



**Ad Hoc Working Group on the Paris Agreement**

**Report of the Ad Hoc Working Group on the Paris Agreement on the sixth part of its first session, held in Bangkok from 4 to 9 September 2018**

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## **I. Opening of the session**

(Agenda item 1)

1. The sixth part of the first session of the Ad Hoc Working Group on the Paris Agreement (APA) was held at the United Nations Conference Centre of the Economic and Social Commission for Asia and the Pacific (ESCAP) in Bangkok, Thailand, from 4 to 9 September 2018.
2. The Co-Chairs of the APA, Ms. Sarah Baashan (Parties not included in Annex I to the Convention) and Ms. Jo Tyndall (Parties included in Annex I to the Convention), opened the resumed session on Tuesday, 4 September, and welcomed all Parties and observers.
3. The Co-Chairs informed Parties of the unavailability of the APA Rapporteur, Ms. Anna Serzysko (Parties included in Annex I to the Convention), to attend the session.

## **II. Organizational matters**

(Agenda item 2)

### **A. Election of officers**

(Agenda sub-item 2(a))

4. The APA considered this sub-item at its 16<sup>th</sup> meeting, on 4 September.
5. The Co-Chairs reminded Parties that the members of the APA Bureau had been elected at APA 1.4 for a second consecutive term of one year until December 2018.<sup>1</sup> Therefore, no action was required under this agenda sub-item at this part of the session.

### **B. Adoption of the agenda**

(Agenda sub-item 2(b))

6. The APA considered this sub-item at its 16<sup>th</sup> meeting.
7. The APA adopted the agenda for its first session at its 2<sup>nd</sup> meeting, on 20 May 2016.<sup>2</sup> Since the first session of the APA is ongoing, that adopted agenda continues to apply, with the exception of one sub-item that was concluded at APA 1.2 following the entry into force of the Paris Agreement on 4 November 2016.<sup>3</sup>

### **C. Organization of the work of the session**

(Agenda sub-item 2(c))

8. The APA considered this sub-item at its 16<sup>th</sup> meeting.
9. APA 1.5 had agreed that the modalities for the organization of work adopted at APA 1.1 would be applied at APA 1.6.<sup>4</sup> The APA agreed to uphold that agreement.
10. Also at the 16<sup>th</sup> meeting, the APA Co-Chairs informed Parties that they would convene bilateral meetings with groups of Parties and interested Parties, including at head of delegation level, to identify possible ways forward if there were roadblocks on key issues under consideration by the APA. They also stated that, if needed, they would convene such meetings jointly with the Chairs of the Subsidiary Body for Scientific and Technological Advice (SBSTA) and the Subsidiary Body for Implementation (SBI), especially on cross-cutting or linked issues, such as the format of the outcome of the session.

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<sup>1</sup> FCCC/APA/2017/4, paragraphs 4 and 6.

<sup>2</sup> FCCC/APA/2016/2, paragraph 9.

<sup>3</sup> FCCC/APA/2016/4, paragraph 9.

<sup>4</sup> FCCC/APA/2017/4, paragraph 25.

11. At the 17<sup>th</sup> meeting of the APA, on 4 September, which was held jointly with the 6<sup>th</sup> meetings of the SBSTA and the SBI, the presiding officers of the three bodies, responding to the Parties' desire to start work immediately, confirmed on an exceptional basis and without any intention to set a precedent that statements would not be delivered in plenary, and encouraged Parties and observers to upload their statements to the submission and statement portal.<sup>5</sup>

12. At the first meeting of the contact group, the APA agreed that informal consultations on individual agenda items would be facilitated by the following co-facilitators:

- (a) Agenda item 3: Mr. Sin Liang Cheah (Singapore) and Ms. Federica Fricano (Italy);
- (b) Agenda item 4: Mr. Julio Cordano (Chile) and Ms. Beth Lavender (Canada);
- (c) Agenda item 5: Mr. Xiang Gao (China) and Mr. Andrew Rakestraw (United States of America);
- (d) Agenda item 6: Mr. Xolisa Ngwadla (South Africa) and Ms. Outi Honkatukia (Finland);
- (e) Agenda item 7: Ms. Janine Felson (Belize) and Ms. Christina Voigt (Norway);
- (f) Agenda item 8 (matters relating to the Adaptation Fund): Ms. María del Pilar Bueno (Argentina) and Mr. Pieter Terpstra (Netherlands);
- (g) Agenda item 8 (other matters): Ms. Baashan (Saudi Arabia) and Ms. Tyndall (New Zealand).

13. Subsequently the contact group met twice during the session: once to take stock of progress and then to consider the APA conclusions.

14. On 6 September, the APA Co-Chairs, together with the SBSTA and SBI Chairs, convened a joint informal plenary to inform Parties of progress in the work of the SBI, the SBSTA and the APA pertaining to the Paris Agreement work programme (PAWP) and to discuss the way forward for the sessions.

### III. Report on agenda items 3 to 8

(Agenda items 3 to 8)

#### 1. Proceedings

15. The APA considered these agenda items at its 18<sup>th</sup> meeting, on 9 September, and adopted the conclusions below, which were, mutatis mutandis, identical to the conclusions adopted by the SBSTA and the SBI.

#### 2. Conclusions

16. The APA took note of the further progress made at APA 1.6, aiming to complete its work on the PAWP in a timely manner and to forward the outcomes to the Conference of the Parties (COP) at its twenty-fourth session (December 2018) at the latest.

17. The APA requested the secretariat to update, on the basis of the outcomes of this session (see the annex), the online platform referred to in paragraph 3 of decision 1/CP.23, which provides an overview of the work of the COP and the subsidiary and constituted bodies on the PAWP. The APA agreed that the progress achieved at this session provides a useful basis for further negotiations by Parties on PAWP matters and their successful completion at COP 24.

18. The APA agreed that the presiding officers of the APA, the SBSTA and the SBI should prepare a joint reflections note addressing progress made to date and identifying ways forward, including textual proposals that would be helpful for advancing Parties' deliberations. The purpose of doing so would be to address all PAWP matters so as to

<sup>5</sup> <http://www4.unfccc.int/sites/SubmissionPortal/Pages/Home.aspx>.

ensure their balanced and coordinated consideration by the APA, the SBSTA and the SBI, and to facilitate successful completion of the PAWP at COP 24. To that end, the APA requested its Co-Chairs to work closely with the other presiding officers on such a note and to release it by mid-October 2018.

#### **IV. Other matters**

(Agenda item 9)

19. The APA considered this agenda item at its 16<sup>th</sup> meeting. No other matters were raised or considered.

#### **V. Closure of and report on the session**

(Agenda item 10)

20. At its 18<sup>th</sup> meeting, the APA considered the draft report on this part of the session and authorized the APA Co-Chairs on behalf of the Rapporteur to complete the report thereon with the assistance of the secretariat.

21. At the 19<sup>th</sup> meeting, on 9 September, which was a plenary held jointly with the 8<sup>th</sup> meetings of the SBSTA and the SBI, the APA Co-Chairs, together with the SBSTA and SBI Chairs, thanked the Government of Thailand for its hospitality and ESCAP for providing the facilities and an environment conducive to advancing the negotiations. Statements were made by representatives of 16 Parties, including 12 on behalf of groups of Parties: the African Group; the Alliance of Small Island States; the Arab Group; Argentina, Brazil and Uruguay; Brazil, South Africa, India and China; the Environmental Integrity Group; the European Union and its member States; the Group of 77 and China; the Independent Association for Latin America and the Caribbean; the least developed countries; the Like-minded Developing Countries; and the Umbrella Group. Statements were also made on behalf of business and industry non-governmental organizations (NGOs), environmental NGOs, indigenous peoples organizations, research and independent NGOs, trade union NGOs, women and gender NGOs and youth NGOs.

22. The session of the APA was then suspended. It will be resumed in Katowice, Poland, in December 2018.

## Annex

### The Bangkok outcome

1. This annex provides references to the outcomes of the work of the Ad Hoc Working Group on the Paris Agreement at the sixth part of its first session and the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation at the second part of their forty-eighth sessions, held in Bangkok from 4 to 9 September 2018. The outcomes are structured in accordance with annex I to decision 1/CP.23, consistently with the agenda items that were taken up by the subsidiary bodies at these sessions.

2. In addition to the outcomes of the negotiations on the individual agenda items listed below, a compilation of all such outcomes is available on the UNFCCC website at <https://unfccc.int/node/28798/> (version of 9 September 2018).

<i>Negotiation body</i>	<i>Negotiation item</i>	<i>Link to outcome</i>
<b><i>Matters relating to Article 4 of the Paris Agreement and paragraphs 22–35 of decision 1/CP.21</i></b>		
APA	Further guidance in relation to the mitigation section of decision 1/CP.21	<a href="https://unfccc.int/documents/182109">https://unfccc.int/documents/182109</a>
SBI	Development of modalities and procedures for the operation and use of a public registry referred to in Article 4, paragraph 12, of the Paris Agreement	<a href="https://unfccc.int/documents/182106">https://unfccc.int/documents/182106</a>
	Common time frames for nationally determined contributions referred to in Article 4, paragraph 10, of the Paris Agreement	<a href="https://unfccc.int/documents/181913">https://unfccc.int/documents/181913</a>
<b>SBSTA and SBI jointly</b>	Modalities, work programme and functions under the Paris Agreement of the forum on the impact of the implementation of response measures	<a href="https://unfccc.int/documents/181953">https://unfccc.int/documents/181953</a>
<b><i>Matters relating to Article 6 of the Paris Agreement and paragraphs 36–40 of decision 1/CP.21</i></b>		
SBSTA	Guidance on cooperative approaches referred to in Article 6, paragraph 2, of the Paris Agreement	Original version: <a href="https://unfccc.int/documents/182093">https://unfccc.int/documents/182093</a>  Corrected version: <a href="https://unfccc.int/documents/182934">https://unfccc.int/documents/182934</a>
	Rules, modalities and procedures for the mechanism established by Article 6, paragraph 4, of the Paris Agreement	Original version: <a href="https://unfccc.int/documents/182091">https://unfccc.int/documents/182091</a>  Corrected version: <a href="https://unfccc.int/documents/182937">https://unfccc.int/documents/182937</a>
	Work programme under the framework for non-market approaches referred to in Article 6, paragraph 8, of the Paris Agreement	Original version: <a href="https://unfccc.int/documents/182092">https://unfccc.int/documents/182092</a>  Corrected version: <a href="https://unfccc.int/documents/182939">https://unfccc.int/documents/182939</a>
<b><i>Matters relating to Article 7 of the Paris Agreement and paragraphs 41, 42 and 45 of decision 1/CP.21</i></b>		
APA	Further guidance in relation to the adaptation communication, including, inter alia, as a component of nationally determined contributions, referred to in Article 7, paragraphs 10 and 11, of the Paris Agreement	<a href="https://unfccc.int/documents/182087">https://unfccc.int/documents/182087</a>
SBI	Development of modalities and procedures for the operation and use of a public registry referred to in Article 7, paragraph 12, of the Paris Agreement	<a href="https://unfccc.int/documents/182111">https://unfccc.int/documents/182111</a>

<i>Negotiation body</i>	<i>Negotiation item</i>	<i>Link to outcome</i>
<b>SBSTA and SBI jointly</b>	Matters referred to in paragraphs 41, 42 and 45 of decision 1/CP.21	<a href="https://unfccc.int/documents/77091">https://unfccc.int/documents/77091</a>
<b><i>Matters relating to Article 9 of the Paris Agreement and paragraphs 52–64 of decision 1/CP.21</i></b>		
<b>SBI</b>	Identification of the information to be provided by Parties in accordance with Article 9, paragraph 5, of the Paris Agreement	<a href="https://unfccc.int/documents/182115">https://unfccc.int/documents/182115</a>
<b>SBSTA</b>	Modalities for the accounting of financial resources provided and mobilized through public interventions in accordance with Article 9, paragraph 7, of the Paris Agreement	<a href="https://unfccc.int/documents/182090">https://unfccc.int/documents/182090</a>
<b>APA</b>	Preparing for the convening of the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement / matters relating to the Adaptation Fund	<a href="https://unfccc.int/documents/182117">https://unfccc.int/documents/182117</a>
<b><i>Matters relating to Article 10 of the Paris Agreement and paragraphs 66–70 of decision 1/CP.21</i></b>		
<b>SBI</b>	Development and transfer of technologies: scope of and modalities for the periodic assessment of the Technology Mechanism in relation to supporting the implementation of the Paris Agreement	<a href="https://unfccc.int/documents/181801">https://unfccc.int/documents/181801</a>
<b>SBSTA</b>	Technology framework under Article 10, paragraph 4, of the Paris Agreement	<a href="https://unfccc.int/documents/182114">https://unfccc.int/documents/182114</a>
<b><i>Matters relating to Article 13 of the Paris Agreement and paragraphs 84–98 of decision 1/CP.21</i></b>		
<b>APA</b>	Modalities, procedures and guidelines for the transparency framework for action and support referred to in Article 13 of the Paris Agreement	<a href="https://unfccc.int/documents/182088">https://unfccc.int/documents/182088</a>
<b><i>Matters relating to Article 14 of the Paris Agreement and paragraphs 99–101 of decision 1/CP.21</i></b>		
<b>APA</b>	Matters relating to the global stocktake referred to in Article 14 of the Paris Agreement	<a href="https://unfccc.int/documents/182097">https://unfccc.int/documents/182097</a>
<b><i>Matters relating to Article 15 of the Paris Agreement and paragraphs 102 and 103 of decision 1/CP.21</i></b>		
<b>APA</b>	Modalities and procedures for the effective operation of the committee to facilitate implementation and promote compliance referred to in Article 15, paragraph 2, of the Paris Agreement	<a href="https://unfccc.int/documents/182082">https://unfccc.int/documents/182082</a>
<b><i>Possible additional matters relating to the implementation of the Paris Agreement</i></b>		
<b>APA</b>	Further matters related to implementation of the Paris Agreement: preparing for the convening of the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement / possible additional matters <sup>a</sup>	<a href="https://unfccc.int/documents/182077">https://unfccc.int/documents/182077</a>

*Abbreviations:* APA = Ad Hoc Working Group on the Paris Agreement, CMA = Conference of the Parties serving as the meeting of the Parties to the Paris Agreement, SBI = Subsidiary Body for Implementation, SBSTA = Subsidiary Body for Scientific and Technological Advice.

<sup>a</sup> Different views have been expressed by Parties on whether the possible additional matters should be added to the Paris Agreement work programme for the first session of the CMA. The possible additional matters are: (1) modalities for biennially communicating finance information on the provision of public financial resources to developing countries in accordance with Article 9, paragraph 5, of the Paris Agreement; (2) initial guidance by the CMA to the operating entities of the Financial Mechanism (the Green Climate Fund and the Global Environment Facility) under Article 9, paragraph 8, of the Paris Agreement and decision 1/CP.21, paragraphs 58 and 61–63; (3) initial guidance by the CMA to the Least Developed Countries Fund and the Special Climate Change Fund in accordance with decision 1/CP.21, paragraph 58; (4) guidance by the CMA on the adjustment of existing nationally determined contributions under Article 4, paragraph 11, of the Paris Agreement; and (5) setting a new collective quantified goal on finance in accordance with decision 1/CP.21, paragraph 53.