

Annotated inputs for the draft guidance to the Green Climate Fund (GCF)

Elements	Sub elements	Proposed inputs	COP and CMA, or CMA.	Rationale for the inputs	Source of information / reference
Cross Cutting	Technology – Linkages with CTCN and TEC	<p>Recalls paragraph 6 of decision 13/CP.21, in which it is recognized that the definition and elaboration of linkages between the Technology Mechanism and the Financial Mechanism has the aim of ensuring financial resources for, and scaling up action on, technology development and transfer;</p> <p>Welcomes the collaboration and cooperation between the Technology Mechanism and the Financial Mechanism, as highlighted on the submissions, synthesis report, workshop and summary report referred to in paragraphs 10, 11, 12 and 14 of decision 10.CP28, in particular the support by the GCF through the CTCN for the provision of technical assistance, for conducting TNAs, and implementing TNA outcomes;</p> <p>Invites the GCF to consider, in accordance with its respective mandate and modalities:</p> <ul style="list-style-type: none"> ● Establishment of key performance indicators to assess the linkage and its effectiveness. ● Establishment of key performance indicators to assess the linkage and its effectiveness. ● Facilitation of information required by constituted bodies for the assessment of the linkages between the Technology Mechanism and the Financial Mechanism. ● Actions to enhance the linkages with a view to increase: <ul style="list-style-type: none"> ○ The provision of support through the Climate Technology Centre and Network; ○ The number of Technology Needs Assessment conducted and updated; 	COP and CMA	<p>As shown on the submissions, synthesis report and workshop referred to in paragraphs 10, 11, 12 of decision 10.CP28 there is progress on the linkage, but several gaps of knowledge on its status remain, and the information that is available shows that there is significant room for improvement and further collaboration.</p> <p>In the past 10 years only 11 million USD have been channeled through the CTC by the GCF. 115 countries had support for a TNA by the GEF under the TNA global project, totaling 30 million USD, and of those only 21 have received funding for implementing the results. 13 of those were supported by the GCF, contributing directly with almost 300 million USD, for a total amount of a little more than 1,500 million USD mobilized. That amount is concentrated on a couple of countries. It is <i>*imperative*</i> to mobilize funds in that scale for other developing countries and to have a more homogeneous distribution given that the USD mobilized is concentrated on a couple of countries.</p> <p>It is also important to note that TNA outcomes fit very well as part of the BTR information on financial, technology development and transfer and capacity-building support needed and received under Articles 9 11 of the Paris Agreement, and we see value in fostering increased synergy between these instruments.</p>	<ul style="list-style-type: none"> - Decision 10/CMA.5 (address needs) - Decisions 14/CP.18, 13/CP.21, 14/CP.22, 14/CP.24, 10.CP28 (Linkage Agenda Item TEC and Finance); - GCF report to COP 28 paras 192, 193 (Report on the linkage); - GCF report to COP 28 paras 14, 73, 74, 253 and report to COP 27 paras 41 to 43. (Report on readiness); - JAR (Joint Annual Report TEC and CTCN) 2021 para 131 (Problems faced by CTCN when using the readiness); - 16/CP.27 (Readiness support for technology); - Decision 1/CP.27, 18/CP.27, 1/CMA.4, 19/CMA.4 (Welcomes with appreciation the Joint Work Programme of TEC and CTCN and invites parties and stakeholders to collaborate with its implementation); - Decisions 6/CMA.2, 13/CP.24, 12/CP.25, 14/CP.25, 6/CP.26, 9/CP.26 (Work with TEC and CTCN for TT); - Decision 12/CP.24 (Adoption of recommendations in CTCN Independent review: GEF and GCF to continue exploring how to further facilitate the provision of sustained funding for CTCN activities and enhance operational linkages); - Decision 9/CP.23 (Reporting on innovation and scale up of climate technologies); - Decisions 15/CP.22, 15/CMA3, 11/CP.26 (Linkage with CTCN); - GCF B.18/03 (Work with TEC and CTCN for TT); - GCF Governing Instrument, para 70 (Drawing knowledge from expert and technical advice, including from the relevant thematic bodies); - Article 10 paragraph 5, Paris Agreement; (Supporting innovation); - UNFCCC Article 11, paragraph 1 (supporting TT); - UNFCCC Article 4, paragraph 5 (Support in technology - enhancing endogenous capacities);

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		<ul style="list-style-type: none"> ○ The number of Technology Needs Assessment outcomes, including Technology Action Plans implemented; ○ The number of Climate Technology Centre and Network technical assistance outcomes implemented; ● Support for the increase of the capacity of developing countries to request funds for conducting and updating TNAs, and translate TNAs outcomes and Climate Technology Centre and Network technical assistance results into GCF fundable proposals. ● The technology priorities identified by developing countries, the linkages, and invitation of inputs by the technology mechanism, when developing its future strategies; ● Invitation to Technology Mechanism representatives to attend meetings of the board and other GCF activities, as appropriate; ● The successful approaches, lessons and gaps identified in the submissions, synthesis report, workshop and summary report referred to in paragraphs 10, 11, 12 and 14 of decision 10.CP28. ● Work with the CTCN to more clearly define their roles with the aim of increased synergy. ● The involvement of stakeholders in all these efforts, in line with decision 1. CMA 5 para 105 			
Programme Priorities	Support for Technology, compliance	Recalling the Paris Agreement article 10.5 that mandates the Financial Mechanism of the Convention, for collaborative approaches to		Welcomes that the GCF report provides information on compliance with provision of the Paris agreement and invites further improvement as there might be some conceptual level confusion between early stage technology cycle, and early stage companies.	GCF report to COP 29 Paris Agreement article 10.5

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		<p>research and development, and facilitating access to technology, in particular for early stages of the technology cycle, to developing country Parties; noting that Research, development and demonstration are the early stages of the technology cycle; Welcomes the report on the Support for technology, including collaborative research and development, notes that support for incubators and accelerators are related to early stage support of companies, not necessarily early stage technologies, and invites the GCF to further improve the report to the Conference of the Parties information on support provided for research development and demonstration;</p>		

Annotated inputs for the draft guidance to the Global Environment Facility (GEF)

Elements	Sub elements	Proposed inputs	COP and CMA, or CMA.	Rationale for the inputs	Source of information / reference
Cross Cutting	Technology Linkages with CTCN and TEC	<p>Recalls paragraph 6 of decision 13/CP.21, in which it is recognized that the definition and elaboration of linkages between the Technology Mechanism and the Financial Mechanism has the aim of ensuring financial resources for, and scaling up action on, technology development and transfer;</p> <p>Welcomes the collaboration and cooperation between the Technology Mechanism and the Financial Mechanism, as highlighted on the submissions, synthesis report, workshop and summary report referred to in paragraphs 10, 11, 12 and 14 of decision 10.CP28, in particular the support by the GEF for conducting TNAs, and implementing TNA outcomes;</p> <p>Invites the GEF to consider, in accordance with its respective mandate and modalities:</p> <ul style="list-style-type: none"> ● Establishment of key performance indicators to assess the linkage and its effectiveness. ● Facilitation of information required by constituted bodies for the assessment of the linkage between the Technology Mechanism and the Financial Mechanism. ● Actions to enhance the linkages with a view to increase: <ul style="list-style-type: none"> ○ The provision of support through the Climate Technology Centre and Network; ○ The number of Technology Needs Assessment conducted and updated, with a view to align the update of TNAs with the BTR cycle; ○ The number of Technology Needs Assessment outcomes, including Technology Action Plans implemented; ○ The number of Climate Technology Centre and Network technical assistance outcomes implemented; 	COP and CMA	<p>As shown on the submissions, synthesis report and workshop referred to in paragraphs 10, 11, 12 of decision 10.CP28 there is progress on the linkage, but several gaps of knowledge on its status remain, and the information that is available shows that there is significant room for improvement and further collaboration.</p> <p>In the past 10 years only 3 million USD have been channeled through the CTC by the GEF. 115 countries had support for a TNA by the GEF under the TNA global project, totaling 30 million USD, and of those only 21 have received funding for implementing the results. 8 of those were supported by the GEF, contributing directly with little over 20 million USD, for a total amount of a little more than 300 million USD mobilized. It is <i>*imperative*</i> to mobilize funds in that scale for other developing countries and to have a more homogeneous distribution given that the USD mobilized is concentrated on a couple of countries.</p> <p>It is also important to note that TNA outcomes fit very well as part of the BTR information on financial, technology development and transfer and capacity-building support needed and received under Articles 9 11 of the Paris Agreement, and we see value in fostering increased synergy between these instruments.</p>	<ul style="list-style-type: none"> - Decision 11/CMA.5; 7/CP28 (assess needs and translate into action, enhance the institutional capacity in meeting reporting requirements in the context of GEF enabling activities); - Decisions 14/CP.18, 13/CP.21, 14/CP.22, 14/CP.24, 10.CP28 (Linkage Agenda Item TEC and Finance); - GEF report to COP 28 paras 9, 17, 263-267 (Report on the linkage, Programming and Technology, and UNFCCC consultation); - Decision 7/CP.28, 11/CMA.5 (TNA, assessing the needs and translating finance needs into action, enhance institutional capacity for reporting requirements in the context of its enabling activities); - Decision 17/CP.27 (further explores way to support technology and enhance technology); - Decision 17/CMA.4 (Welcomes technology component in GEF 8, Report on STAR usage for TNA and TAP); - Decision 1/CP.27, 18/CP.27, 1/CMA.4, 19/CMA.4 (Welcomes with appreciation the Joint Work Programme of TEC and CTCN and invites parties and stakeholders to collaborate with its implementation); - Decision 13/CP.25 (Adoption of TEC recommendations, support for continuing the role of regional centres and support CTCN on enhanced TA); - Decisions 13/CP.24, 14/CP.25, 9/CP.26 (Work with TEC and CTCN for TT); - Decision 12/CP.24 (Adoption of recommendations in CTCN Independent review: GEF and GCF to continue exploring how to further facilitate the provision of sustained funding for CTCN activities and enhance operational linkages); - Decisions 15/CP.22, 11/CP.26 (Linkage with CTCN); - Decision 2/CP.17 (GEF funding for CTCN); - Decisions 4/CP.7, 3/CP.13 (GEF finance for UNFCCC 4.5 framework); - UNFCCC Article 4, paragraph 5 (Support in technology - enhancing endogenous capacities); - Article 10 paragraph 5, Paris Agreement (Supporting innovation); - UNFCCC Article 11, paragraph 1 (Supporting TT);

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		<ul style="list-style-type: none"> • Support for the increase of the capacity of developing countries to request funds for conducting and updating TNAs, and translate TNAs outcomes and Climate Technology Centre and Network technical assistance results into GEF fundable proposals; • The technology priorities identified by developing countries, the linkages, and invitation of inputs by the technology mechanism, when developing its future programming directions; • Invitation to Technology Mechanism representatives to attend meetings and GEF activities, as appropriate; • The successful approaches, lessons and gaps identified in the submissions, synthesis report, workshop and summary report referred to in paragraphs 10, 11, 12 and 14 of decision 10.CP28. • Work with the CTCN to more clearly define their roles with the aim of increased synergy. • The involvement of stakeholders in all these efforts, in line with decision 1. CMA 5 para 105 <p>Recalls decisión CMA5 para 12, notes the clear relationship between the outcomes of a technology needs assessment and the Information on financial, technology development and transfer and capacity-building support needed and received under Articles 9 11 of the Paris Agreement on BTRs and request the GEF to consider further alignment in periodicity and increased funding for the BTR and TNAs.</p>			
Programme Priorities	Support for Technology compliance	Recalling the Paris Agreement article 10.5 that mandates the e Financial Mechanism of the Convention, for collaborative approaches to		<p>The Paris Agreement has a clear mandate to the operating entities of the financial mechanism, a mandate that existed under the convention in the context of the support of the GEF to the technology transfer framework of the convention, while noting that the PA adds the particular emphasis on the early stages.</p> <p>There is some ambiguity in the GEF response to the COP 28 request, but it conveys that support for early stages of the technology is not really promoted by the GEF, and it rarely happens.</p>	<p>GEF report to COP 29 11/CMA.5 Paris Agreement article 10.5 4/CP.7 3/CP.13</p>

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		<p>research and development, and facilitating access to technology, in particular for early stages of the technology cycle, to developing country Parties; Recalling also that the GEF is mandated by decision 3/CP13 to provide financial support for the technology transfer framework of the convention, including the actions for its enhancement; noting that this enhanced technology transfer framework of the convention includes research, development and demonstration; noting that the the early stages of the technology cycle are research, development and demonstration; notes that response to decision 11/CMA5 by GEF states that GEF does not support basic research and development and that some projects may include activities of relevance to applied research and piloting as demonstrations and requests the GEF to consider support for the early stages of the technology cycle in GEF-9 and to report report to the Conference of the Parties the amount of funding provided for research, development and demonstration;</p>		<p>Therefore we request the GEF to consider this in its next programming directions, in line with the emphasis made by the Paris Agreement and to increase its ability to monitor and report the funding allocated for this.</p>	