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|  | Outline for the initial report and updated initial report referred to in decision 2/cma.3, annex, chapter Iv.a (initial report)[[1]](#footnote-2) [[2]](#footnote-3) [[3]](#footnote-4)(Version 02.0) |
|  [English only]

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| **Party** | Party name |
| **NDC period** | yyyy - yyyy |
| **Report number for the NDC perioda** | 1 |
| **Report type:** Initial reportUpdated initial report | [ ]  [ ]  |
| Updated initial report number | 1 |
| **Versionb** | 1.0 |
| **Date** | dd/mm/yyyy |
| **Name(s) of cooperative approach(es) included in this report***(Include a line for each additional cooperative approach)* | Cooperative approach 1 Cooperative approach 2 |

*a* Ascribe sequential number for updated initial reports. The number ‘1’ is reserved for the initial report.*b* Ascribe version number as follows: decimal increase for minor revisions (typos, corrections) and digit increase for content changes.*Note: For updated initial report fill in only section IV. Information on each cooperative approach (para. 18(g–i), para. 19 of the annex to decision 2/CMA.3).* |
| 1. I. Participation responsibilities (para. 18(a))
 |
| 1. A: Information on how the Party ensures that it is a Party to the Paris Agreement (para. 21(a), para. 4(a), update to para. 18(a))
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| 1. B. Information on how the Party ensures that it has prepared, communicated and is maintaining an NDC in accordance with Article 4, paragraph 2 (para. 18(a), para. 4(b), to be updated by para. 21(a))
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| 1. C. Information on how the Party ensures it has arrangements in place for authorizing the use of ITMOs towards achievement of NDCs pursuant to Article 6, paragraph 3 (para. 18(a), para. 4(c), to be updated by para. 21(a))
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| 1. D. Information on how the Party ensures it has arrangements in place that are consistent with the Article 6, paragraph 2, guidance and relevant decisions of the CMA for tracking ITMOs (para. 18(a), para. 4(d), to be updated by para. 21(a))
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| 1. E. Information on whether the most recent national inventory report required in accordance with decision 18/CMA.1 has been provided (para. 18(a), para. 4(e), to be updated by para. 21(a))
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| 1. F. Information on how the Party ensures participation contributes to the implementation of its NDC and long-term low-emission development strategy, if it has submitted one, and the long-term goals of the Paris Agreement (para. 18(a), para. 4(f), to be updated by para. 21(a))
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| 1. II. Description of the Party’s NDC, as referred to in decision 18/CMA.1, annex, paragraph 64, where a participating Party has not yet submitted a biennial transparency report (para. 18(b), to be updated by para. 21(b))
 |
| 1. A. Target(s) and description, including target type(s) (decision 18/CMA.1, annex, para. 64(a))
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| 1. B. Target year(s) or period(s), and whether they are single-year or multi-year target(s) (decision 18/CMA.1, annex, para. 64(b))
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| 1. C. Reference point(s), level(s), baseline(s), base year(s) or starting point(s), and their respective value(s) (decision 18/CMA.1, annex, para. 64(c))
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| 1. D. Time frame(s) and/or periods for implementation (decision 18/CMA.1, annex, para. 64(d))
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| 1. E. Scope and coverage, including, as relevant, sectors, categories, activities, sources and sinks, pools and gases (decision 18/CMA.1, annex, para. 64(e))
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| 1. F. Intention to use cooperative approaches that involve the use of internationally transferred mitigation outcomes under Article 6 towards NDCs under Article 4 of the Paris Agreement (decision 18/CMA.1, annex, para. 64(f))
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| 1. G. Any updates or clarifications of previously reported information (e.g. recalculation of previously reported inventory data, or greater detail on methodologies or use of cooperative approaches) (decision 18/CMA.1, annex, para. 64(g))
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| 1. III. Information on ITMO metrics, method for applying corresponding adjustments and method for quantification of the NDC (para. 18(c–f))
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| 1. A. ITMO metrics (para. 18(c))
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| 1. B. Method for applying corresponding adjustments as per chapter III.B (Application of corresponding adjustments) (para. 18(c))
 |
| 1. Description of the method for applying corresponding adjustment for multi- or single year NDCs that will be applied consistently throughout the period of NDC implementation, if applicable (para. 18(c)) |  |
| 2. Description of the method for applying corresponding adjustments where the method is a multi-year emissions trajectory, trajectories or budget, if applicable (para. 18(c)) |  |
| *3. Description of how the method for applying corresponding adjustments aligns with decision 2/CMA.3, annex, paragraph 7 (para. 18(c) supplemented by decision 4/CMA.6, annex 1) - Supplementary element of information to be provided by Parties as relevant[[4]](#footnote-5)* |  |
| *4. Description of the methods used for establishing the indicative trajectory, trajectories or budget and the resulting trajectory, trajectories or budget and their relationship to the indicators referred to in decision 18/CMA.1, annex, paragraph 65, to which corresponding adjustments will be applied (para. 18(c) supplemented by decision 4/CMA.6, annex 1) - Supplementary element of information to be provided by Parties as relevant[[5]](#footnote-6)* |  |
| 1. C. Quantification of the Party’s mitigation information in its NDC in t CO2 eq, including the sectors, sources, GHGs and time periods covered by the NDC, the reference level of emissions and removals for the relevant year or period, and the target level for its NDC or, where this is not possible, the methodology for the quantification of the NDC in t CO2 eq (para. 18(d)).
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| 1. D. Quantification of the Party’s NDC, or the portion in the relevant non-GHG indicator, in a non-GHG metric determined by each participating Party, if applicable (para. 18(e))
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| 1. E. For a first or first updated NDC consisting of policies and measures that is not quantified, information on quantification of the Party’s emission level resulting from the policies and measures that are relevant to the implementation of the cooperative approach and its mitigation activities for the categories of anthropogenic emissions by sources and removals by sinks, as identified by the first transferring Party pursuant to paragraph 10, and the time periods covered by the NDC (para. 18(f))
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| 1. IV. Information on each cooperative approach (para. 18(g–i), para. 19)
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| 1. *Note: For the initial report and the updated initial report, chapters A–H below should be repeated for each cooperative approach. For each further cooperative approach, each participating Party shall submit the information referred to in para. 18(g–i) of the annex to decision 2/CMA.3 in an updated initial report (decision 2/CMA.3, annex, para. 19)*
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| 1. A. Copy of the authorization by the participating Party (para. 18(g))
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| 1. B. Description of the cooperative approach (para. 18(g))
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| 1. C. Duration of the cooperative approach (para. 18(g))
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| 1. D. Expected mitigation for each year of the duration of the cooperative approach (para. 18(g))
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| 1. E. Participating Parties involved in the cooperative approach (para. 18(g))
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| 1. F. Authorized entities (para. 18(g))
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| 1. *G. Description of any arrangements for authorizing using participating Parties and authorized entities, if applicable (para. 18(g) supplemented by decision 4/CMA.6, annex 1) - Supplementary element of information to be provided by Parties as relevant[[6]](#footnote-7)*
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| 1. *H. Type of cooperative approach, if applicable (para. 18(g) supplemented by decision 4/CMA.6, annex 1) - Supplementary element of information to be provided by Parties as relevant[[7]](#footnote-8)*
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| 1. I. Description of how the cooperative approach ensures environmental integrity (para. 18(h), to be updated by para. 22(b))
 |
| 1. Description of how the cooperative approach ensures that there is no net increase in global emissions within and between NDC implementation periods (para. 18(h)(i), to be updated by para. 22(b)(i)) |  |
| *2. Description of how the cooperative approach avoids locking in emission levels, technologies or practices that are inconsistent with achieving the long-term goals of the Paris Agreement (para. 18(h)(i) supplemented by decision 4/CMA.6, annex 1) - Supplementary element of information to be provided by Parties as relevant[[8]](#footnote-9)* |  |
| *3. Description of how the mitigation outcomes from the cooperative approach are shared between the participating Parties (para. 18(h)(i) supplemented by decision 4/CMA.6, annex 1) - Supplementary element of information to be provided by Parties as relevant* |  |
| *4. Description of how it is ensured that decision-making processes in an underlying policy or regulatory framework, and relevant activities, are transparent and inclusive, and whether they include opportunities for public participation and scrutiny, including the standards and procedures for conducting stakeholder consultation, including with civil society organizations, Indigenous Peoples and local communities (para. 18(h)(i) supplemented by decision 4/CMA.6, annex 1) - Supplementary element of information to be provided by Parties as relevant* |  |
| *5. Description of how participation in the cooperative approach and the combination of all cooperative approaches in which the Party participates contributes to the implementation of the Party’s nationally determined contribution and long-term low-emission development strategy, if it has submitted one, and the long-term goals of the Paris Agreement (para. 18(h)(i) supplemented by decision 4/CMA.6, annex 1) - Supplementary element of information to be provided by Parties as relevant* |  |
| 6. Description of how the cooperative approach ensures environmental integrity through robust, transparent governance and the quality of mitigation outcomes, including through conservative reference levels and baselines set in a conservative way and below ‘business as usual’ emission projections (including by taking into account all existing policies and addressing uncertainties in quantification and potential leakage) (para. 18 (h)(ii), to be updated by para. 22(b)(ii)) |  |
| *7. Description of how any baseline or reference levels have been established, including how the participating Party has taken into account its nationally determined contribution and long-term low-emission development strategy, if it has submitted one, as well as any relevant climate policies, laws, regulations or targets (para. 18(h)(ii) supplemented by decision 4/CMA.6, annex 1) - Supplementary element of information to be provided by Parties as relevant* |  |
| *8. Description of how baseline and reference levels are established ensure they are conservative and below ‘business as usual’ emission projections, and information on what assumptions have been made (para. 18(h)(ii) supplemented by decision 4/CMA.6, annex 1) - Supplementary element of information to be provided by Parties as relevant* |  |
| *9. Description of the methodological approaches used for quantifying the mitigation outcomes derived from the cooperative approach (para. 18(h)(ii) supplemented by decision 4/CMA.6, annex 1) - Supplementary element of information to be provided by Parties as relevant* |  |
| *10. Description of how uncertainties in the quantification of emission reductions and removals are assessed and how such uncertainties are taken into account (para. 18(h)(ii) supplemented by decision 4/CMA.6, annex 1) - Supplementary element of information to be provided by Parties as relevant* |  |
| *11. Description of how the risk of leakage is assessed, and prevented or minimized, and how any remaining leakage will be quantified and deducted in the quantification of mitigation outcomes (para. 18(h)(ii) supplemented by decision 4/CMA.6, annex 1) - Supplementary element* *of information to be provided by Parties as relevant* |  |
| 12. Description of how the cooperative approach is minimizing the risk of non-permanence of mitigation across several NDC periods and how, when reversals of emission reductions or removals occur, the cooperative approach will ensure that these are addressed in full (para. 18(h)(iii), to be updated by para. 22(b)(iii)) |  |
| *13. Description of how the risk of non-permanence is assessed under the cooperative approach and minimized across several nationally determined contribution periods, including the frequency and timing of risk assessments, the methodological approaches used for those assessments, the types of risk identified, the likelihood, scale and potential impacts of such risks, and the time-horizons considered (para. 18(h)(iii) supplemented by decision 4/CMA.6, annex 1) - Supplementary element of information to be provided by Parties as relevant* |  |
| *14. Description of how reversals are monitored and quantified, and when they occur, how they are addressed in full, including which methodological approaches are used to address reversals, how the responsibility for addressing reversals is assigned, the duration and frequency of monitoring for reversals, and the measures envisaged in case of failures in monitoring (para. 18(h)(iii) supplemented by decision 4/CMA.6, annex 1) - Supplementary element of information to be provided by Parties as relevant* |  |
| 1. J. Additional description of the cooperative approach (para. 18(i))
 |
| 1. Description of how the cooperative approach minimizes and, where possible, avoids negative environmental, economic and social impacts (para. 18(i)(i), to be updated by para. 22(f)) |  |
| 2. Description of how the cooperative approach reflects the eleventh preambular paragraph of the Paris Agreement, acknowledging that climate change is a common concern of humankind, Parties should, when taking action to address climate change, respect, promote and consider their respective obligations on human rights, the right to health, the rights of indigenous peoples, local communities, migrants, children, persons with disabilities and people in vulnerable situations and the right to development, as well as gender equality, empowerment of women and intergenerational equity (para. 18(i)(ii), to be updated by para. 22(g)) |  |
| 3. Description of how the cooperative approach is consistent with the sustainable development objectives of the Party, noting national prerogatives (para. 18(i)(iii), to be updated by para. 22(h)) |  |
| 4. Description of how the cooperative approach applies any safeguards and limits set out in further guidance from the CMA pursuant to chapter III.D (para. 18(i)(iv), to be updated by para. 22(i)) |  |
| 5. Description of how the cooperative approach contributes resources for adaptation pursuant to chapter VII (Ambition in mitigation and adaptation actions), if applicable (para. 18(i)(v), to be updated by para. 22(j)) |  |
| 6. Description of how the cooperative approach delivers overall mitigation in global emissions pursuant to chapter VII (Ambition in mitigation and adaptation actions), if applicable (para. 18(i)(vi), to be updated by para. 22(k)) |  |

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**Template version history**

| *Version* | *Date of issuance* | *Description* |
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| 02.0  | 15 April 2025 | Revision to include the supplementary elements of information in initial report and any updated initial report as per decision 4/CMA.6, annex I. |
| 01.1 | 10 May 2023  | Extension of the head table containing the details of the submission.  |
| 01.0 | 31 January 2023 | Initial publication of the template. |
| 1. Mechanism: Article 6.2 of the Paris Agreement
2. Mandate: Decision 2/CMA.3, annex, chapter IV.A (initial report); Decision 6/CMA.4, annex V (Outline of the initial report and updated initial report); Decision 4/CMA.6, annex I.
3. Function: Reporting
4. Process: Party submission of initial and updated initial report

Document Type: TemplateKeywords: Article 6.2 of Paris Agreement, CARP, ITMO cooperative approaches |

1. This template has been developed based on the outline adopted by decision 6/CMA.4. Para 36 of decision 6/CMA.4 further encourages participating Parties to prepare their initial report, updated initial report and annex 4 to the biennial transparency report (Regular information) in accordance with the outlines contained in annexes V and VI respectively, as referred to in decision 2/CMA.3, annex, chapter IV.A (Initial report) and IV.C (Regular information); Supplementary elements of information have been added as per decision 4/CMA.6, annex 1. [↑](#footnote-ref-2)
2. The list of the acronyms and abbreviations used in this template are available in decision 6/CMA.4: <https://unfccc.int/documents/624474>. [↑](#footnote-ref-3)
3. References to chapters and paragraphs in the outline are to chapters and paragraphs in the annex to decision 2/CMA.3, unless stated otherwise. “Article” refers to an Article of the Paris Agreement. [↑](#footnote-ref-4)
4. Decision 4/CMA.6 para. 18 requests each participating Party to incorporate the elements contained in annex I when providing information in its initial report and any updated initial reports, as referred to in decision 2/CMA.3, annex, paragraphs 18–19, as relevant. These elements are supplementary elements of information. These elements are referred to across the template as supplementary elements and are written in italic to differentiate them from the initial elements of the outline of the initial and updated initial reports. [↑](#footnote-ref-5)
5. Ibid. [↑](#footnote-ref-6)
6. Ibid. [↑](#footnote-ref-7)
7. Ibid. [↑](#footnote-ref-8)
8. Ibid. [↑](#footnote-ref-9)