

COP agenda item 10(f)
Process to identify the information to be provided by Parties in accordance with Article 9, paragraph 5, of the Paris Agreement

Informal note by the co-chairs

Preliminary considerations for the advancement of work under COP 23 agenda item 10(f)
Version 15.11.2017

These preliminary considerations have been prepared by the co-facilitators of the negotiations on this agenda item under their own responsibility, on the basis of the deliberations by Parties at this session and the views they have submitted. These elements are preliminary and should not be considered as final in any way; they are offered as a basis for work and do not prejudice further work or prevent Parties from expressing their views at any time. It is recognized that the outcome of deliberations on this item will form part of the overall outcome under the Paris Agreement Work Programme.

Note: This informal note builds on the summary report of the roundtable organized during the May session of the Subsidiary Bodies of the UNFCCC, which was considered by Parties to have useful elements to advance on the fulfilment of the mandate given by COP21, and work undertaken under this agenda item during COP23. The content of the note is not exhaustive and neither represents agreed views, ideas or text nor attempts to draw any conclusions on possible areas of convergence or divergence. The note has been prepared under our own responsibility and thus has no formal status. We also recognize that nothing is final until all are final, and that nothing in this informal note prejudices Parties' views on the final outcome of the work under this agenda item.

We also recognized the need for strictly following the mandate for work on this agenda item under the COP. The mandate of this agenda item under the COP as set out in decision 1/CP.21, paragraph 55, is to identify the information to be provided by Parties in accordance with Article 9, paragraph 5, of the Paris Agreement for consideration by COP 24 (December 2018), with a view to making a recommendation for consideration and adoption by CMA 1.

This document does not capture all views of Parties.

Timing of work

- The COP is mandated to finalize its work in time for consideration by COP 24, with a view to making a recommendation for consideration and adoption by CMA 1.

General considerations

- Article 9, paragraphs 1, 3, and 4;
- Identify types of enhanced indicative quantitative and qualitative information, and how to communicate such information
- Applicability and availability of information, as stated in Article 9, paragraph 5, of the Paris Agreement;
- Utility and avoiding duplication of information;
- Limitations and uncertainties faced by Parties in providing ex-ante information;
- Alignment of efforts with Article 2.1(c), of the Paris Agreement

Potential considerations for the preparation of quantitative and qualitative information to be biennially communicated in the context of Article 9, paragraph 5, of the Paris Agreement

- Enhanced information to increase clarity on the expected levels of provision and mobilization of climate finance from different sources;
- No quantitative information with regards to sector, recipient countries, mitigation/adaptation, channels and financial instruments;
- Sources of climate finance, amounts, financial instruments, channels, recipient countries/regional distribution, types of support (mitigation, adaptation, cross-cutting), targeted sectors, status (committed, pledged);

- Indicative quantitative information on expected levels of climate finance through bilateral and other regional channels;
 - Indicative quantitative information on expected levels of climate finance through multilateral channels: GEF, LDCF, SCCF, AF, GCF and UNFCCC Trust Fund for Supplementary Activities; Other multilateral climate change funds; Multilateral financial institutions, development banks; Specialized United Nations bodies;
 - Information on the baseline reference to facilitate comparison with the indicative quantitative information on expected levels of climate finance;
 - Whether the activity also includes CB and/ or TT objectives;
 - Overview of trends; Original currency and USD/ EUR.
- Enhanced information on policies, programmes and priorities:
 - Qualitative information that demonstrates how expected levels of climate finance reflect the needs and priorities of developing country Parties with total available resources, including gender responsive processes;
 - Explanation on how Party identified respective financial parameters to be provided;
 - Information on criteria used to determine how developing country Parties financial needs are taken into account;
 - Information on grant component of public intervention
 - Information on targeted group and how this is complementary to the regional approach by developed countries.
 - Information on actions taken and plans to mobilize and provide climate finance for the implementation of nationally determined contributions and national adaptation plans
 - How the information to be provided reflects the individual shares of the collective obligation by developed countries towards the implementation of the Paris Agreement, referring to the global goal on finance;
 - Information on adequacy in the provision of support;
 - Information on actions taken to enhance access to climate finance;
 - Information on how public finance is programmed to fill gaps where private capital may not be readily available;
 - Information on actions taken to address the needs of developing country Parties that are particularly vulnerable to the adverse effects of climate change and have significant capacity constraints;
 - Information on the factors that providers of climate finance look for in evaluating proposals, to help inform developing countries.
- Enhanced information on actions and plans to mobilize additional finance from a range of sources:
 - Information on actions taken to mobilise scaled-up climate finance provided to developing country Parties building on submissions on strategies and approaches, which will end in 2020;.
 - Information on the relationship between the public interventions used and the private finance mobilized, including information on the types of public interventions, instruments and climate relevance, as well as examples of public interventions that target adaptation or mitigation activities;
 - Information on policies, programmes, priorities and information on actions and plans to mobilize finance for the implementation of mitigation and adaptation actions in developing country Parties
 - Indicative Amount of public finance on the capital markets e.g. for issuing public loans and mobilization of resources
- Enhanced information on the balance between adaptation and mitigation:
 - Information on how Parties ensure the balance between adaptation and mitigation;
 - Specific information on expected levels of adaptation finance, including information on its baseline, sources, scale and time frame, with reference to the levels of grant funding, and any subsequent steps in determining expected levels of adaptation finance;
- Enhanced information on enhancement of enabling environments:
 - Information on how support provided is best targeted at realizing the goal outlined in Article 2, paragraph 1(c), of the Paris Agreement.
 - Information on support provided for enabling environments in developing country Parties

- Transparency, comparability and clarity in the information provided on expected levels of climate finance.
- Article 4, paragraph 5 of the Paris Agreement
- Definition of climate finance
- Determination of resources as new and additional
- Time frame or agreement period