

## **Annex I**

### **Messaging Service Specifications**

## 1. Introduction

This annex provides information on the required XML message structures. Messages are passed in the registry system using the SOAP 1.1 protocol defined by the W3C SOAP1.1, using the RPC/Encoded style.

## 2. SOAP Message Exchange

SOAP is a lightweight protocol for exchange of information in a decentralized, distributed environment. It is an XML-based protocol that consists of three parts:

- An envelope that defines a framework for describing what is in a message and how to process it;
  - A set of encoding rules for validation of defined elements;
  - A convention for representing remote procedure calls and responses.

Requests (and responses) in the registry system are SOAP messages. Every SOAP message referred to in these specifications has a mandatory envelope and body element. The body contains the actual message to be delivered and processed.

### **3. Standards and Requirements**

Due to the fact that the exchanges in the registry system will connect heterogeneous systems, the exchange of data must follow a common representation. Annex K (WSDL) describes the message structure and format of every message exchanged in the registry system. Any deviation from these named conventions shall result in the message being rejected.

### 3.1 Versioning

All messages shall comply with and be backward compatible with SOAP 1.1. The version of a SOAP message can be determined by the namespace defined for the SOAP message in the envelope. All SOAP messages will be transported by the HTTP1.1 protocol.

## 3.2 Encoding Styles

An encoding style is a set of rules that define exactly how data types are to be encoded into a common XML syntax. The SOAP1.1 messages in the registry system are defined using the RPC/Encoded encoding style, in order to guarantee the largest compatibility possible with existing tools and SOAP processors.

### 3.3 SOAP Interoperability

Although any implementation of SOAP must be designed to be platform- and language-independent, there are known interoperability problems between the different SOAP implementations. The WSDLs and SOAP messages submitted by the registries shall comply as much as possible with the Web Services Interoperability Organization (WS-I), Basic Profile 1.0a. This profile clarifies the grey areas of SOAP that are the root cause of interoperability problems. The WSDLs defined in Annex K are known to be compliant with the Web Service Interoperability Organization for all aspects of their definition.

55   **3.4   SOAP-Specific Port**

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57   It is recommended that SOAP messages in the registry system use TCP well-known port 80 for  
58   HTTP and 443 for HTTPS. See RFC 1700. Because of its applicative nature, SOAP usage must  
59   be restricted to known hosts in the registry system and network administrators should take all  
60   necessary measures to allow incoming HTTP and HTTPS requests only from recognized hosts.  
61   Additionally, only outgoing HTTP and HTTPS requests to recognized hosts should be authorized.  
62

63   **4.   SOAP Message Specifications**

64   **4.1   SOAP Envelope Element**

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66   SOAP messages are well-formed XML documents, the envelope must start with an envelope  
67   declaration which contains namespace declarations. The envelope element must be prefixed with  
68   an indicator of the namespace that defines the SOAP version that is applicable. The version is  
69   indicated by the namespace attribute, xmlns, included in the envelope element start tag. The  
70   namespace prefix could be any valid XML namespace string, but the convention usually adopted  
71   is as follows:  
72

73  
74   <SOAP-ENV:Envelope xmlns:SOAP-ENV="<http://schemas.xmlsoap.org/soap/envelope/>">

75  
76   The namespace name SOAP-ENV is a symbol for <http://schemas.xmlsoap.org/soap/envelope/>.  
77   Although it may be referred to by any name, the URL part must be exactly as specified.

78  
79   **4.2   The Header Element**

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81   The Header element offers a framework for specifying additional application-level requirements.  
82   Due to various interpretations and incomplete support by SOAP processors and their WSDL  
83   compiling tools of the header information in SOAP messages, the registry system will not make  
84   use of the SOAP header functionality. Rather, information common to all messages will be  
85   embedded in the body of the messages.  
86

87   **4.3   The Body Element**

88  
89   The Body element is mandatory for all SOAP messages and contains information regarding the  
90   specific message being sent. Annex K defines the structure and layout of the messages.  
91

92   **4.3.1   Namespaces**

93  
94   The primary use of namespaces in XML documents is to enable identification of logical structures  
95   in documents by software modules such as query processors, stylesheet-driven rendering engines  
96   and schema-driven validators. Separate namespaces shall be used to distinguish elements that  
97   are associated with all types from those associated with operations. The following namespaces  
98   have been defined for the registry system:  
99

- 100
  - "urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0", the target namespace for all operations.
  - "urn:KyotoProtocol:RegistrySystem:ITL:Types:1.0:0.0", the type namespace for all ITL-  
101   recognized elements and attributes.  
102

103  
104   Registries will use operations defined in the ITL namespaces (i.e. ITL for operations and  
105   ITL:Types for the data types).  
106

107    **5. WSDL Requirements**  
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109    Web Services Description Language (WSDL) is a specification defining how a Web service is  
110    described in a common XML grammar. It represents a contact between the service requestor and  
111    service provider which is platform and language independent. The WSDLs defined in Annex K will  
112    be made available from the ITL public website.

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## **Annex K**

### **Description Language (WSDL) Documentation**

## 1. Introduction

This annex contains the WSDL documents for data exchange for the Kyoto Protocol. The documents listed below specify how registries can communicate with the ITL and vice versa. These documents define the interfaces to the public web service methods on both the ITL and registries. These web service methods are the portals through which data can be passed into an application.

There are four WSDL documents listed below: Common, Registry, Transaction Log, and Account Management. The Common WSDL describes the data types and messages used by the Registry and Transaction Log WSDLs. The Registry WSDL describes the operations and the bindings that registries must support. The Registry WSDL imports the types and messages in the Common WSDL. The Transaction Log WSDL describes the operations and the bindings that the ITL will support. The Transaction Log WSDL also imports the types and messages in the Common WSDL. The Account Management WSDL describes the operations for managing account activity and is specific to ~~STLCITL~~ transactions only.

In order to aid the understanding of non-technical persons, a summary of each operation is provided prior to the WSDL documents. WSDL documents are designed to be “read” by computers and not humans. The Web Service Method Summaries below reduce the numerous cross-references and repetitive nature of the WSDL documents to a more understandable form. The summaries are still detailed and reflect the complex nature of the data exchange system. The Account Management Web Service Operations are found separately, in Section 6.

## 2. Summaries of Web Service Operations

## 2.1 AcceptMessage

**Figure K1: AcceptMessage**  
**Role(s): Registry and ITL**

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<u>MessageRequest</u>	
from	string
to	string
majorVersion	int
minorVersion	int
messageContent	string

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<b>MessageResponse</b>	
resultIdentifier	int
responseCodes	<b>ArrayOfInt</b> Opt

## 38 2.2 AcceptNotification

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**Figure K2:** AcceptNotification  
**Role(s):** Registry and ITL

## NotificationRequest

from	string		
to	string		
majorVersion	int		
minorVersion	int		
transactionIdentifier	string		
transactionStatus	int		
partyType	int		
evaluationResult	<b>ArrayOfEvaluationResult</b>		
	responseCode	int	
	unitBlockIdentifiers	<b>ArrayOfUnitBlockIdentifier</b>	Opt
		unitSerialBlockStart	long
		unitSerialBlockEnd	long
		<b>originatingPartyCode</b>	<b>originatingRegistryCode</b>
			string

## **NotificationResponse**

resultIdentifier	int
responseCodes	ArrayOfInt

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### **2.3 AcceptITLNotice**

### **Figure K3: AcceptITLNotice**

ITI NoticeRequest

Field	Type	Opt
from	string	
to	string	
majorVersion	int	
minorVersion	int	
messageContent	string	
messageDate	dateTime	
notificationType	int	
notificationIdentifier	long	
notificationStatus	int	
projectNumberIdentifier	string	Opt
unitType	int	Opt
targetValue	long	Opt
actionDueDate	date	Opt
unitBlockIdentifiers	<b>ArrayOfReconciliationUnitBlockIdentifier</b>	
	unitSerialBlockStart	long
	unitSerialBlockEnd	long
	originatingPartyCode	string
	originatingRegistryCode	

## ITLNoticeResponse

resultIdentifier	int
responseCodes	<b>ArrayOfInt</b>
	Opt

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51    **2.4    AcceptProposal**

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**Figure K4: AcceptProposal**

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**Role(s): Registry and ITL****ProposalRequest**

from	string			
to	string			
majorVersion	int			
minorVersion	int			
proposedTransaction				
	<b>ProposalTransaction</b>			
	transactionIdentifier	string		
	transactionType	int		
	suppTransactionType	Int	Opt	
	transferringRegistryCode	string		
	transferringRegistryAccountType	int	Opt	
	transferringRegistryAccountIdentifier	long	Opt	
	acquiringRegistryCode	string		
	acquiringRegistryAccountType	int	Opt	
	acquiringRegistryAccountIdentifier	long	Opt	
	notificationIdentifier	long	Opt	
	proposalUnitBlocks			
	<b>ArrayOfTransactionUnitBlock</b>			
	unitSerialBlockStart	long		
	unitSerialBlockEnd	long		
	<b>originatingPartyCode</b>	<b>originatingRegistryCode</b>		
	unitType	string		
	suppUnitType	int	Opt	
	originalCommitPeriod	int		
	applicableCommitPeriod	int		
	LULUCFActivity	int	Opt	
	projectIdentifier	int	Opt	
	track	int	Opt	
	blockRole	string	Opt	
	acquiringRegistryAccountType	int	Opt	
	acquiringRegistryAccountIdentifier	long	Opt	
	transferringRegistryAccountType	int	Opt	
	transferringRegistryAccountIdentifier	long	Opt	
	yearinCommitmentPeriod	int	Opt	
	installationIdentifier	long	Opt	
	expiryDate	date	Opt	

**ProposalResponse**

resultIdentifier	int			
responseCodes	ArrayOfInt	Opt		

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57   **2.5   ProvideTime**

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**Figure K5: ProvideTime**  
**Role(s): Registry**

**ProvideTimeRequest**

from	string
to	string
majorVersion	int
minorVersion	int
ITLSystemTime	dateTime

**ProvideTimeResponse**

systemTime	dateTime	
resultIdentifier	int	
responseCodes	<b>ArrayOfInt</b>	Opt

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**2.6   InitiateReconciliation**

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**Figure K6: InitiateReconciliation**

**Role(s): Registry**

**InitiateReconciliationRequest**

from	string
to	string
majorVersion	int
minorVersion	int
reconciliationIdentifier	string
snapshotDatetime	datetime

**InitiateReconciliationResponse**

resultIdentifier	int
responseCodes	<b>ArrayOfInt</b>
	Opt

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**2.67   ReceiveReconciliationResult**

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**Figure K6K7: ReceiveReconciliationResult**

**Role(s): Registry, ITL**

**ReconciliationResultRequest**

from	string	
to	string	
majorVersion	int	
minorVersion	int	
reconciliationIdentifier	string	
reconciliationStatus	int	
responseCodes	<b>ArrayOfInt</b>	Opt

**ReconciliationResultResponse**

resultIdentifier	int
responseCodes	<b>ArrayOfInt</b>
	Opt

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76 | 2.78 **ProvideAuditTrail**

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**Figure K87: ProvideAuditTrail**  
Role(s): Registry, ITL

**ProvideAuditTrailRequest**

from	string	
to	string	
majorVersion	int	
minorVersion	int	
reconciliationIdentifier	string	
auditTrailBeginDatetime	dateTime	
auditTrailEndDatetime	dateTime	
accountType	int	Opt
accountIdentifier	long	Opt
accountCommitPeriod	int	Opt
unitType	int	Opt
suppUnitType	int	Opt
unitBlockIdentifiers	<b>ArrayOfReconciliationUnitBlockIdentifier</b>	Opt
	unitSerialBlockStart	long
	unitSerialBlockEnd	long
	<b>originatingPartyCode</b>	<b>originatingRegistryCode</b>
responseCodes	<b>ArrayOfInt</b>	Opt

**ProvideAuditTrailResponse**

resultIdentifier	int	
responseCodes	<b>ArrayOfInt</b>	Opt

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83 | 2.89 **ProvideTotals**

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87

**Figure K8K9: ProvideTotals**  
Role(s): Registry, ITL

**ProvideTotalsRequest**

from	string	
to	string	
majorVersion	int	
minorVersion	int	
reconciliationIdentifier	string	
reconciliationSnapshotDatetime	dateTime	
reconciliationStatus	int	
unitType	int	Opt
suppUnitType	int	Opt
accountCommitPeriod	int	Opt
accountType	int	Opt
byAccountFlag	int	Opt
responseCodes	<b>ArrayOfInt</b>	Opt

**ProvideTotalsResponse**

resultIdentifier	int	
responseCodes	<b>ArrayOfInt</b>	Opt

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89 | 2.910 ProvideUnitBlocks

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91 | **Figure K9K10: ProvideUnitBlocks**  
92 | Role(s): Registry  
93 |

**ProvideUnitBlocksRequest**

from	string		
to	string		
majorVersion	int		
minorVersion	int		
reconciliationIdentifier	string		
reconciliationSnapshotDatetime	dateTime		
unitBlockRequests			
	<b>ArrayOfUnitBlockRequest</b>		
	unitType	int	Opt
	suppUnitType	int	Opt
	accountCommitPeriod	int	Opt
	accountType	int	Opt
	accountIdentifier	long	Opt
responseCodes	<b>ArrayOfInt</b>		Opt

**ProvideUnitBlocksResponse**

resultIdentifier	int	
responseCodes	<b>ArrayOfInt</b>	Opt

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95 |

96 | 2.1011 GetTransactionStatus

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98 | **Figure K110: GetTransactionStatus**  
99 | Role(s): ITL  
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**TransactionStatusRequest**

from	string		
to	string		
majorVersion	int		
minorVersion	int		
transactionIdentifier	string		

**TransactionStatusResponse**

transactionIdentifier	string		
transactionStatus	int		
transactionStatusDate	dateTime		
resultIdentifier	int		
responseCodes	<b>ArrayOfInt</b>		Opt

101 | **2.4412 ReceiveTotals**

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**Figure K44K12: ReceiveTotals**  
**Role(s): ITL**

**ReceiveTotalsRequest**

from	String
to	string
majorVersion	int
minorVersion	int
reconciliationIdentifier	string
totals	<b>ArrayOfTotal</b>
	accountType int
	accountIdentifier long Opt
	accountCommitPeriod int
	unitType int
	suppUnitType int Opt
	unitCount long

**ReceiveTotalsResponse**

resultIdentifier	int	
responseCodes	<b>ArrayofInt</b>	Opt

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108 | **2.4213 ReceiveUnitBlocks**  
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**Figure K42K13: ReceiveUnitBlocks**  
**Role(s): ITL**

**ReceiveUnitBlocksRequest**

from	string
to	string
majorVersion	int
minorVersion	int
reconciliationIdentifier	string
unitBlocks	<b>ArrayOfUnitBlock</b>
	unitSerialBlockStart long
	unitSerialBlockEnd long
	<b>originatingPartyCodeorI</b> string
	<b>ginatingRegistryCode</b>
	unitType int
	suppUnitType int Opt
	accountType int
	accountIdentifier long Opt
	applicableCommitPeriod int

**ReceiveUnitBlocksResponse**

resultIdentifier	int	
responseCode	<b>ArrayofInt</b>	Opt

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115 | **2.4314 ReceiveAuditTrail**  
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**Figure K12K14: ReceiveAuditTrail**  
**Role(s): ITL**

**ReceiveAuditTrailRequest**

from	string	
to	string	
majorVersion	int	
minorVersion	int	
reconciliationIdentifier	string	
transactions		
<b>ArrayOfTransaction</b>		
transactionIdentifier	string	
transactionType	int	
suppTransactionType	int	Opt
transactionStatusDateTime	dateTime	
transferringRegistryCode	string	
transferringRegistryAccountType	int	Opt
transferringRegistryAccountIdentifier	long	Opt
acquiringRegistryCode	string	
acquiringRegistryAccountType	int	Opt
acquiringRegistryAccountIdentifier	long	Opt
notificationIdentifier	long	Opt
transactionBlocks		
<b>ArrayOfReconciliationTransactionUnitBlock</b>		
unitSerialBlockStart	long	
unitSerialBlockEnd	long	
<b>originatingPartyCode</b>	<b>originatingRegistryCode</b>	
unitType	string	
suppUnitType	int	Opt
originalCommitPeriod	int	
applicableCommitPeriod	int	
LULUCFActivity	int	Opt
projectIdentifier	int	Opt
track	int	Opt
blockRole	string	Opt
transferringRegistryAccountType	int	Opt
transferringRegistryAccountIdentifier	long	Opt
acquiringRegistryAccountType	int	Opt
acquiringRegistryAccountIdentifier	long	Opt
yearinCommitmentPeriod	int	Opt
installationIdentifier	long	Opt
expiryDate	date	Opt

**ReceiveAuditTrailResponse**

resultIdentifier	int	
responseCodes	<b>ArrayOfInt</b>	Opt

120 |

### 121    3. Registry WSDL

```
122
123 <?xml version="1.0" encoding="UTF-8"?>
124 <definitions name="RegistryService" targetNamespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"
125   xmlns:tns="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"
126   xmlns:typens="urn:KyotoProtocol:RegistrySystem:ITL:Types:1.0:0.0"
127   xmlns="http://schemas.xmlsoap.org/wsdl/" xmlns:soap="http://schemas.xmlsoap.org/wsdl/soap/"
128     <import namespace="urn:KyotoProtocol:RegistrySystem:ITL:Types:1.0:0.0"
129   location="common.wsdl"/>
130   <portType name="RegistryPort">
131     <operation name="provideTime">
132       <input message="typens:provideTimeRequest"/>
133       <output message="typens:provideTimeResponse"/>
134     </operation>
135     <operation name="acceptMessage">
136       <input message="typens:acceptMessageRequest"/>
137       <output message="typens:acceptMessageResponse"/>
138     </operation>
139     <operation name="acceptProposal">
140       <input message="typens:acceptProposalRequest"/>
141       <output message="typens:acceptProposalResponse"/>
142     </operation>
143     <operation name="acceptNotification">
144       <input message="typens:acceptNotificationRequest"/>
145       <output message="typens:acceptNotificationResponse"/>
146     </operation>
147     <operation name="acceptITLNotice">
148       <input message="typens:acceptITLNoticeRequest"/>
149       <output message="typens:acceptITLNoticeResponse"/>
150     </operation>
151     <operation name="initiateReconciliation">
152       <input message="typens:initiateReconciliationRequest"/>
153       <output message="typens:initiateReconciliationResponse"/>
154     </operation>
155     <operation name="receiveReconciliationResult">
156       <input message="typens:receiveReconciliationResultRequest"/>
157       <output message="typens:receiveReconciliationResultResponse"/>
158     </operation>
159     <operation name="provideAuditTrail">
160       <input message="typens:provideAuditTrailRequest"/>
161       <output message="typens:provideAuditTrailResponse"/>
162     </operation>
163     <operation name="provideTotals">
164       <input message="typens:provideTotalsRequest"/>
165       <output message="typens:provideTotalsResponse"/>
166     </operation>
167     <operation name="provideUnitBlocks">
168       <input message="typens:provideUnitBlocksRequest"/>
169       <output message="typens:provideUnitBlocksResponse"/>
170     </operation>
171   </portType>
172   <binding name="RegistryBinding" type="tns:RegistryPort">
173     <soap:binding transport="http://schemas.xmlsoap.org/soap/http" style="rpc"/>
174     <operation name="provideTime">
175       <soap:operation soapAction="provideTime" style="rpc"/>
176       <input>
177         <soap:body use="encoded"
178           encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
179           namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
180       </input>
181       <output>
182         <soap:body use="encoded"
183           encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
184           namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
185       </output>
186     </operation>
187     <operation name="acceptMessage">
188       <soap:operation soapAction="acceptMessage" style="rpc"/>
189       <input>
190         <soap:body use="encoded"
191           encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
192           namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
```

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253
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      </input>
      <output>
        <soap:body use="encoded"
          encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
          namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
      </output>
    </operation>
    <operation name="acceptProposal">
      <soap:operation soapAction="acceptProposal" style="rpc"/>
      <input>
        <soap:body use="encoded"
          encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
          namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
      </input>
      <output>
        <soap:body use="encoded"
          encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
          namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
      </output>
    </operation>
    <operation name="acceptNotification">
      <soap:operation soapAction="acceptNotification" style="rpc"/>
      <input>
        <soap:body use="encoded"
          encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
          namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
      </input>
      <output>
        <soap:body use="encoded"
          encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
          namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
      </output>
    </operation>
    <operation name="acceptITLNotice">
      <soap:operation soapAction="acceptITLNotice" style="rpc"/>
      <input>
        <soap:body use="encoded"
          encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
          namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
      </input>
      <output>
        <soap:body use="encoded"
          encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
          namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
      </output>
    </operation>
    <operation name="initiateReconciliation">
      <soap:operation soapAction="initiateReconciliation" style="rpc"/>
      <input>
        <soap:body use="encoded"
          encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
          namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
      </input>
      <output>
        <soap:body use="encoded"
          encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
          namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
      </output>
    </operation>
    <operation name="receiveReconciliationResult">
      <soap:operation soapAction="receiveReconciliationResult" style="rpc"/>
      <input>
        <soap:body use="encoded"
          encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
          namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
      </input>
      <output>
        <soap:body use="encoded"
          encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
          namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
      </output>
    </operation>

```

```

266 <operation name="provideAuditTrail">
267   <soap:operation soapAction="provideAuditTrail" style="rpc"/>
268   <input>
269     <soap:body use="encoded"
270       encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
271       namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
272   </input>
273   <output>
274     <soap:body use="encoded"
275       encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
276       namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
277   </output>
278 </operation>
279 <operation name="provideTotals">
280   <soap:operation soapAction="provideTotals" style="rpc"/>
281   <input>
282     <soap:body use="encoded"
283       encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
284       namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
285   </input>
286   <output>
287     <soap:body use="encoded"
288       encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
289       namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
290   </output>
291 </operation>
292 <operation name="provideUnitBlocks">
293   <soap:operation soapAction="provideUnitBlocks" style="rpc"/>
294   <input>
295     <soap:body use="encoded"
296       encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
297       namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
298   </input>
299   <output>
300     <soap:body use="encoded"
301       encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
302       namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
303   </output>
304 </operation>
305 </binding>
306 <service name="RegistryService">
307   <port name="RegistryPort" binding="tns:RegistryBinding">
308     <soap:address location="http://REPLACE.WITH.ACTUAL.URL"/>
309   </port>
310 </service>
311 </definitions>
312

```

313     **4. Transaction Log WSDL**

```
314
315 <?xml version="1.0" encoding="UTF-8"?>
316 <definitions name="TransactionLogService"
317     targetNamespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"
318     xmlns:tns="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"
319     xmlns:typens="urn:KyotoProtocol:RegistrySystem:ITL:Types:1.0:0.0"
320     xmlns="http://schemas.xmlsoap.org/wsdl/"
321     xmlns:soap="http://schemas.xmlsoap.org/wsdl/soap/">
322     <import namespace="urn:KyotoProtocol:RegistrySystem:ITL:Types:1.0:0.0"
323         location="common.wsdl"/>
324     <portType name="TransactionLogPort">
325         <operation name="acceptMessage">
326             <input message="typens:acceptMessageRequest"/>
327             <output message="typens:acceptMessageResponse"/>
328         </operation>
329         <operation name="acceptProposal">
330             <input message="typens:acceptProposalRequest"/>
331             <output message="typens:acceptProposalResponse"/>
332         </operation>
333         <operation name="acceptNotification">
334             <input message="typens:acceptNotificationRequest"/>
335             <output message="typens:acceptNotificationResponse"/>
336         </operation>
337         <operation name="getTransactionStatus">
338             <input message="typens:getTransactionStatusRequest"/>
339             <output message="typens:getTransactionStatusResponse"/>
340         </operation>
341         <operation name="receiveReconciliationResult">
342             <input message="typens:receiveReconciliationResultRequest"/>
343             <output message="typens:receiveReconciliationResultResponse"/>
344         </operation>
345         <operation name="provideAuditTrail">
346             <input message="typens:provideAuditTrailRequest"/>
347             <output message="typens:provideAuditTrailResponse"/>
348         </operation>
349         <operation name="provideTotals">
350             <input message="typens:provideTotalsRequest"/>
351             <output message="typens:provideTotalsResponse"/>
352         </operation>
353         <operation name="provideUnitBlocks">
354             <input message="typens:provideUnitBlocksRequest"/>
355             <output message="typens:provideUnitBlocksResponse"/>
356         </operation>
357         <operation name="receiveAuditTrail">
358             <input message="typens:receiveAuditTrailRequest"/>
359             <output message="typens:receiveAuditTrailResponse"/>
360         </operation>
361         <operation name="receiveTotals">
362             <input message="typens:receiveTotalsRequest"/>
363             <output message="typens:receiveTotalsResponse"/>
364         </operation>
365         <operation name="receiveUnitBlocks">
366             <input message="typens:receiveUnitBlocksRequest"/>
367             <output message="typens:receiveUnitBlocksResponse"/>
368         </operation>
369     </portType>
370     <binding name="TransactionLogBinding" type="tns:TransactionLogPort">
371         <soap:binding transport="http://schemas.xmlsoap.org/soap/http" style="rpc"/>
372         <operation name="acceptMessage">
373             <soap:operation soapAction="acceptMessage" style="rpc"/>
374             <input>
375                 <soap:body use="encoded"
376                     encodingStyle="http://schemas.xmlsoap.org/soap/encoding/ "
377                     namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
378             </input>
379             <output>
380                 <soap:body use="encoded"
381                     encodingStyle="http://schemas.xmlsoap.org/soap/encoding/ "
382                     namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
383             </output>
384         </operation>
385     </binding>
386 
```

```

385 <operation name="acceptProposal">
386   <soap:operation soapAction="acceptProposal" style="rpc"/>
387   <input>
388     <soap:body use="encoded"
389       encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
390       namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
391   </input>
392   <output>
393     <soap:body use="encoded"
394       encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
395       namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
396   </output>
397 </operation>
398 <operation name="acceptNotification">
399   <soap:operation soapAction="acceptNotification" style="rpc"/>
400   <input>
401     <soap:body use="encoded"
402       encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
403       namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
404   </input>
405   <output>
406     <soap:body use="encoded"
407       encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
408       namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
409   </output>
410 </operation>
411 <operation name="getTransactionStatus">
412   <soap:operation soapAction="getTransactionStatus" style="rpc"/>
413   <input>
414     <soap:body use="encoded"
415       encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
416       namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
417   </input>
418   <output>
419     <soap:body use="encoded"
420       encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
421       namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
422   </output>
423 </operation>
424 <operation name="receiveReconciliationResult">
425   <soap:operation soapAction="receiveReconciliationResult" style="rpc"/>
426   <input>
427     <soap:body use="encoded"
428       encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
429       namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
430   </input>
431   <output>
432     <soap:body use="encoded"
433       encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
434       namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
435   </output>
436 </operation>
437 <operation name="provideAuditTrail">
438   <soap:operation soapAction="provideAuditTrail" style="rpc"/>
439   <input>
440     <soap:body use="encoded"
441       encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
442       namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
443   </input>
444   <output>
445     <soap:body use="encoded"
446       encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
447       namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
448   </output>
449 </operation>
450 <operation name="provideTotals">
451   <soap:operation soapAction="provideTotals" style="rpc"/>
452   <input>
453     <soap:body use="encoded"
454       encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
455       namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
456   </input>
457   <output>
```

```

458         <soap:body use="encoded"
459             encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
460             namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
461     </output>
462 </operation>
463 <operation name="provideUnitBlocks">
464     <soap:operation soapAction="provideUnitBlocks" style="rpc"/>
465     <input>
466         <soap:body use="encoded"
467             encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
468             namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
469     </input>
470     <output>
471         <soap:body use="encoded"
472             encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
473             namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
474     </output>
475 </operation>
476 <operation name="receiveAuditTrail">
477     <soap:operation soapAction="receiveAuditTrail" style="rpc"/>
478     <input>
479         <soap:body use="encoded"
480             encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
481             namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
482     </input>
483     <output>
484         <soap:body use="encoded"
485             encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
486             namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
487     </output>
488 </operation>
489 <operation name="receiveTotals">
490     <soap:operation soapAction="receiveTotals" style="rpc"/>
491     <input>
492         <soap:body use="encoded"
493             encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
494             namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
495     </input>
496     <output>
497         <soap:body use="encoded"
498             encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
499             namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
500     </output>
501
502 </operation>
503 <operation name="receiveUnitBlocks">
504     <soap:operation soapAction="receiveUnitBlocks" style="rpc"/>
505     <input>
506         <soap:body use="encoded"
507             encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
508             namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
509     </input>
510     <output>
511         <soap:body use="encoded"
512             encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
513             namespace="urn:KyotoProtocol:RegistrySystem:ITL:1.0:0.0"/>
514     </output>
515 </operation>
516 </binding>
517 <service name="TransactionLogService">
518     <port name="TransactionLogPort" binding="tns:TransactionLogBinding">
519         <soap:address location="http://REPLACE.WITH.ACTUAL.URL"/>
520     </port>
521 </service>
522</definitions>

```

## 5. Common WSDL

```
523 <?xml version="1.0" encoding="UTF-8"?>
524 <definitions name="RegistryService" xmlns:wsdl="http://schemas.xmlsoap.org/wsdl/" 
525     xmlns:soapenc="http://schemas.xmlsoap.org/soap/encoding/" 
526     xmlns:typens="urn:KyotoProtocol:RegistrySystem:ITL:Types:1.0:0.0"
527     targetNamespace="urn:KyotoProtocol:RegistrySystem:ITL:Types:1.0:0.0"
528     xmlns="http://schemas.xmlsoap.org/wsdl/">
529 <types>
530     <schema targetNamespace="urn:KyotoProtocol:RegistrySystem:ITL:Types:1.0:0.0"
531         xmlns="http://www.w3.org/2001/XMLSchema">
532         <import namespace="http://schemas.xmlsoap.org/soap/encoding/" />
533         <complexType name="ArrayOfInt">
534             <complexContent>
535                 <restriction base="soapenc:Array">
536                     <attribute ref="soapenc:arrayType" wsdl:arrayType="int[]"/>
537                 </restriction>
538             </complexContent>
539         </complexType>
540         <complexType name="Transaction">
541             <sequence>
542                 <element name="transactionIdentifier" type="string"/>
543                 <element name="transactionType" type="int"/>
544                 <element name="suppTransactionType" type="int"
545                     minOccurs="0"/>
546                 <element name="transactionStatusDateTime" type="dateTime"/>
547                 <element name="transferringRegistryCode" type="string"/>
548                 <element name="transferringRegistryAccountType" type="int"
549                     minOccurs="0"/>
550                 <element name="transferringRegistryAccountIdentifier"
551                     type="long" minOccurs="0"/>
552                 <element name="acquiringRegistryCode" type="string"/>
553                 <element name="acquiringRegistryAccountType" type="int"
554                     minOccurs="0"/>
555                 <element name="acquiringRegistryAccountIdentifier"
556                     type="long" minOccurs="0"/>
557                 <element name="notificationIdentifier" type="long"
558                     minOccurs="0"/>
559                 <element name="transactionBlocks"
560                     type="typens:ArrayOfReconciliationTransactionUnitBlock"/>
561             </sequence>
562         </complexType>
563         <complexType name="ArrayOfTransaction">
564             <complexContent>
565                 <restriction base="soapenc:Array">
566                     <attribute ref="soapenc:arrayType" wsdl:arrayType="typens:Transaction[]"/>
567                 </restriction>
568             </complexContent>
569         </complexType>
570         <complexType name="ProposalTransaction">
571             <sequence>
572                 <element name="transactionIdentifier" type="string"/>
573                 <element name="transactionType" type="int"/>
574                 <element name="suppTransactionType" type="int"
575                     minOccurs="0"/>
576                 <element name="transferringRegistryCode" type="string"/>
577                 <element name="transferringRegistryAccountType" type="int"
578                     minOccurs="0"/>
579                 <element name="transferringRegistryAccountIdentifier"
580                     type="long" minOccurs="0"/>
581                 <element name="acquiringRegistryCode" type="string"/>
582                 <element name="acquiringRegistryAccountType" type="int"
583                     minOccurs="0"/>
584                 <element name="acquiringRegistryAccountIdentifier"
585                     type="long" minOccurs="0"/>
586                 <element name="notificationIdentifier" type="long"
587                     minOccurs="0"/>
588             </sequence>
589         </complexType>
590     </schema>
591 </types>
592 </definitions>
```

```

595             <element name="proposalUnitBlocks"
596                 type="typens:ArrayOfTransactionUnitBlock"/>
597         </sequence>
598     </complexType>
599     <complexType name="ReconciliationUnitBlockIdentifier">
600         <sequence>
601             <element name="unitSerialBlockStart" type="long"/>
602             <element name="unitSerialBlockEnd" type="long"/>
603             <element name="originatingRegistryCode" type="string"/>
604         </sequence>
605     </complexType>
606     <complexType name="ArrayOfReconciliationUnitBlockIdentifier">
607         <complexContent>
608             <restriction base="soapenc:Array">
609                 <attribute ref="soapenc:arrayType"
610                     wsdl:arrayType="typens:ReconciliationUnitBlockIdentifier[]"/>
611             </restriction>
612         </complexContent>
613     </complexType>
614     <complexType name="UnitBlockIdentifier">
615         <sequence>
616             <element name="unitSerialBlockStart" type="long"/>
617             <element name="unitSerialBlockEnd" type="long"/>
618             <element name="originatingPartyCode|originatingRegistryCode" type="string"/>
619         </sequence>
620     </complexType>
621     <complexType name="ArrayOfUnitBlockIdentifier">
622         <complexContent>
623             <restriction base="soapenc:Array">
624                 <attribute ref="soapenc:arrayType"
625                     wsdl:arrayType="typens:UnitBlockIdentifier[]"/>
626             </restriction>
627         </complexContent>
628     </complexType>
629     <complexType name="UnitBlock">
630         <sequence>
631             <element name="unitSerialBlockStart" type="long"/>
632             <element name="unitSerialBlockEnd" type="long"/>
633             <element name="originatingPartyCode|originatingRegistryCode" type="string"/>
634             <element name="unitType" type="int"/>
635             <element name="suppUnitType" type="int" minOccurs="0"/>
636             <element name="accountType" type="int"/>
637             <element name="accountIdentifier" type="long"
638                     minOccurs="0"/>
639             <element name="applicableCommitPeriod" type="int"/>
640         </sequence>
641     </complexType>
642     <complexType name="ArrayOfUnitBlock">
643         <complexContent>
644             <restriction base="soapenc:Array">
645                 <attribute ref="soapenc:arrayType"
646                     wsdl:arrayType="typens:UnitBlock[]"/>
647             </restriction>
648         </complexContent>
649     </complexType>
650     <complexType name="ReconciliationTransactionUnitBlock">
651         <sequence>
652             <element name="unitSerialBlockStart" type="long"/>
653             <element name="unitSerialBlockEnd" type="long"/>
654             <element name="originatingRegistryCode" type="string"/>
655             <element name="unitType" type="int"/>
656             <element name="suppUnitType" type="int" minOccurs="0"/>
657             <element name="originalCommitPeriod" type="int"/>
658             <element name="applicableCommitPeriod" type="int"/>
659             <element name="LULUCFActivity" type="int" minOccurs="0"/>
660             <element name="projectIdentifier" type="int" minOccurs="0"/>
661             <element name="track" type="int" minOccurs="0"/>
662             <element name="blockRole" type="string" minOccurs="0"/>
663             <element name="transferringRegistryAccountType" type="int"/>
664         </sequence>
665     </complexType>
666 
```

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668                         minOccurs="0"/>
669             <element name="transferringRegistryAccountIdentifier"
670               type="long" minOccurs="0"/>
671             <element name="acquiringRegistryAccountType" type="int"
672               minOccurs="0"/>
673             <element name="acquiringRegistryAccountIdentifier"
674               type="long"
675                         minOccurs="0"/>
676             <element name="yearInCommitmentPeriod" type="int"
677               minOccurs="0"/>
678             <element name="installationIdentifier" type="long"
679               minOccurs="0"/>
680             <element name="expiryDate" type="date" minOccurs="0"/>
681           </sequence>
682         </complexType>
683       <complexType name="ArrayOfReconciliationTransactionUnitBlock">
684         <complexContent>
685           <restriction base="soapenc:Array">
686             <attribute ref="soapenc:arrayType"
687               wsdl:arrayType=
688                 "typens:ReconciliationTransactionUnitBlock[]"/>
689           </restriction>
690         </complexContent>
691       </complexType>
692     <complexType name="TransactionUnitBlock">
693       <sequence>
694         <element name="unitSerialBlockStart" type="long"/>
695         <element name="unitSerialBlockEnd" type="long"/>
696         <element name="originatingPartyCode" type="string" />
697       </sequence>
698       <element name="unitType" type="int"/>
699       <element name="suppUnitType" type="int" minOccurs="0"/>
700       <element name="originalCommitPeriod" type="int"/>
701       <element name="applicableCommitPeriod" type="int"/>
702       <element name="LULUCFActivity" type="int" minOccurs="0"/>
703       <element name="projectIdentifier" type="int" minOccurs="0"/>
704       <element name="track" type="int" minOccurs="0"/>
705       <element name="blockRole" type="string" minOccurs="0"/>
706       <element name="transferringRegistryAccountType" type="int"
707               minOccurs="0"/>
708       <element name="transferringRegistryAccountIdentifier"
709               type="long" minOccurs="0"/>
710       <element name="acquiringRegistryAccountType" type="int"
711               minOccurs="0"/>
712       <element name="acquiringRegistryAccountIdentifier"
713               type="long"
714                         minOccurs="0"/>
715       <element name="yearInCommitmentPeriod" type="int"
716               minOccurs="0"/>
717       <element name="installationIdentifier" type="long"
718               minOccurs="0"/>
719       <element name="expiryDate" type="date" minOccurs="0"/>
720     </sequence>
721   </complexType>
722   <complexType name="ArrayOfTransactionUnitBlock">
723     <complexContent>
724       <restriction base="soapenc:Array">
725         <attribute ref="soapenc:arrayType"
726           wsdl:arrayType="typens:TransactionUnitBlock[]"/>
727       </restriction>
728     </complexContent>
729   </complexType>
730   <complexType name="Total">
731     <sequence>
732       <element name="accountType" type="int"/>
733       <element name="accountIdentifier" type="long"
734               minOccurs="0"/>
735       <element name="accountCommitPeriod" type="int"/>
736       <element name="unitType" type="int"/>
737       <element name="suppUnitType" type="int" minOccurs="0"/>
738       <element name="unitCount" type="long"/>
739     </sequence>
740   </complexType>

```

```

741 <complexType name="ArrayOfTotal">
742     <complexContent>
743         <restriction base="soapenc:Array">
744             <attribute ref="soapenc:arrayType"
745                 wsdl:arrayType="typens:Total []"/>
746         </restriction>
747     </complexContent>
748 </complexType>
749 <complexType name="UnitBlockRequest">
750     <sequence>
751         <element name="unitType" type="int" minOccurs="0"/>
752         <element name="suppUnitType" type="int" minOccurs="0"/>
753         <element name="accountCommitPeriod" type="int"
754             minOccurs="0"/>
755         <element name="accountType" type="int" minOccurs="0"/>
756         <element name="accountIdentifier" type="long"
757             minOccurs="0"/>
758     </sequence>
759 </complexType>
760 <complexType name="ArrayOfUnitBlockRequest">
761     <complexContent>
762         <restriction base="soapenc:Array">
763             <attribute ref="soapenc:arrayType"
764                 wsdl:arrayType="typens:UnitBlockRequest []"/>
765         </restriction>
766     </complexContent>
767 </complexType>
768 <complexType name="EvaluationResult">
769     <sequence>
770         <element name="responseCode" type="int"/>
771         <element name="unitBlockIdentifiers"
772             type="typens:ArrayOfUnitBlockIdentifier"
773             minOccurs="0"/>
774     </sequence>
775 </complexType>
776 <complexType name="ArrayOfEvaluationResult">
777     <complexContent>
778         <restriction base="soapenc:Array">
779             <attribute ref="soapenc:arrayType"
780                 wsdl:arrayType="typens:EvaluationResult []"/>
781         </restriction>
782     </complexContent>
783 </complexType>
784 <complexType name="ProvideTimeRequest">
785     <sequence>
786         <element name="from" type="string"/>
787         <element name="to" type="string"/>
788         <element name="majorVersion" type="int"/>
789         <element name="minorVersion" type="int"/>
790         <element name="ITLSSystemTime" type="dateTime"/>
791     </sequence>
792 </complexType>
793 <complexType name="ProvideTimeResponse">
794     <sequence>
795         <element name="systemTime" type="dateTime"/>
796         <element name="resultIdentifier" type="int"/>
797         <element name="responseCodes" type="typens:ArrayOfInt"
798             minOccurs="0"/>
799     </sequence>
800 </complexType>
801 <complexType name="MessageRequest">
802     <sequence>
803         <element name="from" type="string"/>
804         <element name="to" type="string"/>
805         <element name="majorVersion" type="int"/>
806         <element name="minorVersion" type="int"/>
807         <element name="messageContent" type="string"/>
808         <element name="messageDateTime" type="dateTime"/>
809     </sequence>
810 </complexType>
811 <complexType name="MessageResponse">
812     <sequence>
813         <element name="resultIdentifier" type="int"/>

```

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814             <element name="responseCodes" type="typens:ArrayOfInt"
815                 minOccurs="0"/>
816         </sequence>
817     </complexType>
818     <complexType name="ProposalRequest">
819         <sequence>
820             <element name="from" type="string"/>
821             <element name="to" type="string"/>
822             <element name="majorVersion" type="int"/>
823             <element name="minorVersion" type="int"/>
824             <element name="proposedTransaction"
825                 type="typens:ProposalTransaction"/>
826         </sequence>
827     </complexType>
828     <complexType name="ProposalResponse">
829         <sequence>
830             <element name="resultIdentifier" type="int"/>
831             <element name="responseCodes" type="typens:ArrayOfInt"
832                 minOccurs="0"/>
833         </sequence>
834     </complexType>
835     <complexType name="NotificationRequest">
836         <sequence>
837             <element name="from" type="string"/>
838             <element name="to" type="string"/>
839             <element name="majorVersion" type="int"/>
840             <element name="minorVersion" type="int"/>
841             <element name="transactionIdentifier" type="string"/>
842             <element name="transactionStatus" type="int"/>
843             <element name="partyType" type="int"/>
844             <element name="evaluationResult"
845                 type="typens:ArrayOfEvaluationResult"/>
846         </sequence>
847     </complexType>
848     <complexType name="NotificationResponse">
849         <sequence>
850             <element name="resultIdentifier" type="int"/>
851             <element name="responseCodes" type="typens:ArrayOfInt"
852                 minOccurs="0"/>
853         </sequence>
854     </complexType>
855     <complexType name="ITLNoticeRequest">
856         <sequence>
857             <element name="from" type="string"/>
858             <element name="to" type="string"/>
859             <element name="majorVersion" type="int"/>
860             <element name="minorVersion" type="int"/>
861             <element name="messageContent" type="string"/>
862             <element name="messageDate" type="dateTime"/>
863             <element name="notificationType" type="int"/>
864             <element name="notificationIdentifier" type="long"/>
865             <element name="notificationStatus" type="int"/>
866             <element name="projectNumberIdentifier" type="intstring"
867                 minOccurs="0"/>
868             <element name="unitType" type="int" minOccurs="0"/>
869             <element name="targetValue" type="long" minOccurs="0"/>
870             <element name="actionDueDate" type="date" minOccurs="0"/>
871             <element name="unitBlockIdentifiers"
872                 type="typens:ArrayOfReconciliationUnitBlockIdentifier"
873                     minOccurs="0"/>
874         </sequence>
875     </complexType>
876     <complexType name="ITLNoticeResponse">
877         <sequence>
878             <element name="resultIdentifier" type="int"/>
879             <element name="responseCodes" type="typens:ArrayOfInt"
880                 minOccurs="0"/>
881         </sequence>
882     </complexType>
883     <complexType name="ReconciliationResultRequest">
884         <sequence>
885             <element name="from" type="string"/>
886

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```

887             <element name="to" type="string"/>
888             <element name="majorVersion" type="int"/>
889             <element name="minorVersion" type="int"/>
890             <element name="reconciliationIdentifier" type="string"/>
891             <element name="reconciliationStatus" type="int"/>
892             <element name="responseCodes" type="typens:ArrayOfInt"
893                 minOccurs="0"/>
894         </sequence>
895     </complexType>
896     <complexType name="ReconciliationResultResponse">
897         <sequence>
898             <element name="resultIdentifier" type="int"/>
899             <element name="responseCodes" type="typens:ArrayOfInt"
900                 minOccurs="0"/>
901         </sequence>
902     </complexType>
903     <complexType name="ProvideTotalsRequest">
904         <sequence>
905             <element name="from" type="string"/>
906             <element name="to" type="string"/>
907             <element name="majorVersion" type="int"/>
908             <element name="minorVersion" type="int"/>
909             <element name="reconciliationIdentifier" type="string"/>
910             <element name="reconciliationSnapshotDatetime"
911                 type="dateTime"/>
912             <element name="reconciliationStatus" type="int"/>
913             <element name="unitType" type="int" minOccurs="0"/>
914             <element name="suppUnitType" type="int" minOccurs="0"/>
915             <element name="accountCommitPeriod" type="int"
916                 minOccurs="0"/>
917             <element name="accountType" type="int" minOccurs="0"/>
918             <element name="byAccountFlag" type="int" minOccurs="0"/>
919             <element name="responseCodes" type="typens:ArrayOfInt"
920                 minOccurs="0"/>
921         </sequence>
922     </complexType>
923     <complexType name="ProvideTotalsResponse">
924         <sequence>
925             <element name="resultIdentifier" type="int"/>
926             <element name="responseCodes" type="typens:ArrayOfInt"
927                 minOccurs="0"/>
928         </sequence>
929     </complexType>
930     <complexType name="ProvideUnitBlocksRequest">
931         <sequence>
932             <element name="from" type="string"/>
933             <element name="to" type="string"/>
934             <element name="majorVersion" type="int"/>
935             <element name="minorVersion" type="int"/>
936             <element name="reconciliationIdentifier" type="string"/>
937             <element name="reconciliationSnapshotDatetime"
938                 type="dateTime"/>
939             <element name="unitBlockRequests"
940                 type="typens:ArrayOfUnitBlockRequest"/>
941             <element name="responseCodes" type="typens:ArrayOfInt"
942                 minOccurs="0"/>
943         </sequence>
944     </complexType>
945     <complexType name="ProvideUnitBlocksResponse">
946         <sequence>
947             <element name="resultIdentifier" type="int"/>
948             <element name="responseCodes" type="typens:ArrayOfInt"
949                 minOccurs="0"/>
950         </sequence>
951     </complexType>
952     <complexType name="ProvideAuditTrailRequest">
953         <sequence>
954             <element name="from" type="string"/>
955             <element name="to" type="string"/>
956             <element name="majorVersion" type="int"/>
957             <element name="minorVersion" type="int"/>
958             <element name="reconciliationIdentifier" type="string"/>
959             <element name="auditTrailBeginDatetime" type="dateTime"/>

```

```

960                               <element name="auditTrailEndDatetime" type="dateTime"/>
961                               <element name="accountType" type="int" minOccurs="0"/>
962                               <element name="accountIdentifier" type="long"
963                                   minOccurs="0"/>
964                               <element name="accountCommitPeriod" type="int"
965                                   minOccurs="0"/>
966                               <element name="unitType" type="int" minOccurs="0"/>
967                               <element name="suppUnitType" type="int" minOccurs="0"/>
968                               <element name="unitBlockIdentifiers"
969
970                               type="typens:ArrayOfReconciliationUnitBlockIdentifier"
971                               minOccurs="0"/>
972                               <element name="responseCodes" type="typens:ArrayOfInt"
973                                   minOccurs="0"/>
974                         </sequence>
975                   </complexType>
976                   <complexType name="ProvideAuditTrailResponse">
977                     <sequence>
978                       <element name="resultIdentifier" type="int"/>
979                       <element name="responseCodes" type="typens:ArrayOfInt"
980                           minOccurs="0"/>
981                     </sequence>
982               </complexType>
983               <complexType name="ReceiveTotalsRequest">
984                 <sequence>
985                   <element name="from" type="string"/>
986                   <element name="to" type="string"/>
987                   <element name="majorVersion" type="int"/>
988                   <element name="minorVersion" type="int"/>
989                   <element name="reconciliationIdentifier" type="string"/>
990                   <element name="totals" type="typens:ArrayOfTotal"/>
991                 </sequence>
992           </complexType>
993           <complexType name="ReceiveTotalsResponse">
994             <sequence>
995               <element name="resultIdentifier" type="int"/>
996               <element name="responseCodes" type="typens:ArrayOfInt"
997                   minOccurs="0"/>
998             </sequence>
999           </complexType>
1000          <complexType name="TransactionStatusRequest">
1001            <sequence>
1002              <element name="from" type="string"/>
1003              <element name="to" type="string"/>
1004              <element name="majorVersion" type="int"/>
1005              <element name="minorVersion" type="int"/>
1006              <element name="transactionIdentifier" type="string"/>
1007            </sequence>
1008          </complexType>
1009          <complexType name="TransactionStatusResponse">
1010            <sequence>
1011              <element name="transactionIdentifier" type="string"/>
1012              <element name="transactionStatus" type="int"/>
1013              <element name="transactionStatusDateTime" type="dateTime"/>
1014              <element name="resultIdentifier" type="int"/>
1015              <element name="responseCodes" type="typens:ArrayOfInt"
1016                  minOccurs="0"/>
1017            </sequence>
1018          </complexType>
1019          <complexType name="ReceiveAuditTrailRequest">
1020            <sequence>
1021              <element name="from" type="string"/>
1022              <element name="to" type="string"/>
1023              <element name="majorVersion" type="int"/>
1024              <element name="minorVersion" type="int"/>
1025              <element name="reconciliationIdentifier" type="string"/>
1026              <element name="transactions"
1027                  type="typens:ArrayOfTransaction"/>
1028            </sequence>
1029          </complexType>
1030          <complexType name="ReceiveAuditTrailResponse">
1031            <sequence>
1032              <element name="resultIdentifier" type="int"/>

```

```

1033             <element name="responseCodes" type="typens:ArrayOfInt"
1034                 minOccurs="0"/>
1035         </sequence>
1036     </complexType>
1037     <complexType name="ReceiveUnitBlocksRequest">
1038         <sequence>
1039             <element name="from" type="string"/>
1040             <element name="to" type="string"/>
1041             <element name="majorVersion" type="int"/>
1042             <element name="minorVersion" type="int"/>
1043             <element name="reconciliationIdentifier" type="string"/>
1044             <element name="unitBlocks" type="typens:ArrayOfUnitBlock"/>
1045         </sequence>
1046     </complexType>
1047     <complexType name="ReceiveUnitBlocksResponse">
1048         <sequence>
1049             <element name="resultIdentifier" type="int"/>
1050             <element name="responseCodes" type="typens:ArrayOfInt"
1051                 minOccurs="0"/>
1052         </sequence>
1053     </complexType>
1054     <complexType name="InitiateReconciliationRequest">
1055         <sequence>
1056             <element name="from" type="string"/>
1057             <element name="to" type="string"/>
1058             <element name="majorVersion" type="int"/>
1059             <element name="minorVersion" type="int"/>
1060             <element name="reconciliationIdentifier" type="string"/>
1061             <element name="snapshotDatetime" type="dateTime"/>
1062         </sequence>
1063     </complexType>
1064     <complexType name="InitiateReconciliationResponse">
1065         <sequence>
1066             <element name="resultIdentifier" type="int"/>
1067             <element name="responseCodes" type="typens:ArrayOfInt"
1068                 minOccurs="0"/>
1069         </sequence>
1070     </complexType>
1071 </schema>
1072 </types>
1073 <message name="provideTimeRequest">
1074     <part name="provideTimeRequest" type="typens:ProvideTimeRequest"/>
1075 </message>
1076 <message name="provideTimeResponse">
1077     <part name="provideTimeResponse" type="typens:ProvideTimeResponse"/>
1078 </message>
1079 <message name="acceptMessageRequest">
1080     <part name="acceptMessageRequest" type="typens:MessageRequest"/>
1081 </message>
1082 <message name="acceptMessageResponse">
1083     <part name="acceptMessageResponse" type="typens:MessageResponse"/>
1084 </message>
1085 <message name="acceptProposalRequest">
1086     <part name="acceptProposalRequest" type="typens:ProposalRequest"/>
1087 </message>
1088 <message name="acceptProposalResponse">
1089     <part name="acceptProposalResponse" type="typens:ProposalResponse"/>
1090 </message>
1091 <message name="acceptNotificationRequest">
1092     <part name="acceptNotificationRequest" type="typens:NotificationRequest"/>
1093 </message>
1094 <message name="acceptNotificationResponse">
1095     <part name="acceptNotificationResponse" type="typens:NotificationResponse"/>
1096 </message>
1097 <message name="acceptITLNoticeRequest">
1098     <part name="acceptITLNoticeRequest" type="typens:ITLNoticeRequest"/>
1099 </message>
1100 <message name="acceptITLNoticeResponse">
1101     <part name="acceptITLNoticeResponse" type="typens:ITLNoticeResponse"/>
1102 </message>
1103 <message name="receiveReconciliationResultRequest">
1104     <part name="receiveReconciliationResultRequest"
1105         type="typens:ReconciliationResultRequest"/>

```

```

1106     </message>
1107     <message name="receiveReconciliationResultResponse">
1108         <part name="receiveReconciliationResultResponse"
1109             type="typens:ReconciliationResultResponse"/>
1110     </message>
1111     <message name="provideAuditTrailRequest">
1112         <part name="provideAuditTrailRequest" type="typens:ProvideAuditTrailRequest"/>
1113     </message>
1114     <message name="provideAuditTrailResponse">
1115         <part name="provideAuditTrailResponse" type="typens:ProvideAuditTrailResponse"/>
1116     </message>
1117     <message name="provideTotalsRequest">
1118         <part name="provideTotalsRequest" type="typens:ProvideTotalsRequest"/>
1119     </message>
1120     <message name="provideTotalsResponse">
1121         <part name="provideTotalsResponse" type="typens:ProvideTotalsResponse"/>
1122     </message>
1123     <message name="provideUnitBlocksRequest">
1124         <part name="provideUnitBlocksRequest" type="typens:ProvideUnitBlocksRequest"/>
1125     </message>
1126     <message name="provideUnitBlocksResponse">
1127         <part name="provideUnitBlocksResponse" type="typens:ProvideUnitBlocksResponse"/>
1128     </message>
1129     <message name="getTransactionStatusRequest">
1130         <part name="getTransactionStatusRequest" type="typens:TransactionStatusRequest"/>
1131     </message>
1132     <message name="getTransactionStatusResponse">
1133         <part name="getTransactionStatusResponse" type="typens:TransactionStatusResponse"/>
1134     </message>
1135     <message name="receiveAuditTrailRequest">
1136         <part name="receiveAuditTrailRequest" type="typens:ReceiveAuditTrailRequest"/>
1137     </message>
1138     <message name="receiveAuditTrailResponse">
1139         <part name="receiveAuditTrailResponse" type="typens:ReceiveAuditTrailResponse"/>
1140     </message>
1141     <message name="receiveTotalsRequest">
1142         <part name="receiveTotalsRequest" type="typens:ReceiveTotalsRequest"/>
1143     </message>
1144     <message name="receiveTotalsResponse">
1145         <part name="receiveTotalsResponse" type="typens:ReceiveTotalsResponse"/>
1146     </message>
1147     <message name="receiveUnitBlocksRequest">
1148         <part name="receiveUnitBlocksRequest" type="typens:ReceiveUnitBlocksRequest"/>
1149     </message>
1150     <message name="receiveUnitBlocksResponse">
1151         <part name="receiveUnitBlocksResponse" type="typens:ReceiveUnitBlocksResponse"/>
1152     </message>
1153     <message name="initiateReconciliationRequest">
1154         <part name="initiateReconciliationRequest"
1155             type="typens:InitiateReconciliationRequest"/>
1156     </message>
1157     <message name="initiateReconciliationResponse">
1158         <part name="initiateReconciliationResponse"
1159             type="typens:InitiateReconciliationResponse"/>
1160     </message>
1161 </definitions>
```

1162   **6. Summaries of Account Management Web Service Operations**

1163  
1164   **6.1 CreateAccountRequest**

1165                      Figure K13K15: CreateAccountRequest

1166                      Role(s): **STLCITL**

<u>CreateAccountRequest</u>		
from	string	
to	string	
correlationID	long	
majorVersion	int	
minorVersion	int	
accounts	<b>CreatedAccount</b>	
accountType	int	
accountIdentifier	long	
identifierInReg	string	
commitPeriod	int	
installation	<b>CreatedInstallation</b>	Opt
installationIdentifier	long	
permitIdentifier	string	
name	string	
mainActivityType	int	
country	string	
postalCode	string	
city	string	
address1	string	
address2	string	Opt
parentCompany	string	Opt
subsidiaryCompany	string	Opt
EPERIdentification	string	Opt
latitude	double	Opt
longitude	double	Opt
contactPeople	<b>CreatedPeople</b>	
relationshipCode	int	
personIdentifier	string	
firstName	string	
lastName	string	
country	string	
postalCode	string	
city	string	
address1	string	
address2	string	Opt
phoneNumber1	string	
phoneNumber2	string	
faxNumber	string	
email	string	
<b>CreatedPeople</b>		
relationshipCode	int	
personIdentifier	string	
firstName	string	
lastName	string	
country	string	
postalCode	string	
city	string	
address1	string	
address2	string	Opt

(cont.)

1169  
1170

1171  
1172  
1173

**Figure K13K15: CreateAccount Request (cont.)**

**Role(s): STLCITL**

phoneNumber1	string
phoneNumber2	string
faxNumber	string
email	string
<b><u>CreateAccountResponse</u></b>	
resultIdentifier	int
responseCode	int
	Opt

1174  
1175

1176    **6.2    UpdateAccountRequest**1177  
1178  
1179  
1180**Figure K14K16: UpdateAccountRequest**  
**Role(s): STLCITL**

<b>UpdateAccountRequest</b>			
from	string		
to	string		
correlationID	long		
majorVersion	int		
minorVersion	int		
accounts	<b>UpdatedAccount</b>		
	accountIdentifier	long	
	identifierInReg	string	Opt
	installation	UpdatedInstallation	Opt
	permitIdentifier	string	Opt
	name	string	Opt
	mainActivityType	int	Opt
	country	string	Opt
	postalCode	string	Opt
	city	string	Opt
	address1	string	Opt
	address2	string	Opt
	parentCompany	string	Opt
	subsidiaryCompany	string	Opt
	EPERIdentification	string	Opt
	latitude	double	Opt
	longitude	double	Opt
	contactPeople	UpdatedPeople	Opt
	action	int	
	relationshipCode	int	
	personIdentifier	string	
	firstName	string	Opt
	lastName	string	Opt
	country	string	Opt
	postalCode	string	Opt
	city	string	Opt
	address1	string	Opt
	address2	string	Opt
	phoneNumber1	string	Opt
	phoneNumber2	string	Opt
	faxNumber	string	Opt
	email	string	Opt
peoples	UpdatedPeople	Opt	
	action	int	
	relationshipCode	int	
	personIdentifier	string	
	firstName	string	Opt
	lastName	string	Opt
	country	string	Opt
	postalCode	string	Opt
	city	string	Opt
	address1	string	Opt
	address2	string	Opt
	phoneNumber1	string	Opt
	phoneNumber2	string	Opt
	faxNumber	string	Opt
	email	string	Opt

(cont.)

1181

1182 | **Figure K14K16: UpdateAccountRequest (cont.)**  
 1183 | **Role(s): STLCITL**

1184 | **UpdateAccountResponse**

resultIdentifier	int	
responseCode	int	Opt

1186 | **6.3 CloseAccountRequest**

1187 | **Figure K45K17: CloseAccountRequest**

1188 | **Role(s): STLCITL**

1189 | **CloseAccountRequest**

from	string	
to	string	
correlationID	long	
majorVersion	int	
minorVersion	int	
accounts	<b>CloseAccount</b>	
	accountIdentifier	long

1190 | **CloseAccountResponse**

resultIdentifier	int	
responseCode	int	Opt

1193 | **6.4 UpdateVerifiedEmissionsRequest**

1194 | **Figure K46K18: UpdateVerifiedEmissionsRequest**

1195 | **Role(s): STLCITL**

1196 | **UpdateVerifiedEmissionsRequest**

from	string	
to	string	
correlationID	long	
majorVersion	int	
minorVersion	int	
verifiedEmissions	<b>VerifiedEmission</b>	
yearInCommitPeriod		
installations	<b>InstallationVerifiedEmission</b>	
	installationIdentifier	long
	verifiedEmission	long

1197 | **UpdateVerifiedEmissionsResponse**

resultIdentifier	int	
responseCode	int	Opt

1202    6.5    [ReceiveAccountOperationOutcomeRequest](#)

1203  
1204  
1205  
1206

Figure K17K19: [ReceiveAccountOperationOutcomeRequest](#)

Role(s): Registry

<u><a href="#">ReceiveAccountOperationOutcomeRequest</a></u>															
from	string														
to	string														
correlationID	long														
majorVersion	int														
minorVersion	int														
outcome	int														
outcomeDetails	<b>OutcomeDetail</b>	Opt													
<table border="1"><tr><td>responseCode</td><td>int</td><td></td><td></td></tr><tr><td>accountIdentifier</td><td>long</td><td>Opt</td><td></td></tr><tr><td>installationIdentifier</td><td>long</td><td>Opt</td><td></td></tr></table>				responseCode	int			accountIdentifier	long	Opt		installationIdentifier	long	Opt	
responseCode	int														
accountIdentifier	long	Opt													
installationIdentifier	long	Opt													
<u><a href="#">ReceiveAccountOperationOutcomeResponse</a></u>															
resultIdentifier	int														
responseCode	int	Opt													

## 1207    7. Account Management WSDLs

```
1208  
1209    7.1 Account Management Registry WSDL Description  
1210  
1211 <?xml version="1.0" encoding="UTF-8"?>  
1212  
1213 <definitions name="AccountManagement"  
1214     targetNamespace="urn:KyotoProtocol:RegistrySystem: SPLCITL:1.0:0.0"  
1215     xmlns:tns="urn:KyotoProtocol:RegistrySystem: SPLCITL:1.0:0.0"  
1216     xmlns:typens="urn:KyotoProtocol:RegistrySystem: SPLCITL:Types:1.0:0.0"  
1217     xmlns:soap="http://schemas.xmlsoap.org/wsdl/soap/"  
1218     xmlns="http://schemas.xmlsoap.org/wsdl/ ">  
1219  
1220 <types>  
1221  
1222 | <schema targetNamespace="urn:KyotoProtocol:RegistrySystem: SPLCITL:Types:1.0:0.0"  
1223 |     xmlns:soapenc="http://schemas.xmlsoap.org/soap/encoding/"  
1224 |     xmlns:wsdl="http://schemas.xmlsoap.org/wsdl/"  
1225 |     xmlns="http://www.w3.org/2001/XMLSchema">  
1226  
1227     <import namespace="http://schemas.xmlsoap.org/soap/encoding/" />  
1228  
1229     <complexType name="OutcomeDetail">  
1230       <sequence>  
1231         <!-- An outcome detail contains a response code  
1232           per account/installation where a check failed -->  
1233         <element name="responseCode" type="int"/>  
1234         <element name="accountIdentifier" minOccurs="0" type="long"/>  
1235         <element name="installationIdentifier" minOccurs="0" type="long"/>  
1236       </sequence>  
1237     </complexType>  
1238  
1239     <complexType name="ArrayOfOutcomeDetail">  
1240       <complexContent>  
1241         <restriction base="soapenc:Array">  
1242           <attribute ref="soapenc:arrayType" wsdl:arrayType="typens:OutcomeDetail[]"/>  
1243         </restriction>  
1244       </complexContent>  
1245     </complexType>  
1246  
1247  
1248     <complexType name="ReceiveAccountOperationOutcomeRequest">  
1249       <sequence>  
1250         <element name="from" type="string"/>  
1251         <element name="to" type="string"/>  
1252         <element name="correlationID" type="long"/>  
1253         <element name="majorVersion" type="int"/>  
1254         <element name="minorVersion" type="int"/>  
1255         <element name="outcome" type="int"/>  
1256         <element name="outcomeDetails" minOccurs="0"  
1257           type="typens:ArrayOfOutcomeDetail"/>  
1258       </sequence>  
1259     </complexType>  
1260  
1261  
1262     <complexType name="ReceiveAccountOperationOutcomeResponse">  
1263       <sequence>  
1264         <element name="resultIdentifier" type="int"/>  
1265         <element name="responseCode" minOccurs="0" type="int"/>  
1266       </sequence>  
1267     </complexType>  
1268  
1269     </schema>  
1270  
1271 </types>  
1272  
1273 <message name="receiveAccountOperationOutcomeRequest">  
1274     <part name="ReceiveAccountOperationOutcomeRequest"  
1275       type="typens:ReceiveAccountOperationOutcomeRequest"/>  
1276 </message>  
1277  
1278 <message name="receiveAccountOperationOutcomeResponse">
```

```

1279     <part name="return"
1280         type="typens:ReceiveAccountOperationOutcomeResponse"/>
1281     </message>
1282
1283     <portType name="AccountManagementPort">
1284
1285         <operation name="receiveAccountOperationOutcome">
1286             <input message="tns:receiveAccountOperationOutcomeRequest"/>
1287             <output message="tns:receiveAccountOperationOutcomeResponse"/>
1288         </operation>
1289
1290     </portType>
1291
1292     <binding name="AccountManagementBinding" type="tns:AccountManagementPort">
1293
1294         <soap:binding transport="http://schemas.xmlsoap.org/soap/http" style="rpc"/>
1295
1296         <operation name="receiveAccountOperationOutcome">
1297             <soap:operation soapAction="" />
1298             <input>
1299                 <soap:body use="encoded"
1300                     encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
1301                     namespace="urn:KyotoProtocol:RegistrySystem: $TLCITL:1.0:0.0"/>
1302             </input>
1303             <output>
1304                 <soap:body use="encoded"
1305                     encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
1306                     namespace="urn:KyotoProtocol:RegistrySystem: $TLCITL:1.0:0.0"/>
1307             </output>
1308         </operation>
1309
1310     </binding>
1311
1312     <service name="AccountManagementService">
1313         <port name="AccountManagementPort" binding="tns:AccountManagementBinding">
1314             <soap:address location="http://REPLACE.WITH.ACTUAL.URL"/>
1315         </port>
1316     </service>
1317
1318 </definitions>
1319
```

## 7.2 Account Management Transaction Log WSDL Description

```

1320
1321     <?xml version="1.0" encoding="UTF-8"?>
1322
1323
1324     <definitions name="AccountManagement"
1325         targetNamespace="urn:KyotoProtocol:RegistrySystem: $TLCITL:1.0:0.0"
1326         xmlns:tns="urn:KyotoProtocol:RegistrySystem: $TLCITL:1.0:0.0"
1327         xmlns:typens="urn:KyotoProtocol:RegistrySystem: $TLCITL:Types:1.0:0.0"
1328         xmlns:soap="http://schemas.xmlsoap.org/wsdl/soap/"
1329         xmlns="http://schemas.xmlsoap.org/wsdl/">
1330
1331     <types>
1332
1333         <schema targetNamespace="urn:KyotoProtocol:RegistrySystem: $TLCITL:Types:1.0:0.0"
1334             xmlns:soapenc="http://schemas.xmlsoap.org/soap/encoding/"
1335             xmlns:wsdl="http://schemas.xmlsoap.org/wsdl/"
1336             xmlns="http://www.w3.org/2001/XMLSchema">
1337
1338             <import namespace="http://schemas.xmlsoap.org/soap/encoding/"/>
1339
1340             <complexType name="CreatedAccount">
1341                 <sequence>
1342                     <element name="accountType" type="int"/>
1343                     <element name="accountIdentifier" type="long"/>
1344                     <element name="identifierInReg" type="string"/>
1345                     <element name="commitPeriod" type="int"/>
1346                     <element name="installation" minOccurs="0"
1347                         type="typens:CreatedInstallation"/>
1348                     <element name="peoples" minOccurs="0"
1349                         type="typens:ArrayOfCreatedPeople"/>
1350                 </sequence>

```

```

1351    </complexType>
1352
1353    <complexType name="ArrayOfCreatedAccount">
1354        <complexContent>
1355            <restriction base="soapenc:Array">
1356                <attribute ref="soapenc:arrayType"
1357                            wsdl:arrayType="typens:CreatedAccount[]"/>
1358            </restriction>
1359        </complexContent>
1360    </complexType>
1361
1362    <complexType name="CreatedInstallation">
1363        <sequence>
1364            <element name="installationIdentifier" type="long"/>
1365            <element name="permitIdentifier" type="string"/>
1366            <element name="name" type="string"/>
1367            <element name="mainActivityType" type="int"/>
1368            <element name="country" type="string"/>
1369            <element name="postalCode" type="string"/>
1370            <element name="city" type="string"/>
1371            <element name="address1" type="string"/>
1372            <element name="address2" minOccurs="0" type="string"/>
1373            <element name="parentCompany" minOccurs="0" type="string"/>
1374            <element name="subsidiaryCompany" minOccurs="0" type="string"/>
1375            <element name="EPERIdentification" minOccurs="0" type="string"/>
1376            <element name="latitude" minOccurs="0" type="double"/>
1377            <element name="longitude" minOccurs="0" type="double"/>
1378            <element name="contactPeople" type="typens:CreatedPeople"/>
1379        </sequence>
1380    </complexType>
1381
1382    <complexType name="CreatedPeople">
1383        <sequence>
1384            <element name="relationshipCode" type="int"/>
1385            <element name="personIdentifier" type="string"/>
1386            <element name="firstName" minOccurs="0" type="string"/>
1387            <!-- If the people is an organization (i.e. not a physical person)
1388                  lastName should contain the organization's name -->
1389            <element name="lastName" type="string"/>
1390            <element name="country" type="string"/>
1391            <element name="postalCode" type="string"/>
1392            <element name="city" type="string"/>
1393            <element name="address1" type="string"/>
1394            <element name="address2" minOccurs="0" type="string"/>
1395            <element name="phoneNumber1" type="string"/>
1396            <element name="phoneNumber2" type="string"/>
1397            <element name="faxNumber" type="string"/>
1398            <element name="email" type="string"/>
1399        </sequence>
1400    </complexType>
1401
1402    <complexType name="ArrayOfCreatedPeople">
1403        <complexContent>
1404            <restriction base="soapenc:Array">
1405                <attribute ref="soapenc:arrayType"
1406                            wsdl:arrayType="typens:CreatedPeople[]"/>
1407            </restriction>
1408        </complexContent>
1409    </complexType>
1410
1411    <complexType name="UpdatedAccount">
1412        <sequence>
1413            <element name="accountIdentifier" type="long"/>
1414            <element name="identifierInReg" minOccurs="0" type="string"/>
1415            <element name="installation" minOccurs="0"
1416                            type="typens:UpdatedInstallation"/>
1417            <element name="peoples" minOccurs="0"
1418                            type="typens:ArrayOfUpdatedPeople"/>
1419        </sequence>
1420    </complexType>
1421
1422    <complexType name="ArrayOfUpdatedAccount">
1423        <complexContent>

```

```

1424     <restriction base="soapenc:Array">
1425         <attribute ref="soapenc:arrayType"
1426             wsdl:arrayType="typens:UpdatedAccount[]"/>
1427     </restriction>
1428 </complexContent>
1429 </complexType>
1430
1431 <complexType name="UpdatedInstallation">
1432     <sequence>
1433         <element name="permitIdentifier" minOccurs="0" type="string"/>
1434         <element name="name" minOccurs="0" type="string"/>
1435         <element name="mainActivityType" minOccurs="0" type="int"/>
1436         <element name="country" minOccurs="0" type="string"/>
1437         <element name="postalCode" minOccurs="0" type="string"/>
1438         <element name="city" minOccurs="0" type="string"/>
1439         <element name="address1" minOccurs="0" type="string"/>
1440         <element name="address2" minOccurs="0" type="string"/>
1441         <element name="parentCompany" minOccurs="0" type="string"/>
1442         <element name="subsidiaryCompany" minOccurs="0" type="string"/>
1443         <element name="EPERIidentification" minOccurs="0" type="string"/>
1444         <element name="latitude" minOccurs="0" type="double"/>
1445         <element name="longitude" minOccurs="0" type="double"/>
1446         <element name="contactPeople" minOccurs="0"
1447             type="typens:UpdatedPeople"/>
1448     </sequence>
1449 </complexType>
1450
1451 <complexType name="UpdatedPeople">
1452     <sequence>
1453         <!-- action : add==1, update==2, delete==3 -->
1454         <element name="action" type="int"/>
1455         <element name="relationshipCode" type="int"/>
1456         <element name="personIdentifier" type="string"/>
1457         <element name="firstName" minOccurs="0" type="string"/>
1458         <element name="lastName" minOccurs="0" type="string"/>
1459         <element name="country" minOccurs="0" type="string"/>
1460         <element name="postalCode" minOccurs="0" type="string"/>
1461         <element name="city" minOccurs="0" type="string"/>
1462         <element name="address1" minOccurs="0" type="string"/>
1463         <element name="address2" minOccurs="0" type="string"/>
1464         <element name="phoneNumber1" minOccurs="0" type="string"/>
1465         <element name="phoneNumber2" minOccurs="0" type="string"/>
1466         <element name="faxNumber" minOccurs="0" type="string"/>
1467         <element name="email" minOccurs="0" type="string"/>
1468     </sequence>
1469 </complexType>
1470
1471 <complexType name="ArrayOfUpdatedPeople">
1472     <complexContent>
1473         <restriction base="soapenc:Array">
1474             <attribute ref="soapenc:arrayType"
1475                 wsdl:arrayType="typens:UpdatedPeople[]"/>
1476         </restriction>
1477     </complexContent>
1478 </complexType>
1479
1480 <complexType name="ClosedAccount">
1481     <sequence>
1482         <element name="accountIdentifier" type="long"/>
1483     </sequence>
1484 </complexType>
1485
1486 <complexType name="ArrayOfClosedAccount">
1487     <complexContent>
1488         <restriction base="soapenc:Array">
1489             <attribute ref="soapenc:arrayType"
1490                 wsdl:arrayType="typens:ClosedAccount[]"/>
1491         </restriction>
1492     </complexContent>
1493 </complexType>
1494
1495 <complexType name="VerifiedEmission">
1496     <sequence>

```

```

1497     <element name="yearInCommitPeriod" type="int"/>
1498     <element name="installations"
1499         type="typens:ArrayOfInstallationVerifiedEmission"/>
1500     </sequence>
1501 </complexType>
1502
1503     <complexType name="ArrayOfVerifiedEmission">
1504         <complexContent>
1505             <restriction base="soapenc:Array">
1506                 <attribute ref="soapenc:arrayType"
1507                     wsdl:arrayType="typens:VerifiedEmission[]"/>
1508             </restriction>
1509         </complexContent>
1510     </complexType>
1511
1512     <complexType name="InstallationVerifiedEmission">
1513         <sequence>
1514             <element name="installationIdentifier" type="long"/>
1515             <element name="verifiedEmission" type="long"/>
1516         </sequence>
1517     </complexType>
1518
1519     <complexType name="ArrayOfInstallationVerifiedEmission">
1520         <complexContent>
1521             <restriction base="soapenc:Array">
1522                 <attribute ref="soapenc:arrayType"
1523                     wsdl:arrayType="typens:InstallationVerifiedEmission[]"/>
1524             </restriction>
1525         </complexContent>
1526     </complexType>
1527
1528     <complexType name="CreateAccountRequest">
1529         <sequence>
1530             <element name="from" type="string"/>
1531             <element name="to" type="string"/>
1532             <element name="correlationID" type="long"/>
1533             <element name="majorVersion" type="int"/>
1534             <element name="minorVersion" type="int"/>
1535
1536             <element name="accounts" type="typens:ArrayOfCreatedAccount"/>
1537         </sequence>
1538     </complexType>
1539
1540     <complexType name="CreateAccountResponse">
1541         <sequence>
1542             <element name="resultIdentifier" type="int"/>
1543             <element name="responseCode" minOccurs="0" type="int"/>
1544         </sequence>
1545     </complexType>
1546
1547     <complexType name="UpdateAccountRequest">
1548         <sequence>
1549             <element name="from" type="string"/>
1550             <element name="to" type="string"/>
1551             <element name="correlationID" type="long"/>
1552             <element name="majorVersion" type="int"/>
1553             <element name="minorVersion" type="int"/>
1554             <element name="accounts" type="typens:ArrayOfUpdatedAccount"/>
1555         </sequence>
1556     </complexType>
1557
1558     <complexType name="UpdateAccountResponse">
1559         <sequence>
1560             <element name="resultIdentifier" type="int"/>
1561             <element name="responseCode" minOccurs="0" type="int"/>
1562         </sequence>
1563     </complexType>
1564
1565     <complexType name="CloseAccountRequest">
1566         <sequence>
1567             <element name="from" type="string"/>
1568             <element name="to" type="string"/>
1569             <element name="correlationID" type="long"/>

```

```

1570     <element name="majorVersion" type="int"/>
1571     <element name="minorVersion" type="int"/>
1572     <element name="accounts" type="typens:ArrayOfClosedAccount"/>
1573   </sequence>
1574 </complexType>
1575
1576   <complexType name="CloseAccountResponse">
1577     <sequence>
1578       <element name="resultIdentifier" type="int"/>
1579       <element name="responseCode" minOccurs="0" type="int"/>
1580     </sequence>
1581 </complexType>
1582
1583   <complexType name="UpdateVerifiedEmissionsRequest">
1584     <sequence>
1585       <element name="from" type="string"/>
1586       <element name="to" type="string"/>
1587       <element name="correlationID" type="long"/>
1588       <element name="majorVersion" type="int"/>
1589       <element name="minorVersion" type="int"/>
1590       <element name="verifiedEmissions"
1591             type="typens:ArrayOfVerifiedEmission"/>
1592     </sequence>
1593 </complexType>
1594
1595   <complexType name="UpdateVerifiedEmissionsResponse">
1596     <sequence>
1597       <element name="resultIdentifier" type="int"/>
1598       <element name="responseCode" minOccurs="0" type="int"/>
1599     </sequence>
1600 </complexType>
1601
1602 </schema>
1603
1604 </types>
1605
1606 <message name="createAccountRequest">
1607   <part name="CreateAccountRequest" type="typens:CreateAccountRequest"/>
1608 </message>
1609
1610 <message name="createAccountResponse">
1611   <part name="return" type="typens:CreateAccountResponse"/>
1612 </message>
1613
1614 <message name="updateAccountRequest">
1615   <part name="UpdateAccountRequest" type="typens:UpdateAccountRequest"/>
1616 </message>
1617
1618 <message name="updateAccountResponse">
1619   <part name="return" type="typens:UpdateAccountResponse"/>
1620 </message>
1621
1622 <message name="closeAccountRequest">
1623   <part name="CloseAccountRequest" type="typens:CloseAccountRequest"/>
1624 </message>
1625
1626 <message name="closeAccountResponse">
1627   <part name="return" type="typens:CloseAccountResponse"/>
1628 </message>
1629
1630 <message name="updateVerifiedEmissionsRequest">
1631   <part name="UpdateVerifiedEmissionsRequest"
1632         type="typens:UpdateVerifiedEmissionsRequest"/>
1633 </message>
1634
1635 <message name="updateVerifiedEmissionsResponse">
1636   <part name="return"
1637         type="typens:UpdateVerifiedEmissionsResponse"/>
1638 </message>
1639
1640 <portType name="AccountManagementPort">
1641
1642   <operation name="createAccount">

```

```

1643     <input message="tns:createAccountRequest"/>
1644     <output message="tns:createAccountResponse"/>
1645   </operation>
1646
1647   <operation name="updateAccount">
1648     <input message="tns:updateAccountRequest"/>
1649     <output message="tns:updateAccountResponse"/>
1650   </operation>
1651
1652   <operation name="closeAccount">
1653     <input message="tns:closeAccountRequest"/>
1654     <output message="tns:closeAccountResponse"/>
1655   </operation>
1656
1657   <operation name="updateVerifiedEmissions">
1658     <input message="tns:updateVerifiedEmissionsRequest"/>
1659     <output message="tns:updateVerifiedEmissionsResponse"/>
1660   </operation>
1661
1662 </portType>
1663
1664 <binding name="AccountManagementBinding" type="tns:AccountManagementPort">
1665
1666   <soap:binding transport="http://schemas.xmlsoap.org/soap/http" style="rpc"/>
1667
1668   <operation name="createAccount">
1669     <soap:operation soapAction="" />
1670     <input>
1671       <soap:body use="encoded"
1672         encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
1673         namespace="urn:KyotoProtocol:RegistrySystem: $TBCITL:1.0:0.0"/>
1674     </input>
1675     <output>
1676       <soap:body use="encoded"
1677         encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
1678         namespace="urn:KyotoProtocol:RegistrySystem: $TBCITL:1.0:0.0"/>
1679     </output>
1680   </operation>
1681
1682   <operation name="updateAccount">
1683     <soap:operation soapAction="" />
1684     <input>
1685       <soap:body use="encoded"
1686         encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
1687         namespace="urn:KyotoProtocol:RegistrySystem: $TBCITL:1.0:0.0"/>
1688     </input>
1689     <output>
1690       <soap:body use="encoded"
1691         encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
1692         namespace="urn:KyotoProtocol:RegistrySystem: $TBCITL:1.0:0.0"/>
1693     </output>
1694   </operation>
1695
1696   <operation name="closeAccount">
1697     <soap:operation soapAction="" />
1698     <input>
1699       <soap:body use="encoded"
1700         encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
1701         namespace="urn:KyotoProtocol:RegistrySystem: $TBCITL:1.0:0.0"/>
1702     </input>
1703     <output>
1704       <soap:body use="encoded"
1705         encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
1706         namespace="urn:KyotoProtocol:RegistrySystem: $TBCITL:1.0:0.0"/>
1707     </output>
1708   </operation>
1709
1710   <operation name="updateVerifiedEmissions">
1711     <soap:operation soapAction="" />
1712     <input>
1713       <soap:body use="encoded"
1714         encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
1715         namespace="urn:KyotoProtocol:RegistrySystem: $TBCITL:1.0:0.0"/>

```

```
1716     </input>
1717     <output>
1718         <soap:body use="encoded"
1719             encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
1720             namespace="urn:KyotoProtocol:RegistrySystem: STLCITL:1.0:0.0"/>
1721     </output>
1722 </operation>
1723
1724 </binding>
1725
1726 <service name="AccountManagementService">
1727     <port name="AccountManagementPort" binding="tns:AccountManagementBinding">
1728         <soap:address location="http://REPLACE.WITH.ACTUAL.URL"/>
1729     </port>
1730 </service>
1731
1732 </definitions>
```

## **Annex L**

### **WSDL Examples and Instructions**

## 1. Using the Web Services and WSDLs for Specific Transactions

This section provides additional information on how data **should-must** be provided for each transaction type. It defines the guiding principles, transaction-specific rules or guidelines and examples, both for the Kyoto Protocol and the **EU GHG programs European Union (EU) emissions trading scheme**.

## 1.1 Principles

The following principles have been agreed upon to guide the rules relating to submission of data via the Web services required in this document.

- Transaction messages will contain non duplicative data, wherever possible. Redundant data are discouraged because it creates the potential for conflicting data and the need for additional checks. Non-essential data are not expected, except where these data may improve the readability of the XML message or provide additional information to clarify a process.
  - For transaction types which are designed to modify the characteristics of a unit block (such as conversion, expiry date change, or the removal or addition of a supplementary unit type code), the incoming data will contain the values proposed to be changed, not the existing values.
  - Wherever possible, the content of both the Kyoto and Supplementary Program transactions will adhere to the same rules and adopt similar conventions for receipt, checking and handling potential conflicts.

## 1.2 Transaction Rules

The following "rules" have been applied to the examples below and should be used to guide the development of requirements for other Kyoto transactions or transactions needed for a supplementary transaction log. Information about transactions for the EU Community Independent Transaction Log (CITL) is also provided since the CITL will be operating concurrently with the ITL.

- If the same data are provided at both the transaction level and the unit block level, the data at the transaction level will be presumed to be correct and the unit block level data will be ignored.
  - Transactions may contain multiple "transfers" between accounts or "updates" as long as the unit blocks involved are for the same Commitment Period (with the exception for EU transaction 04-03 which retires allowances for Commitment Period 0 and cancels CERs for Commitment Period 1, and **transaction 10-41** which cancels unit blocks for one Commitment Period and replaces them with unit blocks for the next Commitment Period). Extremely complex or large transactions result in a higher risk that an error will be identified and the entire transaction rejected.
  - In general, the Kyoto Protocol does not require Account Identifiers to be specified. However, when the account type is cancellation, replacement or retirement, the Account Identifier must be provided. For EU purposes, all transactions involving movement of an allowance from one account to another requires the Account Identifier.

- 1789
- Figure L1 identifies by unit type the data for unit characteristics expected under the Kyoto Protocol. Figure L2 describes the circumstances for which data are provided for other parameters specific to transaction types. Figure L3 summarizes by transaction type how Registry, Account Identifiers and account types should be provided.
- 1790
- 1791
- 1792
- 1793
- 1794
- 1795

**Figure L1: Unit Type Specific Parameters**

Parameter	Unit Type						
	AAU (1)	RMU (2)	ERU from AAU (3)	ERU from RMU (4)	CER (5)	tCER (6)	ICER (7)
LULUCF Activity	Null	Provide value	Null	Provide value	Null	Provide value	Provide value
Project Identifier	Null	Null	Provide value	Provide value	Provide value	Provide value	Provide value
Track	Null	Null	Provide value	Provide value	Null	Null	Null
Expiry Date	Null	Null	Null	Null	Null	Provide value	Provide value

**Figure L2: Transaction Type Specific Parameters**

Parameter	Applicable Transaction Types
Notification Identifier	Only used in replacement transactions if the transaction is prompted by non-submission of certification, or by reversal of storage, or cancellation transactions for excess issuance, or impending tCER or ICER expiry.
Block Role	Only used during replacement (transaction type 6). Value is "REP" for the blocks that are being replaced.
Year in commitment period	Only used in EU transactions during Issue of Force Majeure Allowances (transaction type 01-54), Surrender of Allowances (transaction type 10-02) and Allocation of Allowances (transaction type 10-53).
Installation Identifier	Only used in EU transactions during Issue of Force Majeure Allowances (transaction type 01-54), Allocation of Allowances (transaction type 10-53).

1800  
1801

1802                   **Figure L3: Transaction and Block Information for Transferring and Acquiring Parties**

1803

<b>Transaction Type</b>	<b>Transferring Party</b>	<b>Acquiring Party</b>
Issuance	Registry Code Account Type Account ID (EU only)	Registry Code Account Type Account ID (EU only)
Conversion	Registry Code Account Type	Registry Code
External Transfers	Registry Code Account Type Account ID	Registry Code Account Type Account ID
Cancellation, Replacement, and Retirement	Registry Code Account Type Account ID (EU only)	Registry Code Account Type Account ID
Carry-over	Registry Code Account Type	Registry Code
Expiry Date Change	Registry Code Account Type	Registry Code
Internal (EU only)	Registry Code Account Type Account ID	Registry Code Account Type Account ID (EU only)

1804  
 1805  
 1806         For EU transactions for which the Account Type and Account ID are required, ~~these elements~~  
 1807         ~~should~~-Account Type and Account ID must be included at either the transaction level or unit block  
 1808         level, but not both levels.

1809  
 1810         **1.3      Transaction Element Descriptions**

1811         The following elements will be populated for all transactions and will conform to these standards:

- 1814         • From - the registry ~~or entity~~ sending the XML request. ~~This may not be the same registry~~  
 1815         ~~as the transferring registry for the transaction.~~ For messages received by registries, this  
 1816         value will always be the ITL.
- 1818         • To - The registry receiving an XML request. This may not be the same registry as the  
 1819         acquiring registry for the transaction. For messages sent by registries, this value will  
 1820         always be the ITL.
- 1822         • Major Version Number - Major Version number of the DES. This must be the current  
 1823         version or the message will be rejected
- 1825         • Minor Version Number - Minor Version number of the DES. If this number does not equal  
 1826         the latest version, a warning will be generated, but the XML message will be accepted.
- 1828         • Transaction Identifier - Unique transaction identifier generated by the registry initiating the  
 1829         transaction (transferring registry).
- 1831         • Transaction Type - integer represented the type of transaction as specified in the DES.
- 1833         • Transferring Registry Code - required for all transactions.

- 1835     • Acquiring Registry Code - required for all transactions.  
1836  
1837  
1838

The use of the following elements for Kyoto Protocol transactions depends on the transaction type.

- 1839  
1840     • Transferring Account Type - required for all transactions except Issuance.  
1841  
1842     • Transferring Account Identifier - required for External Transfers.  
1843  
1844     • Acquiring Account Type - required for Issuance, External Transfers, Cancellation,  
1845       Retirement, and Replacement to designate the account type receiving the units.  
1846  
1847     • Acquiring Account Identifier - required for External Transfers. Also required for other  
1848       Kyoto transactions whenever the acquiring account type is provided and is either  
1849       cancellation, replacement, or retirement and not required for conversion, carry-over and  
1850       expiry date change transactions.  
1851  
1852     • Notification Identifier - required for Replacement transactions that are in response to a  
1853       notification sent by the ITL as defined in Section 6. This includes transactions for reversal  
1854       in-of storage at a project site, or the lack of a certification report for a project, and  
1855       cancellation required in response to excess issuance of tCERs and ICERs, all carry-over  
1856       transactions, and replacements initiated in response to impending tCER or ICER expiry.  
1857       This value must be the Notification ID sent by the ITL in the original notification to which  
1858       this transaction is responding.  
1859  
1860       Note: The Supplemental Transaction Type is never provided in Kyoto-only transactions.  
1861  
1862     **1.4 Transaction Unit Block Element Descriptions**

1863  
1864       There is no restriction on the number of units blocks which may be included in a transaction.  
1865       However, all transaction proposals must contain at least one unit block. Multiple blocks may be  
1866       issued in a single transaction by submitting an array of unit blocks. **Where multiple blocks are**  
1867       **included they must be for the same Commitment Period.**

1868  
1869       For Kyoto transactions the following fields are always required:

- 1870  
1871       • Serial block start  
1872  
1873       • Serial block end  
1874  
1875       • Originating party-registry code  
1876  
1877       • Unit type  
1878  
1879       • Original Commitment Period  
1880  
1881       • Applicable Commitment Period  
1882

1883       The use of the following fields depends on the unit characteristics and transaction type:

- 1884  
1885       • LULUCF Activity Code - required for RMUs, tCERs, and ICERs in all transaction types.  
1886  
1887       • Project Identifier - required for ERUs, CERs, tCERs, and ICERs in all transaction types.  
1888  
1889       • Track - required for ERUs.  
1890

- 1891     • Block Role - needed in Replacement transactions to distinguish between the replacing  
1892       blocks and the block to be replaced. The block to be replaced will have a value of "REP"  
1893       in this field. The replacing block value will be null.  
1894  
1895     • Expiry Date - required for tCERs and ICERs in all transaction types.  
1896

1897       The following fields are never provided in the Transaction Unit Block for Kyoto-only transactions:  
1898

- 1899     • Supplemental Unit Type  
1900  
1901     • Transferring Registry Account Type  
1902  
1903     • Transferring Registry Account Identifier  
1904  
1905     • Acquiring Registry Account Type  
1906  
1907     • Acquiring Registry Account Identifier  
1908  
1909     • Year in Commitment Period  
1910  
1911     • Installation Identifier  
1912

## 1913     1.5 General Notes about each Kyoto Protocol Transaction Type

1914       This section summarizes the rules articulated above for each transaction type:  
1915

- 1916  
1917     • Issuance - Transaction Type 1. In a proposed Issuance transaction, the registry that is  
1918       the proposing registry is identified in the transferring registry code data element. The  
1919       registry and account type that receives the issuance of units ~~should~~must be listed in the  
1920       acquiring registry and the acquiring account type fields. The acquiring Account Identifier  
1921       must be left null for Kyoto-only transactions because for Kyoto transactions the account  
1922       identifier is only used for cancellation, replacement and retirement accounts and ~~you~~  
1923       ~~cannot~~ it is not possible to issue into those account types. The transferring account type,  
1924       transferring Account Identifier and Notification ID must be null for all issuance  
1925       transactions. The unit blocks will be completed as appropriate for their characteristics.  
1926  
1927     • Conversion - Transaction Type 2. The transferring registry code and transferring account  
1928       type identify the holder of the units for conversion. The acquiring registry code will be the  
1929       same value as the transferring registry code. The remaining acquiring registry fields and  
1930       the Notification ID will be null. In the Transaction Unit Block fields, the unit type code will  
1931       be the proposed ERU current unit type (code 3 or 4). The track and project identifier  
1932       fields are required for ERUs and therefore for Conversion transactions. If the unit was  
1933       previously an RMU, the LULUCF Activity Code is also required.  
1934  
1935     • External Transfer - Transaction Type 3. In External transactions the transferring registry,  
1936       acquiring registry, transferring account type, acquiring account type, transferring account  
1937       identifier and acquiring account identifier are required. The Notification ID will be null  
1938       unless the transaction is to the CDM registry for ~~excess issuance~~ cancellation. ~~You~~  
1939       ~~cannot~~ It is not possible to "retire" units to another registry using an External transaction.  
1940       The unit blocks will be completed as appropriate for their characteristics.  
1941  
1942     • Cancellation - Transaction Type 4. The transferring registry code and transferring  
1943       account type describe the holder of the units prior to cancellation. The acquiring registry  
1944       code, acquiring account type, and acquiring account identifier will describe the  
1945       cancellation account. The Notification ID will be null ~~unless the for voluntary~~  
1946       ~~cancellations is in response to a specific~~. The Notification ID is required for cancellations  
1947       resulting from the impending expiry of tCERs or ICERs, from non-compliance

1948                   cancellations, net source cancellations or cancellations related to the non-submission of a  
1949                   certification report. The unit blocks will be completed as appropriate for their  
1950                   characteristics.

- 1951                   • Retirement - Transaction Type 5. The transferring registry code and transferring account  
1952                   type describe the holder of the units prior to retirement. The acquiring registry code,  
1953                   acquiring account type, and acquiring account identifier will describe the retirement  
1954                   account. The Notification ID will be null. The unit blocks will be completed as appropriate  
1955                   for their characteristics.
- 1956                   • Replacement - Transaction Type 6. The transferring registry code and transferring  
1957                   account type describe the holder of the replacing units prior to the transaction. The  
1958                   acquiring registry, acquiring account type and acquiring account Identifier describe the  
1959                   replacement account receiving the units. The Notification ID may be populated if this  
1960                   replacement is in response to a notification due to reversal of storage, ~~or lack non-~~  
1961                   ~~submission~~ of a certification report, ~~or the impending expiry of a tCER or ICER~~. If the  
1962                   replacement is not for these reasons, it will be null. The unit blocks listed first represent  
1963                   the units being transferred to the replacement account. After all these blocks are listed,  
1964                   the blocks that are being replaced are listed. The blocks being replaced are designated  
1965                   by a block role of "REP." (The block role of the replacing blocks will be null.) The  
1966                   remaining characteristics of the unit blocks (both replacing and replaced) will be  
1967                   completed as appropriate to their characteristics.
- 1968                   • Carry-over - Transaction Type 7. The transferring registry code and transferring account  
1969                   type describe the holder of the units for carry-over. The acquiring registry code will be the  
1970                   same value as the transferring registry code. The remaining acquiring registry fields ~~and~~  
1971                   ~~the Notification ID~~ will be null. **A Notification ID must be provided**. In the Unit Block fields,  
1972                   the Applicable Commitment Period will be the value of the new Applicable Commitment  
1973                   Period after the carry-over is completed. The remaining characteristics of the unit blocks  
1974                   will be completed as appropriate to their characteristics.
- 1975                   • Expiry Date Change - Transaction Type 8. The transferring registry code and transferring  
1976                   account type describe the holder of the units in the transaction. The acquiring registry  
1977                   code will be the same value as the transferring registry code. The remaining acquiring  
1978                   registry fields and the Notification ID will be null. In the Unit Block fields, the Expiry Date  
1979                   must be the value of the new expiry date after the transaction is completed. The  
1980                   remaining fields of the unit blocks will be completed as appropriate to their characteristics.

## 1981                   2. **Transaction Examples**

1982                   The following **transaction** examples provide guidance for which optional parameters are expected  
1983                   under which circumstances for each transaction type.

1984                   Note that if a parameter is specified as "not supplied," a value is not expected to be passed in the  
1985                   given scenario and the element may be omitted. If it is required in certain circumstances (for  
1986                   certain unit types, for example), it should be considered to be "not supplied" when the criteria is  
1987                   not met.

1988                   Although a transaction may involve as many unit blocks as desired, these examples only involve  
1989                   one or two for simplicity.

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**Figure L4: Transaction Type 1-00 (Issuance of AAUs)**

This example issues 1000 units to holding account(s) in Japan's registry. Note that there is only acquiring registry information.

<b>ProposalRequest</b>	<b>Type</b>	<b>WSDL</b>	<b>KP Requirement</b>	<b>KP Sample Data</b>	<b>EU Requirement</b>
from	string	required	required	JP	Not applicable
to	string	required	required	ITL	
major Version	int	required	required	1	
minor Version	int	required	required	1	
proposed Transaction	Proposal Transaction	required	required		
transaction Identifier	string	required	required	JP1	
transaction Type	int	required	required	1	
supp Transaction Type	int	optional	not supplied		
transferring Registry Code	string	required	required	JP	
transferring Registry Account Type	int	optional	not supplied		
transferring Registry Account Identifier	long	optional	not supplied		
acquiring Registry Code	string	required	required	JP	
acquiring Registry Account Type	int	optional	required	100	
acquiring Registry Account Identifier	long	optional	not supplied		
notification Identifier	long	optional	not supplied		
proposal Unit Blocks	Transaction Unit Block[ ]	required	required		
unit Serial Block Start	long	required	required	1001	
unit Serial Block End	long	required	required	2000	
originating <b>Party-Registry</b> Code	string	required	required	JP	
unit Type	int	required	required	1	
supp Unit Type	int	optional	not supplied		
original Commit Period	int	required	required	1	
applicable Commit Period	int	required	required	1	
LULUCF Activity	int	optional	required for RMUs and for ICERs/tCERs issued by CDM		
project Identifier	int	optional	required for CERs, ICERs, and tCERs issued by CDM		
track	int	optional	not supplied		
block Role	string	optional	not supplied		
transferring Registry Account Type	int	optional	not supplied		
transferring Registry Account Identifier	long	optional	not supplied		
acquiring Registry Account Type	int	optional	not supplied		
acquiring Registry Account Identifier	long	optional	not supplied		
year in Commitment Period	int	optional	not supplied		
installation Identifier	long	optional	not supplied		
expiry Date	date	optional	required for ICERs/tCERs issued by CDM		

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**Figure L5: Transaction Type 1**  
**Supp Transaction Type 51**  
**(Issue of Allowances 2005-2007)**

This example issues 1000 allowances to a national holding account in Belgium's registry. Note that there is only acquiring registry information.

ProposalRequest	Type	WSDL	KP Requirement	EU Requirement	EU Sample Data
from	string	required	Not applicable	required	BE
to	string	required		required	CTL
major Version	int	required		required	1
minor Version	int	required		required	1
proposed Transaction	Proposal Transaction	required		required	
transaction Identifier	string	required		required	BE1
transaction Type	int	required		required	1
supp Transaction Type	int	optional		required	51
transferring Registry Code	string	required		required	BE
transferring Registry Account Type	int	optional		optional	100
transferring Registry Account Identifier	long	optional		optional	1
acquiring Registry Code	string	required		required	BE
acquiring Registry Account Type	int	optional		optional	100
acquiring Registry Account Identifier	long	optional		optional	1
notification Identifier	long	optional		not supplied	
proposal Unit Blocks	Transaction Unit Block[ ]	required		required	
unit Serial Block Start	long	required		required	1001
unit Serial Block End	long	required		required	2000
originating Party Code	string	required		required	BE
unit Type	int	required		required	0
supp Unit Type	int	optional		required	2
original Commit Period	int	required		required	0
applicable Commit Period	int	required		required	0
LULUCF Activity	int	optional		not supplied	
project Identifier	int	optional		not supplied	
track	int	optional		not supplied	
block Role	string	optional		not supplied	
transferring Registry Account Type	int	optional		optional	
transferring Registry Account Identifier	long	optional		optional	
acquiring Registry Account Type	int	optional		optional	
acquiring Registry Account Identifier	long	optional		optional	
year in Commitment Period	int	optional		not supplied	
installation Identifier	long	optional		not supplied	
expiry Date	date	optional		not supplied	

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**Figure L6: Transaction Type 1**  
**Supp Transaction Type 54**  
**(Issue of Force Majeure Allowances)**

This example issues 1000 allowances to a national holding account in Belgium's registry for installation 123 in 2005. Note that there is only acquiring registry information.

ProposalRequest	Type	WSDL	KP Requirement	EU Requirement	EU SampleData
from	string	required	Not applicable	required	BE
to	string	required		required	CTL
major Version	int	required		required	1
minor Version	int	required		required	1
proposed Transaction	Proposal Transaction	required		required	
transaction Identifier	string	required		required	BE1
transaction Type	int	required		required	1
supp Transaction Type	int	optional		required	54
transferring Registry Code	string	required		required	BE
transferring Registry Account Type	int	optional		optional	
transferring Registry Account Identifier	long	optional		optional	
acquiring Registry Code	string	required		required	BE
acquiring Registry Account Type	int	optional		optional	
acquiring Registry Account Identifier	long	optional		optional	
notification Identifier	long	optional		not supplied	
proposal Unit Blocks	Transaction Unit Block[ ]	required		required	
unit Serial Block Start	long	required		required	1001
unit Serial Block End	long	required		required	2000
originating Registry Party Code	string	required		required	BE
unit Type	int	required		required	0
supp Unit Type	int	optional		not supplied	3
original Commit Period	int	required		required	0
applicable Commit Period	int	required		required	0
LULUCF Activity	int	optional		not supplied	
project Identifier	int	optional		not supplied	
track	int	optional		not supplied	
block Role	string	optional		not supplied	
transferring Registry Account Type	int	optional		not supplied	
transferring Registry Account Identifier	long	optional		not supplied	
acquiring Registry Account Type	int	optional		optional	100
acquiring Registry Account Identifier	long	optional		optional	1
year in Commitment Period	int	optional		required	2005
installation Identifier	long	optional		required	123
expiry Date	date	optional		not supplied	

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**Figure L7: Transaction Type 2**  
**(Conversion of AAUs and RMUs to ERUs)**

This example converts 100 RMUs into ERUs. Note that conversion requires a Project Identifier and track. Since RMUs are being converted, LULUCF activity code is also required.

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	KP Sample Data	EU Requirement	EU Sample
from	string	required	required	CL		
to	string	required	required	ITL		
major Version	int	required	required	1		
minor Version	int	required	required	1		
proposed Transaction	Proposal Transaction	required	required			
transaction Identifier	string	required	required	CL101		
transaction Type	int	required	required	2		
supp Transaction Type	int	optional	not supplied			
transferring Registry Code	string	required	required	CL		
transferring Registry Account Type	int	optional	required	100		
transferring Registry Account Identifier	long	optional	not supplied			
acquiring Registry Code	string	required	required	CL		
acquiring Registry Account Type	int	optional	not supplied			
acquiring Registry Account Identifier	long	optional	not supplied			
notification Identifier	long	optional	not supplied			
proposal Unit Blocks	Transaction Unit Block[ ]	required	required			
unit Serial Block Start	long	required	required	301		
unit Serial Block End	long	required	required	400		
originating <b>Registry Party</b> Code	string	required	required	CL		
unit Type	int	required	required. Value will be unit type after conversion (3 or 4).	4		
supp Unit Type	int	optional	not supplied			
original Commit Period	int	required	required	1		
applicable Commit Period	int	required	required	1		
LULUCF Activity	int	optional	required if converting RMUs	1		
project Identifier	int	optional	required	5		
track	int	optional	required	2		
block Role	string	optional	not supplied			
transferring Registry Account Type	int	optional	not supplied			
transferring Registry Account Identifier	long	optional	not supplied			
acquiring Registry Account Type	int	optional	not supplied			
acquiring Registry Account Identifier	long	optional	not supplied			
year in Commitment Period	int	optional	not supplied			
installation Identifier	long	optional	not supplied			
expiry Date	date	optional	not supplied			

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**Figure L8: Transaction Type 3  
(External Transfer of AAUs)**

This example transfers 35 AAUs from a holding account in one registry to a holding account in another registry.

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	KP Sample Data	EU Requirement	EU Sample Data
from	string	required	required	AR	required	BE
to	string	required	required	ITL	required	CTL
major Version	int	required	required	1	required	1
minor Version	int	required	required	1	required	1
proposed Transaction	Proposal Transaction	required	required		required	
transaction Identifier	string	required	required	AR29	required	BE29
transaction Type	int	required	required	3	required	3
supp Transaction Type	int	optional	not supplied		not supplied	
transferring Registry Code	string	required	required	AR	required	BE
transferring Registry Account Type	int	optional	required	100	optional	120
transferring Registry Account Identifier	long	optional	required	50	optional	12
acquiring Registry Code	string	optional	required	PY	required	FR
acquiring Registry Account Type	int	optional	required	100	optional	120
acquiring Registry Account Identifier	long	optional	not supplied		optional	45
notification Identifier	long	optional	not supplied		not supplied	
proposal Unit Blocks	Transaction Unit Block[ ]	required	required		required	
unit Serial Block Start	long	required	required	601	required	601
unit Serial Block End	long	required	required	635	required	635
originating <b>Registry Party</b> Code	string	required	required	AR	required	BE
unit Type	int	required	required	1	required	1
supp Unit Type	int	optional	not supplied		required	1
original Commit Period	int	required	required	1	required	1
applicable Commit Period	int	required	required	1	required	1
LULUCF Activity	int	optional	required for RMUs, ERUs converted from RMUs, ICERs and tCERs		required for RMUs, ERUs converted from RMUs, ICERs and tCERs	
project Identifier	int	optional	required for ERUs, CERs, ICERs and tCERs		required for ERUs, CERs, ICERs and tCERs	
track	int	optional	required for ERUs		required for ERUs	
block Role	string	optional	not supplied		not supplied	
transferring Registry Account Type	int	optional	not supplied		optional	
transferring Registry Account Identifier	long	optional	not supplied		optional	

(cont.)

**Figure L8: Transaction Type 3  
(External Transfer of AAUs) (cont.)**

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	KP Sample Data	EU Requirement	EU Sample Data
acquiring Registry Account Type	int	optional	not supplied		optional	
acquiring Registry Account Identifier	long	optional	not supplied		optional	
year in Commitment Period	int	optional	not supplied		not supplied	
installation Identifier	long	optional	not supplied		not supplied	
expiry Date	date	optional	required for ICERs, tCERs		required for ICERs, tCERs	

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2040 This example transfers 35 allowances from a holding account in one registry to a holding account in another registry.  
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**Figure L9: Transaction Type 3  
Supp Transaction Type 21  
(External Transfer 2005-2007)**

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	EU Requirement	EU Sample Data
from	String	required	Not applicable	required	BE
to	String	required		required	CTL
major Version	Int	required		required	1
minor Version	Int	required		required	1
proposed Transaction	Proposal Transaction	required		required	
transaction Identifier	String	required		required	BE29
transaction Type	Int	required		required	3
supp Transaction Type	Int	optional		required	21
transferring Registry Code	String	required		required	BE
transferring Registry Account Type	Int	optional		optional	120
transferring Registry Account Identifier	long	optional		optional	56
acquiring Registry Code	string	required		required	FR
acquiring Registry Account Type	Int	optional		optional	120
acquiring Registry Account Identifier	Long	optional		optional	135
notification Identifier	long	optional		not supplied	
proposal Unit Blocks	Transaction Unit Block[ ]	required		required	
unit Serial Block Start	long	required		required	601
unit Serial Block End	long	required		required	635
originating Registry Party Code	string	required		required	BE
unit Type	int	required		required	0
supp Unit Type	int	optional		required	2
original Commit Period	int	required		required	0
applicable Commit Period	int	required		required	0

(cont.)

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**Figure L9: Transaction Type 3**  
**Supp Transaction Type 21**  
**(External Transfer 2005-2007) (cont.)**

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	EU Requirement	EU Sample Data
LULUCF Activity	int	optional	required for RMUs, ERUs converted from RMUs, ICERs and tCERs	required for RMUs, ERUs converted from RMUs, ICERs and tCERs	
project Identifier	int	optional		required for ERUs, CERs, ICERs and tCERs	
track	int	optional		required for ERUs	
block Role	string	optional		not supplied	
transferring Registry Account Type	int	optional		optional	
transferring Registry Account Identifier	long	optional		optional	
acquiring Registry Account Type	int	optional		optional	
acquiring Registry Account Identifier	long	optional		optional	
year in Commitment Period	int	optional		not supplied	
installation Identifier	long	optional		not supplied	
expiry Date	date	optional		required for ICERs, tCERs	

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**Figure L10: Transaction Type 4  
(Cancellation of AAUs)**

This example transfers 55 AAUs to a voluntary cancellation account. Note that since the acquiring account is a cancellation account, the Kyoto Protocol requires that the account identifier be specified.

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	KP Sample Data	EU Requirement
from	string	required	required	CA	Not applicable
to	string	required	required	ITL	
major Version	int	required	required	1	
minor Version	int	required	required	1	
proposed Transaction	Proposal Transaction	required	required		
transaction Identifier	string	required	required	CA101	
transaction Type	int	required	required	4	
supp Transaction Type	int	optional	not supplied		
transferring Registry Code	string	required	required	CA	
transferring Registry Account Type	int	optional	required	100	
transferring Registry Account Identifier	long	optional	not supplied		
acquiring Registry Code	string	required	required	CA	
acquiring Registry Account Type	int	optional	required	230	
acquiring Registry Account Identifier	long	optional	required	43	
notification Identifier	long	optional	not supplied		
proposal Unit Blocks	Transaction Unit Block[ ]	required	required		
unit Serial Block Start	long	required	required	236	
unit Serial Block End	long	required	required	290	
originating <b>Registry Party</b> Code	string	required	required	CA	
unit Type	int	required	required	1	
supp Unit Type	int	optional	not supplied		
original Commit Period	int	required	required	1	
applicable Commit Period	int	required	required	1	
LULUCF Activity	int	optional	required for RMUs, ERUs converted from RMUs, ICERs and tCERs		
project Identifier	int	optional	required for ERUs, CERs, ICERs and tCERs		
track	int	optional	required for ERUs		
block Role	string	optional	not supplied		
transferring Registry Account Type	int	optional	not supplied		
transferring Registry Account Identifier	long	optional	not supplied		
acquiring Registry Account Type	int	optional	not supplied		
acquiring Registry Account Identifier	long	optional	not supplied		
year in Commitment Period	int	optional	not supplied		
installation Identifier	long	optional	not supplied		
expiry Date	date	optional	required for ICERs, tCERs		

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**Figure L11: Transaction Type 4**  
**Supp Transaction Type 3**  
**(Retirement 2005-2007)**

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	EU Requirement	EU Sample Data
from	string	required	Not applicable	required	BE
to	string	required		required	CTL
major Version	int	required		required	1
minor Version	int	required		required	1
proposed Transaction	Proposal Transaction	required		required	
transaction Identifier	string	required		required	BE29
transaction Type	int	required		required	4
supp Transaction Type	int	optional		required	3
transferring Registry Code	string	required		required	BE
transferring Registry Account Type	int	optional		optional	
transferring Registry Account Identifier	long	optional		optional	
acquiring Registry Code	string	required		required	BE
acquiring Registry Account Type	int	optional		optional	
acquiring Registry Account Identifier	long	optional		optional	
notification Identifier	long	optional		not supplied	
proposal Unit Blocks	Transaction Unit Block[ ]	required		required	
unit Serial Block Start	long	required		required	236
unit Serial Block End	long	required		required	290
originating Registry Party Code	string	required		required	BE
unit Type	int	required		required	0
supp Unit Type	int	optional		required	2
original Commit Period	int	required		required	0
applicable Commit Period	int	required		required	0
LULUCF Activity	int	optional	required for RMUs, ERUs converted from RMUs, ICERs and tCERs		
project Identifier	int	optional		required for ERUs, CERs, ICERs and tCERs	
track	int	optional		required for ERUs	
block Role	string	optional		not supplied	
transferring Registry Account Type	int	optional		optional	100
transferring Registry Account Identifier	long	optional		optional	34
acquiring Registry Account Type	int	optional		optional	230
acquiring Registry Account Identifier	long	optional		optional	43
year in Commitment Period	int	optional		not supplied	
installation Identifier	long	optional		not supplied	
expiry Date	date	optional	required for ICERs, tCERs		

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**Figure L12: Transaction Type 5  
(Retirement 2008-2012)**

This Kyoto example retires 500 tCERs held by a holding account in the Bolivian registry. Note that since the acquiring account is a retirement account, the Kyoto Protocol requires the account identifier to be specified.

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	KP Sample Data	EU Requirement	EU Sample Data
from	string	required	required	BO	required	BE
to	string	required	required	ITL	required	CTL
major Version	int	required	required	1	required	1
minor Version	int	required	required	1	required	1
proposed Transaction	Proposal Transaction	required	required		required	
transaction Identifier	string	required	required	BO243	required	BE101
transaction Type	int	required	required	5	required	5
supp Transaction Type	int	optional	not supplied		not supplied	
transferring Registry Code	string	required	required	BO	required	BE
transferring Registry Account Type	int	optional	required	100	optional	100
transferring Registry Account Identifier	long	optional	not supplied		optional	12
acquiring Registry Code	string	required	required	BO	required	BE
acquiring Registry Account Type	int	optional	required	300	optional	300
acquiring Registry Account Identifier	long	optional	required	41	optional	41
notification Identifier	long	optional	not supplied		not supplied	
proposal Unit Blocks	Transaction Unit Block[]	required	required		required	
unit Serial Block Start	long	required	required	1001	required	1001
unit Serial Block End	long	required	required	1500	required	1500
originating <b>Registry Party</b> Code	string	required	required	BO	required	BE
unit Type	int	required	required	6	required	1
supp Unit Type	int	optional	not supplied		not supplied	
original Commit Period	int	required	required	1	required	1
applicable Commit Period	int	required	required	1	required	1
LULUCF Activity	int	optional	required for RMUs, ERUs converted from RMUs, ICERs and tCERs	1		
project Identifier	int	optional	required for ERUs, CERs, ICERs and tCERs	5	optional	
track	int	optional	required for ERUs		optional	
block Role	string	optional	not supplied		not supplied	
transferring Registry Account Type	int	optional	not supplied		optional	
transferring Registry Account Identifier	long	optional	not supplied		optional	
acquiring Registry Account Type	int	optional	not supplied		optional	
acquiring Registry Account Identifier	long	optional	not supplied		optional	

(cont.)

**Figure L12: Transaction Type 5  
(Retirement 2008-2012) (cont.)**

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	KP Sample Data	EU Requirement	EU Sample Data
year in Commitment Period	int	optional	not supplied		not supplied	
installation Identifier	long	optional	not supplied		not supplied	
expiry Date	date	optional	required for ICERs, tCERs	2017-12-31	not supplied	

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**Figure L13: Transaction Type 6  
(Replacement of ICERs)**

This example replaces 500 ICERs with 500 AAUs. Note that the replacement blocks (the AAUs) are listed first, and that the blocks being replaced (the ICERs) are listed last and indicated by a block role of 'REP.'

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	KP Sample Data	EU Requirement
from	string	required	required	BO	Not applicable
to	string	required	required	ITL	
major Version	int	required	required	1	
minor Version	int	required	required	1	
proposed Transaction	Proposal Transaction	required	required		
transaction Identifier	string	required	required	BO244	
transaction Type	int	required	required	6	
supp Transaction Type	int	optional	not supplied		
transferring Registry Code	string	required	required	BO	
transferring Registry Account Type	int	optional	required	100	
transferring Registry Account Identifier	long	optional	not supplied		
acquiring Registry Code	string	required	required	BO	
acquiring Registry Account Type	int	optional	required	422	
acquiring Registry Account Identifier	long	optional	not supplied		
notification Identifier	long	optional	required if prompted by non-submission of certification, or by reversal of storage, or by pending expiration	687	
proposal Unit Blocks	Transaction Unit Block[ ]	required	required		
<b>First Block(s) – Replacing Units</b>					
unit Serial Block Start	long	required	required	1	
unit Serial Block End	long	required	required	500	
originating Registry Party Code	string	required	required	BO	
unit Type	int	required	required	1	
supp Unit Type	int	optional	not supplied		
original Commit Period	int	required	required	1	
applicable Commit Period	int	required	required	1	

(cont.)

**Figure L13: Transaction Type 6  
(Replacement of ICERs) (cont.)**

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	KP Sample Data	EU Requirement
LULUCF Activity	int	optional	required for RMUs, ERUs converted from RMUs, ICERs and tCERs		Not applicable
project Identifier	int	optional	required for ERUs, CERs, ICERs and tCERs		
track	int	optional	required for ERUs		
block Role	string	optional	not supplied		
transferring Registry Account Type	int	optional	not supplied		
transferring Registry Account Identifier	long	optional	not supplied		
acquiring Registry Account Type	int	optional	not supplied		
acquiring Registry Account Identifier	long	optional	not supplied		
year in Commitment Period	int	optional	not supplied		
installation Identifier	long	optional	not supplied		
expiry Date	date	optional	required for ICERs and tCERs		
<b>Last Block(s) – Units to be replaced</b>					
unit Serial Block Start	long	required	required	1001	
unit Serial Block End	long	required	required	1500	
originating <b>Registry Party</b> -Code	string	required	required	BO	
unit Type	int	required	required	7	
supp Unit Type	int	optional	not supplied		
original Commit Period	int	required	required	1	
applicable Commit Period	int	required	required	1	
LULUCF Activity	int	optional	required for RMUs, ERUs converted from RMUs, ICERs and tCERs	1	
project Identifier	int	optional	required for ERUs, CERs, ICERs and tCERs	5	
track	int	optional	required for ERUs		
block Role	string	optional	required	REP	
transferring Registry Account Type	int	optional	not supplied		
transferring Registry Account Identifier	long	optional	not supplied		
acquiring Registry Account Type	int	optional	not supplied		
acquiring Registry Account Identifier	long	optional	not supplied		
year in Commitment Period	int	optional	not supplied		
installation Identifier	long	optional	not supplied		
expiry Date	date	optional	required for ICERs and tCERs	2028-12-31	

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**Figure L14: Transaction Type 7**  
**(Carry-over)**

2076 This example carries over 300 AAUs in a New Zealand holding account into the second  
 2077 commitment period. Note that since only one account is involved, no acquiring account  
 2078 information is specified. Also note that the value specified for the Applicable Commitment Period  
 2079 for the block(s) is the new commitment period; aside from this, the unit block information is  
 2080 unchanged.

2081

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	KP Sample Data	EU Requirement
from	string	required	required	NZ	Not applicable
to	string	required	required	ITL	
major Version	int	required	required	1	
minor Version	int	required	required	1	
proposed Transaction	Proposal Transaction	required	required		
transaction Identifier	string	required	required	NZ624	
transaction Type	int	required	required	7	
supp Transaction Type	int	optional	not supplied		
transferring Registry Code	string	required	required	NZ	
transferring Registry Account Type	int	optional	required	100	
transferring Registry Account Identifier	long	optional	not supplied		
acquiring Registry Code	string	required	required	NZ	
acquiring Registry Account Type	int	optional	not supplied		
acquiring Registry Account Identifier	long	optional	not supplied		
notification Identifier	int	optional	not supplied	338	
proposal Unit Blocks	Transaction Unit Block[ ]	required	required		
unit Serial Block Start	long	required	required	1	
unit Serial Block End	long	required	required	300	
originating Registry Party Code	string	required	required	NZ	
unit Type	int	required	required	1	
supp Unit Type	int	optional	not supplied		
original Commit Period	int	required	required	1	
applicable Commit Period	int	required	required. Value is new commitment period	2	
LULUCF Activity	int	optional	not supplied (unit types associated with LULUCFs are not eligible for carryover)		
project Identifier	int	optional	required for ERUs and CERs (only ERUs converted from AAUs are eligible for carryover)		
track	int	optional	required for ERUs (only ERUs converted from AAUs are eligible for carryover)		
block Role	string	optional	not supplied		

(cont.)

**Figure L14: Transaction Type 7  
(Carry-over) (cont.)**

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	KP Sample Data	EU Requirement
transferring Registry Account Type	int	optional	not supplied		Not applicable
transferring Registry Account Identifier	long	optional	not supplied		
acquiring Registry Account Type	int	optional	not supplied		
acquiring Registry Account Identifier	long	optional	not supplied		
year in Commitment Period	int	optional	not supplied		
installation Identifier	long	optional	not supplied		
expiry Date	date	optional	not supplied (ICERs and tCERs are not eligible for carryover)		

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**Figure L15: Transaction Type 8  
(Expiry Date Change)**

This example extends the Expiry Date on a block of tCERs by one year. Note that the Expiry Date specified is the new date; aside from this, the unit block information is unchanged.

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	KP Sample Data	EU Requirement
from	string	required	required	BO	Not applicable
to	string	required	required	ITL	
major Version	int	required	required	1	
minor Version	int	required	required	1	
proposed Transaction	Proposal Transaction	required	required		
transaction Identifier	string	required	required	BO243	
transaction Type	int	required	required	8	
supp Transaction Type	int	optional	not supplied		
transferring Registry Code	string	required	required	BO	
transferring Registry Account Type	int	optional	required	100	
transferring Registry Account Identifier	long	optional	not supplied		
acquiring Registry Code	string	required	required	BO	
acquiring Registry Account Type	int	optional	not supplied		
acquiring Registry Account Identifier	long	optional	not supplied		
notification Identifier	long	optional	not supplied		
proposal Unit Blocks	Transaction Unit Block[ ]	required	required		
unit Serial Block Start	long	required	required	2001	
unit Serial Block End	long	required	required	2500	
originating Registry Party Code	string	required	required	BO	
unit Type	int	required	required	6	
supp Unit Type	int	optional	not supplied		
original Commit Period	int	required	required	1	
applicable Commit Period	int	required	required	1	
LULUCF Activity	int	optional	required (units are ICERs or tCERs)	1	
project Identifier	int	optional	required (units are ICERs or tCERs)	5	
track	int	optional	not supplied		
block Role	string	optional	not supplied		
transferring Registry Account Type	int	optional	not supplied		
transferring Registry Account Identifier	long	optional	not supplied		
acquiring Registry Account Type	int	optional	not supplied		
acquiring Registry Account Identifier	long	optional	not supplied		
year in Commitment Period	int	optional	not supplied		
installation Identifier	long	optional	not supplied		
expiry Date	date	optional	required. Value is new Expiry Date	2018-12-31	

2089  
 2090  
 2091  
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 2093      **Figure L16: Transaction Type 10**  
 2094      **Supp Transaction Type 0**  
 2095      **(Internal Transfer)**

This example transfers 1000 allowances from an operator holding account to another operator holding account in the Belgian registry.

ProposalRequest	Type	WSDL	KP Requirement	EU Requirement	EU Sample Data
from	string	required	Not applicable	required	BE
to	string	required		required	CTL
major Version	int	required		required	1
minor Version	int	required		required	1
proposed Transaction	Proposal Transaction	required		required	
transaction Identifier	string	required		required	BE1
transaction Type	int	required		required	10
supp Transaction Type	int	optional		required	0
transferring Registry Code	string	required		required	BE
transferring Registry Account Type	int	optional		optional	
transferring Registry Account Identifier	long	optional		optional	
acquiring Registry Code	string	required		required	BE
acquiring Registry Account Type	int	optional		optional	
acquiring Registry Account Identifier	long	optional		optional	
notification Identifier	long	optional		not supplied	
proposal Unit Blocks	Transaction Unit Block[ ]	required		required	
unit Serial Block Start	long	required		required	1001
unit Serial Block End	long	required		required	2000
originating <b>Registry Party</b> Code	string	required		required	BE
unit Type	int	required		required	0
supp Unit Type	int	optional		required	2
original Commit Period	int	required		required	0
applicable Commit Period	int	required		required	0
LULUCF Activity	int	optional		not supplied	
project Identifier	int	optional		not supplied	
track	int	optional		not supplied	
block Role	string	optional		not supplied	
transferring Registry Account Type	int	optional		optional	120
transferring Registry Account Identifier	long	optional		optional	56
acquiring Registry Account Type	int	optional		optional	120
acquiring Registry Account Identifier	long	optional		optional	57
year in Commitment Period	int	optional		not supplied	
installation Identifier	long	optional		not supplied	
expiry Date	date	optional		not supplied	

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**Figure L17: Transaction Type 10  
Supp Transaction Type 52  
(Issue of Allowances 2008-2012)**

This example issues (convert AAUs) 1000 allowances to a national holding account.

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	EU Requirement	EU Sample Data
from	string	required	Not applicable	required	BE
to	string	required		required	CTL
major Version	int	required		required	1
minor Version	int	required		required	1
proposed Transaction	Proposal Transaction	required		required	
transaction Identifier	string	required		required	BE1
transaction Type	int	required		required	10
supp Transaction Type	int	optional		required	52
transferring Registry Code	string	required		required	BE
transferring Registry Account Type	int	optional		optional	
transferring Registry Account Identifier	long	optional		optional	
acquiring Registry Code	string	required		required	BE
acquiring Registry Account Type	int	optional		optional	
acquiring Registry Account Identifier	long	optional		optional	
notification Identifier	long	optional		not supplied	
proposal Unit Blocks	Transaction Unit Block[ ]	required		required	
unit Serial Block Start	long	required		required	1001
unit Serial Block End	long	required		required	2000
originating <b>Registry Party</b> Code	string	required		required	BE
unit Type	int	required		required	1
supp Unit Type	int	optional		required	1
original Commit Period	int	required		required	1
applicable Commit Period	int	required		required	1
LULUCF Activity	int	optional		not supplied	
project Identifier	int	optional		not supplied	
track	int	optional		not supplied	
block Role	string	optional		not supplied	
transferring Registry Account Type	int	optional		optional	100
transferring Registry Account Identifier	long	optional		optional	1
acquiring Registry Account Type	int	optional		optional	100
acquiring Registry Account Identifier	long	optional		optional	1
year in Commitment Period	int	optional		not supplied	
installation Identifier	long	optional		not supplied	
expiry Date	date	optional		not supplied	

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**Figure L18: Transaction Type 10  
Supp Transaction Type 53  
(Allocation of Allowances 2005-2007)**

This example allocates 1000 allowances to installation 123 for year 2005.

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	EU Requirement	EU Sample Data
from	string	required	Not applicable	required	BE
to	string	required		required	CTL
major Version	int	required		required	1
minor Version	int	required		required	1
proposed Transaction	Proposal Transaction	required		required	
transaction Identifier	string	required		required	BE1
transaction Type	int	required		required	10
supp Transaction Type	int	optional		required	53
transferring Registry Code	string	required		required	BE
transferring Registry Account Type	int	optional		optional	
transferring Registry Account Identifier	long	optional		optional	
acquiring Registry Code	string	required		required	BE
acquiring Registry Account Type	int	optional		optional	
acquiring Registry Account Identifier	long	optional		optional	
notification Identifier	long	optional		not supplied	
proposal Unit Blocks	Transaction Unit Block[ ]	required		required	
unit Serial Block Start	long	required		required	1001
unit Serial Block End	long	required		required	2000
originating Registry Party Code	string	required		required	BE
unit Type	int	required		required	0
supp Unit Type	int	optional		not supplied	2
original Commit Period	int	required		required	0
applicable Commit Period	int	required		required	0
LULUCF Activity	int	optional		not supplied	
project Identifier	int	optional		not supplied	
track	int	optional		not supplied	
block Role	string	optional		not supplied	
transferring Registry Code	string	optional		not supplied	
transferring Registry Account Type	int	optional		optional	100
transferring Registry Account Identifier	long	optional		optional	1
acquiring Registry Account Type	int	optional		optional	120
acquiring Registry Account Identifier	long	optional		optional	22
year in Commitment Period	int	optional		required	2005
installation Identifier	long	optional		required	123
expiry Date	date	optional		not supplied	

2110  
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2112  
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**Figure L19: Transaction Type 10**  
**Supp Transaction Type 55**  
**(Correction to allowance)**

This example cancels 1000 allowances for installation 123 following the correction of the NAP for that installation in 2009.

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	EU Requirement	EU Sample Data
from	string	required	Not applicable	required	BE
to	string	required		required	CTL
major Version	int	required		required	1
minor Version	int	required		required	1
proposed Transaction	Proposal Transaction	required		required	
transaction Identifier	string	required		required	BE1
transaction Type	int	required		required	10
supp Transaction Type	int	optional		required	55
transferring Registry Code	string	required		required	BE
transferring Registry Account Type	int	optional		optional	
transferring Registry Account Identifier	long	optional		optional	
acquiring Registry Code	string	required		required	BE
acquiring Registry Account Type	int	optional		optional	
acquiring Registry Account Identifier	long	optional		optional	
notification Identifier	long	optional		not supplied	
proposal Unit Blocks	Transaction Unit Block[ ]	required		required	
unit Serial Block Start	long	required		required	1001
unit Serial Block End	long	required		required	2000
originating <b>Registry Party</b> Code	string	required		required	BE
unit Type	int	required		required	1
supp Unit Type	int	optional		required	0
original Commit Period	int	required		required	1
applicable Commit Period	int	required		required	1
LULUCF Activity	int	optional		not supplied	
project Identifier	int	optional		not supplied	
track	int	optional		not supplied	
block Role	string	optional		not supplied	
transferring Registry Account Type	int	optional		optional	120
transferring Registry Account Identifier	long	optional		optional	36
acquiring Registry Account Type	int	optional		optional	100
acquiring Registry Account Identifier	long	optional		optional	1
year in Commitment Period	int	optional		required	
installation Identifier	long	optional		required	
expiry Date	date	optional		not supplied	

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**Figure L20: Transaction Type 10**  
**Supp Transaction Type 1**  
**(Cancellation of Allowances 2005-2007)**

This example cancels 1000 allowances from account 35 for 2005-2007.

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	EU Requirement	EU Sample Data
from	string	required	Not applicable	required	BE
to	string	required		required	CTL
major Version	int	required		required	1
minor Version	int	required		required	1
proposed Transaction	Proposal Transaction	required		required	
transaction Identifier	string	required		required	BE1
transaction Type	int	required		required	10
supp Transaction Type	int	optional		required	1
transferring Registry Code	string	required		required	BE
transferring Registry Account Type	int	optional		optional	120
transferring Registry Account Identifier	long	optional		optional	35
acquiring Registry Code	string	required		required	BE
acquiring Registry Account Type	int	optional		optional	230
acquiring Registry Account Identifier	long	optional		optional	22
notification Identifier	long	optional		not supplied	
proposal Unit Blocks	Transaction Unit Block[ ]	required		required	
unit Serial Block Start	long	required		required	1001
unit Serial Block End	long	required		required	2000
originating <b>Registry Party</b> Code	string	required		required	BE
unit Type	int	required		required	0
supp Unit Type	int	optional		not supplied	2
original Commit Period	int	required		required	0
applicable Commit Period	int	required		required	0
LULUCF Activity	int	optional		not supplied	
project Identifier	int	optional		not supplied	
track	int	optional		not supplied	
block Role	string	optional		not supplied	
transferring Registry Account Type	int	optional		optional	
transferring Registry Account Identifier	long	optional		optional	
acquiring Registry Account Type	int	optional		optional	
acquiring Registry Account Identifier	long	optional		optional	
year in Commitment Period	int	optional		not supplied	
installation Identifier	long	optional		not supplied	
expiry Date	date	optional		not supplied	

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**Figure L21: Transaction Type 10**  
**Supp Transaction Type 2**  
**(Surrender of Allowances)**

This example surrenders 1000 allowances for installation 123 and year 2005.

<b>ProposalRequest</b>	<b>Type</b>	<b>WSDL</b>	<b>KP Requirement</b>	<b>EU Requirement</b>	<b>EU Sample Data</b>
from	string	required	Not applicable	required	BE
to	string	required		required	CTL
major Version	int	required		required	1
minor Version	int	required		required	1
proposed Transaction	Proposal Transaction	required		required	
transaction Identifier	string	required		required	BE1
transaction Type	int	required		required	10
supp Transaction Type	int	optional		required	2
transferring Registry Code	string	required		required	BE
transferring Registry Account Type	int	optional		optional	
transferring Registry Account Identifier	long	optional		optional	
acquiring Registry Code	string	required		required	BE
acquiring Registry Account Type	int	optional		optional	
acquiring Registry Account Identifier	long	optional		optional	
notification Identifier	long	optional		not supplied	
proposal Unit Blocks	Transaction Unit Block[ ]	required		required	
unit Serial Block Start	long	required		required	1001
unit Serial Block End	long	required		required	2000
originating <b>Registry Party</b> Code	string	required		required	BE
unit Type	int	required		required	0
supp Unit Type	int	optional		required	2
original Commit Period	int	required		required	0
applicable Commit Period	int	required		required	0
LULUCF Activity	int	optional		not supplied	
project Identifier	int	optional		not supplied	
track	int	optional		not supplied	
block Role	string	optional		not supplied	
transferring Registry Account Type	int	optional		required	120
transferring Registry Account Identifier	long	optional		required	36
acquiring Registry Account Type	int	optional		required	100
acquiring Registry Account Identifier	long	optional		required	1
year in Commitment Period	int	optional		required	2005
installation Identifier	long	optional		required	
expiry Date	date	optional		not supplied	

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**Figure L22: Transaction Type 10  
Supp Transaction Type 41  
(Cancellation and Replacement)**

This example cancels 1000 allowances from an operator holding account and replaces them by 1000 AAUs.

<b>ProposalRequest</b>	<b>Type</b>	<b>WSDL</b>	<b>KP Requirement</b>	<b>EU Requirement</b>	<b>EU Sample Data</b>	
from	string	required	Not applicable	required	BE	
to	string	required		required	CTL	
major Version	int	required		required	1	
minor Version	int	required		required	1	
proposed Transaction	Proposal Transaction	required		required		
transaction Identifier	string	required		required	BE1	
transaction Type	int	required		required	10	
supp Transaction Type	int	optional		required	41	
transferring Registry Code	string	required		required	BE	
transferring Registry Account Type	int	optional		optional		
transferring Registry Account Identifier	long	optional		optional		
acquiring Registry Code	string	required		required	BE	
acquiring Registry Account Type	int	optional		optional		
acquiring Registry Account Identifier	long	optional		optional		
notification Identifier	long	optional		not supplied		
proposal Unit Blocks	Transaction Unit Block[ ]	required		required		
<b>First Unit Block</b>						
unit Serial Block Start	long	required		required	1001	
unit Serial Block End	long	required		required	2000	
originating <b>Registry Party Code</b>	string	required		required	BE	
unit Type	int	required		required	0	
supp Unit Type	int	optional		required	2	
original Commit Period	int	required		required	0	
applicable Commit Period	int	required		required	0	
LULUCF Activity	int	optional		not supplied		
project Identifier	int	optional		not supplied		
track	int	optional		not supplied		
block Role	string	optional		not supplied		
transferring Registry Account Type	int	optional		required	120	
transferring Registry Account Identifier	long	optional		required	244	
acquiring Registry Account Type	int	optional		required	230	
acquiring Registry Account Identifier	long	optional		required	22	
year in Commitment Period	int	optional		required		
installation Identifier	long	optional		required		
expiry Date	date	optional		not supplied		

(cont.)

**Figure L22: Transaction Type 10**  
**Supp Transaction Type 41**  
**(Cancellation and Replacement) (cont.)**

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	EU Requirement	EU Sample Data
<b>Second Unit Block</b>					
unit Serial Block Start	long	required		required	2001
unit Serial Block End	long	required		required	3000
originating <b>Registry Party</b> Code	string	required		required	BE
unit Type	int	required		required	1
supp Unit Type	int	optional		required	1
original Commit Period	int	required		required	1
applicable Commit Period	int	required		required	1
LULUCF Activity	int	optional		not supplied	
project Identifier	int	optional		not supplied	
track	int	optional		not supplied	
block Role	string	optional		not supplied	
transferring Registry Account Type	int	optional		required	100
transferring Registry Account Identifier	long	optional		required	1
acquiring Registry Account Type	int	optional		required	120
acquiring Registry Account Identifier	long	optional		required	244
year in Commitment Period	int	optional		required	
installation Identifier	long	optional		required	
expiry Date	date	optional		not supplied	

2136    **2.2    AcceptNotification**2137  
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2142**Figure L23: No Discrepancy Found**

In this example, the ITL informs a registry that the transaction it proposed has been reviewed without discrepancy.

<u>NotificationRequest</u>	Type	WSDL	KP Requirement	KP Sample Data	EU Requirement	EU Sample Data
From	string	required	required	ITL	required	CTL
to	string	required	required	JP	required	BE
major Version	int	required	required	1	required	1
minor Version	int	required	required	1	required	1
transaction Identifier	string	required	required	JP243	required	BE1
transaction Status	int	required	required	2	required	2
party Type	int	required	required	1	required	1
evaluation Result	<b>ArrayOf Evaluation Result</b>	required	required		required	
response Code	int	required	required	4000	required	4000
unit Block Identifiers	<b>ArrayOf UnitBlock Identifier</b>	optional	specified when response code is specific to certain unit blocks		specified when response code is specific to certain unit blocks	
unit Serial Block Start	long	required	required when unit blocks are specified		required when unit blocks are specified	
unit Serial Block End	long	required	required when unit blocks are specified		required when unit blocks are specified	
originating <b>RegistryPartyCode</b>	string	required	required when unit blocks are specified		required when unit blocks are specified	

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**Figure L24: Transaction Completed**

In this example, a registry informs the ITL that it has completed the transaction.

<b><u>NotificationRequest</u></b>	<b>Type</b>	<b>WSDL</b>	<b>KP Requirement</b>	<b>KP Sample Data</b>	<b>EU Requirement</b>	<b>EU Sample Data</b>
from	string	required	required	JP	required	CTL
to	string	required	required	ITL	required	BE
major Version	int	required	required	1	required	1
minor Version	int	required	required	1	required	1
transaction Identifier	string	required	required	JP243	required	BE1
transaction Status	int	required	required	4	required	4
party Type	int	required	required	1	required	1
evaluation Result	<b>ArrayOf Evaluation Result</b>	required	required		required	
response Code	int	required	required	4000	required	4000
unit Block Identifiers	<b>ArrayOf UnitBlock Identifier</b>	optional	specified when response code is specific to certain unit blocks		specified when response code is specific to certain unit blocks	
unit Serial Block Start	long	required	required when unit blocks are specified		required when unit blocks are specified	
unit Serial Block End	long	required	required when unit blocks are specified		required when unit blocks are specified	
originating <b>Registry Party</b> Code	string	required	required when unit blocks are specified		required when unit blocks are specified	

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### Figure L25: Discrepancy Found

In this example, the ITL informs a registry that the transaction it proposed has been reviewed, and a discrepancy was found (some of the proposed units currently have a reconciliation inconsistency).

<u>NotificationRequest</u>	Type	WSDL	KP Requirement	KP Sample Data	EU Requirement	EU Sample Data
from	string	required	required	ITL	required	CTL
to	string	required	required	UY	required	BE
major Version	int	required	required	1	required	1
minor Version	int	required	required	1	required	1
transaction Identifier	string	required	required	UY56	required	BE1
transaction Status	int	required	required	3	required	3
party Type	int	required	required	1	required	1
evaluation Result	<b>ArrayOf Evaluation Result</b>	required	required Repeated for each response code returned.		required	
response Code	int	required	required	4004	required	7305
unit Block Identifiers	<b>ArrayOf UnitBlock Identifier</b>	optional	specified when response code is specific to certain unit blocks		specified when response code is specific to certain unit blocks	
unit Serial Block Start	long	required	required when unit blocks are specified	201	required when unit blocks are specified	201
unit Serial Block End	long	required	required when unit blocks are specified	230	required when unit blocks are specified	230
originating <b>Registry Party</b> Code	string	required	required when unit blocks are specified	UY	required when unit blocks are specified	BE

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**Figure L26: Transaction Terminated**

In this example, a registry informs the ITL that it has terminated the transaction.

<u>NotificationRequest</u>	Type	WSDL	KP Requirement	KP Sample Data	EU Requirement	EU Sample Data
from	string	required	required	UY	required	CTL
to	string	required	required	ITL	required	BE
major Version	int	required	required	1	required	1
minor Version	int	required	required	1	required	1
transaction Identifier	string	required	required	UY56	required	BE1
transaction Status	int	required	required	5	required	5
party Type	int	required	required	1	required	1
evaluation Result	<b>ArrayOf Evaluation Result</b>	required	required		required	
response Code	int	required	required	4000	required	4000
unit Block Identifiers	<b>ArrayOf UnitBlock Identifier</b>	optional	specified when response code is specific to certain unit blocks		specified when response code is specific to certain unit blocks	
unit Serial Block Start	long	required	required when unit blocks are specified		required when unit blocks are specified	
unit Serial Block End	long	required	required when unit blocks are specified		required when unit blocks are specified	
originating Registry Party Code	string	required	required when unit blocks are specified		required when unit blocks are specified	

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### 2.3 Account Management Processes

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**Figure L27: Create Account Request**

<u>CreateAccountRequest</u>	Type	optional / required	EU Sample Data	Comment
from	string	required	BE	
to	string	required	CTL	
correlationID	long	required	7	
majorVersion	int	required	1	
minorVersion	int	required	1	
accounts	CreatedAccount[ ]	required		
accountType	int	required	120	
accountIdentifier	long	required	456	
identifierInReg	string	required	ACCOUNT1	
commitPeriod	int	required		
installation	CreatedInstallation	optional		
installationIdentifier	long	required	9999999999999999	
permitIdentifier	string	required	XCVXXCV-999	
name	string	required	Installation1	
mainActivityType	int	required	2	
country	string	required	BE	
postalCode	string	required	1200	
city	string	required	Brussels	

(cont.)

**Figure L27: Create Account Request (cont.)**

<u>CreateAccountRequest</u>	Type	optional / required	EU Sample Data	Comment
Address1	string	required	Avenue Ariane 7	
Address2	string	optional		
ParentCompany	string	optional		
SubsidiaryCompany	string	optional		
EPERIdentification	string	optional		
Latitude	double	optional		
longitude	double	optional		
ContactPeople	CreatedPeople	required		
RelationshipCode	int	required	6	
PersonIdentifier	string	required	ZERZER-123	
FirstName	string	required	Jack	
LastName	string	required	Black	
Country	string	required	BE	
PostalCode	string	required	1000	
City	string	required	Brxelles	
Address1	string	required	Rue Royale, 99	
Address2	string	optional		
PhoneNumber1	string	required	+32-2-9999999	
PhoneNumber2	string	required	+32-2-8888888	
FaxNumber	string	required	+32-2-7777777	
E-Mail	string	required	<a href="mailto:jb@mlk.be">jb@mlk.be</a>	
People	CreatedPeople	required		
RelationShipCode	int	required	1	
PersonalIdentifier	string	required	Gfds-g	
FirstName	string	required	John	
LastName	string	required	Watson	
Country	string	required	BE	
PostalCode	string	required	1000	
City	string	required	Bruxelles	
Address1	string	required	Rue Neuve, 88	
Address2	string	optional		
PhoneNumber1	string	required	+32-2-1234567	
PhoneNumber2	string	required	+32-2-9876543	
FaxNumber	string	required	+32-2-7539512	
E-Mail	string	required	<a href="mailto:jw@aaa.be">jw@aaa.be</a>	

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**Figure L28: Create Account Response**

<u>CreateAccountResponse</u>	Type	optional / required	EU Sample Data	Comment
ResultIdentifier	int	required	1	1 if request accepted, 0 if request refused
ResponseCode	int	optional		

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**Figure L29: Update Account Request**

<u>UpdateAccountRequest</u>	Type	optional / required	EU Sample Data	Comment
From	string	required	BE	
To	string	required	CIL	
CorrelationID	long	required	6	
MajorVersion	int	required	1	
MinorVersion	int	required	1	
Accounts	UpdatedAccount[ ]	required		
AccountIdentifier	long	required	345	
IdentifierInReg	string	optional	MYACCOUNT	
Installation	UpdatedInstallation	optional		
PermitIdentifier	string	optional	XCVXXCV-999	
Name	string	optional	Installation1	
MainActivityType	int	optional	2	
Country	string	optional	BE	
PostalCode	string	optional	1200	
City	string	optional	Brussels	
Address1	string	optional	Avenue Ariane 7	
Address2	string	optional		
ParentCompany	string	optional		
SubsidiaryCompany	string	optional		
EPERIdentification	string	optional		
Latitude	double	optional		
longitude	double	optional		
ContactPeople	UpdatedPeople	optional		
action	int	required		This is about adding a new or updating an existing person
RelationshipCode	int	required	6	
PersonIdentifier	string	required	ZERZER-123	
FirstName	string	optional	Jack	
LastName	string	optional	Black	
Country	string	optional	BE	
PostalCode	string	optional	1000	
City	string	optional	Brxelles	
Address1	string	optional	Rue Royale, 99	
Address2	string	optional		
PhoneNumber1	string	optional	+32-2-9999999	
PhoneNumber2	string	optional	+32-2-8888888	
FaxNumber	string	optional	+32-2-7777777	
EMail	string	optional	<a href="mailto:jb@mlk.be">jb@mlk.be</a>	
People	UpdatedPeople	required		
Action	int	required		This is about adding a new or updating an existing person
RelationShipCode	int	required	1	
PersonalIdentifier	string	required	Gfds-g	
FirstName	string	optional	John	
LastName	string	optional	Watson	
Country	string	optional	BE	
PostalCode	string	optional	1000	
City	string	optional	Bruxelles	
Address1	string	optional	Rue Neuve, 88	
Address2	string	optional		
PhoneNumber1	string	optional	+32-2-1234567	

(cont.)

**Figure L29: Update Account Request (cont.)**

<u>UpdateAccountRequest</u>	Type	optional / required	EU Sample Data	Comment
PhoneNumber2	string	optional	+32-2-9876543	
FaxNumber	string	optional	+32-2-7539512	
E-Mail	string	optional	jw@aaa.be	

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**Figure L30: Update Account Response**

<u>ProposalRequest</u>	Type	optional / required	EU Sample Data	Comment
ResultIdentifier	int	required	1	1 if request accepted, 0 if request refused
ResponseCode	int	optional		

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**Figure L31: Close Account Request**

<u>CloseAccountRequest</u>	Type	optional / required	EU Sample Data	Comment
From	string	required		
To	string	required		
CorrelationID	long	required	5	
MajorVersion	int	required	1	
MinorVersion	int	required	1	
Accounts	ClosedAccount[ ]	required		
AccountIdentifier	long	required	234	

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**Figure L32: Close Account Response**

<u>ProposalRequest</u>	Type	optional / required	EU Sample Data	Comment
ResultIdentifier	int	required	1	1 if request accepted, 0 if request refused
ResponseCode	int	optional		

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**Figure L33: Update Verified Emissions Request**

<u>UpdateVerifiedEmissionsRequest</u>	Type	optional / required	EU Sample Data	Comment
From	string	required		
To	string	required		
CorrelationID	long	required	55	
MajorVersion	int	required	1	
MinorVersion	int	required	1	
VerifiedEmissions	VerifiedEmissions[ ]	required		
YearInCommitPeriod	int	required	2005	
Installations	InstallationVerifiedEmission[ ]	required		
InstallationIdentifier	long	required	123	
VerifiedEmissions	long	required	55555	

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Figure L34: Update Verified Emissions Response

<u>UpdateVerifiedEmissionsResponse</u>	Type	optional / required	EU Sample Data	Comment
ResultIdentifier	int	required	1	1 if request accepted, 0 if request refused
ResponseCode	int	optional		

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Figure L35: Receive Account Operation Outcome Request

<u>ReceiveAccountOperationOutcomeRequest</u>	Type	optional / required	EU Sample Data	Comment
From	string	required		
To	string	required		
CorrelationID	long	required	OutcomeRequest	
MajorVersion	int	required	1	
MinorVersion	int	required	1	
Outcome	int	required	0	1 if request completed, 0 if request terminated
OutcomeDetails	OutcomeDetail[ ]	optional		
ResponseCode	int	required	7149	
AccountIdentifier	long	optional	1	
InstallationIdentifier	long	optional	123	

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Figure L36: Receive Account Operation Outcome Response

<u>ReceiveAccountOperationOutcomeResponse</u>	Type	optional / required	EU Sample Data	Comment
ResultIdentifier	int	required	1	1 if request completed, 0 if request terminated
ResponseCode	int	optional		

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### 3. Notification Examples

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The following notification examples demonstrate the different notification types, and the types of transactions that registries might respond with in order to address the issue. There are also examples of Notification Update messages which might be sent upon fulfillment of a notification requirement or to remind the registry to complete the requirement.

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#### 3.1 Net Source Cancellation (Type 1)

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This example shows a net source cancellation notification and the subsequent transaction to address the notification. A net source cancellation is the result of LULUCF activities of a Party that have resulted in a net source of emissions. The registry is required to initiate sufficient transfers within 30 days into a Net Source Cancellation Account (Account Type Code 210) and to reference the Notification ID in the transaction. There may be more than one cancellation transaction, but all must reference the Notification ID. Periodically, or once Upon the cancellation required has been fulfilled, the ITL will send a notification update.

2223  
2224**Figure L37: Net Source Cancellation Notification**

<u>ITLNoticeRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
from	string	required	required	ITL
to	string	required	required	ZZ
major Version	int	required	required	1
minor Version	int	required	required	1
messageContent	string	required	required	The Compliance Committee has found that LULUCF activities have resulted in a net source of emissions. Units must be cancelled within 30 days to offset these emissions.
messageDate	dateTime	required	required	2005-10-30 11:05:34
notificationType	int	required	required	1
notificationIdentifier	long	required	required	331
notificationStatus	int	required	required	1
projectNumber	string	optional	not supplied	
unitType	int	optional	not supplied	
targetValue	long	optional	required for Notification Type 1	500
actionDueDate	date	optional	required	2005-11-30
unitBlockIdentifiers	ArrayOfUnit Block Identifier	optional	not supplied	
unitSerialBlockStart	long	required	not supplied	
unitSerialBlockEnd	long	required	not supplied	
originatingRegistryCode	string	required	not supplied	

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The registry initiates a cancellation transaction that references the notification identifier and transfers the specified number of units into the net source cancellation account (Account Type Code 210).

**Figure L38: Net Source Cancellation Transaction**

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	KP SampleData
from	string	required	required	ZZ
to	string	required	required	ITL
major Version	int	required	required	1
minor Version	int	required	required	1
proposed Transaction	Proposal Transaction	required	required	
transaction Identifier	string	required	required	ZZ101
transaction Type	int	required	required	4
supp Transaction Type	int	optional	not supplied	
transferring Registry Code	string	required	required	ZZ
transferring Registry Account Type	int	optional	required	120
transferring Registry Account Identifier	long	optional	not supplied	
acquiring Registry Code	string	required	required	ZZ

(cont.)

**Figure L38: Net Source Cancellation Transaction (cont.)**

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
acquiring Registry Account Type	int	optional	required to be Account Type 210 for Cancellation in response to Notification Type 1	210
acquiring Registry Account Identifier	long	optional	required for Cancellation	41
notification Identifier	long	optional	required for Cancellation in response to Notification Type 1	331
proposal Unit Blocks	Transaction Unit Block[ ]	required	required	
unit Serial Block Start	long	required	required	1001
unit Serial Block End	long	required	required	1500
originating Registry Code	string	required	required	ZZ
unit Type	int	required	required	1
supp Unit Type	int	optional	not supplied	
original Commit Period	int	required	required	1
applicable Commit Period	int	required	required	1
LULUCF Activity	int	optional	required for RMUs, ERUs converted from RMUs, ICERs and tCERs	
project Identifier	int	optional	required for ERUs, CERs, ICERs and tCERs	
track	int	optional	required for ERUs	
block Role	string	optional	not supplied	
transferring Registry Account Type	int	optional	not supplied	
transferring Registry Account Identifier	long	optional	not supplied	
acquiring Registry Account Type	int	optional	not supplied	
acquiring Registry Account Identifier	long	optional	not supplied	
year in Commitment Period	int	optional	not supplied	
installation Identifier	long	optional	not supplied	
expiry Date	date	optional	required for ICERs, tCERs	

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The ITL sends a notification update indicating the requirement has been fulfilled.

**Figure L39: Notification Update (Requirement Fulfilled)**

<u>ITLNoticeRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
from	string	required	required	ITL
to	string	required	required	ZZ
major Version	int	required	required	1
minor Version	int	required	required	1
messageContent	string	required	required	The requirement to cancel units due to a Net Source of emissions has been fulfilled.
messageDate	dateTime	required	required	2005-11-14 12:05:34
notificationType	int	required	required	9
notificationIdentifier	long	required	required	331
notificationStatus	int	required	required	3
projectNumber	string	optional	not supplied	

(cont.)

**Figure L39: Notification Update (Requirement Fulfilled) (cont.)**

<u>ITLNoticeRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
unitType	int	optional	not supplied	
targetValue	long	optional	required for Notification Type 9 with status of 3 "Complete"	0
actionDueDate	date	optional	not supplied	
unitBlockIdentifiers	arrayOfUnit Block Identifier	optional	not supplied	
unitSerialBlockStart	long	required	not supplied	
unitSerialBlockEnd	long	required	not supplied	
originatingRegistryCode	string	required	not supplied	

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### 3.2 Non-compliance Cancellation (Type 2)

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2243 A Non-compliance Cancellation Notification is sent to a registry when its Party has been found by  
 2244 the Compliance Committee to be in non-compliance with its emissions targets in the previous  
 2245 Commitment Period. The registry is required to cancel within 30 days the number of units  
 2246 specified by the target value in the notification. The units must be valid for the current  
 2247 Commitment Period and must be transferred to a Non-compliance Cancellation Account (Account  
 2248 Type Code 220). The cancellation transaction(s) must reference the original Notification ID.  
 2249 Periodically, or once the cancellation requirement has been fulfilled, the ITL will send a Notification  
 2250 Update.

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**Figure L40: Non-compliance Cancellation Notification**

<u>ITLNoticeRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
from	string	required	required	ITL
to	string	required	required	ZZ
major Version	int	required	required	1
minor Version	int	required	required	1
messageContent	string	required	required	The Compliance Committee has determined that the party in is non-compliance with its emissions target under Articles 3.7 and 3.8 of the Kyoto Protocol. Units must be cancelled in order to bring the party into compliance.
messageDate	dateTime	required	required	2005-10-30 11:05:34
notificationType	int	required	required	2
notificationIdentifier	long	required	required	332
notificationStatus	int	required	required	1
projectNumber	string	optional	not supplied	
unitType	int	optional	not supplied	
targetValue	long	optional	required for Notification Type 2	100
actionDueDate	date	optional	required for Notification Type 2	2005-11-30

(cont.)

**Figure L40: Non-compliance Cancellation Notification (cont.)**

<u>ITLNoticeRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
unitBlockIdentifiers	ArrayOfUnit Block Identifier	optional	not supplied	
unitSerialBlockStart	long	required	not supplied	
unitSerialBlockEnd	long	required	not supplied	
originatingRegistryCode	String	required	not supplied	

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The registry initiates a cancellation transaction to cancel some of the required number of units.  
The units are transferred to a Non-compliance Cancellation account (Account Type Code 220).

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**Figure L41: Non-compliance Cancellation Transaction**

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
from	string	required	required	ZZ
to	string	required	required	ITL
major Version	int	required	required	1
minor Version	int	required	required	1
proposed Transaction	Proposal Transaction	required	required	
transaction Identifier	string	required	required	ZZ1021
transaction Type	int	required	required	4
supp Transaction Type	int	optional	not supplied	
transferring Registry Code	string	required	required	ZZ
transferring Registry Account Type	int	optional	required	120
transferring Registry Account Identifier	long	optional	not supplied	
acquiring Registry Code	string	required	required	ZZ
acquiring Registry Account Type	int	optional	required for Cancellation	220
acquiring Registry Account Identifier	long	optional	required to be Account Type 220 for Cancellation in response to Notification Type 2	42
notification Identifier	long	optional	required for Cancellation in response to Notification Type 2	332
proposal Unit Blocks	Transaction Unit Block[ ]	required	required	
unit Serial Block Start	long	required	required	1501
unit Serial Block End	long	required	required	1550
originating Registry Code	string	required	required	ZZ
unit Type	int	required	required	1
supp Unit Type	int	optional	not supplied	
original Commit Period	int	required	required	1
applicable Commit Period	int	required	required	1
LULUCF Activity	int	optional	required for RMUs, ERUs converted from RMUs, ICERs and tCERs	
project Identifier	int	optional	required for ERUs, CERs, ICERs and tCERs	
track	int	optional	required for ERUs	
block Role	string	optional	not supplied	
transferring Registry Account Type	int	optional	not supplied	

(cont.)

**Figure L41: Non-compliance Cancellation Transaction (cont.)**

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
transferring Registry Account Identifier	long	optional	not supplied	
acquiring Registry Account Type	int	optional	not supplied	
acquiring Registry Account Identifier	long	optional	not supplied	
year in Commitment Period	int	optional	not supplied	
installation Identifier	long	optional	not supplied	
expiry Date	date	optional	required for ICERs, tCERs	

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The ITL sends a notification indicating the progress toward the requirement.

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**Figure L42: Notification Update (Progress Update)**

<u>ITLNoticeRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
from	string	required	required	ITL
to	string	required	required	ZZ
major Version	int	required	required	1
minor Version	int	required	required	1
messageContent	string	required	required	The Compliance Committee has determined that the party in is non-compliance with its emissions target under Articles 3.7 and 3.8 of the Kyoto Protocol. Units must be cancelled in order to bring the party into compliance.
messageDate	dateTime	required	required	2005-11-08 12:05:34
notificationType	int	required	required	9
notificationIdentifier	long	required	required	332
notificationStatus	int	required	required	2
projectNumber	string	optional	not supplied	
unitType	int	optional	not supplied	
targetValue	long	optional	required for Notification Type 9 in response to Notification Type 2	50
actionDueDate	date	optional	required for Notification Type 9 with status of 2 "In Progress"	2005-11-30
unitBlockIdentifiers	ArrayOfUnit Block Identifier	optional	not supplied	
unitSerialBlockStart	long	required	not supplied	
unitSerialBlockEnd	long	required	not supplied	
originatingRegistryCode	string	required	not supplied	

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The registry initiates another cancellation transaction to complete the requirement.

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2272**Figure L43: Non-compliance Cancellation Transaction**

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
from	string	required	required	ZZ
to	string	required	required	ITL
major Version	int	required	required	1
minor Version	int	required	required	1
proposed Transaction	Proposal Transaction	required	required	
transaction Identifier	string	required	required	ZZ1022
transaction Type	int	required	required	4
supp Transaction Type	int	optional	not supplied	
transferring Registry Code	string	required	required	ZZ
transferring Registry Account Type	int	optional	required	120
transferring Registry Account Identifier	long	optional	not supplied	
acquiring Registry Code	string	required	required to be Account Type 220 for Cancellation in response to Notification Type 2	ZZ
acquiring Registry Account Type	int	optional	required for Cancellation	220
acquiring Registry Account Identifier	long	optional	required for Cancellation in response to Notification Type 2	42
notification Identifier	long	required	required for Cancellation in response to Notification Type 2	332
proposal Unit Blocks	Transaction Unit Block[ ]	required	required	
unit Serial Block Start	long	required	required	1551
unit Serial Block End	long	required	required	1600
originating Registry Code	string	required	required	ZZ
unit Type	int	required	required	1
supp Unit Type	int	optional	not supplied	
original Commit Period	int	required	required	1
applicable Commit Period	int	required	required	1
LULUCF Activity	int	optional	required for RMUs, ERUs converted from RMUs, ICERs and tCERs	
project Identifier	int	optional	required for ERUs, CERs, ICERs and tCERs	
track	int	optional	required for ERUs	
block Role	string	optional	not supplied	
transferring Registry Account Type	int	optional	not supplied	
transferring Registry Account Identifier	long	optional	not supplied	
acquiring Registry Account Type	int	optional	not supplied	
acquiring Registry Account Identifier	long	optional	not supplied	
year in Commitment Period	int	optional	not supplied	
installation Identifier	long	optional	not supplied	
expiry Date	date	optional	required for ICERs, tCERs	

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The ITL sends a notification update to indicate the requirement has been fulfilled.

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Figure L44: Notification Update (Requirement Fulfilled)

<u>ITLNoticeRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
from	string	required	required	ITL
to	string	required	required	ZZ
major Version	int	required	required	1
minor Version	int	required	required	1
messageContent	string	required	required	The requirement to cancel units due to non-compliance has been fulfilled.
messageDate	dateTime	required	required	2005-11-14 12:05:34
notificationType	int	required	required	9
notificationIdentifier	long	required	required	332
notificationStatus	int	required	required	3
projectNumber	string	optional	not supplied	
unitType	int	optional	not supplied	
targetValue	long	optional	required for Notification Type 9 with status of 3 "Complete"	0
actionDueDate	date	optional	not supplied	
unitBlockIdentifiers	ArrayOfUnit Block Identifier	optional	not supplied	
unitSerialBlockStart	long	required	not supplied	
unitSerialBlockEnd	long	required	not supplied	
originatingRegistryCode	string	required	not supplied	

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### 3.3 Impending tCER or ICER Expiry (Type 3)

If a registry holds tCERs or ICERs that will expire within 30 days, the ITL will send this notification type, indicating the unit blocks which are to be replaced. The registry is required to initiate transfers of allowable units to a tCER or ICER Replacement Account (Account Type Code 411 or 421) or a Mandatory Cancellation Account (Account Type Code 250) or change the units before the tCERs or ICERs expire. The replacement or cancellation or replacement transactions submitted by the registry should must reference the notification identifier sent by the ITL. Periodically, or once the replacement requirement has been fulfilled, the ITL will send a Notification Update.

Figure L45: Impending Expiry Notification

<u>ITLNoticeRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
from	string	required	required	ITL
to	string	required	required	ZZ
major Version	int	required	required	1
minor Version	int	required	required	1

(cont.)

**Figure L45: Impending Expiry Notification (cont.)**

<u>ITLNoticeRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
messageContent	string	required	required	tCERs and/or ICERs held by this registry will expire within 30 days. The tCERs and ICERs specified in this message must be replaced or cancelled before they expire.
MessageDate	dateTime	required	required	2015-10-30 11:05:34
notificationType	int	required	required	3
notificationIdentifier	long	required	required	333
notificationStatus	int	required	required	1
projectNumber	string	optional	not supplied	
UnitType	int	optional	not supplied	
TargetValue	long	optional	not supplied	
ActionDueDate	date	optional	required	2015-11-30
unitBlockIdentifiers	ArrayOfUnit Block Identifier	optional	required for Notification Type 3	
unitSerialBlockStart	long	required	required	8101
unitSerialBlockEnd	long	required	required	8200
originatingRegistryCode	string	required	required	ZZ

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The registry initiates a replacement transaction. Note that the replacement blocks (the AAUs) are listed first and that the blocks being replaced (the ICERs) are listed last and indicated by a block role of 'REP.'

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**Figure L46: Replacement Transaction**

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
from	string	required	required	ZZ
to	string	required	required	ITL
major Version	int	required	required	1
minor Version	int	required	required	1
proposed Transaction	Proposal Transaction	required	required	
transaction Identifier	string	required	required	ZZ103
transaction Type	int	required	required	6
supp Transaction Type	int	optional	not supplied	
transferring Registry Code	string	required	required	ZZ
transferring Registry Account Type	int	optional	required	100
transferring Registry Account Identifier	long	optional	not supplied	
acquiring Registry Code	string	required	required	ZZ
acquiring Registry Account Type	int	optional	required	421
acquiring Registry Account Identifier	long	optional	not supplied	
notification Identifier	long	optional	required for Replacement in response to Notification Type 3	333

(cont.)

**Figure L46: Replacement Transaction (cont.)**

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
proposal Unit Blocks	Transaction Unit Block[ ]	required	required	
<b>First Block(s) – Replacing Units</b>				
unit Serial Block Start	long	required	required	1
unit Serial Block End	long	required	required	500
originating Party Code	string	required	required	ZZ
unit Type	int	required	required	1
supp Unit Type	int	optional	not supplied	
original Commit Period	int	required	required	1
applicable Commit Period	int	required	required	1
LULUCF Activity	int	optional	required for RMUs, ERUs converted from RMUs, ICERs and tCERs	
project Identifier	int	optional	required for ERUs, CERs, ICERs and tCERs	
track	int	optional	required for ERUs	
block Role	string	optional	not supplied	
transferring Registry Account Type	int	optional	not supplied	
transferring Registry Account Identifier	long	optional	not supplied	
acquiring Registry Account Type	int	optional	not supplied	
acquiring Registry Account Identifier	long	optional	not supplied	
year in Commitment Period	int	optional	not supplied	
installation Identifier	long	optional	not supplied	
expiry Date	date	optional	required for ICERs and tCERs	
<b>Last Block(s) – Units to be replaced</b>				
unit Serial Block Start	long	required	required	8101
unit Serial Block End	long	required	required	8200
originating Party Code	string	required	required	ZZ
unit Type	int	required	required	7
supp Unit Type	int	optional	not supplied	
original Commit Period	int	required	required	1
applicable Commit Period	int	required	required	1
LULUCF Activity	int	optional	required for RMUs, ERUs converted from RMUs, ICERs and tCERs	1
project Identifier	int	optional	required for ERUs, CERs, ICERs and tCERs	5
track	int	optional	required for ERUs	
block Role	string	optional	required	REP
transferring Registry Account Type	int	optional	not supplied	
transferring Registry Account Identifier	long	optional	not supplied	
acquiring Registry Account Type	int	optional	not supplied	
acquiring Registry Account Identifier	long	optional	not supplied	
year in Commitment Period	int	optional	not supplied	
installation Identifier	long	optional	not supplied	
expiry Date	date	Optional	required for ICERs and tCERs	2015-11-30

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The ITL sends a notification indicating the requirement has been fulfilled.

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2307**Figure L47: Notification Update (Requirement Fulfilled)**

<u>ITLNoticeRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
from	string	required	required	ITL
to	string	required	required	ZZ
major Version	int	required	required	1
minor Version	int	required	required	1
messageContent	string	required	required	The requirement to replace or cancel tCERS or ICERS about to expire has been fulfilled.
messageDate	dateTime	required	required	2015-11-14 12:05:34
notificationType	int	required	required	9
notificationIdentifier	long	required	required	333
notificationStatus	int	required	required	3
projectNumber	string	optional	not supplied	
unitType	int	optional	not supplied	
targetValue	long	optional	required for Notification Type 9 with status of 3 "Complete"	0
actionDueDate	date	optional	not supplied	
unitBlockIdentifiers	ArrayOfUnit Block Identifier	optional	not supplied	
unitSerialBlockStart	long	required	not supplied	
unitSerialBlockEnd	long	required	not supplied	
originatingRegistryCode	string	required	not supplied	

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### 3.4 Reversal of Storage for CDM Project (Type 4)

In the event that a reversal of storage of greenhouse gases occurs within the boundaries of a CDM project for which ICERs have been issued, the ITL will send this notification type to all registries with holdings of ICERs from this project. This notification shall indicate that all ICERs associated with the project will be prevented from being transferred, other than to a Mandatory Cancellation Account (Account Type Code 250), until the appropriate number of ICERs has been replaced (or cancelled). Each registry is required to initiate transfers into a ICER Replacement Account for Reversal of Storage (Account Type Code 422), or a Mandatory Cancellation Account, and must reference the Notification ID within the transaction. Periodically, or once the replacement requirement has been fulfilled, the ITL will send a Notification Update.

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2321**Figure L48: Reversal of Storage for CDM Project Notification**2322  
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<u>ITLNoticeRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
from	string	required	required	ITL
to	string	required	required	ZZ
major Version	int	required	required	1
minor Version	int	required	required	1

(cont.)

**Figure L48: Reversal of Storage for CDM Project Notification (cont.)**

<u>ITLNoticeRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
messageContent	string	required	required	A reversal of storage of greenhouse gasses has occurred at a CDM project and all transactions involving units associated with that project are suspended until the specified number of units are replaced or cancelled.
messageDate	dateTime	required	required	2005-10-30 11:05:34
notificationType	int	required	required	4
notificationIdentifier	long	required	required	334
notificationStatus	int	required	required	1
projectNumber	string	optional	required for Notification Type 4	225
unitType	int	optional	not supplied	
targetValue	long	optional	required for Notification Type 4	250
actionDueDate	date	optional	required	2005-11-30
unitBlockIdentifiers	ArrayOfUnit Block Identifier	optional	not supplied	
unitSerialBlockStart	long	required	not supplied	
unitSerialBlockEnd	long	required	not supplied	
originatingRegistryCode	string	required	not supplied	

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Registry submits a cancellation transaction for 100 affected units.

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**Figure L49: Reversal of Storage Cancellation Transaction**

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
from	string	required	required	ZZ
to	string	required	required	ITL
major Version	int	required	required	1
minor Version	int	required	required	1
proposed Transaction	Proposal Transaction	required	required	
transaction Identifier	string	required	required	ZZ1041
transaction Type	int	required	required	4
supp Transaction Type	int	optional	not supplied	
transferring Registry Code	string	required	required	ZZ
transferring Registry Account Type	int	optional	required	120
transferring Registry Account Identifier	long	optional	not supplied	
acquiring Registry Code	string	required	required	ZZ
acquiring Registry Account Type	int	optional	required for Cancellation	230
acquiring Registry Account Identifier	long	optional	required for Cancellation	40
notification Identifier	long	optional	required for Cancellation in response to Notification Type 4	334

(cont.)

**Figure L49: Reversal of Storage Cancellation Transaction (cont.)**

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
proposal Unit Blocks	Transaction Unit Block[ ]	required	required	
unit Serial Block Start	long	required	required	1501
unit Serial Block End	long	required	required	1600
originating Registry Code	string	required	required	ZZ
unit Type	int	required	required	7
supp Unit Type	int	optional	not supplied	
original Commit Period	int	required	required	1
applicable Commit Period	int	required	required	1
LULUCF Activity	int	optional	required for RMUs, ERUs converted from RMUs, ICERs and tCERs	1
project Identifier	int	optional	required for ERUs, CERs, ICERs and tCERs	5
track	int	optional	required for ERUs	
block Role	string	optional	not supplied	
transferring Registry Account Type	int	optional	not supplied	
transferring Registry Account Identifier	long	optional	not supplied	
acquiring Registry Account Type	int	optional	not supplied	
acquiring Registry Account Identifier	long	optional	not supplied	
year in Commitment Period	int	optional	not supplied	
installation Identifier	long	optional	not supplied	
expiry Date	date	optional	required for ICERs, tCERs	2015-11-01

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The ITL sends a Notification Update indicating that 150 units still must be cancelled or replaced.

**Figure L50: Notification Update (Progress Update)**

<u>ITLNoticeRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
from	string	required	required	ITL
to	string	required	required	ZZ
major Version	int	required	required	1
minor Version	int	required	required	1
messageContent	string	required	required	A reversal of storage of greenhouse gasses has occurred at a CDM project and all transactions involving units associated with that project are suspended until the specified number of units are replaced or cancelled.
messageDate	dateTime	required	required	2005-11-14 12:05:34
notificationType	int	required	required	9
notificationIdentifier	long	required	required	334
notificationStatus	int	required	required	2
projectNumber	string	optional	required for Notification Type 9 in response to Notification Type 4	225

(cont.)

**Figure L50: Notification Update (Progress Update) (cont.)**

<u>ITLNoticeRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
unitType	int	optional	not supplied	
targetValue	long	optional	required for Notification Type 9 in response to Notification Type 4	150
actionDueDate	date	optional	required for Notification Type 9 with status of 2 "In Progress"	2005-11-30
unitBlockIdentifiers	ArrayOfUnit Block Identifier	optional	not supplied	
unitSerialBlockStart	Long	required	not supplied	
unitSerialBlockEnd	Long	required	not supplied	
originatingRegistryCode	String	required	not supplied	

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2337 Registry submits a replacement transaction for 150 units. Replacing Units transferred to  
 2338 Replacement Account for Reversal of Storage (Account Type Code 422).

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**Figure L51: Replacement Transaction**

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
from	string	required	required	ZZ
to	string	required	required	ITL
major Version	int	required	required	1
minor Version	int	required	required	1
proposed Transaction	Proposal Transaction	required	required	
transaction Identifier	string	required	required	ZZ1042
transaction Type	int	required	required	6
supp Transaction Type	int	optional	not supplied	
transferring Registry Code	string	required	required	ZZ
transferring Registry Account Type	int	optional	required	100
transferring Registry Account Identifier	long	optional	not supplied	
acquiring Registry Code	string	required	required	ZZ
acquiring Registry Account Type	int	optional	required	422
acquiring Registry Account Identifier	long	optional	not supplied	
notification Identifier	long	optional	required for Replacement in response to Notification Type 4	334
proposal Unit Blocks	Transaction Unit Block[ ]	required	required	
<b>First Block(s) – Replacing Units</b>				
unit Serial Block Start	long	required	required	501
unit Serial Block End	long	required	required	650
originating Party Code	string	required	required	ZZ
unit Type	int	required	required	1
supp Unit Type	int	optional	not supplied	
original Commit Period	int	required	required	1
applicable Commit Period	int	required	required	1

(cont.)

**Figure L51: Replacement Transaction (cont.)**

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
LULUCF Activity	int	optional	required for RMUs, ERUs converted from RMUs, ICERs and tCERs	
project Identifier	int	optional	required for ERUs, CERs, ICERs and tCERs	
track	int	optional	required for ERUs	
block Role	string	optional	not supplied	
transferring Registry Account Type	int	optional	not supplied	
transferring Registry Account Identifier	long	optional	not supplied	
acquiring Registry Account Type	int	optional	not supplied	
acquiring Registry Account Identifier	long	optional	not supplied	
year in Commitment Period	int	optional	not supplied	
installation Identifier	long	optional	not supplied	
expiry Date	date	optional	required for ICERs and tCERs	
<b>Last Block(s) – Units to be replaced</b>				
unit Serial Block Start	long	required	Required	8201
unit Serial Block End	long	required	Required	8350
originating Party Code	string	required	Required	ZZ
unit Type	int	required	Required	7
supp Unit Type	int	optional	not supplied	
original Commit Period	int	required	Required	1
applicable Commit Period	int	required	Required	1
LULUCF Activity	int	optional	required for RMUs, ERUs converted from RMUs, ICERs and tCERs	1
project Identifier	int	optional	required for ERUs, CERs, ICERs and tCERs	5
track	int	optional	required for ERUs	
block Role	string	optional	required	REP
transferring Registry Account Type	int	optional	not supplied	
transferring Registry Account Identifier	long	optional	not supplied	
acquiring Registry Account Type	int	optional	not supplied	
acquiring Registry Account Identifier	long	optional	not supplied	
year in Commitment Period	int	optional	not supplied	
installation Identifier	long	optional	not supplied	
expiry Date	date	optional	required for ICERs and tCERs	2015-11-01

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The ITL sends a Notification Update indicating that the requirement has been fulfilled.

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2347**Figure L52: Notification Update (Requirement Fulfilled)**

<u>ITLNoticeRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
from	string	required	required	ITL
to	string	required	required	ZZ
major Version	int	required	required	1
minor Version	int	required	required	1
messageContent	string	required	required	The requirement to cancel or replace units due to a reversal of storage has been fulfilled.
messageDate	dateTime	required	required	2005-11-14 12:05:34
notificationType	int	required	required	9
notificationIdentifier	long	required	required	334
notificationStatus	int	required	required	3
projectNumber	string	optional	required for Notification Type 9 in response to Notification Type 4	225
unitType	Int	optional	not supplied	
targetValue	long	optional	required for Notification Type 9 with status of 3 "Complete"	0
actionDueDate	date	optional	not supplied	
unitBlockIdentifiers	ArrayOfUnit Block Identifier	optional	not supplied	
unitSerialBlockStart	long	required	not supplied	
unitSerialBlockEnd	long	required	not supplied	
originatingRegistryCode	string	required	not supplied	

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2349**3.5 Non-submission of Certification Report for CDM Project (Type 5)**2350  
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If the participants in a CDM Project, for which ICERs have been issued, have not submitted a certification report by the appointed time, the ITL will send this notification type to all registries with holdings of ICERs from this project. This notification shall indicate that all ICERs associated with the project will be prevented from being transferred, other than to a Mandatory Cancellation Account (Account Type Code 250). Each registry is required to initiate transfers into a ICER Replacement Account for Non-submission of Certification Report (Account Type Code 423), or a Mandatory Cancellation Account, and must reference the Notification ID. Periodically, or once the replacement requirement has been fulfilled, the ITL will send a Notification Update.

**Figure L53: Non-submission of Certification Report for CDM Project Notification**

<u>ITLNoticeRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
from	string	required	required	ITL
to	string	required	required	ZZ
major Version	int	required	required	1
minor Version	int	required	required	1

(cont.)

**Figure L53: Non-submission of Certification Report for CDM Project Notification (cont.)**

<u>ITLNoticeRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
messageContent	string	required	required	The CDM Executive Board has not received a Certification Report, and it has requested that all ICERs generated by the specified CDM project be prevented from being transferred (except to cancellation or replacement accounts).
messageDate	dateTime	required	required	2005-10-30 11:05:34
notificationType	int	required	required	5
notificationIdentifier	long	required	required	335
notificationStatus	int	required	required	1
projectNumber	string	optional	required for Notification Type 5	226
unitType	int	optional	not supplied	
targetValue	long	optional	not supplied	
actionDueDate	date	optional	not supplied	
unitBlockIdentifiers	ArrayOfUnit Block Identifier	optional	required for Notification Type 5	
unitSerialBlockStart	long	required	required	401
unitSerialBlockEnd	long	required	required	450
originatingRegistryCode	string	required	required	ZZ
unitSerialBlockStart	long	required	required	501
unitSerialBlockEnd	Long	required	required	550
originatingRegistryCode	String	required	required	ZZ
unitSerialBlockStart	Long	required	required	551
unitSerialBlockEnd	Long	required	required	650
originatingRegistryCode	String	required	required	ZZ

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Registry submits a cancellation transaction for the affected units.

**Figure L54: Non-submission of Certification Report Cancellation Transaction**

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
from	string	required	required	ZZ
to	string	required	required	ITL
major Version	int	required	required	1
minor Version	int	required	required	1
proposed Transaction	Proposal Transaction	required	required	
transaction Identifier	string	required	required	ZZ105
transaction Type	int	required	required	4
supp Transaction Type	int	optional	not supplied	
transferring Registry Code	string	required	required	ZZ
transferring Registry Account Type	int	optional	required	100
transferring Registry Account Identifier	long	optional	not supplied	
acquiring Registry Code	string	required	required	ZZ

(cont.)

**Figure L54: Non-submission of Certification Report Cancellation Transaction (cont.)**

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
acquiring Registry Account Type	int	optional	required for Cancellation	230
acquiring Registry Account Identifier	long	optional	required for Cancellation	40
notification Identifier	long	optional	required for Cancellation in response to Notification Type 5	335
proposal Unit Blocks	Transaction Unit Block[ ]	required	required	
unit Serial Block Start	long	required	required	401
unit Serial Block End	long	required	required	450
originating Registry Code	string	required	required	ZZ
unit Type	int	required	required	7
supp Unit Type	int	optional	not supplied	
original Commit Period	int	required	required	1
applicable Commit Period	int	required	required	1
LULUCF Activity	int	optional	required for RMUs, ERUs converted from RMUs, ICERs and tCERs	1
project Identifier	int	optional	required for ERUs, CERs, ICERs and tCERs	226
track	int	optional	not supplied	
block Role	string	optional	not supplied	
transferring Registry Account Type	int	optional	not supplied	
transferring Registry Account Identifier	long	optional	not supplied	
acquiring Registry Account Type	int	optional	not supplied	
acquiring Registry Account Identifier	long	optional	not supplied	
year in Commitment Period	int	optional	not supplied	
installation Identifier	long	optional	not supplied	
expiry Date	date	optional	required for ICERs and tCERs	2015-11-01
unit Serial Block Start	long	required	required	501
unit Serial Block End	long	required	required	550
originating Registry Code	string	required	required	ZZ
unit Type	int	required	required	7
supp Unit Type	int	optional	not supplied	
original Commit Period	int	required	required	1
applicable Commit Period	int	required	required	1
LULUCF Activity	int	optional	required for RMUs, ERUs converted from RMUs, ICERs and tCERs	1
project Identifier	int	optional	required for ERUs, CERs, ICERs and tCERs	226
track	int	optional	not supplied	
block Role	string	optional	not supplied	
transferring Registry Account Type	int	optional	not supplied	
transferring Registry Account Identifier	long	optional	not supplied	
acquiring Registry Account Type	int	optional	not supplied	
acquiring Registry Account Identifier	long	optional	not supplied	
year in Commitment Period	int	optional	not supplied	
installation Identifier	long	optional	not supplied	
expiry Date	date	optional	required for ICERs and tCERs	2015-11-01
unit Serial Block Start	long	required	required	551
unit Serial Block End	long	required	required	650
originating Registry Code	string	required	required	ZZ

(cont.)

**Figure L54: Lack of Certification Report Cancellation Transaction (cont.)**

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
unit Type	int	required	required	7
supp Unit Type	int	optional	not supplied	
original Commit Period	int	required	not supplied	1
applicable Commit Period	int	required	required	1
LULUCF Activity	int	optional	required for RMUs, ERUs converted from RMUs, ICERs and tCERs	1
project Identifier	int	optional	required for ERUs, CERs, ICERs and tCERs	226
track	int	optional	not supplied	
block Role	string	optional	not supplied	
transferring Registry Account Type	int	optional	not supplied	
transferring Registry Account Identifier	long	optional	not supplied	
acquiring Registry Account Type	int	optional	not supplied	
acquiring Registry Account Identifier	long	optional	not supplied	
year in Commitment Period	int	optional	not supplied	
installation Identifier	long	optional	not supplied	
expiry Date	date	optional	required for ICERs and tCERs	2015-11-01

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The ITL sends a Notification Update indicating that the requirement has been fulfilled.

**Figure L55: Notification Update (Requirement Fulfilled)**

<u>ITLNoticeRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
from	string	required	required	ITL
to	string	required	required	ZZ
major Version	int	required	required	1
minor Version	int	required	required	1
messageContent	string	required	required	The requirement to cancel or replace units due to the non-submission of a certification report has been fulfilled.
messageDate	dateTime	required	required	2005-11-14 12:05:34
notificationType	int	required	required	9
notificationIdentifier	long	required	required	335
notificationStatus	int	required	required	3
projectNumber	string	optional	required for Notification Type 9 in response to Notification Type 5	ZZ226
unitType	int	optional	not supplied	
targetValue	long	optional	required for Notification Type 9 with status of 3 "Complete"	0
actionDueDate	date	optional	not supplied	
unitBlockIdentifiers	ArrayOfUnit Block Identifier	optional	not supplied	

(cont.)

**Figure L55: Notification Update (Requirement Fulfilled) (cont.)**

<u>ITLNoticeRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
unitSerialBlockStart	long	required	not supplied	
unitSerialBlockEnd	long	required	not supplied	
originatingRegistryCode	string	required	not supplied	

### 3.6 Excess Issuance for CDM Project (Type 6)

If excess CERs (tCERs or ICERs) are issued for a CDM project, the CDM Executive Board may require the designated operational entity responsible for verifying the project to transfer, within 30 days, a specified number of units to the Excess Issuance Cancellation Account in the CDM registry. This notification and Notification ID is not delivered via a web service because it is directed to a business, not a specific registry. However, the cancellation transactions initiated by the designated operational entity must still reference the Notification ID that was sent in the email so that the ITL may track compliance with the notification. No notification updates are sent via the web service.

**Figure L56: Excess Issuance for CDM Project Cancellation Transaction**

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
from	string	required	required	ZZ
to	string	required	required	ITL
major Version	int	required	required	1
minor Version	int	required	required	1
proposed Transaction	Proposal Transaction	required	required	
transaction Identifier	string	required	required	ZZ106
transaction Type	int	required	required	4
supp Transaction Type	int	optional	not supplied	
transferring Registry Code	string	required	required	ZZ
transferring Registry Account Type	int	optional	required	120
transferring Registry Account Identifier	long	optional	not supplied	
acquiring Registry Code	string	required	required	ZZ
acquiring Registry Account Type	int	optional	required for Cancellation	240
acquiring Registry Account Identifier	long	optional	required for Cancellation	44
notification Identifier	long	optional	required for Cancellation in response to Notification Type 6	336
proposal Unit Blocks	Transaction Unit Block[ ]	required	required	
unit Serial Block Start	long	required	required	7001
unit Serial Block End	long	required	required	7200
originating Registry Code	string	required	required	ZZ
unit Type	int	required	required	5
supp Unit Type	int	optional	not supplied	
original Commit Period	int	required	required	1
applicable Commit Period	int	required	required	1
LULUCF Activity	int	optional	required for RMUs, ERUs converted from RMUs, ICERs and tCERs	1
project Identifier	int	optional	required for ERUs, CERs, ICERs and tCERs	6
track	int	optional	required for ERUs	

(cont.)

**Figure L56: Excess Issuance for CDM Project Cancellation Transaction (cont.)**

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
block Role	string	optional	not supplied	
transferring Registry Account Type	int	optional	not supplied	
transferring Registry Account Identifier	long	optional	not supplied	
acquiring Registry Account Type	int	optional	not supplied	
acquiring Registry Account Identifier	long	optional	not supplied	
year in Commitment Period	int	optional	not supplied	
installation Identifier	long	optional	not supplied	
expiry Date	date	optional	required for ICERs and tCERs	

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### 3.7 Commitment Period Reserve Change (Type 7)

2394 In the event that a registry's CPR limit is revised upward and the eligible holdings of the registry  
2395 are insufficient to meet that new limit, the ITL will send a notification to the registry indicating the  
2396 number of units by which it must raise its holdings. The registry is therefore required to acquire  
2397 sufficient units from other registries. Since transactions are submitted by the transferring, not the  
2398 acquiring Party, these transactions will not reference any Notification ID. Periodically, or once the  
2399 acquisitions requirement has been fulfilled, the ITL will send a Notification Update.  
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**Figure L57: Commitment Period Reserve Change**

<u>ITLNoticeRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
from	string	required	required	ITL
to	string	required	required	ZZ
major Version	int	required	required	1
minor Version	int	required	required	1
messageContent	string	required	required	The CPR level for this registry has been raised and it is necessary for the registry to acquire the number of units specified in this message within 30 days.
messageDate	dateTime	required	required	2005-10-30 11:05:34
notificationType	int	required	required	7
notificationIdentifier	long	required	required	337
notificationStatus	int	required	required	1
projectNumber	string	optional	not supplied	
unitType	Int	optional	not supplied	
targetValue	Long	optional	required for Notification Type 7	120
actionDueDate	Date	optional	required	2005-11-30
unitBlockIdentifiers	ArrayOfUnit Block Identifier	optional	not supplied	
unitSerialBlockStart	Long	required	not supplied	
unitSerialBlockEnd	Long	required	not supplied	
originatingRegistryCode	String	required	not supplied	

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 2406      This is an External Transaction proposal from a third party in which the registry in question  
 2407      acquires enough units to exceed the CPR.  
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 2409

**Figure L58: External Transfer Transaction**

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
from	string	required	required	CL
to	string	required	required	ITL
major Version	int	required	required	1
minor Version	int	required	required	1
proposed Transaction	Proposal Transaction	required	required	
transaction Identifier	string	required	required	CL107
transaction Type	int	required	required	3
supp Transaction Type	int	optional	not supplied	
transferring Registry Code	string	required	required	CL
transferring Registry Account Type	int	optional	required	100
transferring Registry Account Identifier	long	optional	required	50
acquiring Registry Code	string	required	required	ZZ
acquiring Registry Account Type	int	optional	required	100
acquiring Registry Account Identifier	long	optional	required	658
notification Identifier	long	optional	not supplied	
proposal Unit Blocks	Transaction Unit Block[ ]	required	required	
unit Serial Block Start	long	required	required	9001
unit Serial Block End	long	required	required	9120
originating Registry Code	string	required	required	CL
unit Type	int	required	required	1
supp Unit Type	int	optional	not supplied	
original Commit Period	int	required	required	1
applicable Commit Period	int	required	required	1
LULUCF Activity	int	optional	required for RMUs, ERUs converted from RMUs, ICERs and tCERs	
project Identifier	int	optional	required for ERUs, CERs, ICERs and tCERs	
track	int	optional	required for ERUs	
block Role	string	optional	not supplied	
transferring Registry Account Type	int	optional	not supplied	
transferring Registry Account Identifier	long	optional	not supplied	
acquiring Registry Account Type	int	optional	not supplied	
acquiring Registry Account Identifier	long	optional	not supplied	
year in Commitment Period	int	optional	not supplied	
installation Identifier	long	optional	not supplied	
expiry Date	date	optional	not supplied	

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 2412      The ITL sends a Notification Update indicating that the requirement has been fulfilled.  
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2415**Figure L59: Notification Update (Requirement Fulfilled)**

<u>ITLNoticeRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
from	string	required	required	ITL
to	string	required	required	ZZ
major Version	int	required	required	1
minor Version	int	required	required	1
messageContent	string	required	required	The requirement to meet the CPR limit has been fulfilled.
messageDate	dateTime	required	required	2005-11-14 12:05:34
notificationType	int	required	required	9
notificationIdentifier	long	required	required	337
notificationStatus	int	required	required	3
projectNumber	string	optional	not supplied	
unitType	int	optional	not supplied	
targetValue	long	optional	required for Notification Type 9 with status of 3 "Complete"	0
actionDueDate	date	optional	not supplied	
unitBlockIdentifiers	ArrayOfUnit Block Identifier	optional	not supplied	
unitSerialBlockStart	long	required	not supplied	
unitSerialBlockEnd	long	required	not supplied	
originatingRegistryCode	string	required	not supplied	

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### 3.8 Unit Carry-over (Type 8)

2420 After the end of a True-up Period it is necessary for all units remaining in holding accounts to be  
 2421 carried over to the next Commitment Period or transferred to a Mandatory Cancellation Account  
 2422 (Account Type Code 250). The ITL will send this notification type to inform the registries of the  
 2423 number of each unit type which may be carried over, as determined by the Compliance  
 2424 Committee, and their holdings that must be carried over or transferred within 30 days. A separate  
 2425 notification will be sent for each unit type. Each carry-over transaction must contain a single unit  
 2426 type and must reference the Notification ID sent by the ITL. The units remaining after the carry-  
 2427 over must be transferred to the Mandatory Cancellation Account. Such cancellation transactions  
 2428 in response to a carry-over notification do not need to reference the Notification ID. Periodically,  
 2429 or once the carry-over and/or cancellation requirement has been fulfilled, the ITL will send a  
 2430 Notification Update.  
 2431

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2433**Figure L60: Unit Carry-over Notification**

<u>ITLNoticeRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
from	string	required	required	ITL
to	string	required	required	ZZ
major Version	int	required	required	1
minor Version	int	required	required	1
messageContent	string	required	required	Due to the end of the Commitment Period, the units specified within this message must be carried-over or cancelled within 30 days.
messageDate	dateTime	required	required	2005-10-30 11:05:34
notificationType	int	required	required	8
notificationIdentifier	long	required	required	338
notificationStatus	int	required	required	1
projectNumber	string	optional	not supplied	
unitType	int	optional	required for Notification Type 8	1
targetValue	long	optional	required for Notification Type 8	1000
actionDueDate	date	optional	required for Notification Type 8	2005-11-30
unitBlockIdentifiers	ArrayOfUnit Block Identifier	optional	required for Notification Type 8	
unitSerialBlockStart	long	required	required	5001
unitSerialBlockEnd	long	required	required	5006
originatingRegistryCode	string	required	required	ZZ
unitSerialBlockStart	long	required	required	10001
unitSerialBlockEnd	long	required	required	11000
originatingRegistryCode	string	required	required	CL
unitSerialBlockStart	long	required	required	13001
unitSerialBlockEnd	long	required	required	14000
originatingRegistryCode	string	required	required	CL

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The registry initiates a transaction to carry-over some of the outstanding units.

**Figure L61: Unit Carry-over Transaction**

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
from	string	required	required	ZZ
to	string	required	required	ITL
major Version	int	required	required	1
minor Version	int	required	required	1
proposed Transaction	Proposal Transaction	required	required	
transaction Identifier	string	required	required	ZZ1081
transaction Type	int	required	required	7
supp Transaction Type	int	optional	not supplied	
transferring Registry Code	string	required	required	ZZ

(cont.)

**Figure L61: Unit Carry-over Transaction (cont.)**

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
transferring Registry Account Type	int	required	required	100
transferring Registry Account Identifier	long	optional	not supplied	
acquiring Registry Code	string	required	required	ZZ
acquiring Registry Account Type	int	optional	not supplied	100
acquiring Registry Account Identifier	long	optional	not supplied	
notification Identifier	long	optional	required for Unit Carry-over transaction	338
proposal Unit Blocks	Transaction Unit Block[ ]	required	required	
unit Serial Block Start	long	required	required	10001
unit Serial Block End	long	required	required	11000
originating Registry Code	string	required	required	CL
unit Type	int	required	required	1
supp Unit Type	int	optional	not supplied	
original Commit Period	int	required	required	1
applicable Commit Period	int	required	required. Value is new commitment period	2
LULUCF Activity	int	optional	not supplied (unit types associated with LULUCFs are not eligible for carryover)	
project Identifier	int	optional	required for ERUs and CERs (only ERUs converted from AAUs are eligible for carryover)	
track	int	optional	required for ERUs (only ERUs converted from AAUs are eligible for carryover)	
block Role	string	optional	not supplied	
transferring Registry Account Type	int	optional	not supplied	
transferring Registry Account Identifier	long	optional	not supplied	
acquiring Registry Account Type	int	optional	not supplied	
acquiring Registry Account Identifier	long	optional	not supplied	
year in Commitment Period	int	optional	not supplied	
installation Identifier	long	optional	not supplied	
expiry Date	date	optional	not supplied (ICERs and tCERs are not eligible for carryover)	
unit Serial Block Start	long	required	required	13001
unit Serial Block End	long	required	required	14000
originating Registry Code	string	required	required	CL
unit Type	int	required	required	1
supp Unit Type	int	optional	not supplied	
original Commit Period	int	required	required	1
applicable Commit Period	int	required	required. Value is new commitment period	2
LULUCF Activity	int	optional	not supplied (unit types associated with LULUCFs are not eligible for carryover)	
project Identifier	int	optional	required for ERUs and CERs (only ERUs converted from AAUs are eligible for carryover)	

(cont.)

**Figure L61: Unit Carry-over Transaction (cont.)**

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
track	int	optional	required for ERUs (only ERUs converted from AAUs are eligible for carryover)	
block Role	string	optional	not supplied	
transferring Registry Account Type	int	optional	not supplied	
transferring Registry Account Identifier	long	optional	not supplied	
acquiring Registry Account Type	int	optional	not supplied	
acquiring Registry Account Identifier	long	optional	not supplied	
year in Commitment Period	int	optional	not supplied	
installation Identifier	long	optional	not supplied	
expiry Date	date	optional	not supplied (ICERs and tCERs are not eligible for carryover)	

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The registry cancels the remaining units.

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**Figure L62: Unit Carry-over Cancellation Transaction**

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
from	string	required	required	ZZ
to	string	required	required	ITL
major Version	int	required	required	1
minor Version	int	required	required	1
proposed Transaction	Proposal Transaction	required	required	
transaction Identifier	string	required	required	ZZ1082
transaction Type	int	required	required	3
supp Transaction Type	int	optional	not supplied	
transferring Registry Code	string	required	required	ZZ
transferring Registry Account Type	int	optional	required	100
transferring Registry Account Identifier	long	optional	not supplied	
acquiring Registry Code	string	required	not supplied	ZZ
acquiring Registry Account Type	int	optional	required for Cancellation	230
acquiring Registry Account Identifier	long	optional	required for Cancellation	43
notification Identifier	long	optional	not supplied	
proposal Unit Blocks	Transaction Unit Block[ ]	required	required	
unit Serial Block Start	long	required	required	5001
unit Serial Block End	long	required	required	5006
originating Registry Code	string	required	required	ZZ
unit Type	int	required	required	1
supp Unit Type	int	optional	not supplied	
original Commit Period	int	required	required	1
applicable Commit Period	int	required	required	1
LULUCF Activity	int	optional	required for RMUs, ERUs converted from RMUs, ICERs and tCERs	
project Identifier	int	optional	required for ERUs, CERs, ICERs and tCERs	
track	int	optional	required for ERUs	
block Role	string	optional	not supplied	

(cont.)

**Figure L62: Unit Carry-over Cancellation Transaction (cont.)**

<u>ProposalRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
transferring Registry Account Type	int	optional	not supplied	
transferring Registry Account Identifier	long	optional	not supplied	
acquiring Registry Account Type	int	optional	not supplied	
acquiring Registry Account Identifier	long	optional	not supplied	
year in Commitment Period	int	optional	not supplied	
installation Identifier	long	optional	not supplied	
expiry Date	date	optional	required for ICERs, tCERs	

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The ITL sends a Notification Update indicating the requirement has been fulfilled.

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**Figure L63: Notification Update (Requirement Fulfilled)**

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<u>ITLNoticeRequest</u>	Type	WSDL	KP Requirement	KP Sample Data
from	string	required	required	ITL
to	string	required	required	ZZ
major Version	int	required	required	1
minor Version	int	required	required	1
messageContent	string	required	required	The requirement to carry-over or cancel units has been fulfilled.
messageDate	dateTime	required	required	2005-11-14 12:05:34
notificationType	int	required	required	9
notificationIdentifier	long	required	required	338
notificationStatus	int	required	required	3
projectNumber	string	optional	not supplied	
unitType	int	optional	required for Notification Type 9 in response to Notification Type 8	1
targetValue	long	optional	required for Notification Type 9 with status of 3 "Complete"	0
actionDueDate	date	optional	not supplied	
unitBlockIdentifiers	ArrayOfUnit Block Identifier	optional	not supplied	
unitSerialBlockStart	long	required	not supplied	
unitSerialBlockEnd	long	required	not supplied	
originatingRegistryCode	string	required	not supplied	

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