

DRAFT TEXT

on

APA 1.7 agenda item 6

Matters relating to the global stocktake referred to in Article 14 of the Paris Agreement: (a) identification of sources of input for the global stocktake; and (b) development of the modalities of the global stocktake

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I. Modalities

Overarching elements

Pp1 Recalling Articles 14 and [2][2.1] and decision 1/CP.21, paragraphs 99-101 [and other relevant articles of the Paris Agreement and paragraphs of decision 1/CP.21],

Pp2 [Also recalling Articles [3], 4[paragraph 9], 7[paragraph 14], 8, 9[paragraph 6], 10[paragraph 6] and 13[paragraphs 5 and 6] of the Paris Agreement and decision 1/CP.21, paragraphs [33, 34], 41, 45, [and 70,],]

Pp3 Further recalling that the global stocktake will consider relevant principles and characteristics and will be conducted in the light of equity, sustainable development, efforts to eradicate poverty and the best available science,

Pp4 Recognizing that the global stocktake referred to in Article 14 is crucial for increasing collective ambition on action and support towards achieving the purpose and long-term goals of the Paris Agreement [in a nationally determined manner], [in the context of Article 4, paragraphs 4 and 5, and other relevant articles],

Option 1:

1. *Reiterates* that the global stocktake will be conducted in the light of equity and the best available science pursuant to Article 14, paragraph 1, of the Paris Agreement;

Option 2: (paragraphs 1, 2, 4 and 4bis)

1. *Reiterates* that the global stocktake will be conducted in the light of equity and the best available science pursuant to Article 14, paragraph 1, of the Paris Agreement;

1bis. *Decides* that equity shall be operationalized and considered in the implementation of the components of the global stocktake referred to in paragraph 6 below;

2. *Also decides* that full consideration will be given to equity in terms of the process, themes and outcome of the global stocktake in a cross-cutting manner to reflect the linkage between action and support;

4. *Recognizes* that equity will inform how Parties consider fairness and ambition, in a nationally determined manner, in implementing the Paris Agreement in accordance with its principles and provisions;

4bis. In order to promote ambition in a nationally determined manner, the consideration of equity under the global stocktake shall be comprehensive, facilitative and non-prescriptive, and not single out or criticize individual Parties;

6. *Decides* that the global stocktake will be conducted with the assistance of the SBI and the SBSTA, which will establish a joint contact group on this matter, and that the global stocktake process will consist of the following components:

a) Information collection, focusing on gathering, compiling and synthesizing information;

b) Technical assessment, focusing on taking stock of the implementation of the Paris Agreement and assessing collective progress towards achieving the purpose of the Paris Agreement and its long-term goals;

c) Consideration of outputs, focusing on the implications of the findings of the technical assessment with a view to informing Parties in updating and enhancing, in a nationally determined manner, their actions and support, in accordance with relevant provisions of the Paris Agreement, as well as in enhancing international cooperation for climate action;

6.bis *Resolves* to engage in a technical dialogue that aims to support the work of the joint contact group referred to in paragraph 6 above through expert consideration of inputs, as identified in the sources of input referred to in paragraphs 56 and 57 below for the global stocktake;

7. *Also decides* to establish such a dialogue that will:

(a) undertake its work through a focused exchange of views, information and ideas in [in-session] round tables, workshops or other activities;

(b) organize its work, in line with the purpose and long-term goals of the Paris Agreement,

Option 1: in thematic workstreams for mitigation, adaptation and means of implementation and support, taking into account issues relating to response measures and economic diversification and issues relating loss and damage in accordance with the relevant provisions of the Paris Agreement

Option 2: in three thematic workstreams that focus on mitigation, adaptation, finance flows and means of implementation and support, each assessing one of the long-term goals of the Paris Agreement as stated in its Article 2, paragraph 1(a)–(c), Article 4, paragraph 1, and Article 7, paragraph 1. These thematic workstreams will take into account interlinkages

Option 3: on a thematic basis and address all global stocktake matters

, with a balanced allocation of time;

(c) be facilitated by two co-facilitators, one from a developing country and one from a developed country, who will be selected by each group, respectively, that will be responsible for conducting the dialogue and the preparation of the synthesis report and other output of the technical assessment, with the assistance of the secretariat, under the guidance of the joint contact group;

10. [*Decides* that the global stocktake process will be guided by the following broad questions: where are we?; where do we want to go?; and how do we get there?; in a comprehensive, facilitative and nationally determined manner;]

11.

Option 1: *Also decides* that the global stocktake will in addition be guided by specific and cross-cutting thematic questions included in the appendix;

Option 2: *Also decides* that the global stocktake will in addition be guided by specific and cross-cutting thematic questions to be developed by the [Chairs of the SBSTA and the SBI][the co-facilitators of the technical dialogue] no later than three months prior to the beginning of the information collection;¹

12. *Further decides* that the information collection component of the global stocktake will commence [one][two] session[s] before the start of the technical assessment, which will take place during the [one][two][three] successive sessions of the subsidiary bodies

¹ The non-exhaustive list of proposed specific guiding questions identified in the appendix to document APA-SBSTA-SBI-2018.Informal.2.Add.7 will be taken into consideration when developing the specific and cross-cutting thematic questions for the first global stocktake.

preceding the session of the CMA in 2023 during which the consideration of outputs will take place, with this repeating every five years thereafter;

5. *Decides* that the global stocktake will be conducted in a comprehensive, facilitative, effective and efficient manner, avoiding duplication of work and taking into account the results of relevant work conducted under the Paris Agreement, the Convention and the Kyoto Protocol;

13. *Also decides* that the global stocktake will be a Party-driven process conducted in a transparent manner [and with the active participation of non-Party stakeholders] throughout the process. To support such effective and equitable participation, all inputs will be fully accessible, including online, as referred to in paragraph 26 below;

14. *Further decides* that the full participation of Parties in the global stocktake should be ensured through the provision of adequate funding for the participation and representation of [eligible] developing countries Parties in all activities under the global stocktake, including the technical dialogue, workshops, round tables and sessions of the subsidiary bodies and the CMA that contain global stocktake activities;

15. *Invites* developed country Parties to mobilize support for capacity-building so that the LDCs, SIDS and other developing countries can effectively participate in the global stocktake and take up relevant global stocktake information;

16. *Decides* that the outputs of the components of the global stocktake referred to in paragraph 6 above should identify gaps in collective progress and how they could be addressed in the light of equity and the best available science, as well as lessons learned and good practices, with a view to achieving the outcome identified in Article 14, paragraph 3, of the Paris Agreement;

17. *Emphasizes* that the outputs of the global stocktake should focus on taking stock of the implementation of the Paris Agreement to assess collective progress, have no individual Party focus, include non-policy prescriptive consideration of collective progress that Parties can use to inform the updating and enhancing, in a nationally determined manner, of their actions and support in accordance with relevant provisions of the Paris Agreement as well as in enhancing international cooperation for climate action;

18. *Decides* to refine the procedural and logistical elements of the overall global stocktake process on the basis of experience gained after the first and subsequent global stocktakes, as appropriate;

18bis. *Requests* the Chairs of the SBSTA and SBI to organize the global stocktake in a flexible and appropriate manner, to work on identifying opportunities for learning by doing, including on the development of methodologies for assessing progress, and to take the necessary steps for consideration of inputs as they become available;

19.

Option 1: *Invites* Parties to submit their nationally determined contributions at a special event organized by the Secretary-General of the United Nations;

Option 2: *Recognizes* that other related events within and outside the UNFCCC, including a political process to monitor implementation of the output of the global stocktake over a five-year period, can contribute to the global stocktake and the implementation of its outcome;

Information collection

24. *Invites* Parties and providers of input referred to in paragraph 57 below to prepare their information taking into account the information needs referred to in paragraph 56 below, as well as the guidance for the information from sources of input referred to in paragraph 59 below and the broad, specific and cross-cutting thematic questions for the global stocktake referred to in paragraphs 10 and 11 above;

25. *Requests* the Chairs of the SBSTA and the SBI to issue a call for the inputs referred to in paragraphs 56 and 57 below bearing in mind that such inputs should be submitted at least three months before their consideration in the technical assessment;

23. *Decides* that the information collection will end no later than six months before the consideration of outputs to ensure timely consideration of inputs, unless critical information that requires consideration emerges after the cut-off date;

26. *Requests* the secretariat to facilitate online access to all inputs to the global stocktake from Parties [and non-Party stakeholders] by thematic areas and organize a webinar to clarify the methodologies and assumptions used to [aggregate][synthesize] the inputs, to be held after the deadline for submission of inputs referred to in paragraph 25 above and prior to the commencement of the technical assessment;

27. *Invites* the secretariat to compile for the technical assessment the most up-to-date input from the sources identified in paragraph 57 below three to four sessions of the subsidiary bodies prior to the consideration of outputs;

28. *Requests* the secretariat to prepare for the technical assessment synthesis reports on the information identified in paragraph 56 (a), (b) and (e), taking into account previous experiences in preparing such reports;

Option 1:

29. *Requests* the Adaptation Committee and the Least Developed Countries Expert Group to prepare, with support from the secretariat, a synthesis report for the technical assessment on the state of [climate change impacts and] adaptation efforts, support experiences and priorities [and needs] from the adaptation communications referred to in Article 7, paragraphs 10 and 11, of the Paris Agreement, and reports referred to in Article 13, paragraph 8, of the Paris Agreement;]

30. *Also requests* the Standing Committee on Finance to prepare, with the assistance of the secretariat, a synthesis report for the technical assessment on finance flows consistent with a pathway towards low greenhouse gas emissions and climate-resilient development, and the mobilization and provision of support, as contained in the two most recent biennial assessments of the Standing Committee on Finance;

31. *Further requests* the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts to prepare, with the assistance of the secretariat, a synthesis report for the technical assessment on understanding action and support for averting, minimizing and addressing loss and damage associated with the adverse effects of climate change;

32. *Requests* the Technology Executive Committee and the advisory board of the Climate Technology Centre and Network to prepare, with the assistance of the secretariat, synthesis report for the technical assessment on technology development and transfer gaps faced by developing countries, including outputs of the periodic assessment of the Technology Mechanism and activities under the technology framework [particularly relating to implementation and support];

33. *Also requests* the Paris Committee on Capacity-building to prepare, with the assistance of the secretariat, a synthesis report for the technical assessment on capacity-building gaps faced by developing countries;

34. *Further requests* the forum on the impact of the implementation of response measures, to prepare, with the assistance of the secretariat, a synthesis report for the technical assessment on the social and economic impacts of response measures;

Option 2:

29. *Requests* the constituted bodies and forums and other institutional arrangements under the subsidiary bodies and/or serving the Paris Agreement referred to in paragraph 57(c) below, to prepare, with the assistance of the secretariat, a synthesis report for the technical assessment on the information identified in paragraph 56 below in their areas of expertise;

37. *Requests* the SBSTA and the SBI to identify potential information gaps in relation to the global stocktake and, where necessary and feasible, make requests for additional input, bearing in mind the cut-off date for the information collection and the need to consider critical information and taking into account the relevant gaps identified in the reports of the

IPCC and their impacts in relation to the purpose and long-term goals of the Paris Agreement;

Technical assessment

40. *Notes* that to make effective use of time, the technical assessment could run in parallel with the information collection;
44. *Agrees* that the information referred to in paragraph 56(n) below will be assessed and considered at the collective level as part of the Technical Assessment, that the discussions will assess how equity enables more ambitious action collectively and that key messages on equity will be part of the synthesis reports referred to in paragraphs 28–34 above and inputs into the Political Consideration of Outputs;
43. *Confirms* that all the inputs and topics, in particular the linkage among various issues, should be discussed in a balanced, holistic and comprehensive manner [with a balanced allocation of time between thematic workstreams];
45. *Recognizes*, taking into consideration the advice provided by the SBSTA² pursuant to decision 1/CP.21, paragraph 100, that the assessments of the IPCC should be considered in an effective and balanced manner, taking into account lessons learned from past experiences;
46. *Also recognizes* that a dialogue between IPCC experts and Parties, and SBSTA–IPCC special events, should be utilized to enable a focused scientific and technical exchange of information on the findings in IPCC products in an open and transparent manner and that the SBSTA–IPCC Joint Working Group should continue to be used to enhance communication and coordination between the SBSTA and the IPCC in the context of the global stocktake;
47. *Decides* that the events referred to in paragraph 45 above will be open, inclusive, transparent and facilitative, and will allow Parties to engage and discuss with the IPCC and other experts, constituted bodies and forums and other institutional arrangements under the subsidiary bodies and/or serving the Paris Agreement [and relevant non-Party stakeholders] to consider inputs and assess the collective efforts;
48. [placeholder for the modalities developed by the subsidiary bodies based on the AC and the LEG work pursuant to Article 7.14 and decision 1/CP.21, paragraphs 41 and 45]
49. [placeholder for the relevant elements of the modalities, work programme and functions of the forum on the impact of implementation of response measures, pursuant to decision 1/CP.21, paragraph 34;]

Consideration of outputs

53. *Decides* that the consideration of outputs will consist of high-level events where the findings of the technical assessment will be presented and their implications discussed, and that the events will be chaired by a high-level committee consisting of the Presidencies of the CMA and the Chairs of the SBSTA and the SBI;
54. *Also decides* that the outputs of this component of the global stocktake should:
- a) Identify gaps in collective progress and how to close these gaps, as well as possible measures to enhance action and support and international cooperation and related good practices;
 - b) Summarize key political messages agreed by all Parties, including [general policies and] recommendations from the events referred to in paragraph 53 above for strengthening action and scaling up support, in accordance with needs identified by the CMA;
 - c) Be contained in a [decision for consideration and adoption by the CMA][declaration];

² FCCC/SBSTA/2016/4, paragraph 56.

II. Sources of input

55. *Agrees* that sources of input for the global stocktake should inform the thematic workstreams referred to in paragraph 7 above;
56. *Also agrees* that the sources of input for the global stocktake will consider the most up-to-date aggregated information at collective level on:
- a) The overall effect of the nationally determined contributions communicated by Parties, pursuant to decision 1/CP.21, paragraph 99;
 - b) The state of [climate change impacts and] adaptation efforts, support, experiences and priorities from the communications referred to in Article 7, paragraphs 10 and 11, of the Paris Agreement, and the reports referred to in Article 13, paragraph 8, of the Paris Agreement, pursuant to decision 1/CP.21, paragraph 99;
 - c) The mobilization and provision of support, pursuant to decision 1/CP.21, paragraph 99, including support provided, needed and received, reported under Article 13 of the Paris Agreement;
 - d) [Global finance flows consistent with a pathway towards low greenhouse gas emissions and climate-resilient development;
 - e) The overall effect of mitigation efforts reported pursuant to Article 13, paragraph 7, and communicated pursuant to Article 4, paragraph 19, of the Paris Agreement and decision 1/CP.21, paragraph 35;
 - f) Regional impacts, which will complement information on the status of adaptation efforts;
 - g) Loss and damage;
 - h) Social and economic impacts of response measures;
 - i) Economic diversification and adaptation resulting in mitigation co-benefits;
 - j) All thematic areas in the light of equity, sustainable development and efforts to eradicate poverty;
 - k) Barriers and challenges, including finance, technology and capacity-building gaps faced by developing countries;
 - l) Good practices, experiences and potential opportunities to enhance international cooperation on mitigation and adaptation and to increase support;
 - m) Equitable access to sustainable development, historical responsibilities, development gaps between North and South, sustainable development including SDGs, leadership by developed countries in achieving low-emission and climate-resilient development, efforts to eradicate poverty, food security, job creation, social justice in developing countries, climate refugees and displaced people;
 - n) Equity including qualitative or quantitative information[, for example, indicative and non-prescriptive reference benchmarks, relating to equity,] as voluntarily provided by Parties in their nationally determined contributions, relating to mitigation, adaptation, means of implementation and support and for achieving the purpose of the Paris Agreement and its long-term goals;]
57. *Agrees* that the sources of input for the global stocktake include:
- a) The latest reports of the Intergovernmental Panel on Climate Change, pursuant to decision 1/CP.21, paragraph 99;
 - b) Reports of the subsidiary bodies, pursuant to decision 1/CP.21, paragraph 99;

- c) Reports from relevant constituted bodies³ and constituted forums and other institutional arrangements under the subsidiary bodies and/or serving the Paris Agreement;
- d) Reports of the committee to facilitate implementation and promote compliance referred to in Article 15, paragraph 2, of the Paris Agreement, on systemic issues;
- e) Synthesis reports by the secretariat [as referred to in paragraph 28];
- f) [Relevant reports from United Nations agencies and other international organizations[, which should be supportive of the UNFCCC process];]
- g) Relevant reports from regional groups and institutions;
- h) Voluntary submissions from Parties and other relevant reports from Parties and processes under the Convention and the Paris Agreement;
- i) [Non-Party stakeholders and accredited UNFCCC observer organization stakeholders;]

58. *Invites* the SBSTA to complement the non-exhaustive lists in paragraphs 56 and 57 above at its session held prior to the information collection, as appropriate, taking into account the thematic areas of the global stocktake, the importance of leveraging national-level reporting and the value of authoritative third-party analysis;

59. *Decides* that the information from any source of input should be:

- a) Appropriate for taking stock of the implementation of the Paris Agreement to assess collective implementation and/or progress and address the information needs of the global stocktake;
- b) Directly relevant to the technical area under discussion;
- c) Presented in a concise, easily digestible and accessible format;
- d) Openly accessible;
- e) The most up-to-date available, of a high standard of quality and integrity (current, drawing from relevant expertise, and peer-reviewed where appropriate);
- f) Technical in nature;
- g) Synthesized and aggregated;
- h) Communicated and reported by Parties.

³ Currently, these are the constituted bodies: AC, LEG, TEC, CTCN, SCF, [PCCB,] WIM ExCom, CGE

[Appendix⁴

Non-exhaustive list of proposed specific thematic guiding questions for the GST

1. What is the collective progress made towards the long-term temperature goal in the Articles 2.1(a) and 4.1?
2. Where are we in relation to the global temperature goal (well below 2 degrees Celsius and pursuing efforts to 1.5 degrees Celsius) as set out in Article 2.1(a), as well as the global temperature scenario consistent with the aggregate effect of NDCs?
3. What is the overall progress towards the long-term goal for mitigation as set out in Article 4.1? Have we reached global peaking, recognizing that peaking will take longer for developing country Parties? Are we seeing rapid reductions of greenhouse gas emissions and the enhancement of removals? Where are we in relation to achieving a balance between anthropogenic emissions by sources and removals by sinks? How do we best achieve the long-term goal on mitigation on the basis of equity and in the context of sustainable development and efforts to eradicate poverty?
4. What are the opportunities, good practices and challenges for enhanced climate action?
5. What can Parties do to enhance climate action to reach the level needed to achieve the goals in Article 2.1(a) and Article 4.1?
6. What is the overall progress made towards achieving the global goal on adaptation referred to in Article 7.1 (including in relation to adaptation, review of the adequacy and effectiveness of action and support provided for adaptation)?
7. How can the global community most effectively and adequately increase the ability to adapt to the adverse impacts of climate change and foster climate resilience and low greenhouse gas emissions development, in a manner that does not threaten food production, consistent with the goal set out in Article 2.1(b)?
8. How are we collectively progressing towards addressing elements of information contained in adaptation communications, including vulnerability, effective planning, implementation of priority programmes and projects and investments in adaptation?
9. What is the progress made towards making the financial flows consistent with the pathways towards low greenhouse gas emissions and climate resilience, including progress on means of implementation and support reflected the Articles 9, 10 and 11 of the Paris Agreement?
10. Are we on track to making finance flows consistent with a pathway towards low greenhouse gas emissions and climate-resilient development, as set out in Article 2.1(c)? What is the progress in implementing the concrete road map to achieve the goal of jointly providing USD 100 billion annually by 2020 for mitigation and adaptation while significantly increasing adaptation finance from current levels and to further provide appropriate technology and capacity-building support, pursuant to paragraph 114 of decision 1/CP.21?
11. What is the overall progress made towards achieving the long-term technology goal referred in Article 10.1?
12. What are the opportunities, good practices and challenges to enhance financial flows, including means of implementation and support, taking into account articles 9, 10 and 11 of the Paris Agreement?
13. What can Parties do to enhance the financial flows, including means of implementation and support, to be consistent with the pathways towards low greenhouse gas emissions and climate resilience?
14. What are the best practices and lessons learned in relevant to mitigation, adaptation, means of implementation and support?
15. How do the various indicators of equity provide information on what is required by science towards the global temperature goal?

⁴ This appendix is an integral part of this note.

16. How can the equity framework be used by Parties in their domestic processes in enhancing and updating, in a nationally determined manner, their actions and support as well as international cooperation?]
