Decision 9/CMA.1

Further guidance in relation to the adaptation communication, including, inter alia, as a component of nationally determined contributions, referred to in Article 7, paragraphs 10 and 11, of the Paris Agreement

The Conference of the Parties serving as the meeting of the Parties to the Paris Agreement,

Recalling relevant provisions of the Convention and the Paris Agreement, in particular Article 7,

Recognizing the importance of flexibility provided to Parties by Article 7, paragraphs 10 and 11, of the Paris Agreement for submitting and updating the adaptation communication,

Recalling decisions 4/CP.5, 17/CP.8 and 5/CP.17,

Recognizing the links between adaptation and sustainable development, including the Sustainable Development Goals and the Sendai Framework for Disaster Risk Reduction 2015–2030,

Emphasizing the intrinsic relationship that climate change actions, responses and impacts have with equitable access to sustainable development and eradication of poverty,

Recalling that continuous and enhanced international support shall be provided to developing country Parties for the implementation of Article 7, paragraphs 7, 9, 10 and 11, of the Paris Agreement, in accordance with the provisions of Articles 9, 10 and 11 of the Paris Agreement,

1. Notes that the purpose of the adaptation communication is to:
   (a) Increase the visibility and profile of adaptation and its balance with mitigation;
   (b) Strengthen adaptation action and support for developing countries;
   (c) Provide input to the global stocktake;
   (d) Enhance learning and understanding of adaptation needs and actions;

2. Decides that the adaptation communication:
   (a) Is country-driven and flexible, including in the choice of communication or document, as provided in Article 7, paragraphs 10 and 11, of the Paris Agreement;
   (b) Shall not pose any additional burden on developing country Parties, is not a basis for comparison between Parties and is not subject to review;

3. Recalls that, as provided in Article 7, paragraphs 10 and 11, of the Paris Agreement, each Party should, as appropriate, submit and update an adaptation communication, and that the adaptation communication shall be, as appropriate, submitted and updated periodically, as a component of or in conjunction with other communications or documents, including a national adaptation plan, a nationally determined contribution as referred to in Article 4, paragraph 2, of the Paris Agreement and/or a national communication;

4. Decides that Parties may, as appropriate, also submit and update their adaptation communication as a component of or in conjunction with the reports on impacts and adaptation as stipulated in Article 13, paragraph 8, of the Paris Agreement;

5. Recalls that the adaptation communications shall be recorded in a public registry maintained by the secretariat in accordance with the modalities and procedures contained in decision 10/CMA.1;

6. Invites Parties that choose to submit an adaptation communication to do so in time to inform each global stocktake;
7. Also invites Parties, according to their national circumstances and capacities, to provide in their adaptation communication information on the elements referred to in paragraph (a–d) of the annex and to provide, as appropriate, additional information on the elements referred to in paragraph (e–i) of the annex;

8. Further invites Parties to include, as appropriate, ex ante information in their adaptation communication based on the elements identified in the annex;

9. Acknowledges that Parties may, when submitting an adaptation communication, tailor the information provided, taking into account the specific communications or documents used;

10. Encourages Parties to clearly identify the part of the communication or document chosen, in accordance with Article 7, paragraph 11, that constitutes their adaptation communication, and to number their adaptation communications sequentially;

11. Also encourages Parties that choose to submit an adaptation communication as a component of or in conjunction with a nationally determined contribution to use the guidance included in this decision, as appropriate;

12. Invites Parties that opt to use a nationally determined contribution consistently with Article 4, paragraph 7, and in line with paragraph 11 above to provide information on the element referred to in paragraph (f) of the annex;

13. Acknowledges that Parties that choose to submit their adaptation communication as part of a national communication or a national adaptation plan may provide information taking into consideration the guidelines contained in document FCCC/CP/1999/7 and decisions 17/CP.8 and 5/CP.17;

14. Also acknowledges that adaptation communications and other relevant information will be synthesized in line with paragraph 23(b) of decision 19/CMA.1 and will contribute to reviewing the overall progress in achieving the global goal on adaptation;

15. Requests the Adaptation Committee to develop, with the engagement of the Intergovernmental Panel on Climate Change Working Group II, using relevant existing guidance as a starting point, as appropriate, by June 2022, draft supplementary guidance for voluntary use by Parties in communicating information in accordance with the elements contained in the annex, for consideration by the subsidiary bodies at their fifty-seventh sessions (November 2022) in the context of their consideration of the report of the Adaptation Committee;

16. Decides to take stock of, and if necessary revise, the guidance contained in this decision, taking into account the submissions referred to in paragraph 17 below and the synthesis report referred to in paragraph 18 below, at its eighth session (2025);

17. Invites Parties to submit via the submission portal\(^1\) by February 2025 information on their experience with the application of the guidance contained in this decision;

18. Requests the secretariat to prepare a synthesis report on the submissions referred to in paragraph 17 above for consideration by the Subsidiary Body for Implementation at its sixty-second session (2025);

19. Urges developed country Parties and invites other Parties that provide resources on a voluntary basis, United Nations organizations, specialized agencies and other relevant organizations as well as bilateral and multilateral agencies to continue to mobilize support for adaptation activities in developing country Parties;

20. Invites the Global Environment Facility, in line with its existing mandate, to consider channelling support to developing country Parties for the preparation and submission of their adaptation communications, as a component of or in conjunction with other communications or documents, including a national adaptation plan, a nationally determined contribution as referred to in Article 4, paragraph 2, of the Paris Agreement, and/or a national communication;

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\(^1\) [https://unfccc.int/submissions_and_statements](https://unfccc.int/submissions_and_statements)
21. Encourages the Green Climate Fund, the Global Environment Facility, the Adaptation Fund, the Climate Technology Centre and Network and the Paris Committee on Capacity-building, in line with their existing mandates and governing instruments, to continue channelling support to developing country Parties for the implementation of their adaptation plans and actions in accordance with the priorities and needs outlined in their adaptation communication;

22. Takes note of the estimated budgetary implications of the activities to be undertaken by the secretariat referred to in paragraph 18 above;

23. Requests that the actions of the secretariat called for in this decision be undertaken subject to the availability of financial resources.
Annex

Elements of an adaptation communication

An adaptation communication may include information on the following elements:

(a) National circumstances, institutional arrangements and legal frameworks;

(b) Impacts, risks and vulnerabilities, as appropriate;

(c) National adaptation priorities, strategies, policies, plans, goals and actions;

(d) Implementation and support needs of, and provision of support to, developing country Parties;

(e) Implementation of adaptation actions and plans, including:

(i) Progress and results achieved;

(ii) Adaptation efforts of developing countries for recognition;

(iii) Cooperation on enhancing adaptation at the national, regional and international level, as appropriate;

(iv) Barriers, challenges and gaps related to the implementation of adaptation;

(v) Good practices, lessons learned and information-sharing;

(vi) Monitoring and evaluation;

(f) Adaptation actions and/or economic diversification plans, including those that result in mitigation co-benefits;

(g) How adaptation actions contribute to other international frameworks and/or conventions;

(h) Gender-responsive adaptation action and traditional knowledge, knowledge of indigenous peoples and local knowledge systems related to adaptation, where appropriate;

(i) Any other information related to adaptation.

26th plenary meeting
15 December 2018