

 FINAL ASSESSMENT REPORT (Version 01.0)	
SECTION 1: GENERAL INFORMATION	
Entity name and address of site(s) assessed:	
UNFCCC entity ref. no.:	
Type of assessment (Tick option(s) as appropriate):	<input type="checkbox"/> Initial accreditation <input type="checkbox"/> Regular on-site surveillance <input type="checkbox"/> Performance assessment <input type="checkbox"/> Validation (<input type="checkbox"/> project activity; <input type="checkbox"/> PoA) <input type="checkbox"/> Verification (<input type="checkbox"/> project activity, <input type="checkbox"/> PoA) <input type="checkbox"/> Reaccreditation <input type="checkbox"/> Spot-check <input type="checkbox"/> Extension of sectoral scopes <input type="checkbox"/> Verification of corrective actions <input type="checkbox"/> Specific assessment requested by AEP/A6.4 Supervisory Body Was an on-site assessment involved? <input type="checkbox"/> No <input type="checkbox"/> Yes (<input type="checkbox"/> Entity office site; <input type="checkbox"/> Outsourced entity(ies)/other offices)
UNFCCC A6.4 project reference number:	
Project title:	
Version of accreditation standard:	

Sectoral scope(s) assessed:	<input type="checkbox"/> 1. Energy industries (renewable - / non-renewable sources) <input type="checkbox"/> 2. Energy distribution <input type="checkbox"/> 3. Energy demand <input type="checkbox"/> 4. Manufacturing industries <input type="checkbox"/> 5. Chemical industry <input type="checkbox"/> 6. Construction <input type="checkbox"/> 7. Transport <input type="checkbox"/> 8. Mining/Mineral production <input type="checkbox"/> 9. Metal production <input type="checkbox"/> 10. Fugitive emissions from fuels (solid, oil and gas) <input type="checkbox"/> 11. Fugitive emissions from production and consumption of halocarbons and sulphur hexafluoride <input type="checkbox"/> 12. Solvents use <input type="checkbox"/> 13. Waste handling and disposal <input type="checkbox"/> 14. Afforestation and reforestation <input type="checkbox"/> 15. Agriculture <input type="checkbox"/> 16. Carbon dioxide capture and storage in geological formations
A6.4-AT leader's name:	
A6.4-AT members' name(s):	
Start date of the assessment :	
On-site assessment dates (if applicable):	
Assessment status:	<input type="checkbox"/> Assessment finalised <input type="checkbox"/> Proposed corrective actions not accepted <input type="checkbox"/> Implemented corrective actions not accepted <input type="checkbox"/> Post AEP decision <input type="checkbox"/> Any other status, please specify:

SECTION 2: SUMMARY	
<p><i>For on-site assessment:</i></p> <p><i>The A6.4-AT should provide a brief summary of the assessment process indicating the dates of the desk review (if applicable) and the assessment of the strengths and weaknesses of the AE/DOE according to the following sections of the "A6.4 accreditation standard" and/or the Assessment Workplan.</i></p> <p><i>Section 6: Legal issues and matters</i></p> <p><i>Section 7: Finance and liability</i></p> <p><i>Section 8: Entity's management</i></p> <p><i>Section 9: Safeguarding Impartiality</i></p> <p><i>Section 10: Human resources and competence</i></p> <p><i>Section 11: Information management</i></p> <p><i>Section 12: Validation and verification/certification process</i></p> <p><i>Section 13: Quality management system</i></p> <p><i>Section 14: Compliant, dispute and appeal processes</i></p> <p><i>Any other comments:</i></p>	
<p><i>For performance assessment:</i></p> <p><i>The A6.4-AT should provide a brief summary of the assessment process indicating the dates of the desk review and site visit (if applicable) and the assessment of the strengths and weaknesses of the AE/DOE according to the following sections of the "A6.4 accreditation standard" and the Assessment Workplan.</i></p> <p><i>Section 10: Human Resources and Competence</i></p> <p><i>Section 12: Validation and verification/certification process (The level of compliance of the DOE to their procedures and the A6.4 accreditation requirements.)</i></p> <p style="margin-left: 40px;"><i>(a) Did the team of DOE make adequate in-depth analysis? (Describe with examples.)</i></p> <p style="margin-left: 40px;"><i>(b) Did the team of DOE arrive at the right conclusions? (Describe with examples.)</i></p> <p style="margin-left: 40px;"><i>(c) Was the internal review process adequate and was able to bring out all the shortcoming of the draft validation/verification reports?</i></p> <p><i>Section 11: Information management (Were the required documents made public in accordance with the requirements and procedures of the DOE?)</i></p> <p><i>Section 9: Safeguarding impartiality (List the checks made by the DOE related to its impartial functioning and determine whether these checks were adequate.)</i></p> <p><i>Section 13: Quality management system (Did the DOE perform the validation and verification in accordance with their procedures?)</i></p> <p><i>Any other comments:</i></p>	
<p>For performance assessment:</p> <p>Did the DOE conduct the validation or verification/certification activity competently? <input type="checkbox"/> YES <input type="checkbox"/> NO</p>	
<p>List of documents prepared in relation to this assessment (e.g. workplan, desk review report, on-site assessment report, non-conformity forms):</p>	
<p>Signature of A6.4-AT leader:</p>	<p>Date:</p>
<p>Comments by AE/DOE:</p>	
<p>Signature of representative of AE/DOE:</p>	<p>Date:</p>

Description of how the entity’s comments have been addressed, refer to non-conformity forms as applicable:

Signature by A6.4-AT leader:	Date:
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SECTION 3: CONCLUSIONS FOR CONSIDERATION BY THE AEP

For initial accreditation and reaccreditation and extension of sectoral scopes:
 List of sectoral scope that are applied for accreditation or accredited : e.g 1 to 3, 5, 7 to 9, 13, 14 & 15

- For initial accreditation, regular surveillance and reaccreditation:**
- No NCs have been raised, or all non-conformities (NCs) raised have been closed. The management system of AE/DOE complies with the accreditation requirements.
 - X out of Y NCs raised are still open.

- For performance assessment:**
- No NCs have been raised, or all NCs raised have been closed. The validation/verification process complies with the accreditation requirements.
 - X out of Y NCs raised are still open.

Additional comments:
This space may be used for comments that further substantiate the above conclusion. This space is also to be used for special assessments such as spot-checks or assessment of proposed/implemented corrective actions not accepted after the additional and final opportunity to resolve remaining NCs.

SECTION 4: CONCLUSIONS FOR CONSIDERATION BY THE AEP FOR OTHER ASSESSMENTS (CASE SPECIFIC)

(To be used only for special assessments or when proposed/implemented corrective actions are not accepted after the additional and final opportunity to resolve remaining NCs).'

Signature by A6.4-AT leader:	Date:
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Document information

<i>Version</i>	<i>Date</i>	<i>Description</i>
01	24 April 2024	Initial publication.

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