

Submission by Switzerland, 21 October 2011

3.2.2. Draft Guidelines for Biennial Update Reports from Parties not included in Annex I to the Convention

Non-paper by the facilitators dated 14.10.2011 @ 4:30 PM

Draft decision to adopt the guidelines:

Recalling, in particular, Article 4, paragraphs 1, 3, and 7, Article 10, paragraph 2 (a), and Article 12, paragraphs 1, 5 and 7, of the Convention,

Recalling also its decisions on communications from Parties not included in Annex I to the Convention (non-Annex I Parties) and, in particular, its decision 10/CP.2, 2/CP.4, 12/CP.4, 8/CP.5, 31/CP.7, 32/CP.7 and 17/CP.8,

Recalling further that, by its decision 1/CP.16 (part III.B), it had initiated a process of enhancing the reporting in national communications from non-Annex I Parties, on mitigation actions and their effects, and support received, with additional flexibility to be given to the least developed country Parties and small island developing States,

Noting, in particular, its decision 1/CP.16, part III.B, paragraph 60 (c), stating that developing countries, consistent with their capabilities and the level of support provided for reporting, should submit biennial update reports containing updates of national greenhouse gas inventories, including a national inventory report and information on mitigation actions, needs and support received,

Noting further that the biennial update reports by non-Annex I Parties will be an important part of the first review of the adequacy of the long-term global goal (decision 1/CP.16, part V), starting in 2013 and to be concluded by 2015,

Acknowledging that enhanced reporting through national communications and biennial update reports from non-Annex I Parties depends on their respective capabilities and the level of support they received,

Recognizing the important role that the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention could also play in facilitating technical advice and support for the preparation and submission of the first biennial update report,

1. *Adopts* the guidelines for the preparation of biennial update reports by Parties not included in Annex I to the Convention, annexed to this decision (hereafter the UNFCCC biennial reporting guidelines for non Annex I Parties);
2. *Decides* that Parties not included in Annex I to the Convention (non-Annex I Parties) shall submit a report biennially either as a component of their national communication or as a stand-alone biennial update report. Least Developed Countries (LDCs) and Small Island Developing States (SIDS) may submit biennial update reports at their discretion.
3. *Decides* that non-Annex I Parties, consistent with their capabilities and the level of support received, should use the guidelines mentioned in paragraph 1 above for the preparation of their first biennial update report and submit this report by 30 June 2014 or 18 months after the release of funds by **the designated financial mechanism of the convention**;
4. *Decides* that the first biennial update report submitted by non Annex I Parties shall cover, at a minimum, the calendar year 2010 emissions or the base year of their pledges, and additionally more recent years as appropriate, and that the next biennial update reports shall cover a calendar year that do not precede the submission date **by more than 3 years**;
5. *Decides* that the reporting year of the subsequent biennial update reports by non-Annex I Parties shall be determined by the Conference of the Parties at its twentieth session, taking into account the principle of differentiated timetables set by the Convention;
6. *Decides* that the UNFCCC guidelines referred to in paragraph 1 above will undergo a revision process based on the experience made after the first submission of the biennial update reports by Parties not included in Annex I to the Convention;
7. *Requests* the **designated financial mechanism of the convention** to make available support, within 12 months from this decision and on the basis of full agreed cost funding, to non-Annex I Parties preparing their

first biennial update reports.

Draft guidelines for the biennial reports (BUR) from Parties not included in Annex I of the Convention:

Reference	Submission by Switzerland	Rationale
I. Paras 1 and 2	Delete	<ul style="list-style-type: none"> Content of these paragraphs stands in the Cancún decision, to be repeated in the decision adopting the guidelines rather than in the guidelines themselves
I. A. Para 3(d)	To facilitate the presentation of information on finance, technology and the capacity-building support required [and received] for the preparation of biennial update reports	<ul style="list-style-type: none"> Report on support needed and received not only for the preparation of BUR but for all the NAMAs
I. A. Para 3(f)	Insert between paragraphs 3(a) and 3(b)	<ul style="list-style-type: none"> Paragraph 3(a) refers to decision 1/CP.16 and current paragraph 3(f) provides precision on the relevant provisions of this decision
I. A. Para 3(h)	Insert after paragraph 3(f) in its new position	<ul style="list-style-type: none"> Also related to decision 1/CP.16
I. B. Para 4(e)	Delete	<ul style="list-style-type: none"> Information to be included in the Support received section of the BUR
I. B. Para 4(f)	Delete	<ul style="list-style-type: none"> Domestic MRV will follow general guidelines to be developed under the Convention (decision 1/CP.16, paragraph 62) If information on domestic MRV is to be kept in the structure of the BUR, then guidelines for the reporting of such information should be provided (not the case in the current non-paper)
II. Para 5	Each non-Annex I Party shall, in accordance with Article 4, paragraph 1(a), and Article 12, paragraph 1(a), of the Convention, communicate biennially to the COP an updated national inventory of anthropogenic emissions by sources and removals by sinks of all GHGs not controlled by the Montreal Protocol, to the extent [of the provision of funds from developed country Parties], and its capacities permit , following the provisions in these guidelines.	<ul style="list-style-type: none"> "Provision of funds from developed country Parties" already in decision 1/CP.16, paragraph 60(c) and in Article 4, paragraph 3 of the Convention (full agreed costs for obligations under Article 12.1) "To the extent its capacity permit" already in Article 12, paragraph 1(a) of the Convention
II. Para 6	Each non-Annex I Party [shall] [should] submit inventory data for the year [according to the provision of funds] [2010] [N-X] [not more than Y years from the date of last submission]. LDCs and SIDS [should] [may] estimate their national GHG inventories for recent years at their discretion. For their first biennial update report , [Developing country Parties whose share of global emissions is less than 1% may also estimate their national GHG inventories for recent years at their discretion]	<ul style="list-style-type: none"> General obligation for all non-Annex I countries with flexibility for some of them Flexibility for the countries with less than 1% of global emissions only for the first BUR, as pilot and to ensure that the Review of the 2°C goal has sufficient inventory data from the major emitters
II. A. Title	Methodologies [Enabling environment to implement methodologies]	<ul style="list-style-type: none"> Methodologies as it stands in Annex to decision 17/CP.8 (guidelines NC for NA1)
II. A. Para 9	Non-Annex I Parties should use the latest IPCC Guidelines approved by	<ul style="list-style-type: none"> With enhanced reporting by NA1, IPCC Guidelines

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	the COP for estimating and reporting their updated national GHG inventories. Parties may also continue to use UNFCCC NAI Greenhouse Gas Inventory Software to calculate and report emissions and removals, and report key category analysis.	will be revised more often to take into account the needs expressed by these countries. The latest IPCC Guidelines will therefore be the most suitable for them
II. A. Para 15	Delete	<ul style="list-style-type: none"> The latest IPCC Guidelines should be used
II. B. Title	Reporting [Enabling environment for preparation of reporting]	<ul style="list-style-type: none"> Reporting as it stands in Annex to decision 17/CP.8 (guidelines NC for NA1)
II. A. Para 16	Non-Annex I Parties [should][are encouraged to] describe updates to procedures and arrangements undertaken to collect and archive data for the preparation of national GHG inventories, as well as efforts to make this a continuous process, including information on the role of the institutions involved.	<ul style="list-style-type: none"> More frequent reporting like BUR will need procedures, arrangements and institutions, whose evolution should be described in the BUR
II. A. Para 18	Each non-Annex I Party, shall, depending on its capacity and the significance of a gas is encouraged to also provide in its national inventory, on a gas-by-gas basis and in units of mass if these gases amount for more than X% of the national total emissions , estimates on the following greenhouse gases: perfluorocarbons (PFCs), hydrofluorocarbons (HFCs) and sulphur hexafluoride (SF6).	<ul style="list-style-type: none"> Rewording of the significance of a gas
II. A. Paras 20-22	"Should" better as "wishing to" or "are encouraged to"	<ul style="list-style-type: none">
III. Title	Mitigation actions [supported by developed country Parties]	<ul style="list-style-type: none"> All Mitigation actions should be reported in the BUR, also the domestically supported ones
III. Para 24	Non-Annex I Parties [shall][are encouraged to] provide up-to-date information on actions to mitigate climate change, by addressing anthropogenic emission by sources and removals by sinks of all GHGs not controlled by the Montreal Protocol.	<ul style="list-style-type: none"> Keep this paragraph anyway, be Option 1 or Option 2 be chosen
III. new Option	Based on national circumstances, non-Annex I Parties shall provide information in a tabular format on programmes and measures completed, newly implemented or planned since the last national communication, which contribute to mitigating climate change, such as: (a) Description of the mitigation action (objective, timeframe, coverage, status of implementation) (b) Structure of support for the mitigation action (domestic, international, offset) (c) Estimation of the effects of the mitigation action to-date (methodologies and assumptions, metrics) (d) To the extent possible, projected emissions (model used, assump-	<ul style="list-style-type: none"> Mix of paragraph 25 and Option 2

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	tions)	
IV. Para 27	Delete	<ul style="list-style-type: none"> The Registry is the tool for the matching of support needs to implement mitigation action. No duplication of this information in the BUR
IV. Para 28	Delete	<ul style="list-style-type: none"> This function will be performed by the Technology Mechanism. No duplication of this information in the BUR
V. Para 30	Non-Annex I Party should submit its biennial update report in [English] [any official UN language] .	<ul style="list-style-type: none"> BUR to be short and concise, not longer than the executive summary of the National Communication To facilitate the ICA process based on the BUR
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