

Draft elements for APA agenda item 6

Matters relating to the global stocktake referred to in Article 14 of the Paris Agreement:

a) Identification of the sources of input for the global stocktake

b) Development of the modalities of the global stocktake

Informal note by the co-facilitators – first iteration

version. 3 May 2018

This is a revision of the Informal note by the co-facilitators – final iteration, of 14 November 2017, issued at APA 1.4, containing draft elements for APA agenda item 6. It is designed to facilitate discussions on this agenda item. Specifically, this revision is an attempt by the co-facilitators for this agenda item to, through “surgical” additions, reflect views that Parties have identified as missing from the informal note from APA 1.4. Efforts were also made to reorganize the views contained in the informal note to improve navigability and to show elements that can co-exist as well as options. The co-facilitators used curly brackets to identify some of the areas where further clarification is needed. For the most part, the “building blocks” identified at APA 1.4, have been retained and, as was the case in the informal note from APA 1.4, are not part of the draft elements. They are only used to guide and orientate the reader.

This revised informal note has been prepared by the co-facilitators of the negotiations for this agenda item at this session under their own responsibility. It does not supersede the final iteration of the Informal note by the co-facilitators issued at APA 1.4.

I. Introduction / mandate / purpose

Article 14 of the Paris Agreement requires the CMA to periodically take stock of the implementation of the Paris Agreement in order to assess the collective progress towards achieving its purpose and its long-term goals (the global stocktake), in a comprehensive and facilitative manner, considering mitigation, adaptation and the means of implementation and support, and in the light of equity and the best available science. The CMA is to undertake the first global stocktake in 2023 and every five years thereafter, unless otherwise decided by the CMA.

The COP requested the APA to identify the sources of input for the global stocktake, to develop its modalities and to report to the COP, with a view to the COP making a recommendation to CMA 1 for consideration and adoption.

At this fifth part of the resumed first session, Parties have had over two hours of informal consultations on this agenda item. In addition to working extensively on completing the material available for this work, Parties have also made suggestions to improve the navigability of the informal note from APA 1.4.

The left column below reflects our understanding of possible headings and subheadings for the building blocks that Parties can further develop as the consideration of this matter progresses. The right column reflects our understanding of the issues, options, concepts and views that have been presented by Parties in their submissions, inputs and statements at this and previous sessions. The content of the columns does not necessarily reflect consensus among Parties and is without prejudice to future negotiations among Parties on this matter. The building blocks can be combined in various ways to construct elements of the text. The final outcome might also not include some of the building blocks as they may not be needed.

II. Modalities

Overarching elements

[Guiding ...]

- Recall Articles 14 and 2

Options:

- and other relevant Articles of PA and paragraphs of decision 1/CP.21
- , 4, 7, 8, 9, 10 and 13 of the PA and paragraphs 41, 45, 99 to 101 of decision 1/CP.21

- Recall relevant principles and characteristics and in the light of equity, sustainable development, efforts to eradicate poverty and best available science
- ...

[Equity]

- The GST will give full consideration to equity in terms of process, themes and outcome (mitigation, adaptation and finance flows and means of implementation and support

Options:

- , loss and damage, response measures and economic diversification...) and will be informed by best available science
-), in a cross-cutting manner and will be informed by best available science

- Equity will inform how Parties will consider fairness and ambition in their NDCs in nationally determined manner
- ...

[Overall process]

- [Governance]

- The GST will be conducted by the CMA in an effective and efficient manner, avoiding duplication of work, taking into account the results of relevant work conducted under the Convention, PA and KP

- The GST will be conducted with the assistance of

Options:

- a subsidiary body similar to the SED. This SB is established by the CMA
- the SBI and SBSTA, which will establish a joint contact group on this matter.

Options:

- Technical workstreams (mitigation, adaptation, finance flows and means of implementation and support, loss and damage, response measures and economic diversification, crosscutting, ...) are established under the guidance of the SBSTA and SBI
- Technical workstreams (mitigation, adaptation, finance flows and means of implementation and support) are established under the guidance of the SBSTA and SBI. Within those, crosscutting issues can be addressed
- Three workstreams are established, each assessing one of the long-term goals of the PA as stated in Art 2.1 (a-c)
- One workstream (SED) is established under the SBSTA and SBI to address all GST matters

- The GST will be supported by expert inputs from relevant constituted bodies (AC, LEG, TEC+CTCN, SCF, PCCB, WIM) and forums
- ...

- [Timing and duration]

Options:

- The GST will start in year YYYY, and every five years thereafter
- The GST will be allocated adequate time to be conducted in a comprehensive manner with fixed milestones along the way to ensure completion of each GST. It will be short, in order to avoid undue burden on Parties, and concise in order to ensure durability and resilience of the process. *{The overall*

timing and duration of the GST should be consistent with the timing and duration of Activities A, B and C}

Options:

- The GST is a process lasting:
 - for more than half a year and less than one year
 - at least one year
 - 12 to 18 months
 - not more than six months in total and should be kept to a minimum
- ...
- [Structure (phases/activities)]
 - The GST process will consist of:
 - Activity A* - a preparatory phase | information gathering and compilation | technical input
 - Activity B* - a technical phase | technical consideration of inputs, take stock, assess collective progress and prepare outputs | technical consideration
 - Activity C* - a political phase | consideration of outputs | closure of the GST – Outcome partially overlapping/running in parallel/sequential
 - The GST will be guided by three general questions: where are we; where we want to go; and how to get there?
 - ...
- [Support for effective and equitable participation]
 - The GST will be a Party-drive process, conducted in a transparent manner and with active participation of non-Parties stakeholders throughout the whole process.
 - To support the effective and equitable participation an online platform (GST Platform) will be established to ensure full accessibility to Parties and non-Parties Stakeholders inputs
 - The level of participation, in particular in the exchange of views, should be determined by the Parties concerned
 - Provision of adequate funding for the participation and representation of eligible developing countries in all activities under the GST, meetings, technical dialogues, workshops, round tables and sessions of the Subsidiary Bodies and CMA that are part of the GST process and uptake of the relevant GST information
 - Developed country Parties to mobilise capacity-building support for effective participation by LDCs, SIDS and other developing countries
 - ...
- [Outputs]
 - The output should lead to the outcome identified in PA Article 14.3. They should identify gaps in collective progress, as well as lessons learned and good practices
- [Adjustment of modalities]
 - Have the possibility to refine elements of the overall GST process on the basis of experience gained,
 - Options:*
 - including from the FD2016, pre-2020 discussion and the Talanoa Dialogue, after the first and subsequent GSTs
 - after the first and subsequent GSTs
 - ...
- [Post GST / during the ambition cycle events]
 - Invite Parties to submit their NDCs at a special event organized by the Secretary General of UN
 - *Placeholder* on possible other events outside the CMA or UNFCCC during the course of the ambition cycle

[...]

Activity A¹ - Preparatory phase | Information gathering and compilation | Technical input

[Aim]

- To gather and compile the information for GST

[Timing and duration]

- Activity A should allow for adequate time with a deadline for preparing the inputs
- Activity A should

Options:

- start in 2020 and every five years thereafter, bearing in mind that new or updated NDCs will become available in that year
- run continuously from 2021 or 2022, and every five years thereafter, (or previous year to be agreed if pre-2020 inputs could be considered) and should end no later than [six][X] months before the CMA in 2023, and every five years thereafter, unless critical information that requires consideration emerges after the cut-off date to ensure the adequate and timely consideration of the input from the latest IPCC reports
- start before or after the SB sessions of the year in which the GST will be conducted, bearing in mind that Parties and relevant stakeholders may start to prepare for the GST several years earlier
- start in 2023 with sufficient time for preparing the information for GST
- ...

- ...

[Preparing inputs]

- Management of inputs

- Prepare and consider the information sources identified for the GST, taking into account the information needs for addressing the overall questions, with a view to ensuring a balanced consideration of the information taking into account the comprehensive scope of the GST and in the light of equity, sustainable development, efforts to eradicate poverty and best available science {*who will prepare*}
- Parties to prepare and consider the information sources identified for the GST, including on equity
- ...

- [Call for inputs]

Options:

- Invite inputs from Parties, constituted bodies and forums under the Convention and the Paris Agreement, UN Agencies, IPCC and other scientific bodies, regional groups, civil society organizations and other identified sources of input, including on equity and CBDR in the light of different national circumstances, including from AC and LEG pursuant to Decision 1/CP.21, paragraph 41
- Sources of inputs should be limited to Parties, constituted bodies and forums under the convention and Paris Agreement
- Invite specific expert inputs
 - for taking stock of enhanced implementation of adaptation action, taking into account adaptation communications
 - from Adaptation Committee, Standing Committee on Finance and secretariat (to update the NDC synthesis report as aligns with GST schedule)
 - {*what other specific expert inputs?*}
- The deadline for submission of information could be,
 - one month before the plenary of the relevant session of the CMA, in order to give Parties enough time to digest these inputs
 - at least 2 months prior to their consideration

¹ Can be combined with Activity B.

- Parties would be able to upload their submissions online on the GST platform
 - ...

 - [Compilation and synthesis]
 - Request
 - Options:*
 - the SBSTA and SBI/proposed subsidiary body to compile information for *Activity B* the year in advance
 - the secretariat to collate and synthesize information for *Activity B* into an agreed format and make it available on a GST platform {*SYR of communicated and reported information may be addressed under other agenda items and bodies*}
 - ...
 - The secretariat to upload other sources of input by themes via GST platform 1 to 2 months prior the CMA session

 - [Identification of gaps and call for additional inputs]
 - Invite the SBSTA and SBI/proposed subsidiary body to identify potential information gaps and, where necessary and feasible, make requests for additional input, bearing in mind the cut-off date for *Activity A* and the need to consider critical information
 - Take into account the gaps identified in the relevant reports of IPCC
 - Identify gaps in the information needed for consideration of the elements of Articles 7.14, 9.6, 10.6, 13.5 and 13.6
 - ...

 - [Guidance for preparing inputs]
 - Options:*
 - Invite SBSTA to develop guidance for preparing inputs for GST, including a common format to capture information
 - Invite Co-facilitators and secretariat prepare guidance on how the information will fit into the technical considerations {*There are a variety of proposed bodies, clarity is needed on which CFs*}
 - Constituted/responsible bodies and forums to define the format [and content] of their input
 -
 - How the information gathered is passed to *Activity B* and what are the information gaps and how they will be filled in

 - [Outputs]
 - [...]
- Activity B² - Technical phase | Technical consideration of inputs, take stock, assess collective progress and prepare outputs | Technical Consideration**
- [Aim]
- Build a strong foundation for *Activity C* by considering all inputs in the light of equity and CBDR, in the light of different national circumstances in a facilitative, transparent and comprehensive manner
 - Inform Parties in updating and enhancing, in a nationally determined manner, their actions and support as well as in enhancing international cooperation for climate action
 - ...
- [Timing and duration]
- The *Activity B* could commence
- Options:*
- at the SB sessions in the year prior to the stocktake year and conclude prior to the *Activity C*
 - at the SB session in the year in which the GST will be conducted
 - at the CMA of the year in which GST will be conducted

² Can be combined with *Activity A*.

- the year(s) prior to the stocktake year (organizing events in 2022, and every five years thereafter)
 - 12-6 months before any “due”; needs to be over prior to the CMA in 2023, and every five years thereafter
 - To make effective use of time, this activity could run in parallel with *Activity A*
 - ...
 - *Activity B* will
- [Structure]
- Options:*
- comprise a series of technical dialogues under the technical workstreams
 - comprise a series of technical/thematic workshops/roundtables
 - carried out by a technical committee to be established
 - ...
- [Practical arrangements]
- Options:*
- SBI and SBSTA chairs to facilitate within each technical dialogue discussions among Parties, including the participation from observers
 - SBI and SBSTA chairs to appoint two co-facilitators *{per workstream?}* to organize the technical dialogue (e.g., facilitate (only if new info will be produced on the basis of inputs), moderate, and contribute to their work)
 - SBI and SBSTA will designate the SBs Chairs and Co-Chairs to moderate thematic roundtables
 - The joint contact group to organize the work of *Activity B* (e.g., deadline for submission of the inputs; additional inputs and themes; schedules for the events; keynote speakers, further preparatory work)
 - A technical committee to assess and review the information
 - ...
- [Guidance]
- Substantive guidance
- Options:*
- to be provided by the Co-Chairs of the SBSTA/SBI
 - for the workstream to be provided by the Joint Contact Group
 - for thematic roundtables to be developed by Parties
- The *Activity B* will be guided by ToR/specific questions which include, *Options:*
 - what is the overall progress made towards achieving the GGA referred in Art 7.1 (including in relation to adaptation, review of the adequacy and effectiveness of action and support provided for adaptation)
 - *{ToR/Specific questions for other areas}*
 - ...
- [Consideration of inputs]
- All the inputs and topics should be discussed in a balanced, holistic and comprehensive manner, in particular the linkage among various issues, with a balanced allocation of time between workstreams/themes
- [...]
- [Outputs]
- Reports should
- Options:*
- be neutral without recommendations
 - provide clarification in terms of technical advice
 - include recommendations for *Activity C*
- Options:*
- The Co-facilitators will summarize each technical dialogue in factual reports
 - The secretariat will prepare reports of workshops/thematic roundtable for consideration by the joint contact group

[Participation]	<ul style="list-style-type: none"> • Synthesis reports will be prepared for each element of the scope (themes) <i>{who prepares?}</i> • The SED will prepare reports for consideration by the joint contact group • ... • The <i>Events</i> will be open, inclusive, transparent and facilitative and will allow Parties to engage and discuss with IPCC and other experts and relevant non-Party Stakeholders to consider inputs and assess the collective efforts • ...
[Placeholder for relevant ongoing work]	<ul style="list-style-type: none"> • Operationalization of the advice from SBSTA on how the assessments of IPCC could inform the GST • The modalities developed by AC and LEG pursuant to Article 7.14 and decision 1/CP.21 <i>{note that these modalities could also be relevant for Activity A and C}</i> • Technical consideration of recognition of the adaptation efforts of developing countries, in accordance with modalities developed by the AC and LEG pursuant decision 1/CP.21, paragraph 41 • ...
[...]	<p>Activity C - Political phase Consideration of outputs Closure of the GST - Outcome</p>
[Timing and duration]	<ul style="list-style-type: none"> • Will be held at the CMA session in the year when the GST will end <p><i>Options:</i></p> <ul style="list-style-type: none"> • The GST will be opened early in the CMA and be carried out over the entirety of the session • Will be held during the closing Plenary of CMA • The GST should be wrapped-up and closed before the closing plenary of the CMA • The GST will be closed by CMA Presidency during the high-level segment • ...
[Practical arrangements]	<ul style="list-style-type: none"> • Define the roles of <p><i>Options:</i></p> <ul style="list-style-type: none"> ○ Presidencies <i>{which ones?}</i> ○ latest four out-going Presidencies of the four sessions of COP/CMA • ...
[Structure]	<p><i>Options:</i></p> <ul style="list-style-type: none"> • A dedicated political ministerial segment at its session in 2023 and every five years thereafter • A high-level dialogue or a high-level roundtable(s), where Ministers discuss the implications of the findings from the <i>Activity B</i> • A final statement on the GST by the CMA Presidency • A final report on the GST by the CMA Presidency • ...
[Presentation and consideration of inputs]	<ul style="list-style-type: none"> • The output of the <i>Activity B</i> will be presented and discussed at the <i>Events</i>, including <p><i>Options:</i></p> <ul style="list-style-type: none"> ○ adaptation, mitigation, means of implementation and support, loss and damage, response measures and economic diversification ○ adaptation, mitigation, means of implementation and support
[Output]	<ul style="list-style-type: none"> • Outputs include identified gaps, possible measures and good practices to enhance action • The output of the GST is contained in a <p><i>Options:</i></p>

- summary of key messages and recommendations for strengthening action and scaling up support in accordance with identified needs by the CMA
- summary of key messages from Activity C, including key political messages and general policy recommendations from Events by the Presidency
- a decision adopted by the CMA
- formal declaration agreed by all Parties and adopted by the CMA
- final statement by the Presidency and co-moderators and closing interventions by the participants in the last plenary of the joint contact group
- format to be decided by the Presidency

III. Sources of input

[Guiding...]

[Existing sources of inputs]

[non- exhaustive list]

- (similar to modalities)
- Reconfirm 1/CP21, para 99
- Recall relevant provisions of PA on enhanced transparency framework, adaptation communication, ...

Options:

- Submissions from Parties, national communications, biennial reports Parties, national inventories, reports on international consultation and analysis, international analysis and review, and other relevant reports from Parties and processes under the Convention and from constituted bodies and forums, relevant for the GST, including information on best practices and experiences and lessons learned.
- Submissions from Parties and other relevant reports from Parties, processes under the Convention and the Paris Agreement and from constituted bodies and forums
- *Placeholder* for other sources of input (information that is reported, communicated or both) – generic, mitigation-specific, adaptation-specific (Adaptation Communication), means of implementation and support (separated by finance, technology, capacity building), and loss and damage
- The latest reports of the IPCC
- Other relevant reports from United Nations agencies and other international organizations
- Information from regional groups and institutions
- ...

[Other inputs (information needs)]

- Add to 1/CP21, para 99:
 - Parties' long-term low greenhouse gas emission development strategies pursuant to Article 4.19 and Decision 1/CP.21, Paragraph 35, presented in an aggregated format
 - Information on finance flows in relation to 2.1(c)
 - Information on regional impacts provided by agencies from the respective regions (will complement information on status of adaptation efforts)
 - Information on loss and damage
 - Information on social and economic impacts of response measures
 - Information on economic diversification and adaptation resulting in mitigation co-benefits
 - Information for all themes in the light of equity (including on equity indicators and frameworks), sustainable development, efforts to eradicate poverty.
 - Information related to equitable access to sustainable development, historical responsibilities, development gaps between north and south,

sustainable development including SDGs, leadership by developed countries in achieving low emission and climate resilient development, efforts to eradicate poverty, food security, job creation, and social justice in developing countries, climate refugees and displaced people

○ ...

[Additional sources of inputs]

• [Management of sources of inputs]

• [Guidance for identifying additional sources of inputs]

Options:

- SBSTA will review and agree to update the list, as appropriate, at its summer session two years prior to the GST
- CMA will update the non-exhaustive list prior to each GST, as appropriate, taking into account best available science, importance of leveraging national level reporting, and the value of authoritative third-Party analysis
- CMA will complement the non-exhaustive list prior to each GST, unless critical information that requires consideration emerges after the cut-off date
- ...
- The information from any source of input should be:
 - Appropriate to assess collective implementation and or progress and address the information needs of the GST
 - Directly relevant to the technical stream under discussion
 - Presented in a concise, easily digestible and accessible format
 - Open access
 - Of high standard of quality and integrity (current, drawing from relevant expertise, and peer reviewed where appropriate)
 - Technical in nature
 - ...