also decides* that the co-facilitators of the technical dialogue will summarize its outputs in technical reports, in the light of best available science, for each thematic workstream referred to in paragraph 5(b) above and an overarching factual synthesis of these reports in a cross-cutting manner;

32. [placeholder for the modalities developed by the subsidiary bodies based on the AC and the LEG work pursuant to Article 7.14 and decision 1/CP.21, paragraphs 41 and 45]

33. [placeholder for the relevant elements of the modalities, work programme and functions of the forum on the impact of implementation of response measures, pursuant to decision 1/CP.21, paragraph 34;]

Consideration of outputs

34. *Decides* that the consideration of outputs will consist of high-level events where the findings of the technical assessment will be presented and their implications discussed and

³ Currently, these are the constituted bodies: AC, LEG, TEC, CTCN, SCF, PCCB, WIM ExCom, CGE.

⁴ FCCC/SBSTA/2016/4, paragraph 56.

considered by Parties, and that the events will be chaired by a high-level committee consisting of the Presidencies of the CMA and the Chairs of the SBSTA and the SBI;

35. *Also decides* that the outputs of this component of the global stocktake should:

a) Identify gaps in collective progress in relation to the thematic areas of the global stocktake referred to in paragraph 5(b) above and ways for closing these gaps, as well as possible measures and good practices to update and enhance action and support and international cooperation and related good practices;

b) Summarize key political messages agreed by all Parties, including recommendations from the events referred to in paragraph 34 above for strengthening action and enhancing support, in accordance with needs identified by the CMA;

c) Be contained in a decision for consideration and adoption by the CMA and/or a declaration;

II. Sources of input

36. *Agrees* that sources of input for the global stocktake should inform the thematic areas referred to in paragraph 5(b) above;

37. *Also agrees* that the sources of input for the global stocktake will consider the most up-to-date aggregated information at a collective level on:

a) The state of greenhouse gas emissions and mitigation efforts undertaken by Parties, including the information referred to in Article 13, paragraph 7(a), [and Article 4, paragraphs 7, 15 and 19,] of the Paris Agreement;

b) The overall effect of their nationally determined contributions and overall progress made by Parties towards the implementation of their nationally determined contributions, including the information referred to in Article 13, paragraph 7(b);

c) The state of adaptation efforts, support, experience and priorities, including information referred to in Article 7, paragraphs 2, 10, 11 and 14, of the Paris Agreement, and the reports referred to in Article 13, paragraph 8, of the Paris Agreement;

d)

Option 1: The mobilization and provision of support, including information referred to in Article 2, paragraph 1(c), Article 13, Article 9, paragraphs 4 and 6, Article 10, paragraph 6, Article 11, paragraph 3, and Article 13, paragraphs 9 and 10, of the Paris Agreement;

Option 2: The means of implementation and support and finance flows, including information from the latest biennial assessment and overview of climate finance flows of the Standing Committee on Finance;

e) **Option 1:** Other relevant information;

Option 2: (paragraph 37 (f)-(j))

f) Enhancing understanding, action and support with respect to loss and damage as referred to under Article 8, paragraphs 3 and 4, of the Agreement;

g) Barriers and challenges, including finance, technology and capacity-building gaps faced by developing countries;

h) Good practices, experience and potential opportunities to enhance international cooperation on mitigation and adaptation and to increase support under Article 13, paragraph 5, of the Paris Agreement;

i) Equitable access to sustainable development, historical responsibilities, development gaps between North and South, sustainable development, including Sustainable Development Goals, and leadership by developed countries in achieving low-emission and climate-resilient development;

j) The inputs to inform equity considerations under the global stocktake could include qualitative or quantitative information, for example, indicative and non-prescriptive reference benchmarks, relating to equity, as voluntarily provided by Parties in their nationally determined contributions, affirming that equity relates to mitigation, adaptation, means of implementation and support in relation to achieving the purpose and long-term goals of the Paris Agreement;

38. *Agrees* that the sources of input for the global stocktake include:

- a) Reports and communications from Parties, in particular those submitted under the Paris Agreement and the Convention;
- b) The latest reports of the Intergovernmental Panel on Climate Change, pursuant to decision 1/CP.21, paragraph 99;
- c) Reports of the subsidiary bodies, pursuant to decision 1/CP.21, paragraph 99;
- d) Reports from constituted bodies⁵ and constituted forums and other institutional arrangements under the subsidiary bodies and/or serving the Paris Agreement;
- e) The synthesis reports by the secretariat referred to in paragraph 23 above;
- f) Relevant reports from United Nations agencies and other international organizations, which should be supportive of the UNFCCC process;
- g) Voluntary submissions from Parties;
- h) Relevant reports from regional groups and institutions;

39. *Invites* the SBSTA to complement the non-exhaustive lists in paragraphs 37 and 38 above at its session held prior to the information collection and preparation component of the global stocktake, as appropriate, taking into account the thematic areas of the global stocktake, the importance of leveraging national-level reporting;

40. *Decides* that the information from any source of input should be:

- a) Appropriate for taking stock of the implementation of the Paris Agreement to assess collective implementation and/or progress and address the information needs of the global stocktake;
- b) Directly relevant to the technical area under discussion;
- c) Presented in a concise, clear and accessible format;
- d) Openly accessible;
- e) The most up-to-date available, of a high standard of quality and integrity (current, drawing on relevant expertise, and peer-reviewed where appropriate);
- f) Technical in nature;
- g) Synthesized and aggregated;
- h) Communicated and reported by Parties.]

⁵ Currently, these are the constituted bodies: AC, LEG, TEC, CTCN, SCF, PCCB, WIM ExCom, CGE.