

## Background paper on the organization of SCF work in 2016

### I. Background

At the 21<sup>st</sup> Conference of the Parties (COP 21), Parties welcomed the report of the Standing Committee on Finance (SCF) and endorsed its workplan (6/CP.21), as provided in its report. In addition, further guidance was provided to it in other relevant decisions of COP21, including but not exclusively through the Paris Agreement. Annex II to this document identifies these tasks and activities, the relevant documents, and the timelines for these various areas of work as mandated by COP 21, as well as from previous COP decisions.

### II. Objective

This document outlines a possible approach to the work of the SCF in 2016. The SCF may wish to consider this information with the aim of agreeing on an overall approach to its work implemented through: distribution of work and working modalities (see section III below, Annex I with a proposed workflow chart, and Annex II containing a proposed timeline and approach for meetings and inter-sessional work); an approach to outreach and communications (see section IV below and Annex III containing a draft approach to outreach and communications); and linkages with the thematic bodies of the Convention and the Subsidiary Body for Implementation (see section V below and Annex IV mapping the work of other thematic bodies and overlaps with SCF).

### III. Distribution of work and working modalities of the Standing Committee on Finance in 2016

The chart presented in Annex I below provides an overview of a possible approach for the distribution of work throughout 2016, including the proposed provisional agendas of the meetings of the SCF and the inter-sessional work. Additionally, various proposals with regards to working modalities in order to assist the SCF in meeting all deliverables by COP 22 are outlined below:

- a) The SCF may wish to focus discussions during the meetings of the SCF at a higher level instead of technical details, focusing on providing guidance on technical work to be undertaken inter-sessionally, as well as specific recommendations to be forwarded to the COP;
- b) Based on such overall guidance, the SCF may wish to focus the inter-sessional work of the SCF on technical work, which may be conducted by working groups as appropriate. The working groups would be composed of SCF members who have signed-up based on their specific areas of expertise and interest and will be facilitated by one or two members of the SCF with the support of the secretariat. Annex II contains a list of mandated work areas and in the last column a section to identify members of such working groups. SCF members are encouraged to review the list and indicate which working group they are interested to join, and to identify co-facilitators as appropriate;
- c) To increase the overall time management during its meeting, the SCF may also wish to agree that, in case that a specific agenda item was: a) introduced during the previous meeting, b) significant work had been conducted inter-sessionally, and c) further work would need to be taken forward in a breakout group during this meeting, the specific agenda item would not have to be introduced in a formal plenary setting. Should this be the case, the work of the breakout group could be resumed immediately without such a formal introduction in plenary. This would give more time for technical work to be taken forward in breakout groups during SCF meetings, and allow more time for plenary sessions for the report back of breakout groups during which the agenda item could either be closed by the Committee, or high-level guidance could be provided to the working group for the continuation of work on an inter-sessional basis;
- d) In terms of workload management, it is expected that the SCF will have a high workload between the 12th and the 13th meeting (April – July/August (tbc) 2016), but also between the 13th and the 14th meeting (July/August (tbc) – September (tbc) 2016).



**IV. Outreach and Communication**

As in previous years, and in light of the nature of many of the tasks the SCF will conduct in 2016, the SCF may wish to update its approach to outreach and communications in order to facilitate its technical work and its overall outreach and communications with stakeholders involved in climate finance within and outside the Convention. An updated draft mapping of areas for outreach and collaboration, outlining the specific approach per respective activity and task of the SCF in 2016 is contained in Annex III of this document which the SCF may wish to take into consideration. In addition, it is proposed for the SCF to organize a side event during the forty-fourth session of the Subsidiary Bodies (SB) in order to allow the SCF to provide Parties and other interested stakeholders with a general overview of the status of the implementation of its work plan.

**V. Maintaining linkages with the thematic bodies of the Convention and the Subsidiary Body for Implementation (SBI)**

In line with its mandate to maintain linkages with the thematic bodies of the Convention and the Subsidiary Body for Implementation (SBI), and in light of the manifold synergies and linkages between the thematic bodies, particularly due to recent developments emanating from the evolving Convention institutional architecture based on the outcomes of the Paris Agreement, the SCF may wish to consider its approach towards collaboration with the various thematic bodies of the Convention. Annex IV of this documents on the work of the thematic bodies of the Convention and their potential cooperation with the Standing Committee on Finance in 2016, outlines the mandates of the various bodies and recent developments, areas of overlaps/synergies with activities of the SCF in 2016, and the proposed approach for collaboration with the various thematic bodies of the Convention, which the SCF may wish to take into consideration.

Annex I

**Timeline and proposed approach for meetings and inter-sessional work**

12<sup>th</sup> meeting (April)

- Election of officers
- Consideration of long-term finance issues (LTF)
- Coherence and coordination: financing for forests
- 2016 Biennial Assessment and overview of climate finance flows (BA)
- MRV of support beyond the BA
- 2016 Forum
- Draft guidance to the operating entities (OEs)
- Linkages with the SBI and the thematic bodies of the Convention

Outcomes

- *Agreement on approach to LTF and financing for forests related matters*
- *Scope, venue, outreach activities of the Forum*
- *Work plan for MRV of support*
- *Review of BA zero order draft, guidance for inter-sessional work*
- *ToRs for work on compilation and analysis of past guidance / identification of core guidance / frequency of guidance*
- *SCF communication strategy 2016*

13<sup>th</sup> meeting (July/August tbc)

- *Second BA*
- *2016 Forum*
- *Guidance to the OEs*
- *2017 Forum*

Outcomes

- *Review of BA consolidated draft, guidance for inter-sessional work*
- *Finalization of programme for 2016 Forum*
- *Finalization of work on compilation and analysis of past guidance provided to the OEs / identification of a set of core guidance*

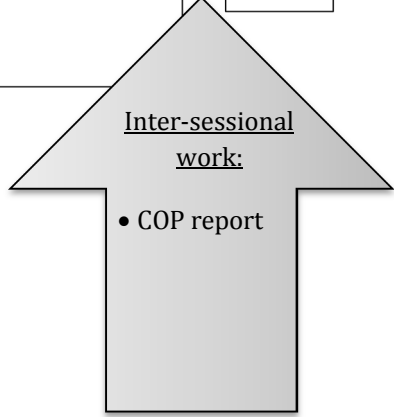
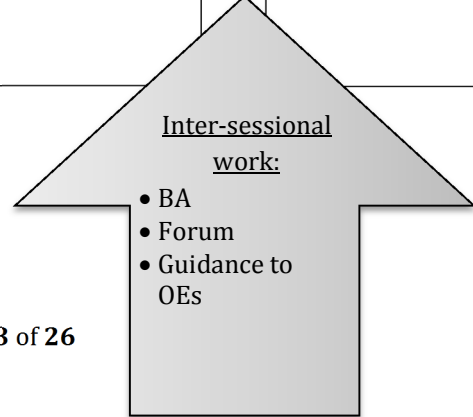
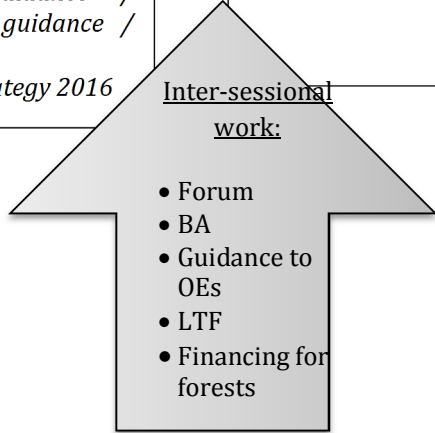
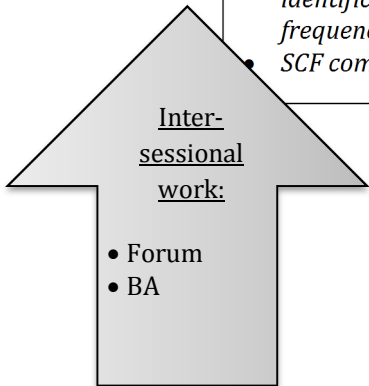
14<sup>th</sup> meeting (Sept/Oct tbc)

- Report on the 2016 Forum
- Second BA
- MRV of support
- 2017 Forum
- Guidance to the OEs
- Coherence and coordination: financing for forests
- SCF report to COP 21

Outcomes

- *Outline of the SCF report*
- *2016 Forum report*
- *Finalization of 2016 BA summary, clearance of technical report*
- *Draft guidance to OEs*
- *Theme for 2017 Forum*
- *Recommendations potentially on: financing for forests, LTF;*
- *SCF 2017 work plan*

22<sup>th</sup> session of the Conference of Parties, COP 22



## Annex II

## Relevant decisions and timeline of SCF work in 2016

Mandated SCF activity	Background and Reference Documents	SCF Outputs/Deliverables 2016	Timeline for SCF work including inter-sessional work	Submissions by Parties and others	Expected SCF inputs to SB44/45, COP22, other	SCF members interested in this task
<p><b>LONG-TERM CLIMATE FINANCE (LTF)</b>            Invitation to the thematic bodies under the Convention, in particular the SCF, the AC and the TEC, where appropriate, to consider the long-term finance issues referred in decision 3/CP.19, paragraph 12 when implementing their 2015–2016 workplans, as an input to the in-session workshops referred to in paragraph 12 of decision 5/CP.20</p>	<p>Long-term climate finance Decision 5/CP.20, para. 14            Long-term climate finance Decision 3/CP.19, para. 12</p>	<p>SCF to decide on nature of SCF input into in-session workshop</p>	<p>SCF 12: Plenary discussion at SCF12 on nature of SCF input            SCF 14: Recommendations to COP 22 as appropriate             SB 44: SCF participation in LTF in-session workshop</p>	<p>Biennial submissions by developed country Parties on their updated strategies and approaches for scaling up climate finance from 2014 to 2020, including any available information on quantitative and qualitative elements of a pathway            Compilation and synthesis of the biennial submissions on the strategies and approaches</p>	<p>Input into the in-session workshop on long term finance (2016-2017 workplan)             Information on the implementation of its 2016 workplan, including on LTF, to be included in report of the SCF to COP 22             Recommendations to COP 22 as appropriate</p>	
<p><b>COHERENCE AND COORDINATION: LINKAGES WITH SBI AND THE THEMATIC BODIES OF THE CONVENTION</b>             Organization of an SCF side event in conjunction with a UNFCCC conference session in 2016, to facilitate the interactions among the financing entities providing forest finance (FCCC/CP/2015/8, Annex II, para 55; Annex X workplan item 3)             SCF to consider the issue of financing for forests, taking into account different policy approaches</p>	<p>Report of the SCF to COP 21 (FCCC/CP/2015/8, Annex II, para 55)             Report of the SCF to the COP Decision 7/CP.19, para.10–11             Report of the SCF to the COP Decision 6/CP.20, para. 5             Modalities and procedures of the Climate Technology Centre and Network and its</p>	<p>SCF Side event during SB 44 session (May)             Participation in the second meeting of the Excom (2-5 February) in the context of the 2016 Forum             Participation in the CTCN-AB as a SCF representative             Participation in the</p>	<p>SCF 12: Consideration of Coherence and coordination: Financing for forests, taking into account different policy approaches            SCF 14: Recommendations to COP 22 as appropriate             SCF side-event at SB 44</p>	<p>n.a.</p>	<p>Information on the outcomes of the meetings and interactions with other bodies to be included in the SCF report to COP 22             Recommendations to COP 22 as appropriate</p>	

Mandated SCF activity	Background and Reference Documents	SCF Outputs/ Deliverables 2016	Timeline for SCF work including inter-sessional work	Submissions by Parties and others	Expected SCF inputs to SB44/45, COP22, other	SCF members interested in this task
<p>SCF to further enhance its linkages with the SBI and the thematic bodies of the Convention</p> <p>Invitation to the SCF to further strengthen its engagement with all relevant stakeholders and bodies of the Convention</p> <p>One of the Co-Chairs or a member designated by the Co-Chairs to represent the SCF in order to become a member of CTCN-AB</p> <p>A member to represent the SCF in order to participate in the Adaptation Committee's task force on NAPs</p>	<p>Advisory Board Decision 25/CP.19, Annex II, para.3(e)</p> <p>Work of the Adaptation Committee Decision 16/CP.19, para.1(d)</p>	<p>AC task force on NAPs as a SCF representative</p> <p>Meetings/interaction of the Co-Chairs with other Chairs of the bodies (TEC, AC etc.)</p>				
<p><b>FORUM</b></p> <p>Organize a forum for the communication and exchange of information among bodies and entities dealing with climate change finance in order to promote linkages and coherence (2/CP.17, para 121)</p> <p>Invitation to the SCF to dedicate its 2016 Forum to financial instruments that address the risks of loss and damage associated with the adverse effects of climate change</p>	<p>The COP endorsed 2016-2017 workplan of the SCF (FCCC/CP/2015/8, Annex X and 6/CP.21, para 3)</p> <p>Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts Decision 2/CP.20, para. 1 (Initial two-year workplan of the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts in accordance with decisions 3/CP.18 and 2/CP.19, Action Area 7)</p>	<p>Fourth in-person Forum to take place in second half of 2016</p> <p>Report on the Forum to be completed by last meeting of the SCF</p> <p>Means for continued exchange of information on the virtual Forum to be updated before and after the Forum</p>	<p>Summary of informal consultations which took place at COP21 (completed)</p> <p>SCF12/13: Agreement on venue/scope/programme/concept note, etc.</p> <p>SCF 14: Summary of the report from the Forum in the SCF Report to the COP to be agreed, including recommendations as appropriate</p> <p><i>Inter-sessional</i> work by the co-facilitators and others interested</p>	<p>Invitation for inputs open on the SCF website to end of Feb</p>	<p>Report on the forum to be included in the SCF report to the COP 22</p> <p>Recommendations to COP 22 as appropriate</p>	<p>Co-facilitators: Richard Sherman, Stephan Kellenberg</p>

Mandated SCF activity	Background and Reference Documents	SCF Outputs/ Deliverables 2016	Timeline for SCF work including inter-sessional work	Submissions by Parties and others	Expected SCF inputs to SB44/45, COP22, other	SCF members interested in this task
<p><b>SECOND BIENNIAL ASSESSMENT AND OVERVIEW OF CLIMATE FINANCE FLOWS</b></p> <p>SCF to prepare a biennial assessment and overview of climate finance flows, to include information on the geographical and thematic balance of such flows (Decision 2/CP.17, para 121 (f))</p> <p>SCF to consider relevant work by other bodies and entities on MRV of support and the tracking of climate finance (Decision 1/CP.18, para 71)</p> <p>SCF to consider ways of strengthening methodologies for reporting climate finance (Decision 5/CP.18, para 11)</p> <p>SCF to consider ongoing technical work on operational definitions of climate finance, to assess how adaptation and mitigation needs can most effectively be met by climate finance and to include the results in its report to the COP (Decision 3/CP.19, para 11)</p> <p>Invitation to the SCF, in its next biennial assessment and overview of climate finance flows, to include information on financial instruments that address the risks of loss and damage associated with the adverse effects of climate change (Decision 2/CP.20, para 1 (Initial two-year workplan of the</p>	<p>SCF report to COP 20 (FCCC/CP/2014/5)</p> <p>2014 biennial assessment and overview of climate finance flows report</p> <p>Relevant information from 2014 and 2015 Forums of the SCF</p> <p>Technical paper summarizing existing international methodologies and drawing on relevant information contained in submissions from Parties included in Annex I to the Convention, as referred to in decision 2/CP.17, para. 17, on their experience with reporting the first biennial reports, the submissions referred to in para. 2 of decision 11/CP.20, information submitted by Parties on appropriate methodologies and systems used to measure and track climate finance, as referred to in decision 5/CP.18, para. 10</p>	<p>Second biennial assessment and overview of climate finance flows to be concluded in 2016</p>	<p>SCF 12: Review of BA zero order draft, guidance for inter-sessional work</p> <p>SCF 13: Review of BA consolidated draft, guidance for inter-sessional work</p> <p>SFC 14: Finalization of summary and recommendations as appropriate</p> <p><i>Inter-sessional work by the working group of the SCF</i></p>	<p>Relevant information contained in national reports, including, inter alia, the Biennial Reports and Biennial Updated Reports submitted under the Convention in the period 2014-2016</p> <p>Views of Parties and observer organizations on the methodologies for the reporting of financial information, as referred to in decision 2/CP.17, para.19 by 25 March 2015</p> <p>National Communications and first Biennial Update Reports by Non-Annex I countries to be submitted by December 2014 (available at: &lt;<a href="http://unfccc.int/2716.php">http://unfccc.int/2716.php</a>&gt;</p>	<p>Summary and Recommendations by the SCF on the second biennial assessment and overview of climate finance flows to be included in the report of the SCF to COP 22</p>	<p>Co-facilitators: Outi Honkatukia, Seyni Nafa</p>

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<p>Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts in accordance with decisions 3/CP.18 and 2/CP.19, Action Area 7))</p> <p>In the context of its ongoing work, including the preparation of the biennial assessment and over view of climate finance flows, to further explore how it can enhance its work on the MRV of support, based on best available information on the mobilization of various resources, including private and alternative resources, through public interventions (Decision 6/CP.20, para 11)</p>						
<p><b>MRV BEYOND THE BA</b></p> <p>SCF to consider ways to increase its work on the MRV of support beyond the biennial assessment</p> <p>As part of its ongoing work on MRV of support, and with a view to recommending improvements to the methodologies for reporting financial information, SCF to consider the findings and recommendations of the biennial assessment in its annual report to COP 21</p> <p>In the context of its ongoing work, including the preparation of the biennial assessment and over view</p>	<p>The COP endorsed 2016-2017 workplan of the SCF (FCCC/CP/2015/8, Annex X and 6/CP.21, para 3)</p> <p>Report of the SCF to COP 21 (FCCC/CP/2015/8, annex VII)</p> <p>Report of the SCF to COP (Decision 7/CP.19, para 9)</p> <p>Report of the SCF to the COP Decision 6/CP.20, para 10-12</p> <p>Methodologies for the reporting of financial information by Parties</p>	<p>Recommendations to be contained in the SCF report to the COP</p>	<p>SCF 12: Agreement on MRV of support workplan</p> <p>SCF 14: Agreement on recommendations as appropriate</p> <p><i>Inter-sessional</i> work by a working group of the SCF</p>	<p>Compilation of Parties' and observer organizations' submissions containing views on the methodologies for the reporting of financial information, as referred to in decision 2/CP.17, para. 19 (Decision -/CP.20, para. 2)</p>	<p>Recommendations to be included in report of the SCF to COP 22</p> <p>Information on progress made in the implementation of its 2016-17 workplan to be included in report of the SCF to COP 22</p>	<p>Co-facilitators: Outi Honkatukia, Seyni Nafo</p>

Mandated SCF activity	Background and Reference Documents	SCF Outputs/ Deliverables 2016	Timeline for SCF work including inter-sessional work	Submissions by Parties and others	Expected SCF inputs to SB44/45, COP22, other	SCF members interested in this task
<p>of climate finance flows, to further explore how it can enhance its work on the MRV of support, based on best available information on the mobilization of various resources, including private and alternative resources, through public interventions</p> <p>SCF to include, in its report to COP 21, information on progress made in the implementation of its 2015 workplan, taking into account paras. 4–7 of decision -/CP.20 on methodologies for the reporting of financial information by Parties included in Annex I to the Convention</p> <p>SCF, as a part of its work on MRV of support beyond the biennial assessment and overview of climate finance flows, taking into consideration the outcomes of the joint in-session technical workshop referred to in para. 4 of decision -/CP.20, to include its recommendations on the methodologies for the reporting of financial information, as referred to in decision 2/CP.17, para. 19, in its annual report to COP 21</p>	<p>included in Annex I to the Convention Decision 11/CP.20, para 6</p> <p>Agreement: Relevant information contained in decision 1/CP.21 and Articles 9, 13, and 14 of the Paris</p> <p>Technical paper summarizing existing international methodologies (FCCC/TP/2015/2)</p> <p>Views on methodologies for the reporting of financial information referred to in decision 2/CP.17, para 19 (FCCC/SBSTA/2015/MISC.3 and Add.1)</p> <p>Summary of the joint in-session technical workshop referred to in para 4 of decision 11/CP.20</p> <p>Relevant information contained in submissions from Parties included in Annex I to the Convention, as referred to in decision 2/CP.17, para. 17, on their experience with reporting the first biennial reports, the submissions referred to in para. 2 of decision</p>					



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	11/CP.20, information submitted by Parties on appropriate methodologies and systems used to measure and track climate finance, as referred to in decision 5/CP.18, para. 10, and the work of the SCF on the 2014 biennial assessment and overview of climate finance flows					
<p><b>DRAFT GUIDANCE TO GEF/GCF</b></p> <p>SCF to provide draft guidance to the OEs of the FM, with a view to improving consistency and practicality of such guidance, taking into account annual reports and submissions from Parties (2/CP.17)</p> <p>SCF to provide draft guidance to the GEF at each COP, based on the annual report of the GEF to the COP (9/CP.18, para.9) and to the FM (2/CP.17)</p> <p>SCF to take into consideration the submissions when providing draft guidance to the GEF (8/CP.21 para 8) and to the GCF for consideration by COP 22 (7/CP.21, para 29)</p> <p>COP 20 (6/CP.20, para 19) endorsed the recommendations on the provision of guidance to the operating entities provided in paragraph 10 of the report of the SCF to COP 20 (FCCC/CP/2014/5):</p>	<p>Reports of the GEF and of the GCF to be produced for COP22</p> <p>Miscellaneous document of submissions provided by Parties containing views and recommendations to be taken into account in developing guidance to the GEF and to the GCF</p> <p>SCF Background documents prepared for previous meetings, including compendium of past guidance and compilation and analysis of past guidance provided</p> <p>Submissions by SCF members</p> <p>Inputs from other bodies under the Convention such</p>	<p>SCF draft guidance to the OEs of the FM to be contained in the SCF Report to COP22</p> <p>SCF recommendations based on compilation and analysis of past guidance and on draft set of core guidance to be contained in the SCF report to COP 22 as appropriate</p> <p>SCF advice on the issue of frequency of guidance to the FM as appropriate, to be contained in the SCF report to COP22</p>	<p>SCF12: To decide on an approach to finalizing the compilation and synthesis of past guidance, to the identification of a draft set of core guidance, frequency of guidance and draft guidance</p> <p>SCF13: Finalization of work on compilation, on frequency and on draft set of core guidance</p> <p>SCF14: Finalize the provision of draft guidance to the OEs to COP 22</p> <p><i>Intersessional</i> work by a working group of the SCF on the issue of compilation and analysis of past guidance, on identification of draft set of core guidance, and on frequency of guidance as appropriate</p>	<p>Submissions by Parties 10 weeks prior to COP 22 on views and recommendations on elements to be taken into account in guidance to the GEF (Decision 8/CP.21, para.15)</p> <p>Possible inputs to the SCF by the AC and the TEC</p> <p>Submissions by SCF members</p>	<p>Recommendations to be included in report of the SCF to COP 22</p>	<p>Co-facilitators: Jozef Buys and Diann Clack-Layne</p>

Mandated SCF activity	Background and Reference Documents	SCF Outputs/ Deliverables 2016	Timeline for SCF work including inter-sessional work	Submissions by Parties and others	Expected SCF inputs to SB44/45, COP22, other	SCF members interested in this task
<p>compilation and analysis of past guidance, core guidance, collaboration between the SCF and the thematic bodies, and complementarity between the operating entities and the funds they administer.</p> <p>SCF requested to provide advice on the issue of the frequency of guidance to the FM and to report back to COP 21 (6/CP.20, para 20)</p>	as AC, TEC, etc.					
<p><b>REPORT TO COP</b></p> <p>SCF shall meet at least twice a year and submit a report to the COP</p>	<p>Report of the SCF to COP Decision 6/CP.21 para 8</p> <p>SCF meeting reports</p>	To be agreed by the SCF at its last meeting in 2016	SCF 14	n.a.	Report of the SCF to the COP 22	
<p><b>REVIEW OF THE FUNCTIONS OF THE STANDING COMMITTEE ON FINANCE</b></p> <p><i>COP21 requests the members of the SCF and invites Parties and observer organizations to submit their views on the terms of reference for the review of the functions of the Standing Committee on Finance by 21 September 2016</i></p>	<p>Decision 6/CP.21, para 6</p> <p>COP decides to conduct the review of the functions of the SCF no later than COP 23 (Decision 6/CP.20, para. 23.)</p>	<p>TORs to be developed at SBI 45 (Nov. 2016)</p> <p>Review to be conducted no later than COP 23</p>	n.a.	<p>Submissions by SCF members, Parties and observer organizations, on the TORs</p> <p>Compilation into a MISC document for COP 22</p>	n.a.	n.a.
<p><b>ADOPTION OF THE PARIS AGREEMENT DECISION 1/CP.21</b></p> <p><b>Para 45:</b> Requests the Adaptation Committee and the Least Developed Countries Expert Group, in collaboration with the Standing Committee of Finance and other relevant institutions, to develop methodologies, and make</p>	Decision 1/CP.21					

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<p>recommendations for consideration and adoption by CMA1 on: (a) Taking the necessary steps to facilitate the mobilization of support for adaptation in developing countries in the context of the limit to global average temperature increase referred to in Article 2 of the Agreement; (b) Reviewing the adequacy and effectiveness of adaptation and support referred to in Article 7, paragraph 14(c), of the Agreement.</p> <p><b>Para 63:</b> Decides that the SCF shall serve the Paris Agreement in line with its functions and responsibilities established under the COP</p> <p><b>Para 109:</b> COP 21 resolves to strengthen, in the period 2016–2020, the existing technical examination process on mitigation as defined in decision 1/CP.19, paragraph 5(a), and decision 1/CP.20, paragraph 19, taking into account the latest scientific knowledge, including by: (a) Encouraging Parties, Convention bodies and international organizations to engage in this process, including, as appropriate, in cooperation with relevant non-Party stakeholders, to share their experiences and suggestions, including from regional events, and to cooperate in facilitating the</p>						

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implementation of policies, practices and actions identified during this process in accordance with national sustainable development priorities;						
<p><b>DECISION 14/CP.21, PARA 8 (CAPACITY BUILDING UNDER THE CONVENTION)</b></p> <p>Invites representatives of the relevant bodies established under the Convention, OEs of the FM, [...] to integrate into their work programmes and activities the lessons learned at, and the main outcomes of the meetings of the Durban Forum</p>	Agenda and Report of the Durban Forum on Capacity Building	To be decided	SCF12: The issue is captured in the draft strategy. The SCF may want to identify its participation strategy.		n.a.	TBD

**Annex III**

**Draft approach to outreach and communications of the SCF in 2016**

Mandate/element(s) of work	Internal Collaborations	External collaborations
<b><i>The preparation of the 2016 Biennial Assessment and Overview of Climate Finance flows</i></b>		
<p>At COP 17, Parties mandated the SCF to prepare a biennial assessment and overview of climate finance flows (BA), to include information on the geographical and thematic balance of such flows, drawing on available sources of information, including national communications and biennial reports (BRs) of both developed and developing country Parties, information provided in the registry, information provided by Parties on assessments of their needs, reports prepared by the operating entities of the Financial Mechanism, and information available from other entities providing climate change finance (decision 2/CP.17, para 121 (f)).</p>	<p><i>Synergies with the thematic bodies, expert groups &amp; processes, and proposed areas of collaboration</i></p> <p><i>Outreach and communications</i></p> <p>The SCF intends to reach out to the Executive Committee (ExCom) of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts and to the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention (CGE). The collaboration is planned to include participation of members of ExCom and CGE at the meetings of the SCF, the technical meetings, and webinars, and soliciting their input during the mapping of estimates and data collection processes.</p> <p><i>Responsibilities</i></p> <p>The BA working group, with the support from the secretariat, would lead the necessary outreach and communication activities.</p>	<p><i>Stakeholders, and proposed areas of collaboration</i></p> <p>The preparation of the second BA involves collecting data from external sources of information. Therefore, continued interaction with these sources will be an important feature of the work for the preparation of the second BA.</p> <p>In line with established practice from the preparation of the first BA, the SCF may wish to set up an informal expert group drawing expertise from external contributors such as MDBs, international organizations, think-tanks and research institutions. The experts group would be invited to peer-review the methodologies, overview and assessment chapters.</p> <p>Depending on the scope of and the data needs for the 2016 BA, existing collaborations should be sustained and supplemented by new collaborations. Three types of external collaborations could be considered:</p> <ul style="list-style-type: none"> <li>• Continued interaction with the BA contributors;</li> <li>• Engagement with stakeholders from developing countries who monitor climate finance, e.g. by organizing a dedicated in-person or virtual event for developing country experts; and</li> <li>• Engagement in a structured dialogue and collaborations with initiatives that produce and aggregate data on mobilized private finance through public interventions, and those that track broader climate related private finance flows (MDBs, IDFC, OECD, UN agencies, think tanks, private analysts etc.).</li> </ul> <p><i>Outreach and communications</i></p> <p>The SCF may wish to extend targeted invitations to external stakeholders to all SCF meetings and the BA related technical meetings as appropriate. Targeted invitations to SCF 12 and the subsequent 1<sup>st</sup> technical meeting have been sent to relevant stakeholders.</p> <p>Moreover, the SCF will organize side events and hold web-based activities to update Parties and the broader stakeholder groups on the progress made in the implementation of the work on the 2016 BA. Outreach activities will include:</p> <ul style="list-style-type: none"> <li>• Regular updates on the dedicated BA webpage including the creation of visuals on the page aimed at facilitating access to the financial information contained in the BA and other sources (BRs etc.);</li> <li>• A press conference to promote the release of the 2016 BA at COP 22,</li> </ul>

Mandate/element(s) of work	Internal Collaborations	External collaborations
		<p>with participation of the UNFCCC Executive Secretary, the SCF's co-chairs and members, and the BA contributors;</p> <ul style="list-style-type: none"> <li>• A side event during the 44<sup>th</sup> SBs session in May; the objective of this side event is to provide an update on the progress made and exchange notes with similar initiatives outside of the Convention;</li> <li>• A webinar in May (tbc) with relevant stakeholders to update on progress and to gather views on the BA report with the aim of informing the preparation of the final draft;</li> <li>• A webinar in October (tbc) to communicate the results of the BA to broader stakeholder groups.</li> </ul> <p><i>Responsibilities</i></p> <p>The BA working group, with the support from the secretariat, would lead the necessary outreach and communication activities.</p>
<b>Measurement, reporting, and verification of support beyond the Biennial Assessment and Overview of Climate Finance</b>		
<i>Note:</i> Information will be added after the SCF agrees on its approach to this subject matter in 2016.		
<b>2016 Forum of the Standing Committee on Finance</b>		
<p>In accordance with decision 2/CP.17 paragraph 121(a), the SCF organizes annual forums for the communication and continued exchange of information among bodies and entities dealing with climate change finance, in order to promote linkages and coherence.</p> <p>In line with action Area 7e) of the initial two-year work plan of the "Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts" (Executive Committee), the SCF decided at its 11th meeting to respond positively to the invitation to dedicate its 2016 forum to the theme of <b>financial instruments that address the risks of loss and damage associated with the adverse effects of climate change</b>; FCCC/SB/2014/4, annex II, action area 7.</p>	<p><i>Synergies with the thematic bodies, expert groups &amp; processes, and proposed areas of collaboration</i></p> <p>In the context of the forum, the SCF may wish to engage with the thematic bodies of the Convention by providing information on the forum early on and continuing to update these bodies throughout the year on the progress made. In this regard, close coordination and communication with the Executive Committee is considered particularly vital, as the Executive Committee will also be undertaking work on best practices, challenges and lessons learned from existing financial instruments that address the risks of loss and damage associated with the adverse effects of climate change, in line with its initial two-year workplan and action area 7d. The Executive Committee has issued a call for inputs<sup>1</sup> with the view to prepare an information paper based on the inputs received. Accordingly, the SCF may wish to use this as an input to the further work of the forum. In terms of the modalities for collaboration with the Executive Committee, the SCF may consider the below two options, at its 12th meeting:</p> <p>a) Taking a more <b>structured/institutionalised approach</b> for communication and collaboration, for example by inviting the Executive Committee to identify a focal point, who will actively participate in the</p>	<p><i>External institutions, and proposed areas of collaboration</i></p> <p>Since its inception, the SCF has sought to engage with external stakeholders and expert institutions, which have brought invaluable perspectives and expertise to the work of the SCF, in particular to the annual forums. In the context of the 2016 forum, the SCF may wish to reach out to relevant institutions, including risk insurance facilities, research institutes, donor agencies, development banks, UN- and international organisations, and civil society with a view to enhance participation and to encourage knowledge-sharing. The SCF may wish to consider establishing partnership(s) with specific institutions in the preparations of the forum. These could contribute to the substantive work and act as intermediaries to further reach out to experts from developing countries and the private sector while making best use of existing networks and technical expertise.</p> <p>Furthermore, partnership may be foreseen in the context of a potential host organisation/event for the forum. A mapping of the different options for possible host institutions/events is prepared in document SCF/2016/12/5 for consideration by the SCF at its 12th meeting.</p> <p><i>Outreach and communications</i></p> <p>The SCF website and the virtual forum website continue to be an important tool and communication channel to make relevant information publicly available,</p>

<sup>1</sup> Available at: <http://unfccc.int/9073.php>.

Mandate/element(s) of work	Internal Collaborations	External collaborations
	<p>deliberations of the SCF on the forum and also serve as a channel for communication between the two Committees. This approach may help to foster a coordinated management of communication/information flows.</p> <p>b) Taking a more <b>flexible approach</b> for collaboration with the Executive Committee on a demand-driven and ad-hoc basis, asking input from the Executive Committee, as appropriate.</p> <p><i>Outreach and communications</i></p> <p>This could be done, for instance, through cross-participation in respective meetings or sharing meeting reports and other relevant documents between the SCF and the respective thematic bodies under the Convention. Furthermore, thematic bodies could be invited for inputs on background documents. Finally, the SCF may consider inviting members from the Executive Committee and representatives from other thematic bodies to attend the forum itself, either actively as resource persons or as participants.</p> <p><i>Responsibilities</i></p> <p>Any efforts related to outreach and communication would be led by the working group of the SCF in general and the facilitators of this group in particular, supported by the secretariat in order to ensure close interaction and exchange of information.</p>	<p>particularly with regard to relevant material on the forum. The virtual forum will also serve as a platform for information and knowledge-sharing on the forum.</p> <p>Call for inputs could be considered by the SCF to receive additional expert advice from external stakeholders.</p> <p>Invitation to participation in SCF meetings could be issued to particular resource persons and experts relevant to the SCF forum, which could, inter alia, actively engage in technical discussions during the breakout group discussions on the forum.</p> <p>Live and on-demand webcasting and the use of virtual means of participation may be used to enhance participation and engagement in the forum. Furthermore, webinars, and/or a SCF side-event during SB 44 could also be considered to further engage with relevant organizations and to disseminate the outcomes of the forum.</p> <p><i>Responsibilities</i></p> <p>Any efforts related to outreach and communication would be led by the working group of the SCF in general and the facilitators of this group in particular, supported by the secretariat in order to ensure close interaction and exchange of information.</p>
<b><i>Draft guidance to the operating entities of the Financial Mechanism</i></b>		
<p>COP 20 endorsed the recommendations by the SCF on the provision of guidance to the OEs provided in para 10 of the report of the SCF to COP 20,<sup>2</sup> which contained the following proposed actions by the SCF:</p> <ul style="list-style-type: none"> <li>• Conduct an analysis of past guidance provided in order to identify a set of core guidance to serve as basis for the provision of future guidance in order to reduce redundancies, incoherence, and inconsistencies within the guidance provided to the operating entities;</li> <li>• Increase collaboration between the SCF and the thematic bodies of the</li> </ul>	<p><i>Synergies with the thematic bodies, expert groups &amp; processes, and proposed areas of collaboration</i></p> <p>As in 2016, the SCF may wish to closely interact with especially the Adaptation Committee (AC), the TEC, and possibly also with the Executive Committee of the Warsaw International Mechanism for Loss and Damage (ExCom), the Least Developed Countries Expert Group (LEG), and the CGE.</p> <p>Especially with regards to the provision of draft guidance to COP 22, close cooperation with the thematic bodies under the Convention will be necessary building on the experience made in and lessons learned from the year 2015 in this regard. The SCF also may wish to continue to engage in a more in-depth and timely discussion with the thematic bodies, also making use of cross participation in meetings of the bodies. See also document SCF/2016/12/8 for further information on this subject matter.</p> <p>In line with its recommendations to COP 20 with regards to the improvement of consistency and practicality of draft guidance provided, the</p>	<p><i>Stakeholders, and proposed areas of collaboration</i></p> <p>Close cooperation with representatives of the operating entities will be essential, especially with regards to the exercise of analyzing past guidance provided in order to identify a draft set of core guidance to serve as basis for the provision of future guidance, and also in light of providing draft guidance to COP 22.</p> <p>For the latter, it will be important to ensure that clarity can be provided and possible questions by SCF members can be addressed by representatives of the operating entities once the reports of the operating entities have been issued.</p> <p><i>Outreach and communications</i></p> <p>Close cooperation with the operating entities could be ensured by:</p> <ul style="list-style-type: none"> <li>• Invitation to meetings (in person as well as virtual participation);</li> <li>• Conference calls between the working group of the SCF and representatives of the operating entities as appropriate;</li> <li>• Call for inputs to be provided by the operating entities as appropriate, e.g.</li> </ul>

<sup>2</sup> FCCC/CP/2014/5.

Mandate/element(s) of work	Internal Collaborations	External collaborations
<p>Convention in the provision of draft guidance to the OEs;</p> <ul style="list-style-type: none"> <li>Consider the issue of complementarity between the OEs and the funds they administer when the GCF is operationalized.</li> </ul> <p>Furthermore, the SCF is to provide advice on the issue of the frequency of guidance to the Financial Mechanism of the Convention and to report back to COP 21 on this issue (decision 6/CP.20, paragraphs 19-20).</p>	<p>SCF may wish to continue its work the compilation and analysis of past guidance, as well as the identification of draft core guidance. It will be important to keep the thematic bodies informed about the progress of work, including also the outcome of this exercise, as it may have implications on the provision of future draft guidance.</p> <p><i>Outreach and communications</i></p> <p>It will be important to keep the thematic bodies informed about the work of the SCF on this matter. This could include:</p> <ul style="list-style-type: none"> <li>Invitation to meetings (in person as well as remote participation possible);</li> <li>Provision of update information subsequent to meetings;</li> <li>Liaison work with the thematic bodies, either via the working group facilitator or the SCF co-chairs.</li> </ul> <p>As done in 2015, it may be useful to provide clear terms of reference for inputs to be provided by the thematic bodies, so as to facilitate the provision of more targeted inputs by the thematic bodies. Clear information should be communicated about how the inputs received will be processed by the SCF, including clear timelines for the year 2016.</p> <p><i>Responsibilities</i></p> <p>This effort would be led by the working group of the SCF in general and the facilitators of this group in particular, supported by the secretariat in order to ensure close interaction and exchange of information.</p>	<p>based on specific questions for clarifications by SCF members.</p> <p>With regard to the increase of transparency of its work on draft guidance, the SCF may find a dedicated webpage a useful tool to increase the overall transparency of its work on this issue. Such a website could, inter alia, be an information repository for all relevant information, such as, for example, a compendium of past guidance provided to the OEs, or inputs received from the thematic bodies.</p> <p><i>Responsibilities</i></p> <p>This effort would be led by the working group of the SCF in general, and the facilitators of this group in particular, supported by the secretariat in order to ensure close interaction and exchange of information with representatives of the operating entities, as well as the creation and maintenance of a dedicated website.</p>
<b><i>Coherence and coordination: Financing for forests, taking into account different policy approaches</i></b>		
<p>The COP requested the SCF to consider, in its work on coherence and coordination, inter alia, the issue of financing for forests, taking into account different policy approaches (decision 7/CP.19).</p> <p>In 2016, the SCF agreed to undertake the following activities, to improve coherence and coordination of forest finance:</p> <ul style="list-style-type: none"> <li>An overview of forest finance flows in the 2016 biennial assessment and overview of climate finance flows;</li> <li>Consideration of reaching out to</li> </ul>	<p><i>Synergies with the thematic bodies, expert groups &amp; processes, and proposed areas of collaboration</i></p> <p><i>Outreach and communications</i></p> <p>With regard to the Voluntary Meeting of REDD+ focal points (VOLM), the SCF can be represented by individual members to present the ongoing work of the SCF on the issue of coherence and coordination of forest finance, including the outcomes of the third forum and its outreach efforts in 2016. The COP president is in charge of setting the agenda of this annual meeting and it is yet to be seen if and how the SCF will be invited to contribute to the discussion that will take place in May 2016.</p> <p><i>Responsibilities</i></p> <p>Any efforts related to outreach and communication would be led by the dedicated working group of the SCF in general, and the facilitator(s) of this group in particular, supported by the secretariat in order to ensure close interaction and exchange of information.</p>	<p><i>Stakeholders, and proposed areas of collaboration</i></p> <p>The SCF may wish to continue reaching out to entities financing REDD+ activities and other forest finance stakeholders to ensure the continuity of the fruitful discussions initiated at the third SCF forum in 2015. This may include outreach to entities financing REDD+ activities, including payments for results-based finance as referred to 1/CP.18, paragraph 29, and alternative approaches such as joint mitigation and adaptation approach.</p> <p>More specifically, for example, the SCF could conduct targeted outreach to present the work it has undertaken to date and the outcomes of 2015 SCF forum. On that basis, the SCF could further garner the views of forest finance experts on how to achieve enhanced coherence and coordination in forest finance and how the COP, SCF or the operating entities of the Financial Mechanism could play a role in this.</p> <p><i>Outreach and communications</i></p> <p>As agreed at the eleventh meeting of the SCF, the SCF will organize a side event at SB 44 in May, to facilitate the interactions among entities providing forest finance.</p>



Mandate/element(s) of work	Internal Collaborations	External collaborations
<p>entities financing the activities referred to in decision 1/CP.16, paragraph 70, and other relevant stakeholders working on forest finance to strengthen the coherence and coordination between the forestry sector and sectors that drive deforestation and forest degradation, and in the access to and delivery of support</p> <ul style="list-style-type: none"> <li>• Organization of an SCF side event in conjunction with a UNFCCC conference session in 2016, to facilitate the interactions among the financing entities providing forest finance</li> <li>• Consideration of the outcomes of the above-mentioned activities at SCF meetings, with a view to preparing SCF recommendations for COP 22 on, inter alia, draft guidance to the operating entities of the Financial Mechanism.</li> </ul>		<p>Participation by Parties and forest finance stakeholders, including representatives of the operating entities of the Financial Mechanism, should be encouraged. During SB 44, many forest finance stakeholders are expected to attend the third voluntary meeting on the coordination of support for REDD+ activities. Therefore, scheduling the side event in close proximity to the voluntary meeting will be useful to attract attention and increase the level of participation.</p> <p>Depending on the objectives of potential further outreach activities, the SCF may consider using further outreach modalities, such as representation of SCF members in relevant meetings and events or webinars.</p> <p><i>Responsibilities</i></p> <p>Any efforts related to outreach and communication would be led by the working group of the SCF in general and the facilitators of this group in particular, supported by the secretariat in order to ensure close interaction and exchange of information.</p>
<b>Long-term finance</b>		
<p>In accordance with decision 5/CP.20, paragraph 14, the following LTF issues should be considered by the SCF as it implements its 2016 workplan:</p> <ol style="list-style-type: none"> <li>a) Strategies and approaches for scaling up climate finance from 2014 to 2020 by developed country Parties;</li> <li>b) Cooperation on enhanced enabling environments and support for readiness activities; and</li> <li>c) Needs for support to developing countries from 2014 to 2020.</li> </ol> <p>These issues are almost identical with the topics of the in-session workshop on LTF in 2016, as mandated by</p>	<p><i>Synergies with the thematic bodies, expert groups &amp; processes, and proposed areas of collaboration</i></p> <p><i>Outreach and communications</i></p> <p>The SCF has been invited by the secretariat to provide inputs to the design and programme of the 2016 in-session LTF workshop, tentatively scheduled for May 19 during SB 44.</p> <p>In light of its 2016 workplan, the SCF may wish to provide its inputs to the LTF workshop by considering, but not limited to, the following questions:</p> <ol style="list-style-type: none"> <li>a. What can the SCF suggest with regard to enhancing transparency in adaptation finance tracking and reporting, in particular through its work on the second BA?</li> <li>b. In the context of the USD 100 billion goal, how can climate finance be further scaled up, particularly for adaptation, to better address the needs of developing countries?</li> </ol> <p>The secretariat invited the SCF to consider the following means for</p>	

Mandate/element(s) of work	Internal Collaborations	External collaborations
<p>5/CP.20, paragraph 13:</p> <p>a) Adaptation finance;</p> <p>b) Needs for support to developing country Parties;</p> <p>c) Cooperation on enhanced enabling environments and support for readiness activities.</p>	<p>providing its inputs, which are not mutually exclusive:</p> <p>(a) Provide written inputs on issues that are deemed relevant to the focus of the LTF workshop in 2016; they can be submitted to the secretariat and will be published and made available through the LTF webpage;</p> <p>(b) Participate at the workshop as a panel member to discuss on areas of work of the SCF or issues that are deemed relevant to the LTF discussions at the workshop;</p> <p>(c) Promote active participation of SCF members at the workshop.</p> <p><i>Responsibilities</i></p> <p>The SCF Co-Chairs will respond to the invitation by the secretariat after consulting with SCF members.</p>	

## Annex IV.

## Work of the thematic bodies of the Convention and their potential cooperation with the Standing Committee on Finance in 2016

Mandate	Main activities undertaken in 2015	Possible areas of cooperation with the SCF in 2016
<i><b>Adaptation Committee</b></i>		
<p>The Adaptation Committee (AC) is mandated to promote the implementation of enhanced action on adaptation in a coherent manner under the Convention, inter alia, through the following functions:</p> <p>a) Providing technical support and guidance to the Parties;</p> <p>b) Sharing of relevant information, knowledge, experience and good practices;</p> <p>c) Promoting synergy and strengthening engagement with national, regional and international organizations, centres and networks;</p> <p>d) Providing information and recommendations, drawing on adaptation good practices, for consideration by the COP when providing guidance on means to incentivize the implementation of adaptation actions, including finance, technology and capacity-building;</p> <p>e) Considering information communicated by Parties on their monitoring and review of adaptation actions, support provided and received.<sup>3</sup></p> <p>At COP 21, Parties welcomed a workplan of the AC for the period 2016–2018.<sup>4</sup> The AC further revised its 2016-2018 workplan to take into account the outcomes from COP21 with the overall aim of contributing to the global goal on adaptation as contained in Article 7.1 of the Paris Agreement, and the principles guiding adaptation action as contained in Article 7.5 of the Paris Agreement.</p> <p>The following activities included in the AC workplan for 2016 are of potential relevance to the SCF work:</p> <p>Overarching coherence:</p> <ul style="list-style-type: none"> <li>• Engage with representatives of the SCF and other thematic bodies and arrangements established under the Convention to discuss and agree upon collaborative activities; report on the progress at AC10</li> </ul>	<p>The AC implemented activities included in its 2015 workplan and additional mandated activities as follows:</p> <ul style="list-style-type: none"> <li>• Mapped mandates, workplans and/or decisions with adaptation relevance in order to identify areas of duplication, gaps and synergies and implemented activities to reduce duplication, address gaps and strengthen synergies.</li> <li>• Convened an expert meeting on promoting livelihoods and economic diversification, 7-8 September, Bonn.</li> <li>• Convened a workshop on the means of implementation for enhanced adaptation action 2-4 March, Bonn, which focused on adaptation finance.<sup>5</sup></li> <li>• Prepared with input from, inter alia, the SCF a document with information and recommendations on means of implementation for consideration by the COP.<sup>6</sup></li> <li>• Organized an in-session side event at COP 21 on means of implementation, 1 December, Paris.</li> <li>• Organized an Adaptation Forum in conjunction with the Global Adaptation Network Forum, 7 March, Panama City.</li> <li>• Initiated collaboration with the GCF secretariat, including in relation to the readiness programme of the GCF.</li> <li>• Provided input to the SCF on the draft guidance to the operating entities of the Financial Mechanism.</li> <li>• Organized a workshop to share experiences, good practices, lessons learned, gaps and needs on the process to formulate and implement national adaptation plans, 16-17 April, Bonn.</li> <li>• Collaborated with partner organizations of the Nairobi work programme on impacts, vulnerability and adaptation to climate</li> </ul>	<ul style="list-style-type: none"> <li>• Cooperation regarding preparation of draft guidance to the operating entities.</li> <li>• Input from the SCF in the development of methodologies for mobilizing support to adaptation and reviewing its effectiveness.</li> <li>• Cooperation in the context of the 2016 SCF biennial assessment and overview of climate finance flows as relates to synthesising information on meeting adaptation needs through climate finance.</li> <li>• Input from the SCF to an information paper on experiences of countries in accessing the GCF readiness programme in supporting adaptation, including for the process to formulate and implement NAPs.</li> <li>• Cooperation on identification of lessons learned and good practices from national implementing entities of funds, programmes/entities and initiatives, on the necessary enabling environments, institutional arrangements and governance for adaptation.</li> <li>• Continued participation of the SCF representatives in the NAP task force and the</li> </ul>

<sup>3</sup> Decision 1/CP.16, paragraph 20.

<sup>4</sup> Decision 3/CP.21, paragraph 3.

<sup>5</sup> <unfccc.int/8860>.

<sup>6</sup> AC/2015/14 available at <unfccc.int/9029.php>.

Mandate	Main activities undertaken in 2015	Possible areas of cooperation with the SCF in 2016
<p>and include results in the AC annual report, including recommendations on how to enhance coherence.</p> <ul style="list-style-type: none"> <li>Develop by CM1, inter alia, methodologies on taking the necessary steps to facilitate the mobilization of support for adaptation in developing countries and methodologies on reviewing the adequacy and effectiveness of adaptation and support for adaptation.</li> </ul> <p>Workstream A. Technical support and guidance to the Parties on adaptation action:</p> <ul style="list-style-type: none"> <li>Develop a new workplan of the NAP task force, which may include the provision of information on accessing funding from the GCF for the NAP process.</li> <li>Synthesize the elements of the 2016 SCF biennial assessment and overview of climate finance flows, including elements of how adaptation needs can most effectively be met by climate finance.</li> </ul> <p>Workstream B. Technical support and guidance to the Parties on means of implementation:</p> <ul style="list-style-type: none"> <li>Coordinate with, and provide inputs to, the SCF as well as financial entities under the Convention and its Kyoto Protocol, when relevant and as requested.</li> <li>Engage continuously with the GCF to understand its policies and programmatic priorities as they relate to adaptation and as they evolve.</li> <li>Develop an information paper, in collaboration with the LEG, the SCF and the GCF, on experiences of countries in accessing the GCF readiness programme in supporting adaptation, including for the process to formulate and implement NAPs.</li> <li>Building on the long-term finance in-session workshop and the high-level ministerial, identify and collect lessons learned and good practices from national implementing entities of funds, programmes/entities and initiatives, on the necessary enabling environments, institutional arrangements and governance for adaptation.</li> </ul> <p>Workstream C: Awareness-raising, outreach and sharing of information:</p> <ul style="list-style-type: none"> <li>Organize the 2016 Adaptation Forum and a session during the Forum of the Global Adaptation Network.</li> </ul> <p>Workstream D: Technical Examination Process on Adaptation (A-TEP):</p> <ul style="list-style-type: none"> <li>Conduct the A-TEP and organize two technical expert meetings (TEMs) during SB 44.</li> </ul>	<p>change and the Least developed countries Expert Group in the development of case studies on adaptation planning.</p>	<p>AC meetings.</p> <ul style="list-style-type: none"> <li>Engagement of the SCF in events of the AC as appropriate.</li> </ul>

Mandate	Main activities undertaken in 2015	Possible areas of cooperation with the SCF in 2016
<b><u>Least Developed Countries Expert Group</u></b>		
<p>The LEG is established by the COP to provide technical support and advice to the least developed countries (LDCs) on the national adaptation programmes of action (NAPAs) and the LDC work programme, and to provide technical guidance and support to the national adaptation plan (NAP) process. At COP 21 the Parties extended the mandate of the LEG for 5 years (2016–2020) under the current terms of reference.<sup>7</sup></p> <p>The COP also decided to mandate the LEG to provide technical guidance and advice on the following:<sup>8</sup></p> <p>(a) The integration of climate change adaptation into national planning and the development of programmes that address both objectives of the process to formulate and implement national adaptation plans;</p> <p>(b) Regional approaches to adaptation planning;</p> <p>(c) Accessing funding from the GCF for the process to formulate and implement national adaptation plans in collaboration with the GCF secretariat;</p> <p>(d) Needs related to adaptation that may arise from the Paris Agreement and the decisions adopted at COP 21.</p> <p>The COP requested the LEG to collaborate with other constituted bodies under the Convention, including by inviting members of these bodies to participate, as appropriate, in its meetings.</p> <p>A two-year rolling work programme of the LEG will be prepared at its first meeting in 2016 (15–19 March, Dili).</p>	<p>Main accomplishments of the LEG in 2015 include:</p> <ul style="list-style-type: none"> <li>• Conducted a series of regional training workshops to build a critical mass of capacity in the LDCs.</li> <li>• Organized the NAP Expo to enable the LDCs, other Parties and various stakeholders to exchange experiences on the process to formulate and implement NAPs.</li> <li>• Organized side events during the sessions of the subsidiary bodies and the COP to present the work of the LEG and to showcase the progress of the LDCs in addressing adaptation through the formulation and implementation of NAPs, the NAPAs and the LDC work programme.</li> <li>• Maintained the NAP Central as a central hub for information pertinent to the process to formulate and implement NAPs for a diversity of stakeholders.</li> <li>• Collaborated with a wide range of organizations to enhance the coordination of support to the LDCs and enable pooling of resources to address a diversity of specific topics.</li> </ul>	<ul style="list-style-type: none"> <li>• Potential cooperation regarding preparation of draft guidance to the operating entities.</li> <li>• Participation of the SCF representatives in the LEG organized events and meetings as appropriate.</li> </ul>
<b><u>Executive Committee on Loss and Damage</u></b>		
<p>By decision 2/CP.19, the COP established the ExCom and entrusted it with the task to develop its initial two-year workplan for the implementation of the functions of the Warsaw International Mechanism for Loss and Damage, for</p>	<p>In 2015, the ExCom held its first meeting and launched the implementation of its initial two-year workplan.</p> <p>The ExCom initiated a dialogue on possible collaboration with the SCF, in</p>	<ul style="list-style-type: none"> <li>• Potential cooperation regarding preparation of draft guidance to the operating entities.</li> <li>• Participation of the SCF representatives in</li> </ul>

<sup>7</sup> Decisions 29/CP.7, 7/CP.9, 4/CP.11, 8/CP.13, 6/CP.16, 5/CP.17, 12/CP.18 and 3/CP.20.

<sup>8</sup> Decision 19/CP.21.

Mandate	Main activities undertaken in 2015	Possible areas of cooperation with the SCF in 2016
<p>consideration at SB 41. The workplan of the ExCom was elaborated by interim members to the Committee, of which two members were from the SCF, namely Mr. Paul Herbert Oquist Kelley and Mr. Georg Børsting.</p> <p>COP 20 approved the initial two-year workplan of the ExCom, which comprises a set of core action areas<sup>9</sup> including:</p> <p>a) Enhance the understanding of how loss and damage associated with the adverse effects of climate change affect particularly vulnerable developing countries, segments of the population that are already vulnerable owing to geography, socioeconomic status, livelihoods, gender, age, indigenous or minority status or disability, and the ecosystems that they depend on, and of how the implementation of approaches to address loss and damage can benefit them;</p> <p>b) Enhance the understanding of, and promote, comprehensive risk management approaches (assessment, reduction, transfer, retention), including social protection instruments and transformational approaches, in building long-term resilience of countries, vulnerable populations and communities;</p> <p>c) Enhance data on and knowledge of the risks of slow onset events and their impacts, and identify ways forward on approaches to address slow onset events associated with the adverse effects of climate change with specific focus on potential impacts, within countries and regions;</p> <p>d) Enhance the understanding of the capacity and coordination needs with regard to preparing for, responding to and building resilience against loss and damage associated with extreme and slow onset events, including through recovery and rehabilitation;</p> <p>e) Enhance the understanding of and expertise on how the impacts of climate change are affecting patterns of migration, displacement and human mobility; and the application of such understanding and expertise;</p> <p>f) Encourage comprehensive risk management by the diffusion of information related to financial instruments and tools that address the risks of loss and damage associated with the adverse effects of climate change to facilitate finance in loss and damage situations in accordance with the policies of each developing country and region, taking into account the necessary national efforts to establish enabling environments. (Action area 7 of the 2015–2016 workplan of the ExCom);</p> <p>g) Complement, draw upon the work of and involve as appropriate, existing bodies and expert groups under the Convention, as well as relevant</p>	<p>particular in the context of:</p> <p>(a) The 2016 biennial assessment and overview of climate finance flows, with a view to including information on financial instruments that address the risks of loss and damage associated with the adverse effects of climate change;</p> <p>(b) Facilitating the diffusion of comprehensive information through an invitation to the SCF to dedicate its 2016 Forum to financial instruments that address the risks of loss and damage associated with the adverse effects of climate change.</p> <p>The SCF accepted the invitation for possible collaboration between the two committees on the above issues.</p> <p>In addition, the ExCom invited the SCF to consider making efforts to reduce and avert losses and damages among particularly vulnerable developing countries, vulnerable populations and the ecosystems that they depend on, as the SCF undertakes its work, and to share the outcomes with the ExCom. Specifically, The ExCom invited the SCF to submit to it a report on the following:</p> <ol style="list-style-type: none"> <li>1. How the SCF envisages including in its work specific and tangible ways to reducing or averting loss and damage in particularly vulnerable developing countries, vulnerable populations and the ecosystems that they depend on;</li> <li>2. Information on specific action that the SCF is taking in key areas relevant for work on loss and damage.</li> </ol>	<p>the ExCom organized events and meetings as appropriate.</p> <ul style="list-style-type: none"> <li>• Collaboration on the organization of the 2016 SCF Forum focusing on loss and damage.</li> <li>• Collaboration on the incorporation of loss and damage aspects in the 2016 biennial assessment and overview of climate finance flows.</li> <li>• Provision of information on activities of the SCF of relevance to loss and damage as requested by the ExCom.</li> </ul>

<sup>9</sup> FCCC/SB/2014/4, annex II.

Mandate	Main activities undertaken in 2015	Possible areas of cooperation with the SCF in 2016
organizations and expert bodies outside the Convention at all levels, as the Executive Committee executes the above-mentioned elements of the workplan.		
<b><i>Technology Mechanism</i></b>		
The Technology Executive Committee (TEC), together with the Climate Technology Centre and Network (CTCN), is mandated to facilitate the effective implementation of the Technology Mechanism, under the guidance of the COP.		
<i>Climate Technology Centre and Network</i>		
<p>Established at COP 16,<sup>10</sup> the Climate Technology Centre and Network (CTCN) facilitates the transfer of technologies through three core services :</p> <ul style="list-style-type: none"> <li>• Providing technical assistance at the request of developing countries to accelerate the transfer of climate technologies;</li> <li>• Creating access to information and knowledge on climate technologies;</li> <li>• Fostering collaboration among climate technology stakeholders via the Centre's network of regional and sectoral experts from academia, the private sector, and public and research institutions.</li> </ul> <p>Modalities and procedures of the CTCN include the following six key elements:</p> <ol style="list-style-type: none"> <li>a) Roles and responsibilities of the CTCN;</li> <li>b) Managing requests from national designated entities of developing countries and delivering responses;</li> <li>c) Fostering collaboration and access to information and knowledge in order to accelerate climate technology transfer;</li> <li>d) Strengthening networks, partnerships and capacity-building for climate technology transfer;</li> <li>e) Linkages with the TEC;</li> <li>f) Information and knowledge-sharing.<sup>11</sup></li> </ol> <p>The Advisory Board of the CTCN shall include, inter alia, one of the co-chairs, or a member designated by the co-chairs, of the SCF in his/her official capacity as SCF representative.<sup>12</sup></p> <p>The CTCN programme of work 2016 was approved at the 6th meeting of the</p>	<ul style="list-style-type: none"> <li>• As at October 2015, the Network consisted of 89 members, representing the private sector, non-governmental organizations and academic and research institutions.</li> <li>• Pursuant to the COP invitation,<sup>14</sup> 136 countries nominated their national designated entities (NDE), 111 of which were from Parties not included in Annex I to the Convention.</li> <li>• The CTCN engaged with 30 non-Annex I Parties regarding a total of 50 requests and response plans. Almost one third of the requests being prioritized are in various stages of implementation.</li> <li>• The CTCN conducted seven regional forums for NDEs, with the objective of helping NDEs to identify and access funding for follow-up actions to requests or for other climate technology activities through an enhanced relationship with representatives of subregional, regional and multilateral development banks and other financial mechanisms relevant to climate technologies.</li> </ul>	<ul style="list-style-type: none"> <li>• Cooperation regarding preparation of draft guidance to the operating entities.</li> <li>• Participation of the SCF representatives in the Advisory Board meetings.</li> </ul>

<sup>10</sup> Decision 1/CP.16.

<sup>11</sup> Decision 25/CP.19.

<sup>12</sup> Annex II to decision 14/CP.18.

Mandate	Main activities undertaken in 2015	Possible areas of cooperation with the SCF in 2016
Advisory Board. <sup>13</sup>		
<i>Technology Executive Committee</i>		
<p>The Technology Executive Committee (TEC) is mandated by the COP to:</p> <ul style="list-style-type: none"> <li>(a) Provide an overview of technological needs and analysis of policy and technical issues related to the development and transfer of technologies for mitigation and adaptation;</li> <li>(b) Consider and recommend actions to promote technology development and transfer, in order to accelerate action on mitigation and adaptation;</li> <li>(c) Recommend guidance on policies and programme priorities related to technology development and transfer with special consideration given to the least developed country Parties;</li> <li>(d) Promote and facilitate collaboration on the development and transfer of technologies for mitigation and adaptation between governments, the private sector, nonprofit organizations and academic and research communities;</li> <li>(e) Recommend actions to address the barriers to technology development and transfer in order to enable enhanced action on mitigation and adaptation;</li> <li>(f) Seek cooperation with relevant international technology initiatives, stakeholders and organizations, and promote coherence and cooperation across technology activities, including activities under and outside of the Convention;</li> <li>(g) Catalyse the development and use of technology road maps or action plans at the international, regional and national levels through cooperation between relevant stakeholders, particularly governments and relevant organizations or bodies, including the development of best practice guidelines as facilitative tools for action on mitigation and adaptation.<sup>15</sup></li> </ul> <p>COP 20 adopted the modalities of the TEC on linkages with other relevant institutional arrangements under and outside of the Convention.<sup>16</sup> For the SCF, the modalities may include, inter alia, the following:</p>	<p>The work of the TEC in 2015 was undertaken in accordance with its updated rolling workplan for 2014–2015.<sup>17</sup> This included:</p> <ul style="list-style-type: none"> <li>• Organized the thematic dialogue on the development and transfer of technology in distributed renewable energy generation and integration, 13 March, Bonn.</li> <li>• Developed the guidance on the enhanced implementation of the results of technology needs assessments (TNAs), captured in the interim report of the TEC considered by the subsidiary bodies at their forty-third sessions.</li> <li>• Developed a paper of good practices of TNAs and prepared key messages on TNAs for COP 21.</li> <li>• Initiated consultations with the GCF and identified various areas of collaboration between the TEC and the GCF for consideration by the Board of the GCF. In response, the Co-Chairs of the Board of the GCF engaged with the Chair and Vice-Chair of the TEC to discuss possibilities for continued engagement between the TEC and the GCF. In addition, the Co-Chairs of the Board of the GCF and representatives of the secretariat of the GCF participated in the meetings of the TEC.</li> <li>• Provided input to the SCF on draft guidance for the operating entities of the Financial Mechanism drawing upon the key messages for COP 21 of relevance to the operating entities of the Financial Mechanism.</li> <li>• Submitted a report on the evaluation of the Poznan strategic programme on technology transfer to COP 21.</li> <li>• Provided inputs to the in-session workshop on long-term climate finance, which were presented by the TEC Chair and focused on adaptation finance; cooperation on enhanced enabling environments and support for readiness activities; and the need for the provision of support to developing countries.</li> </ul>	<ul style="list-style-type: none"> <li>• Cooperation regarding preparation of draft guidance to the operating entities.</li> <li>• Participation of the SCF representatives in the TEC meetings and other events.</li> <li>• Input to a thematic dialogue on enablers and barriers to South–South cooperation on technologies for adaptation, which will be held during the 12th meeting of the TEC in April 2016.</li> <li>• Possible collaboration in the context of the 2016 biennial assessment and overview of climate finance flows as relates to technology financing.</li> <li>• Possible engagement with the taskforces of the TEC on issues of relevance to the SCF work.</li> </ul>

<sup>14</sup> Decisions 2/CP.17, annex VII, and 14/CP.18, paragraph 12.

<sup>13</sup> Available at <[https://www.ctc-n.org/sites/www.ctc-n.org/files/ab20156\\_6bc\\_annual\\_operating\\_plan\\_and\\_2016\\_buget\\_final.pdf](https://www.ctc-n.org/sites/www.ctc-n.org/files/ab20156_6bc_annual_operating_plan_and_2016_buget_final.pdf)>.

<sup>15</sup> Decision 1/CP.16.

<sup>16</sup> Contained in the annex to FCCC/SB/2013/1.

<sup>17</sup> See document TEC/2015/10/12, annex III.



Mandate	Main activities undertaken in 2015	Possible areas of cooperation with the SCF in 2016
<p>a) Cross-participation in the meetings of the relevant bodies, including workshops and events organized by such bodies, or jointly organized, on issues of common interest;</p> <p>b) Inviting inputs to support the implementation of particular activities specified in the workplan of the TEC;</p> <p>c) Providing inputs to other institutional arrangements under the Convention, in response to requests made by the Conference of the Parties and/or invitations made by respective institutions, to facilitate the work of those institutions;</p> <p>d) Knowledge and information sharing.</p>	<ul style="list-style-type: none"> <li>Prepared a policy brief on climate technology financing to provide policymakers and other stakeholders with policy recommendations on enhancing access to climate technology financing.</li> <li>Prepared key messages on climate technology financing for COP 21.</li> <li>Prepare a TEC Brief on national systems of innovation and key messages on the matter for COP 21.</li> <li>Prepared a TEC Brief that provides policymakers and other stakeholders with policy recommendations to facilitate the deployment of distributed renewable electricity generation technologies and key messages on the matter for COP 21.</li> </ul>	
<i>Linkages between the Technology Mechanism and the Financial Mechanism of the Convention</i>		
<p>Pursuant to decisions 1/CP.18, paragraph 62, and 3/CP.17, paragraph 17, the Cop considered the item on linkages between the Technology Mechanism and the Financial Mechanism of the Convention at its 21st session.</p>	<p>By its decision 13/CP.21, the COP welcomes with appreciation the recommendations of the TEC on linkages between the Technology Mechanism and the Financial Mechanism, as contained in the report of the TEC on this matter;<sup>18</sup> recognizes that the definition and elaboration of linkages between the Technology Mechanism and the Financial Mechanism has the aim of ensuring financial resources for, and scaling up action on, technology development and transfer; requests the TEC, the CTCN and the operating entities of the Financial Mechanism to continue to consult on and further elaborate, including through an in-session workshop at the 44th sessions of the subsidiary bodies (May 2016), the linkages between the Technology Mechanism and the Financial Mechanism; requests the TEC to include findings from the workshop in its annual report to the COP.</p>	<ul style="list-style-type: none"> <li>Input to the in-session workshop on the linkages between the Technology Mechanism and the Financial Mechanism to be held during the 44th session of the subsidiary bodies.</li> </ul>
<b><i>Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention</i></b>		
<p>COP 19 decided to extend the mandate of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention (CGE) for five years (2014-2018). According to its terms of reference, the CGE shall improve the process and preparation of national communications and biennial update reports by non-Annex I Parties by providing technical advice and support to such Parties, including on steps to</p>	<p>In 2015 the CGE undertook its activities in accordance with its work plan.<sup>21</sup> The following main activities were implemented:</p> <ul style="list-style-type: none"> <li>Conducted two rounds of the training programme for technical experts nominated to the UNFCCC roster of experts. 88 experts – 31 from Annex I Parties and 57 from non-Annex I Parties – had successfully completed the</li> </ul>	<ul style="list-style-type: none"> <li>Possible collaboration on the development of tools and guidance materials on enhancing access to financial, technical and capacity-building resources for developing countries for the preparation of national communications and biennial update</li> </ul>

<sup>18</sup> FCCC/CP/2014/6.

<sup>21</sup> The work plan of the CGE for 2015 is available at: <[http://unfccc.int/files/national\\_reports/non-annex\\_i\\_natcom/cge/application/pdf/cge\\_work\\_plan.pdf](http://unfccc.int/files/national_reports/non-annex_i_natcom/cge/application/pdf/cge_work_plan.pdf)>.

Mandate	Main activities undertaken in 2015	Possible areas of cooperation with the SCF in 2016
<p>integrate climate change considerations into relevant social, economic and environmental policies and actions. The CGE shall, in defining and implementing its work programme, take into account other relevant work by expert groups under the Convention in order to avoid duplication of work.<sup>19</sup></p> <p>The 2016 work plan of the CGE includes, inter alia, the development of tools and guidance materials on enhancing access to financial, technical and capacity-building resources for developing countries for the preparation of national communications and biennial update reports.<sup>20</sup></p>	<p>training programme.</p> <ul style="list-style-type: none"> <li>• Updated training materials on vulnerability and adaptation assessment</li> <li>• Conducted three regional hands-on training workshops on vulnerability and adaptation assessment</li> <li>• Conducted a regional hands-on training workshop on the preparation of BURs for the African States, 23-25 February, Bonn.</li> <li>• Developed e-learning courses based on the CGE training materials, for the preparation of NCs from non-Annex I Parties.</li> <li>• Launched a web-based network of experts and practitioners involved in the process of, and preparation of, NCs and BURs, to serve as a vehicle for the dissemination of relevant information from the CGE to stakeholders.</li> <li>• Conducted four thematic regional webinars on national GHG inventories and mitigation assessment.</li> <li>• Conducted a survey to garner feedback from non-Annex I Parties on the possible challenges and improvements to the elements of the guidelines for the preparation of NCs from non-Annex I Parties.</li> </ul>	<p>reports.</p> <ul style="list-style-type: none"> <li>• Cooperation regarding preparation of draft guidance to the operating entities.</li> <li>• Participation of the SCF representatives in the CGE meetings and other events as appropriate.</li> </ul>

<sup>19</sup> The work programme of the CGE for 2014 to 2018 is available at: <[http://unfccc.int/files/national\\_reports/non-annex\\_i\\_natcom/cge/application/pdf/cge\\_work\\_programme\\_2014\\_208.pdf](http://unfccc.int/files/national_reports/non-annex_i_natcom/cge/application/pdf/cge_work_programme_2014_208.pdf)>.

<sup>20</sup> <[http://unfccc.int/files/national\\_reports/non-annex\\_i\\_natcom/cge/application/pdf/updated\\_cge\\_work\\_plan\\_2016.pdf](http://unfccc.int/files/national_reports/non-annex_i_natcom/cge/application/pdf/updated_cge_work_plan_2016.pdf)>.