

A6.4-SBM023-AA-A05

Draft Procedure

Transition of CDM activities to the Article 6.4 mechanism

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DRAFT



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COVER NOTE

1. Procedural background

1. The Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (CMA), at its third session, adopted rules, modalities and procedures (RMPs) for the mechanism established by Article 6, paragraph 4, of the Paris Agreement (the Article 6.4 mechanism).¹ Chapter XI.A of the RMPs contains provisions that allow transition of project activities and programmes of activities (PoAs) registered under the clean development mechanism (CDM) under Article 12 of the Kyoto Protocol or listed as provisional as per the temporary measures adopted by the Executive Board of the CDM² (hereinafter referred to as provisional requests) to the Article 6.4 mechanism.
2. The CMA, at its fourth session, elaborated key conditions and processes for such transition.³ At the same session, the CMA also requested⁴ the Supervisory Body to facilitate the tasks related to the transition of CDM activities to the Article 6.4 mechanism by:
 - (a) Developing and operationalizing a procedure for requesting transition, which includes relevant forms, by no later than June 2023;
 - (b) Developing and operationalizing the transition process and reporting back to the CMA at its fifth session.
3. Pursuant to its mandate from the CMA to operationalize the transition process, the Supervisory Body, at its sixth meeting (SB 006), adopted the “Standard: Transition of CDM activities to the Article 6.4 mechanism” and the “Procedure: Transition of CDM activities to the Article 6.4 mechanism” (hereinafter referred to as transition standard and transition procedure, respectively).
4. The CMA, at its sixth session,⁵ decided that afforestation and reforestation project activities and PoAs registered under the CDM (hereinafter referred to as CDM A/R

¹ Decision 3/CMA.3, “Rules, modalities and procedures for the mechanism established by Article 6, paragraph 4, of the Paris Agreement”, annex, available at: https://unfccc.int/sites/default/files/resource/cma2021_10_add1_adv.pdf#page=25.

² At its 108th meeting (see paragraphs 7–8 of the meeting report) and later clarified in the document “Clarification: Regulatory requirements under temporary measures for post-2020 cases”, available at: http://cdm.unfccc.int/sunsetcms/storage/contents/stored-file20220314132358671/Reg_Clar03v02.pdf.

³ Decision 7/CMA.4, “Guidance on the mechanism established by Article 6, paragraph 4, of the Paris Agreement”, annex I, chapter I, available at: https://unfccc.int/sites/default/files/resource/cma2023_10a02E.pdf#page=33.

⁴ Decision 7/CMA.4, paragraph 23.

⁵ Decision 6/CMA.6, “Further guidance on the mechanism established by Article 6, paragraph 4, of the Paris Agreement”, paragraph 21, available at: https://unfccc.int/sites/default/files/resource/cma2024_17a01E.pdf#page=34.

activities) may transition to the Article 6.4 mechanism and be registered as Article 6.4 activities. The CMA also elaborated key conditions for such a transition.

5. The Supervisory Body, at SBM 015, adopted the revision to the transition standard and transition procedure to reflect the CMA decision referenced in paragraph 4 above.
6. The Supervisory Body, at SBM 010, requested the Methodological Expert Panel (MEP) to prepare recommendations on products related to addressing reversals in the “Information Note: Further work on the methodological products for the Article 6.4 mechanism” (A6.4-SB010-A05), including a reversal risk assessment tool. At SBM 015, the Supervisory Body approved the “Information Note: Workplan of the Methodological Expert Panel 2025” (A6.4-SBM015-A02), which reaffirmed the MEP’s mandate to propose a draft methodological standard addressing non-permanence and reversals as well as a draft methodological tool on reversal risk assessment.
7. The CMA, at its seventh session,⁶ decided to extend the deadline for the host Party of the CDM to submit to the Supervisory Body its approval for the transition of CDM project activities or PoAs to the Article 6.4 mechanism, from 31 December 2025 to 30 June 2026. The Supervisory Body, at SBM 020, adopted the revision to the transition procedure to reflect this decision.
8. The Supervisory Body, at SBM 014, adopted the Article 6.4 sustainable development tool (hereinafter referred to as the A6.4 SD tool). As per paragraph 6 of the “Tool: Article 6.4 sustainable development tool,” the A6.4 SD tool applies to all A6.4 activities, including all CDM activities seeking transition to the Article 6.4 mechanism.⁷

2. Purpose

9. The purpose of this information note is to:
 - (a) Identify solutions for the Supervisory Body to address the inability of project participants of transitioning CDM A/R activities to submit the required additional documentation by the current deadline, given that the relevant methodological documents are not yet available; and
 - (b) Revise the “Procedure: Transition of CDM activities to the Article 6.4 mechanism” accordingly.

⁶ Decision 20/CMA.7, “Further guidance for the mechanism established by Article 6, paragraph 4, of the Paris Agreement”, paragraph 25, available at: https://unfccc.int/sites/default/files/resource/cma2025_19a03E.pdf#page=7.

⁷ In addition to this general tool, additional safeguards criteria and guiding questions to be applied for carbon dioxide removal activities and for land use, land-use change and forestry activities will be developed by the Supervisory Body as new annexes to include in this SD Tool at an appropriate stage in its development of regulations for activities involving removals.

3. Key issues and proposed solutions

3.1. Relevant requirements for transition to the Article 6.4 mechanism

3.1.1. Requirements applicable to all transitioning CDM activities

10. Recalling decision 20/CMA.7, paragraph 25, the CMA decided to extend the deadline for the host Party of the CDM to submit to the Supervisory Body its approval for the transition of CDM project activities or PoAs to the Article 6.4 mechanism, from 31 December 2025 to 30 June 2026. This decision is operationalized by paragraph 9 of the transition procedure.
11. As per paragraph 24 of the transition standard, a CDM project activity, or PoA and component project activity (CPA) therein (hereinafter collectively referred to as CDM activity) that may transition to the Article 6.4 mechanism may continue to apply the currently applied CDM methodology until the earlier of the end of the current crediting period or PoA period as applicable, or 31 December 2025. After that date, it shall apply an Article 6.4 mechanism methodology.
12. As per paragraph 38 of the transition standard, the project participant of a CDM activity that may transition to the Article 6.4 mechanism shall analyse environmental and social impacts and sustainable development benefits of their operation, and provide a summary of the analysis and a monitoring plan of such impacts and planned remedial measures of negative impacts, if any, during the operation of the activity, in accordance with the A6.4 SD tool.

3.1.2. Additional requirements for A/R activities

13. Recalling decision 6/CMA.6, paragraph 21, the CMA decided that CDM A/R activities may transition to the Article 6.4 mechanism and be registered as Article 6.4 activities.
14. As per decision 6/CMA.6, paragraph 21(c), such transitioning CDM A/R activities are required to comply with the RMPs for the Article 6.4 mechanism, the respective applicable requirements for activities involving removals under the mechanism contained in the Supervisory Body standard "Requirements for activities involving removals under the Article 6.4 mechanism" (hereinafter referred to as the removals standard), and any future relevant CMA decisions.
15. The requirement in paragraph 14 above is operationalized by paragraphs 30, 31 and 40 of the transition standard, and paragraph 32 of the transition procedure. As per paragraph 31 of the transition standard, CDM A/R activities that may transition to the Article 6.4 mechanism shall comply with the following elements of the removals standard:
 - (a) Identification of risk of reversals;
 - (b) Reversal risk assessment;
 - (c) Reversal risk mitigation plan;
 - (d) Remediation of reversals; and
 - (e) Post-crediting period and monitoring plan.

16. As per paragraph 40 of the transition standard, CDM A/R activities that may transition to the Article 6.4 mechanism shall also comply with the A6.4 SD tool, as per the requirements of the removals standard.
17. Furthermore, footnote 4 of the A6.4 SD tool further specifies that additional safeguards criteria and guiding questions to be applied for carbon dioxide removal activities and for land use, land-use change and forestry activities will be developed by the Supervisory Body as new annexes to include in this SD tool at an appropriate stage in its development of regulations for activities involving removals.

3.2. Submission of additional documentation and the gap for transitioning CDM A/R activities

18. The transition procedure, paragraph 31, states that if the project participant continues to apply the currently applied CDM methodology to the transitioning CDM activity, in accordance with the relevant provisions in the transition standard, it shall, no later than 31 December 2026, submit additional documentation to attest that the transitioning CDM activities:
 - (a) Have been implemented in accordance with the registered PDD, or PoA-DD and CPA-DDs (hereinafter referred to as activity design documents); and
 - (b) Comply with additional requirements for registration under the Article 6.4 mechanism in accordance with the relevant provisions in the transition standard.
19. For transitioning CDM A/R activities, the additional documentation required under paragraph 18 above includes the elements set out in section 3.1.2. above, namely:
 - (a) The identification of risk of reversals, reversal risk assessment, a reversal risk mitigation plan, remediation of reversals, and a post-crediting monitoring plan. The removal standard delegates the provision of guidance for the development of these elements to the applicable mechanism methodologies as well as the forthcoming “Tool: Reversal risk assessment” (hereinafter referred to as reversal risk assessment tool);⁸ and
 - (b) Annexes to the A6.4 SD tool, including the additional safeguards criteria and guiding questions that will apply to A/R activities, as referred to in paragraph 17 above.
20. However, project participants of transitioning CDM A/R activities will not be able to submit the additional documentation in compliance with the elements referenced above by the 31 December 2026 deadline for the following reasons:
 - (a) The applicable reversal risk assessment tool for A/R activities would not be considered for adoption by the Supervisory Body in 2026. While the reversal risk assessment tool expected to be considered for adoption at SBM 023 would contain generic provisions applicable to A/R activities, the activity type-specific component

⁸ “Standard: Requirements for activities involving removals under the Article 6.4 mechanism”, paragraph 38, available at:
<https://unfccc.int/sites/default/files/resource/A6.4-STAN-METH-002.pdf>.

would apply only to types of activities which reduce consumption of non-renewable biomass, including cookstove methodologies;

- (b) For A/R activities transitioning with their applicable CDM methodology, no guidance is currently available for the remaining elements of the applicable provisions of the removal standard set out in paragraph 19(a) above, namely for the identification of risks of reversals, development of a reversal risk mitigation plan, remediation of reversals, and development of a post-crediting monitoring plan (hereinafter referred to as reversal-related guidance); and
- (c) The elements of the A6.4 SD tool applicable to A/R activities would not be considered for adoption by the Supervisory Body in 2026. The adoption of a revised SD tool with new annexes applicable to removal activities is planned for early 2027.

3.3. Analysis of options for project participants of transitioning CDM A/R activities to submit additional documentation

- 21. The following considerations are relevant when determining options for project participants of transitioning CDM A/R activities to submit additional documentation, given the absence of critical documents and guidance needed to demonstrate compliance with the removal standard:
 - (a) The development timeline for the applicable reversal risk assessment tool. A revision to the reversal risk assessment tool to include the activity type-specific component applicable to A/R activities is expected to be developed after SBM 023 in October 2026 and require approximately six months to be finalized, i.e., by mid-2027 at the earliest;
 - (b) The development timeline for elements of the A6.4 SD tool applicable to removal activities, which is expected to be adopted at SBM 024 in February 2027; and
 - (c) The capacity and time needed for project participants to comply with the documents and guidance to submit the required additional documentation for A/R activities.
- 22. Given that there is currently no mandate for the MEP to develop reversal-related guidance, and the applicable reversal risk assessment tool and A6.4 SD tool are not to be adopted by the Supervisory Body in 2026, it is necessary to extend the deadline for the submission of additional documentation for transitioning CDM A/R activities referenced in section 3.1.2. beyond the 31 December 2026 deadline to a reasonable timeframe after the reversal-related guidance and the applicable tools (hereinafter referred to as “relevant documents”) have been adopted.

3.4. Possible solutions for consideration by the Supervisory Body

- 23. Based on the analysis in section 3.3. above, the Supervisory Body may wish to consider:
 - (a) Requesting the MEP to develop reversal-related guidance for project participants of transitioning CDM A/R activities to comply with the requirement to submit additional documentation;

- (b) Extending the deadline for project participants to submit additional documentation applicable to transitioning CDM A/R activities to six months from the adoption of all relevant documents, or 31 December 2027, whichever is later; and
 - (c) Accelerating the development of the elements of the A6.4 SD tool applicable to removal activities.
- 24. The key advantage of the recommended deadline extension in paragraph 23(b) above is that it would provide project participants with a minimum six-month window to demonstrate compliance with all relevant documents and submit the additional documentation, regardless of when the documents are adopted by the Supervisory Body.
- 25. However, while 31 December 2027 provides a fixed calendar date, the actual submission deadline could be significantly later if the relevant documents are only adopted significantly later than mid-2027. This uncertainty may limit the ability of project participants to plan around a known timeline for completing the transition requirements for CDM A/R activities.

4. Impacts

- 26. Given that all relevant documents required for CDM A/R activities to complete the transition to the Article 6.4 mechanism will not be finalized in 2026, it is necessary for the Supervisory Body to request the MEP to develop the reversal-related guidance and extend the deadline for submitting additional documentation.
- 27. The proposed solutions in section 3.4 above would guarantee project participants at least a six-month compliance window. However, the actual submission deadline would remain uncertain depending on the date in which all relevant documents have been adopted.
- 28. It is also important to note that CDM A/R activities account for a relatively small proportion of transitioning CDM activities, comprising 14 of the 418 activities that have obtained host Party approval to transition to the Article 6.4 mechanism, i.e., 13 projects and one PoA.

5. Subsequent work and timelines

- 29. The secretariat will undertake further steps to implement the decisions of the Supervisory Body on the development of reversal-related guidance and the submission deadline for additional documentation applicable to transitioning CDM A/R activities.

6. Recommendations to the Board

- 30. The secretariat recommends that the Supervisory Body:
 - (a) Request the MEP to develop the applicable reversal risk assessment tool and reversal-related guidance for project participants of transitioning CDM A/R activities to comply with the requirement to submit additional documentation;
 - (b) Request the MEP to accelerate the development of the reversal risk assessment tool applicable to A/R activities;
 - (c) Request the secretariat to accelerate the development of the elements of the A6.4 SD tool applicable to removal activities;

- (d) Consider extending the deadline for project participants to submit additional documentation applicable to transitioning CDM A/R activities to six months after the adoption of all relevant documents, or to 31 December 2027, whichever is later; and
- (e) Adopt the revised “Procedure: Transition of CDM activities to the Article 6.4 mechanism”.

7. References

- 31. Decision 3/CMA.3, “Rules, modalities and procedures for the mechanism established by Article 6, paragraph 4, of the Paris Agreement”, available at: https://unfccc.int/sites/default/files/resource/cma2021_10_add1_adv.pdf#page=25.
- 32. “Clarification: Regulatory requirements under temporary measures for post-2020 cases”, available at: http://cdm.unfccc.int/sunsetcms/storage/contents/stored-file20220314132358671/Reg_Clar03v02.pdf.
- 33. Decision 7/CMA.4, “Guidance on the mechanism established by Article 6, paragraph 4, of the Paris Agreement”, available at: https://unfccc.int/sites/default/files/resource/cma2023_10a02E.pdf#page=33.
- 34. Decision 6/CMA.6, “Further guidance on the mechanism established by Article 6, paragraph 4, of the Paris Agreement”, available at: https://unfccc.int/sites/default/files/resource/cma2024_17a01E.pdf#page=34.
- 35. Decision 20/CMA.7, “Further guidance for the mechanism established by Article 6, paragraph 4, of the Paris Agreement”, available at: https://unfccc.int/sites/default/files/resource/cma2025_19a03E.pdf#page=7.
- 36. “Standard: Requirements for activities involving removals under the Article 6.4 mechanism”, v01.0.

TABLE OF CONTENTS	Page
1. BACKGROUND	10
2. OBJECTIVE AND SCOPE.....	12
3. ENTRY INTO FORCE	12
4. TERMS AND DEFINITIONS	12
5. PROCESS FOR TRANSITION	12
5.1. Submission of a transition request.....	12
5.2. Global stakeholder consultation.....	13
5.3. Submission of host Party approval	14
5.4. Submission of additional documentation	16
5.5. Processing a transition request.....	17
5.6. Requesting a review of a transition request.....	18
5.7. Finalizing a transition request	19
6. PROCESS FOR FINALIZING PROVISIONAL REQUESTS.....	21
7. WITHDRAWAL OF A TRANSITION REQUEST	23
7.1. Submission of a request for withdrawal	23
7.2. Processing of request for withdrawal	23
APPENDIX 1. FORM FOR A TRANSITION REQUEST	24
APPENDIX 2. FORM FOR HOST PARTY APPROVAL	26
APPENDIX 3. FORM FOR AN ADDENDUM	28

1. Background

1. The Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (CMA), at its third session, adopted rules, modalities and procedures (RMPs) for the mechanism established by Article 6, paragraph 4, of the Paris Agreement (the Article 6.4 mechanism).¹ Chapter XI.A of the RMPs contains provisions that allow transition of project activities and programmes of activities (PoAs) (hereinafter referred to together as CDM activities) registered under the clean development mechanism (CDM) under Article 12 of the Kyoto Protocol or listed as provisional as per the temporary measures adopted by the Executive Board of the CDM² (hereinafter referred to as provisional requests) to the Article 6.4 mechanism.
2. The CMA, at its fourth session, elaborated key conditions and processes for such transition.³ At the same session, the CMA also requested⁴ the Supervisory Body to facilitate the tasks related to the transition of CDM activities to the Article 6.4 mechanism by:
 - (a) Developing and operationalizing a procedure for requesting transition, which includes relevant forms, by no later than June 2023;
 - (b) Developing and operationalizing the transition process and reporting back to the CMA at its fifth session.
3. The CMA, at its sixth session⁵, decided that afforestation and reforestation project activities and PoAs registered under the CDM may transition to the Article 6.4 mechanism, and be registered as Article 6.4 activities. The CMA also elaborated key conditions for such a transition.
4. Pursuant to its mandate from the CMA to operationalize the transition process, the Supervisory Body has adopted the “Standard: Transition of CDM activities to the Article 6.4 mechanism” (hereinafter referred to as the transition standard), the “Procedure: Transition of CDM activities to the Article 6.4 mechanism” (hereinafter referred to as this procedure) and relevant forms as contained in this document.
5. The Supervisory Body, at its 16th meeting, agreed to provisions on avoidance of double issuance for provisional requests for issuance for transition activities. The Supervisory Body further decided to require the use of re-evaluated values for the fraction of non-

¹ Decision 3/CMA.3, annex, as contained in document FCCC/PA/CMA/2021/10/Add.1. Available at: https://unfccc.int/sites/default/files/resource/cma2021_10a01E.pdf.

² At its 108th meeting (see paragraphs 7–8 of the meeting report) and later clarified in the document entitled “Clarification: Regulatory requirements under temporary measures for post-2020 cases” available at: http://cdm.unfccc.int/sunsetcms/storage/contents/stored-file-20220314132358671/Reg_Clar03v02.pdf.

³ Decision 7/CMA.4, annex I, chapter I, in document FCCC/PA/CMA/2022/10/Add.2. Available at: https://unfccc.int/sites/default/files/resource/cma2023_10a02E.pdf.

⁴ Decision 7/CMA.4, paragraph 23.

⁵ Decision 6/CMA.6, “Further guidance on the mechanism established by Article 6, paragraph 4, of the Paris Agreement”. Available at: https://unfccc.int/sites/default/files/resource/cma2024_17a01E.pdf#page=34.

- renewable biomass (fNRB) and the discount factor for the leakage for activities using CDM methodologies that have a risk of non-permanence of emission reductions.
6. The Supervisory Body, at its 17th meeting, clarified that as part of the re-evaluation of the fNRB values and leakage factors, activity participants may consider the following:
 - (a) For the fNRB, the latest available default values, such as from revised “TOOL33: Default values for common parameters” which was recently adopted by the CDM Executive Board at its 125th meeting. Activity participants may also propose new methodological approaches for calculation of fNRB values for consideration of the Supervisory Body;
 - (b) For the discount factor for addressing leakage, the latest available default values, such as the net to gross adjustment of 0.95 as that contained in the applicable CDM methodologies or conduct ex-post surveys for determining the leakage factor.
 7. The Supervisory Body further noted that the approaches specified in paragraph 6 above are applicable until such time that the Supervisory Body adopts relevant tools or values under the Article 6.4 mechanism.
 8. The Supervisory Body, at its 17th meeting, clarified that for provisional requests for issuance of CDM project activities and PoAs that successfully transition to Article 6.4 applying the CDM methodologies with risk of non-permanence:
 - (a) No new verification is required if the activity participants use default values for the fNRB and the discount factor for addressing leakage;
 - (b) A new verification is required if the activity participants choose to re-calculate the values of fNRB and leakage factor using new methodological approaches and ex-post surveys, respectively, for the fNRB and the discount factor for addressing leakage.
 9. The CMA, at its seventh session (~~CMA/7-20/CMA.7~~ paragraph. 22-25)⁶ decided to extend the deadline from 31 December 2025 to 30 June 2026 for the Designated National Authority (hereinafter referred to as DNA) for Article 6.4 mechanism, of a host Party of the CDM to submit to the Supervisory Body its approval for the transition of CDM project activities or PoAs to Article 6.4 mechanism.
- 9_{bis}. Recalling paragraph 3 above, among the key conditions elaborated by 6/CMA.6 for transition of afforestation and reforestation project activities and programmes of activities registered under the CDM (hereinafter referred to as CDM A/R activities) is compliance with the respective applicable requirements for activities involving removals under the mechanism contained in the Supervisory Body standard “Requirements for activities involving removals under the Article 6.4 mechanism”. Compliance with such conditions requires application of the “Methodological tool: Reversal risk assessment”.
- 9_{ter}. The Supervisory Body agreed that that additional safeguards criteria and guiding questions to be applied for carbon dioxide removal activities and for land use, land-use change and forestry activities will be developed by the Supervisory Body as new annexes

⁶ See Decision 20/CMA.7 (Advanced unedited version), paragraph 25:
https://unfccc.int/sites/default/files/resource/cma2025_19_a03.pdf
https://unfccc.int/sites/default/files/resource/cma2025_19a03E.pdf.

to include in the SD tool at an appropriate stage in its development of regulations for activities involving removals.

2. Objective and scope

10. This procedure sets out procedural steps for CDM project activities, PoAs and component project activities (CPAs) therein to transition to the Article 6.4 mechanism, as well as corresponding procedural steps for provisional requests to be finalized under the Article 6.4 mechanism.

3. Entry into force

11. This document enters into force on ~~20 February~~ 9 October 2026.

4. Terms and definitions

12. The following terms apply in this procedure:
- (a) “Shall” is used to indicate requirements to be followed;
 - (b) “Should” is used to indicate that among several possibilities, one course of action is recommended as particularly suitable;
 - (c) “May” is used to indicate what is permitted;
 - (d) “The project participant” shall be read as the focal point entity designated by the project participants of the CDM activity scope (c) as communicated to the secretariat in the modalities of communication in accordance with the relevant provisions in the “CDM project cycle procedure for project activities” and the “CDM project cycle procedure for programmes of activities”, respectively.⁷
 - (e) “CDM project activity”, “PoA” and “CPAs” encompass CDM emission reduction and CDM A/R activities, unless otherwise specified;
 - (f) “PDD”, “PoA-DD” and “CPA-DD” encompass the respective forms for CDM emission reduction and CDM A/R activities, unless otherwise specified.

5. Process for transition

5.1. Submission of a transition request

13. The project participant of a CDM activity other than a CDM A/R project activity or CDM A/R PoA and CPAs therein, that is eligible for transition to the Article 6.4 mechanism in

⁷ In accordance with paragraph 42 of the “CDM project cycle procedure for project activities” (version 03.0) and paragraph 33 of the “CDM project cycle procedure for programmes of activities” (version 03.0), the focal point entities designated by the project participants for scope (c) are granted the authority to communicate on their behalf with the Board and the secretariat on all other project-related matters not covered by: (a) communication in relation to requests for forwarding of certified emission reductions to individual accounts of the project participants; or (b) communication in relation to requests for addition and/or voluntary withdrawal of the project participants and focal points, as well as changes to company names, legal status, contact details and specimen signatures.

accordance with the relevant provisions in the transition standard shall, if it wishes for such transition, submit a transition request to the secretariat through a dedicated interface on the UNFCCC website, providing the information in the form contained in appendix 1,⁸ by 31 December 2023, 24:00 (Central European Time).

14. The project participant of a CDM A/R project activity or CDM A/R PoA and the CPAs therein, that is eligible for transition to the Article 6.4 mechanism in accordance with the relevant provisions of the transition standard shall, if it wishes to transition, submit a request to the secretariat through a dedicated interface on the UNFCCC website. The project participant shall provide the information in the form contained in Appendix 1 by 31 December 2025, at 24:00 hours (Central European Time).
15. In requesting the transition of a CDM PoA that had included CPAs under the CDM, the project participant shall specify which of the included CPAs that are eligible for transition to the Article 6.4 mechanism in accordance with the relevant provisions in the transition standard it wishes to transition to the Article 6.4 mechanism. CPAs included in a CDM PoA may transition to the Article 6.4 mechanism in conjunction with, or subsequent to, the transition of the PoA to the Article 6.4 mechanism.
16. The secretariat shall check the completeness of the information provided in the submitted transition request and, if found complete, publish the request on the UNFCCC website providing the web link to the project information page of the CDM activity on the UNFCCC CDM website, and assigning a unique reference number(s) that enable(s) the identification of the CDM project activity, or PoA and CPAs therein, transitioned to the Article 6.4 mechanism, distinguishing them from new activities registered under the Article 6.4 mechanism. If found incomplete, the secretariat shall promptly notify the reason for the incompleteness to the project participant. The project participant shall submit a corrected request for transition within 14 days of the notification; otherwise, the secretariat shall reject the request.
17. After publishing the transition request on the UNFCCC website, the secretariat shall promptly inform the DNA for the Article 6.4 mechanism and the DNA for the CDM of the host Party of the CDM project activity or PoA of the receipt of the request. If the host Party has not yet established the DNA for the Article 6.4 mechanism, the secretariat shall inform its national focal point to the UNFCCC (the DNA for the Article 6.4 mechanism and the national focal point if one has not been established are hereinafter collectively referred to as the DNA for the Article 6.4 mechanism) of the request.⁹ With this, the transition request **for transition** shall be deemed as having been submitted by the project participants to both the secretariat and the host Party in accordance with paragraph 73(a) of the RMPs.

5.2. Global stakeholder consultation

18. Parties, stakeholders and UNFCCC admitted observer organizations may submit comments, in English, on the transition of the CDM project activity, or PoA and CPAs therein, to the secretariat through a dedicated interface on the UNFCCC website within 28 days of the publication of the transition request on the UNFCCC website. The submitters of the comments shall provide the names and contact details of the individuals or

⁸ The secretariat may convert the form into an electronic interface.

⁹ The contacts of national focal points of Parties to the UNFCCC are listed at:
<https://unfccc.int/process/parties-non-party-stakeholders/parties/national-focal-point>.

organizations on whose behalf the comments are submitted. Comments from stakeholders shall:

- (a) Be specific to the CDM project activity, or PoA and CPAs therein, being requested for transition;
 - (b) Be related to compliance with applicable rules and regulations for transition.
19. The secretariat shall make the comments that meet the requirements in paragraph 18 above publicly available on the UNFCCC website where the transition request is published and inform the Supervisory Body and the DNAs for the Article 6.4 mechanism of the host Party and other Parties involved that the comments have been made public.
20. For a CDM PoA being requested for transition, after the global stakeholder consultation but before the approval by the host Party of the transition in accordance with section 5.3 below, the project participants may:
- (a) Add eligible CPAs not specified in the initial transition request referred to in paragraph 15 above; and/or
 - (b) Remove from the transition request any CPAs specified in the initial transition request.
21. For the cases referred to in paragraph 20 above, the project participants shall submit a revised transition request to the secretariat through the dedicated interface on the UNFCCC website referred to in paragraphs 13 above or 14 above, reflecting the addition and/or removal of CPAs that they wish to transition to the Article 6.4 mechanism in conjunction with, or subsequent to, the transition of the PoA. The secretariat shall check the completeness of the revised transition request and, if it is found to be complete, shall publish it on the UNFCCC website, replacing the initial transition request, and promptly inform the DNAs for the Article 6.4 mechanism and the DNAs for the CDM of the host Parties of the receipt of the revised transition request. The revised transition request is not required to undergo a new global stakeholder consultation.

5.3. Submission of host Party approval

22. The host Party of the CDM project activity, or PoA and CPAs therein, regarding which a transition request has been published on the UNFCCC website shall, if it approves such transition, submit an approval of transition from its DNA for the Article 6.4 mechanism to the Supervisory Body through a dedicated interface on the UNFCCC website, providing the information in the form contained in appendix 2,¹⁰ by 30 June 2026, 24:00 (Central European Summer Time).
23. The least developed countries (LDCs) and small island developing States (SIDS) may include information, either when submitting their participation requirements to the Article 6.4 mechanism or at the approval stage of an activity, on whether they choose not to exempt the CDM project activity or PoA and CPAs therein, hosted in their territories and requesting transition, from the share of proceeds for adaptation.
24. Notwithstanding paragraph 23 above, if an LDC or SIDS host Party has already submitted its participation requirements or provided approval for a CDM project activity or PoA and

¹⁰ The secretariat may convert the form into an electronic interface.

CPAs therein hosted in their territories and requesting transition, by the time of entry of force of version 4 of this procedure, the LDCs and SIDS, may provide information on whether they choose not to exempt the CDM project activity or PoA and CPAs therein from the share of proceeds for adaptation by resubmitting the participation requirements and/or the approval form at the latest by 30 June 2026 or at first issuance, whichever is earlier. If no such information is received by the deadline, the exemption from the share of proceeds for adaptation shall be effected.

25. A multi-country PoA¹¹ may transition to the Article 6.4 mechanism provided that at least one host Party has provided approval within the deadline referred to in paragraph 22 above. If there is more than one host Party for a CDM PoA being requested for transition, only the CPAs in the host Parties that have submitted an approval of transition by the deadline may transition to the Article 6.4 mechanism in conjunction with, or subsequent to, the transition of the PoA.
26. The project participant or Designated Operational Entity (DOE) (hereinafter collectively referred to as the proponent) may proceed with the submission of the additional documentation referred to in section 5.4 below after at least one host Party has submitted an approval of transition. If the proponent wishes to transition any of the CPAs specified in the transition request subsequent to the transition of the CDM PoA, the proponent shall prepare the additional documentation referred to in section 5.4 below for each such transition request of CPAs.
27. The host Party may submit an approval of transition only after it has fulfilled the requirements for participating in the Article 6.4 mechanism referred to in paragraph 26 of the RMPs by demonstrating the fulfilment in accordance with the relevant provisions in the “Article 6.4 activity cycle procedure for projects” or the “Article 6.4 activity cycle procedure for programmes of activities”, as applicable.
28. If the host Party approves the transition, it shall provide a statement of authorization on the use of A6.4ERs to be issued for the project or PoA and CPAs therein as early as possible but prior to the first issuance of A6.4ERs for the project, PoA and CPAs therein.¹² The host Party shall provide such a statement by completing a form, through a dedicated interface on the UNFCCC website.
29. If the host Party has indicated that it allows mitigation contribution A6.4ERs to be issued for the project or PoA and CPAs therein, while noting that it may authorize the A6.4ERs at a later stage, the respective rules and requirements shall be applied as per the respective provisions of the procedures “Procedure: Article 6.4 activity cycle procedures for projects” and “Procedure: Article 6.4 activity cycle procedure for programmes of activities”.
30. The secretariat shall publish the host Party approval of transition on the UNFCCC website and promptly inform the project participant of the receipt of such approval.

¹¹ Under the CDM, a PoA may be designed beyond the border of a country and thus could have more than one host Party, while a project activity shall be designed within the border of a country and thus will always have only one host Party.

¹² As per decision 6/CMA.6. Further guidance on the mechanism established by Article 6, paragraph 4, of the Paris Agreement. Available at:
https://unfccc.int/sites/default/files/resource/cma2024_17a01E.pdf#page=34.

5.4. Submission of additional documentation

31. If the project participant continues to apply the currently applied CDM methodology to the transitioning CDM project activity, or PoA and CPAs therein, in accordance with the relevant provisions in the transition standard, it shall, no later than 31 December 2026, submit to the secretariat, through a dedicated interface on the UNFCCC website, an addendum to the respective project design document (PDD), or PoA design document (PoA-DD) and CPA design documents (CPA-DDs), using the form contained in appendix 3¹³ to attest that the CDM project activity, or PoA and CPAs therein, have been implemented in accordance with the registered PDD, or PoA-DD and CPA-DDs, as well as to demonstrate the compliance with additional requirements for registration under the Article 6.4 mechanism in accordance with the relevant provisions in the transition standard. With the attestation in the addendum, the relevant PDD, or PoA-DD and CPA-DDs, shall be deemed submitted by the project participant.
- 31^{bis}. Notwithstanding the deadline referred to in paragraph 31 above, project participants of CDM A/R project activities or CDM A/R PoAs, and the CPAs therein, shall submit the addendum referred to in paragraph 31 above no later than 31 December 2027 or six months after the Supervisory Body's adoption of the following documents, whichever is later:
- (a) The "Methodological tool: Reversal risk assessment" applicable to A/R activities;
 - (b) The guidance for project participants to comply with elements of the "Standard: Requirements for activities involving removals under the Article 6.4 mechanism"; and
 - (c) The revision of the Article 6.4 sustainable development tool applicable to removals activities.
32. Notwithstanding paragraph 31 above, for CDM A/R project activities or CDM A/R PoAs and the CPAs therein that have successfully transitioned to the Article 6.4 mechanism, the **PPs project participants** shall request a designated operational entity (DOE) accredited in the relevant sectoral scope under the Article 6.4 mechanism to validate and assess compliance of the additional documentation with the respective applicable requirements for activities involving removals under the mechanism contained in the standard "Requirements for activities involving removals under the Article 6.4 mechanism" and other applicable methodological regulatory documents approved by the Supervisory Body. This validation shall occur in conjunction with verification for first issuance.
33. If the project participant voluntarily replaces, or is required to replace, the CDM methodology currently applied to the transitioning CDM project activity, or PoA and CPAs therein, with an Article 6.4 mechanism methodology (hereinafter referred to as a mechanism methodology) in accordance with the relevant provisions in the transition standard, it shall revise the PDD, or PoA-DD and CPA-DDs, accordingly, using the corresponding forms developed for Article 6.4 mechanism activities, and request a DOE accredited in the relevant sectoral scope under the Article 6.4 mechanism to validate them to demonstrate appropriate application of the mechanism methodology and the compliance with additional requirements for registration under the Article 6.4 mechanism in accordance with the relevant provisions in the transition standard. If the validation

¹³ The secretariat may convert this form into an electronic interface for the submission of the addendum.

outcome is positive (i.e. the mechanism methodology is appropriately applied and the compliance with additional requirements for registration under the Article 6.4 mechanism is demonstrated), the DOE shall, within one year of the publication of the host Party approval of transition in accordance with paragraph 30 above or within one year after the applicable mechanism methodology becomes available, whichever is later, submit to the secretariat, through a dedicated interface on the UNFCCC website, the revised PDD, or PoA-DD and CPA-DDs, as well as the validation report¹⁴.

5.5. Processing a transition request

34. The secretariat shall, upon receipt of the additional documentation referred to in paragraph 31 or 33 above, issue a statement of the transition fee due, determined based on the following principles, and shall communicate it to the project participant or the DOE who submitted the additional documentation (hereinafter collectively referred to as the proponent):
- (a) Transition requests of CDM project activities or PoAs and CPAs therein are subject to the share of proceeds applicable to requests for registration of projects and PoAs and inclusion of component projects (CPs), respectively, under the Article 6.4 mechanism;¹⁵
 - (b) All fees for requests for registration, issuance, renewal and post-registration changes to project activities and PoAs and inclusion of CPs under the Article 6.4 mechanism are waived for activities in least developed countries and small island developing States.¹⁶
35. The project participant shall pay the transition fee by bank transfer, quoting the unique reference number(s) referred to in paragraph 16 above. The project participant shall submit a proof of payment (e.g. bank transfer record) through a dedicated interface on the UNFCCC website.
36. The paid transition fee may be reimbursed in full or partially if the request for transition is withdrawn by the proponent in accordance with section 7 below or rejected by the Supervisory Body in line with the corresponding provisions on the reimbursement of the registration fee as contained in the “Article 6.4 activity cycle procedure for projects” or the “Article 6.4 activity cycle procedure for programmes of activities”.
37. The secretariat shall, upon receipt of the proof of payment of the transition fee if it is due, or upon receipt of the additional documentation if the transition fee is not due, commence the completeness check of the additional documentation for transition requests for CDM project activity, or PoA and CPAs therein for which the host Party(ies) provided approval as per section 5.3 above. To commence the completeness check, the secretariat shall prioritize requests for transition for small-scale CDM activities.
38. The secretariat shall conclude the completeness check within seven days of its commencement. If, during the completeness check, the secretariat identifies issues of an

¹⁴ Prepared by the DOE in accordance with the Standard: Article 6.4 validation and verification standard for projects, A6.4-STAN-AC-003, or the Standard: Article 6.4 validation and verification standard for programmes of activities, A6.4-STAN-AC-005, as applicable.

¹⁵ In accordance with decision 7/CMA.4, annex I, paragraph 14(a).

¹⁶ In accordance with decision 7/CMA.4, annex I, paragraph 58.

editorial nature (that do not constitute a substantive revision) or of consistency in the documentation, it shall request the proponent to submit revised documents to correct them. In this case, the proponent shall submit the requested documents within two days of receipt of the request for CDM activities continuing to apply CDM methodologies and within seven days of receipt of the request for activities applying new Article 6.4 methodologies. Upon receipt of the revised documents, the secretariat shall resume¹⁷ the completeness check. If the proponent does not submit the requested documents by this deadline, the secretariat shall conclude that the request submission is incomplete and inform the proponent that the request for transition cannot be processed any further. The proponent may restart the process by submitting the additional documentation again with the revised documents in accordance with section 5.4 above, which is subject to a new transition fee.

39. Upon positive conclusion of the completeness check, the secretariat shall commence the substantive check of the additional documentation to determine whether the transitioning CDM project activity, or PoA and CPAs therein, complies with the relevant requirements for transition contained in the transition standard within 21 days of its commencement. Upon conclusion of the substantive check, the secretariat shall publish the additional documentation, indicate the completion of the substantive check on the UNFCCC website and notify the host Party and the Supervisory Body of the completion of the substantive check to initiate the review process in accordance with section 5.6 below. If, during the substantive check, the secretariat identifies substantive issues that may be resolved by revising the additional documentation, it shall request the proponent to submit revised documents to resolve them. In this case, the proponent shall submit the requested documents within seven days of receipt of the request. Upon receipt of the revised documents, the secretariat shall resume¹⁸ the substantive check. If the proponent does not submit the requested documents by this deadline, the secretariat shall conclude that the request submission is incomplete and inform the proponent that the request for transition cannot be processed any further.
40. The secretariat shall prepare and send to the Supervisory Body, within 14 days of the date of publication of the additional documentation, a summary note on the transition request, summarizing its findings in respect of the compliance with the relevant requirements for transition contained in the transition standard and including its recommendation whether to approve the requested transition.

5.6. Requesting a review of a transition request

41. The host Party of the transitioning CDM activity, or any member or alternate member of the Supervisory Body, may request a review of the transition request within 28 days of receipt of the notification of the completion of the substantive check in accordance with paragraph 39 above, through a dedicated interface on the UNFCCC website, providing reasons for requesting a review.

¹⁷ The same deadlines apply to the resumed completeness check as applicable to the initial completeness check.

¹⁸ The same deadlines apply to the resumed completeness check as applicable to the initial completeness check.

42. If a review of the transition request is requested by the host Party or any member or alternate member of the Supervisory Body, the secretariat shall:
- (a) Notify the proponent that the request is under review;
 - (b) Indicate such status on the UNFCCC website, and request the project participant and/or the DOE to:
 - (i) Provide responses to the issues identified in the request for review no later than seven days from the date of notification that the request has been placed under review;
 - (ii) For each issue (or sub-issue) raised in the request for review, the project participant and/or the DOE (if applicable) shall either:
 - a. Respond by making any revisions that they deem necessary to the relevant documentation, submitting revised documents in track changes and final versions; or
 - b. Respond in writing, explaining why no revisions to the documentation are necessary.
43. The secretariat shall present the case to the Supervisory Body at its next meeting or electronically.
44. For cases applying a new Article 6.4 methodology, as set out in paragraph 33 above, the review process shall follow the procedures specified in the “Article 6.4 activity cycle procedure for projects” and the “Article 6.4 activity cycle procedure for PoAs”, as applicable.

5.7. Finalizing a transition request

45. If no review of the transition request is requested by the deadline referred to in paragraph 41 above, the transition request shall be deemed approved by the Supervisory Body.
46. Stakeholders, project participants, the host Party or other participating Parties (hereinafter referred to as “eligible individuals, communities and organizations that have standing for filing an appeal”) may, in accordance with the appeal and grievance procedure,¹⁹ file an appeal to the Supervisory Body’s decision to approve the transition²⁰ within 28 days of the request for transition being deemed approved. If no appeal is filed by an eligible stakeholder, project participant or Party within this time frame, the transition shall be deemed final. If an appeal is filed by an eligible stakeholder, project participant or Party within this time frame, the appeal and grievance procedure shall be followed.
47. If a review of the transition request is requested, the Supervisory Body shall review the request and take one of the following decisions, taking into account the presentation on the case by the secretariat:
- (a) Approve the request;

¹⁹ “Procedure: Appeal and grievance processes under the Article 6.4 mechanism” (A6.4-PROC-GOV-006). Available at <https://unfccc.int/sites/default/files/resource/A6.4-PROC-GOV-006.pdf>.

²⁰ For decision on finalization of the provisional request for issuance the deadline for appeal is 14 days as per the “Procedure: Appeal and grievance processes under the Article 6.4 mechanism”.

- (b) Conditionally approve the request, provided that the project participants agree to the modifications to the additional documentation proposed by the Supervisory Body;
 - (c) Reject the request.
- 48. For the case referred to in paragraph 47(b) above, if the proponent does not agree with the modification within seven days of the decision, the request shall be deemed rejected by the Supervisory Body.
- 49. The secretariat shall notify the proponent (including the DOE, if applicable) and the DNA of the decision of the Supervisory Body and indicate it on the UNFCCC website.
- 50. If the decision of the Supervisory Body is to reject the transition request, the proponent may not resubmit a transition request of the same CDM project activity, or PoA and CPAs therein.
- 51. If the decision of the Supervisory Body is to approve the transition of the CDM project activity, or PoA and CPAs therein, the secretariat shall inform the Executive Board of the CDM of the decision. The secretariat shall register the activity under the Article 6.4 mechanism, to be effective on the day it is deregistered from the CDM.
- 52. Eligible stakeholders, project participants and Parties may, in accordance with the appeal and grievance procedure, file an appeal against the decision of the Supervisory Body within 28 days of the decision²¹. If no appeal is filed by an eligible stakeholder, project participant or Party within this time frame, the decision of the Supervisory Body shall be deemed final. If an appeal is filed by an eligible stakeholder, project participant or Party within this time frame, the appeal and grievance procedure shall be followed.
- 53. Irrespective of the effective date of transition, the crediting period of the transitioned project activity and CPAs as well as the PoA period of the transitioned PoA under the Article 6.4 mechanism shall start from 1 January 2021.
- 54. Once registered under the Article 6.4 mechanism, the transitioned project activities, PoAs and CPAs for which the host Party(ies) provided approval as per section 5.3 above shall be subject to all relevant requirements under the Article 6.4 mechanism at all subsequent steps in the activity cycle of the Article 6.4 mechanism, taking into account the relevant provisions in the transition standard.
- 55. For a multi-country PoA that has transitioned to the Article 6.4 mechanism, if the transition is processed without having an approval of transition by all host Parties:
 - (a) Any remaining host Parties may be added to the PoA and the CPAs hosted in those countries may transition to the Article 6.4 mechanism after the transition of the PoA in accordance with paragraph 25 above;
 - (b) If any remaining host Parties do not provide an approval of transition within the deadline referred to in paragraph 22 above, addition of these host Parties to the PoA is still possible by undergoing the post-registration change process²² under

²¹ See footnote 20.

²² In accordance with the Procedure: Article 6.4 activity cycle procedure for programmes of activities, A6.4-PROC-AC-003, and the Standard: Article 6.4 activity standard for programmes of activities, A6.4-STAN-AC-004.

the Article 6.4 mechanism, but the CPAs hosted by these countries and included in the transition request may no longer transition to the Article 6.4 mechanism;

- (c) A new host Party may be added to the transitioned PoA in accordance with the relevant provisions under the Article 6.4 mechanism.

6. Process for finalizing provisional requests

56. Process for finalization of provisional requests shall follow the provisions in section 5 above, *mutatis mutandis*, with the following modifications:

- (a) The project participant may submit a request for finalization of a provisional request for issuance under this procedure if the underlying CDM activity continues to apply the currently applied CDM methodology at transition, and only after the Supervisory Body has approved the transition of the underlying CDM project activity, or PoA and CPAs therein, to the Article 6.4 mechanism. In this case, the deadline for submission for the transition request referred to in paragraph 13 above is not applicable and additional documentation to be submitted in accordance with paragraph 31 above shall be:
 - (i) A revised monitoring report (including a version in track-changes and emission reductions calculation spreadsheet) instead of an addendum to the PDD, or PoA-DD and CPA-DDs, recalculating the emission reductions by applying:
 - a. The global warming potentials for the period from 1 January 2021 as specified in the transition standard; and
 - b. The updated fraction of non-renewable biomass (fNRB) value and the discount factor for addressing leakage in accordance with the requirements of transition standard for activities using CDM methodologies that have a risk of non-permanence of emission reductions.
 - (ii) A declaration on avoidance of double issuance including, when the activity has been registered or is registered under another scheme, a confirmation from the other crediting scheme that the same GHG emission reductions or net GHG removals being requested for issuance as A6.4ERs have not been credited and have not been requested for crediting under the other crediting scheme using a form published on the UNFCCC website²³.
- (b) In case the activity participants of activities using CDM methodologies that have a risk of non-permanence of emission reductions apply default values²⁴ for the updated fNRB value and the discount factor for addressing leakage, no new verification is required and only the documentation referred to in paragraph 56(a) above is required;

²³ The forms are available on the UNFCCC website at:

<https://unfccc.int/process-and-meetings/bodies/constituted-bodies/article-64-supervisory-body/rules-and-regulations>.

²⁴ Please refer to paragraph 37 of the transition standard.

- (c) A DOE validation of the new values is required in case the activity participants of activities using CDM methodologies that have a risk of non-permanence of emission reduction choose to re-calculate²⁵ the values of fNRB and leakage factor using new methodological approaches and ex-post surveys, respectively. The DOE shall then submit a new verification report, with the request for provisional issuance in addition to the documentation referred to in paragraph 56(a) above;
- (d) Provisional inclusion of CPAs may be finalized if the underlying CDM PoA continues to apply the currently applied CDM methodology at transition, and only in conjunction with, or subsequent to, the transition of the CDM PoA to the Article 6.4 mechanism. For this reason, the project participant shall include provisionally included CPAs in the request for transition of the underlying CDM PoA in accordance with section 5 above;
- (e) For finalization of the provisional request for issuance²⁶ and the provisional inclusion of CPAs²⁷ referred to in subparagraphs (a) and (d) above, respectively, an approval of the finalization by the host Party shall not be required; therefore, section 5.3 above shall be skipped;
- (f) The project participant may not submit a request for finalization of the following provisional requests, noting that the corresponding requests may be submitted under the Article 6.4 mechanism in accordance with the relevant requirements and processes applicable to any Article 6.4 mechanism activities, after the Supervisory Body has approved the transition of the underlying CDM project activity, or PoA and CPAs therein, to the Article 6.4 mechanism:
 - (i) Provisional requests for issuance if the underlying CDM project activity, or PoA and CPAs therein, voluntarily replaced, or was required to replace the currently applied CDM methodology with a mechanism methodology in accordance with paragraph 33 above;
 - (ii) Provisional requests for renewal.
- (g) The fee for finalization of a provisional request shall be determined based on the following principles:²⁸
 - (i) Requests for finalization of provisional requests for registration of CDM activities are subject to the share of proceeds applicable to requests for registration of activities, respectively, under the Article 6.4 mechanism;

²⁵ Please refer to paragraph 37 of the transition standard.

²⁶ A request for finalization of a provisional request for issuance may be finalized after the underlying CDM project activity, or after the underlying PoA and CPAs therein, has successfully transitioned to the Article 6.4 mechanism in accordance with paragraph 56(a) above, for which the host Party approval has been provided.

²⁷ A request for finalization of a provisional inclusion of a CPA may be finalized only in conjunction with, or subsequent to, the underlying PoA has successfully transitioned to the Article 6.4 mechanism in accordance with paragraph 56(d) above, for which the host Party approval has been provided.

²⁸ Pursuant to decision 7/CMA.4, annex I, paragraphs 14(b)-(e) and 58, available at https://unfccc.int/sites/default/files/resource/cma2023_10a02E.pdf.

- (ii) Requests for finalization of provisional inclusion of CPAs are subject to the share of proceeds applicable to inclusion of CPs in a registered PoA under the Article 6.4 mechanism;
- (iii) Requests for finalization of provisional requests for issuance are subject to the share of proceeds applicable to requests for issuance under the Article 6.4 mechanism;
- (iv) All fees for requests for registration, issuance, renewal and post-registration changes to project activities and PoAs and inclusion of CPs under the Article 6.4 mechanism are waived for activities in least developed countries and small island developing States.

7. Withdrawal of a transition request

7.1. Submission of a request for withdrawal

57. The proponent may submit a request for withdrawal of a transition request to the secretariat through a dedicated interface on the UNFCCC website.

7.2. Processing of request for withdrawal

58. Promptly upon receipt of the request for withdrawal of a request for transition, the secretariat shall check the information provided in the request, and if the request is complete, the secretariat shall reimburse the transition fee, if applicable, and take the following actions:
- (a) If the proponent requests the withdrawal prior to the publication of the additional documentation in accordance with paragraph 39 above, the secretariat shall not mark the transition request as “withdrawn” on the UNFCCC website;
 - (b) If the proponent requests the withdrawal after the publication of the additional documentation in accordance with paragraph 39 above, the secretariat shall mark the transition request as “withdrawn” on the UNFCCC website.

Appendix 1. Form for a transition request

 CDM activity transition request form¹ (Version 02.0)	
Type of transition request (Tick box(es))	☐ Transition of CDM activity ☐ Finalization of provisional request ² ☐ For registration ☐ For inclusion of (a) CPA(s)
Type of activity (Tick box(es))	☐ Project activity ☐ Programme of activities (PoA) ☐ Including component project activities (CPAs) ³
Title and UNFCCC reference number of activity	
Host Party(ies)	
Crediting period type and expiry date of the current crediting period (for a project activity or PoA) (Tick box(es)) (Indicate the hypothetical expiry date under the CDM rules in the DD/MM/YYYY format)	☐ Renewable ☐ In the first crediting/PoA period expiring on _____ ☐ In the second crediting/PoA period expiring on _____ ☐ In the third crediting/PoA period expiring on _____ ☐ In the fourth PoA period expiring on _____ <i>(applicable only for PoAs)</i> ☐ Fixed, expiring on _____

¹ This form is to be filled, signed and submitted by the person authorized for scope (c) by the project participants of the CDM project activity or PoA as indicated in the modalities of communication submitted in accordance with the “CDM project cycle procedure for project activities” or “CDM project cycle procedure for programmes of activities” to the secretariat and to the host Party(ies) of the project activity or PoA by no later than 31 December 2023. The secretariat may convert this form into electronic interface for submission of transition requests, in which case, the signature will be replaced with electronically secure means.

² Provisional requests for renewal will not be finalized under the transition process. Therefore, this form is not relevant.

³ CPAs already included in a CDM PoA may transition to the Article 6.4 mechanism only in conjunction with, or subsequent to, the transition of the PoA. Therefore, this box must be ticked if the project participant wishes the CPAs to also transition to the Article 6.4 mechanism.

	CPA ref. #	Crediting period type (renewable or fixed)	Expiry date of the current crediting period
Crediting period type and duration of activity (for CPAs) (Tick box(es)) (Add rows as needed)			
Applied methodology (Tick boxes)	☐ Continue to apply the currently applied CDM methodology at transition ☐ Replace the currently applied CDM methodology with an Article 6.4 mechanism methodology at transition ☐ For project activity ☐ For both PoA and all CPAs ☐ For only PoA⁴		
I confirm that the crediting period or the PoA period of the activity was active as of 1 January 2021 and hereby submit request for transition to the Article 6.4 mechanism	Date (DD/MM/YYYY):		
	Names of the entity and the representative of the project participants: ⁵		
	Signature:		

⁴ For a PoA requesting transition, it is possible to voluntarily replace the currently applied CDM methodology with an Article 6.4 mechanism methodology at transition only for the PoA, while continuing to apply the currently applied CDM methodology for CPAs until either the end of the current crediting period or 31 December 2025, whichever is earlier.

⁵ Please write the name of the focal point entity designated by the project participants of the CDM project activity or PoA for scope (c) and the name of its representative as communicated to the secretariat in the modalities of communication in accordance with the relevant provisions in the “CDM project cycle procedure for project activities” or the “CDM project cycle procedure for programmes of activities”, respectively.

Appendix 2. Form for host Party approval

 CDM activity transition to Article 6.4 mechanism approval form¹ (Version 04.0)	
Type of transition request (Tick box(es))	☐ Transition of CDM activity ☐ Finalization of provisional request for registration ²
Type of activity (Tick box(es))	☐ Project activity ☐ Programme of activities (PoA) ☐ Including component project activities (CPAs) ³
Title and UNFCCC reference number of activity	
Confirmation of receipt of transition request (Tick box to confirm)	☐ Yes ☐ No
Confirmation on activity type (Tick box to confirm)	☐ The type of activity in the transition request is among the activity types that the host Party intends to approve as Article 6.4 mechanism activities ⁴

¹ This form is to be filled, signed and submitted by the representative of the designated national authority for the Article 6.4 mechanism as notified to the UNFCCC secretariat by no later than 30 June 2026. The secretariat may convert this form into an electronic interface for the submission of the host Party approval, in which case, the signature will be replaced with electronically secure means.

² Provisional requests for renewal will not be finalized under the transition process. Therefore, this form is not relevant to such requests.

³ CPAs already included in a CDM PoA may transition to the Article 6.4 mechanism only in conjunction with, or subsequent to, the transition of the PoA. Therefore, this box must be ticked if the host Party also approves the transition of the CPAs to the Article 6.4 mechanism.

⁴ In accordance with paragraph 26(e) of the annex to decision 3/CMA.3, the host Party shall indicate publicly to the Supervisory Body the types of Article 6.4 mechanism activity that it would consider approving and how such types of activity and any associated emission reductions would contribute to the achievement of its nationally determined contribution, if applicable, its long-term low greenhouse gas emission development strategy, if it has submitted one, and the long-term goals of the Paris Agreement. Paragraph 6 of annex I to decision 7/CMA.4 further elaborates that CDM project activities, PoAs and CPAs therein, and activities in provisional requests that may transition to the Article 6.4 mechanism shall be among the activity types indicated by the host Party.

Exemption from the waiver for share of proceeds for adaptation for LDCs and SIDS⁵	Is the Project or PoA hosted in a LDC or SIDS: ☐ Yes ☐ No
	☐ The host Party chooses not to exempt the CDM project activities or PoAs and CPAs therein requesting transition from the share of proceeds for adaptation
Approving host Party	
I hereby approve the transition of the CDM activity to, or finalization of the provisional request under, the Article 6.4 mechanism, subject to approval by the Supervisory Body	Date (DD/MM/YYYY):
	Name of designated national authority organization:
	Name of representative of designated national authority:
	Signature:

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⁵ This provision is only applicable to LDCs and SIDS and is to be checked if the LDC/SIDS hosting the CDM project activities or PoAs and CPAs therein chooses not to exempt the CDM project activities or PoAs and CPAs therein requesting transition from the share of proceeds for adaptation.

Addendum to design document for CDM activity transition request¹ (Version 03.1)	
Title and UNFCCC reference number of activity	
Environmental and social impacts²	<i>Provide a summary of the environmental and social impacts and sustainable development benefits of the transitioning clean development mechanism (CDM) activity, and attach to this form a report prepared in accordance with the “Standard: Transition of CDM activities to the Article 6.4 mechanism” (hereinafter referred to as “transition standard”):</i> 1. Environmental impacts 2. Social impacts 3. Sustainable development benefits

Notwithstanding the submission deadline referenced above, for CDM A/R project activities or CDM A/R PoAs and the CPAs therein, the form is to be submitted no later than 31 December 2027 or six months after the Supervisory Body's adoption of the following documents, whichever is later: the "Methodological tool: Reversal risk assessment" applicable to A/R activities, the guidance for project participants to comply with elements of the "Standard: Requirements for activities involving removals under the Article 6.4 mechanism", and the revision of the Article 6.4 sustainable development tool applicable to removals activities.

28 of 32

Non-permanence risk	☐ The transitioning activity uses fossil fuel for co-firing or as a backup fuel <i>If this box is ticked, describe the monitoring plan to account for emissions from the use of fossil fuel in accordance with the transition standard.</i> ☐ The transitioning activity applies one or more of the CDM methodologies listed as having a risk of negative emission reductions in paragraph 35 of the transition standard <i>If this box is ticked, describe (i) the outcome of the assessment to determine whether there was any accrual of net negative emission reductions in the past; and (ii) the monitoring plan to take into account such negative emission reductions in emission reductions occurring from 2021 in accordance with the transition standard.</i> ☐ The transitioning activity applies one or more of the CDM methodologies listed as having a risk of non-permanence in paragraph 36 of the transition standard ☐ <i>The fraction of non-renewable biomass (fNRB) value will be re-evaluated based on the latest available data and information as per the requirements of the transition standard and applied at first issuance.</i> ☐ <i>The discount factor for addressing leakage will be re-evaluated based on the on latest available data and information as per the requirements of the transition standard and applied at first issuance.</i> ☐ The transitioning activity is none of the above
Compliance with the registered design document, including the application of the currently applied CDM methodology <i>Tick the applicable box</i>	☐ No post-registration change (PRC) occurred since 2021: I hereby confirm that the transitioning CDM activity has been implemented and monitored in accordance with the registered project design document (PDD), or programme of activities design document (PoA-DD) and component project activity design documents (CPA-DDs), as displayed on the project information page on the UNFCCC CDM website, including the application of the currently applied CDM methodology. ☐ A PRC occurred since 2021: I hereby confirm that I will seek approval of the PRC to the transitioning CDM activity under the mechanism established by Article 6, paragraph 4, of the Paris Agreement (Article 6.4 mechanism) after its transition to the Article 6.4 mechanism, noting that the PRC may not be approved by the Supervisory Body for the Article 6.4 mechanism. This may impact the crediting of Article 6, paragraph 4, emission reductions for the activity occurring after the PRC.

Compliance with provisions of the “Standard: Requirements for activities involving removals under the Article 6.4 mechanism” (Only applicable to A/R CDM project activities and A/R CDM A/R programmes of activities and component project activities therein)	☐ Identification of risk of reversals: (Include the identification and justification of the risks of reversals that may be attributed to the CDM A/R project activity or CDM A/R PoA and CPAs herein, aligned with the provisions of the “Standard: Requirements for activities involving removals under the Article 6.4 mechanism” and other applied methodological regulatory documents approved by the Supervisory Body) ☐ Reversal risk assessment: (Provide a reversal risk assessment in accordance with the relevant requirements of the “Standard: Requirements for activities involving removals under the Article 6.4 mechanism” and other applied methodological regulatory documents approved by the Supervisory Body) ☐ Reversal risk mitigation plan: (If a risk of non-permanence is identified, develop and implement a risk mitigation plan to address any risks identified through the reversal risk assessment, following the relevant provisions of the “Standard: Requirements for activities involving removals under the Article 6.4 mechanism” and other applied methodological regulatory documents approved by the Supervisory Body) ☐ Remediation of reversals: (Describe the measures to be implemented to remediate reversals, including proactively mitigating reversal risks and avoiding reversals as per the requirements of the “Standard: Requirements for activities involving removals under the Article 6.4 mechanism” and other applied methodological regulatory documents approved by the Supervisory Body) ☐ Post-crediting period monitoring plan: (Describe the monitoring to be conducted after the end of the last active crediting period of the A/R CDM A/R project activity and CDM A/R PoA and CPAs therein, to assess whether any reversals have occurred, in accordance with the requirements of the “Standard: Requirements for activities involving removals under the Article 6.4 mechanism” and other applied methodological regulatory documents approved by the Supervisory Body)
I confirm that the information provided in this form is correct	Date (DD/MM/YYYY):
	Names of the entity and the representative of the project participants:³
	Signature:

³ Please write the name of the focal point entity designated by the project participants of the CDM project activity or PoA for scope (c) and the name of its representative as communicated to the secretariat in the modalities of communication in accordance with the relevant provisions in the “CDM project cycle procedure for project activities” or the “CDM project cycle procedure for programmes of activities”, respectively.

Document information

<i>Version</i>	<i>Date</i>	<i>Description</i>
08.0	21 September 2026	Published as an annex to the annotated agenda of SBM 023. Revision to extend the deadline for submitting additional documentation for transitioning CDM afforestation and reforestation activities.
07.0	20 February 2026	SBM 020, Annex 5. Revision to reflect: • Decision 20/CMA.7 relating to approval by host Parties of CDM activities transitioning to the Article 6.4 mechanism; • The outcome of the structured legal and editorial review.
06.1	3 November 2025	Editorial revision to the cross-references in paragraph 55 (c) and footnote 22.
06.0	10 October 2025	SBM 018, Annex 11. Revision to: • Extend the deadline for submission of the additional documentation for activities continuing to apply CDM methodologies; • Incorporate provisions related to the re-evaluation of fNRB and leakage factor for provisional requests for issuance of CDM project activities and programmes of activities that successfully transitioned to Article 6.4 applying CDM methodologies with risk of non-permanence.
05.1	30 July 2025	Editorial revision to the appendices to ensure consistency.
05.0	16 May 2025	SBM 016, Annex 11. Revision to incorporate provisions on avoidance of double issuance for provisional requests for issuance for transition activities and updated requirements for activities following CDM methodologies that have a risk of non-permanence of emission reductions.
04.3	5 March 2025	Editorial revision to correct paragraph numbering and respective cross references.
04.2	25 February 2025	Editorial revision to appendixes 2 and 3 to improve consistency.
04.1	18 February 2025	Editorial revision to paragraph 26 to improve the clarity of the sentence.
04.0	14 February 2025	SBM 015, Annex 8 Revision to: • Introduce a process for submitting and considering transition requests for CDM A/R project activities, PoAs, and CPAs, aligning with CMA.6 decision; • Introduce a process of authorization of the use of A6.4ERs for transitioning activities;

<i>Version</i>	<i>Date</i>	<i>Description</i>
		• Introduce provisions for LDCs and SIDS to optionally choose not to make use of the exemption from share of proceeds for adaptation for transitioning activities; • Extend deadlines for submission of revised documents during substantive checks; • Provide opportunity to respond to review issues; • Include appeal and grievance provisions; • Make editorial improvements.
03.0	18 July 2024	SBM 013, Annex 1. Revision to: • Amend the requirements for the transition of multi-country PoAs; • Provide provisions for revising and withdrawing a transition request.
02.0	2 November 2023	SB 008, Annex 8 Revision to: • Add a form for addendum to be used for submitting additional documentation as part of a transition request; • Make editorial improvement.
01.0	13 July 2023	SB 006, Annex 2. Initial adoption.
Decision Class: Regulatory Document Type: Procedure Business Function: A6.4 activity cycle Keywords: crediting period, project eligibility, transition of CDM activities to A6.4 mechanism		