

**Policy approaches and positive incentives on issues relating to reducing emissions from deforestation and forest degradation in developing countries; and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries  
(Agenda item 3 (b) (iii))**

**Informal note**

*In progressing their work, Parties may wish to further explore and elaborate on the following framing elements and questions, add to them, or remove from them, as appropriate:*

**Framing elements**

- Reference to relevant decisions;
- Other references as needed.

**Guiding principles**

- Environmental integrity.
- Transparency.
- Respect for national sovereignty.

**Enabling conditions**

- Adequate and scaled-up financing for phases 1 and 2 is critical to ensure implementation of phase 3.
- Strengthening policy, legislative and institutional frameworks, including addressing drivers.
- Transparent and effective governance, including fiduciary arrangements.
- Elements identified in 1/CP.16, paragraph 71 to be developed, such as forest reference emission levels/ forest reference levels, a national strategy or action plan, a national forest monitoring system, system for providing information on how safeguards are being addressed and respected.
- Importance of addressing and respecting safeguards.
- Addressing risks associated with investments in results-based REDD-plus actions.
- Additional enabling conditions?

**Signal**

- Type of signal necessary to trigger the scaling up of financing of and investments in results-based REDD-plus actions?
- Higher emission reduction ambitions by developed countries and price of carbon necessary to incentivize scaling up of financing of and investments in results-based REDD-plus actions?
- Further work to be referred to the subsidiary bodies?

**Additional issues requiring further exploration:**

- What is meant by “results-based” actions? How to advance the understanding of what the “plus”-part of REDD-plus activities entail?

- What types of institutional arrangements need to be established (e.g. national and international REDD+ registries, review or regulatory body)? What guidance is needed from the UNFCCC process for such arrangements?
- Credit for early action?
- Should the process provide guidance on private sector participation ? How to ensure and catalyze their involvement?
- Whether results-based REDD-plus payments should go beyond carbon benefits to include non-carbon benefits?
- How to address links between REDD-plus financing and other finance-related issues, such as:
  - Green Climate Fund (GCF) – specialized REDD-plus window?
  - Finance, including long-term finance (LTF).
  - Framework for various approaches.
  - New market mechanism.
- Further clarification on how the Joint Mitigation and Adaptation Mechanism can be elaborated as part of consideration of non-market approaches?

#### **Elements for Doha decision**

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