

## **Eighth meeting of the Adaptation Committee Bonn, Germany, 9 to 12 September 2015**

### **Draft workplan of the Adaptation Committee after 2015**

#### **Recommended action by the Adaptation Committee**

The Adaptation Committee (AC), at its eighth meeting, agreed on its draft future workplan. It requested the secretariat to finalize the draft for inclusion into the AC's report to COP 21.

### **I. Introduction and background**

1. The Conference of the Parties (COP) at its 16<sup>th</sup> session, established the Adaptation Committee (AC) as part of the Cancun Adaptation Framework<sup>1</sup> "to promote the implementation of enhanced action on adaptation in a coherent manner under the Convention", inter alia, through the following functions:

- a. Providing technical support and guidance to the Parties;
- b. Sharing of relevant information, knowledge, experience and good practices;
- c. Promoting synergy and strengthening engagement with national, regional and international organizations, centres and networks;
- d. Providing information and recommendations, drawing on adaptation good practices, for consideration by the COP when providing guidance on means to incentivize the implementation of adaptation actions, including finance, technology and capacity-building;
- e. Considering information communicated by Parties on their monitoring and review of adaptation actions, support provided and received.

2. Pursuant to decision 2/CP.17, the AC, during its first year, developed a three-year plan for its work, which included milestones, activities, deliverables and resource requirements, in accordance with the AC's agreed functions, and employed the modalities listed in that same decision. This workplan contained activities for the period 2013–2015 and was approved by COP18.

3. In addition to its workplan, the AC agreed to the following vision. The vision was included in its initial report, which was welcomed by COP 18 (FCCC/SB/2012/3, paras. 15–19):<sup>2</sup>

*"The Adaptation Committee will raise the profile of adaptation at all levels and promote the mainstreaming of adaptation into development and the strengthening of national capacity to address adaptation. It will strive to make a difference to the way adaptation is perceived and addressed globally. The Committee will play a leadership role in adaptation, including by incentivizing adaptation action and promoting coherence in adaptation action both within and outside of the Convention. The Committee will explore and foster synergies between relevant stakeholders and sectors, including the business community and financial institutions, in order to mobilize financial resources to support adaptation. This will include drawing on the work of other bodies and institutions both within and outside of the Convention, in order to facilitate the provision of sound technical guidance to Parties. Its mission is to be an overall advisory body that raises awareness of and ambition for adaptation and promotes communication about adaptation, with the ultimate objective of facilitating the implementation of concrete adaptation action and empowering communities. The Committee will focus on concrete action in an unbureaucratic and depoliticized manner and, to this effect, will establish and maintain active dialogue with high-level stakeholders*

<sup>1</sup> FCCC/CP/2010/7/Add.1 (decision 1/CP.16, paragraph 20).

<sup>2</sup> The AC may wish to consider revising its vision once the discussion can be informed by the outcomes of a new agreement.

*and decision makers. The Committee is committed to creating a results-oriented body with clear and realistic timelines that will make a real difference on the ground.”*

4. In response to a request made at COP 18, the AC, at its fourth meeting, elaborated the work referred to in activities 7, 8, 11, 17 and 19 of its workplan. The elaboration was guided by the AC's desire to enhance coherence and synergy and to ensure that activities are not undertaken in isolation but instead build on each other as part of a larger workstream. The following three workstreams were identified:<sup>3</sup>

- A workstream on technical support and guidance to the Parties on adaptation action;
- A workstream on technical support and guidance to the Parties on means of implementation;
- A workstream on awareness-raising, outreach and sharing of information.

5. This elaboration of activities incorporated new activities on means of implementation and adjusted the timing of some others.

## **II. Scope of the AC's workplan after 2015**

6. To effectively plan and organize its work, the AC agreed to develop a second workplan for the period 2016 to 2018. At its 7<sup>th</sup> meeting the AC noted that this could take the form of a three-year workplan with concrete activities for one year and provisions for flexibility to take into account future developments.

7. The AC agreed that one of its key priorities for its next work plan is the NAP process.

8. Development of the future workplan should be informed by:

- a) The mandate and functions of the AC as decided by the COP and any subsequent requests from the COP and the SBs;
- b) The AC's vision;
- c) Results and ongoing initiatives of the AC's work during its initial three years; and
- d) Views and needs of Parties and relevant stakeholders.

9. The AC agreed to remain flexible to take on new and emerging tasks and on the timing of activities, including those resulting from future agreements and decisions under the UNFCCC, including COP21, as well as the 2016 review of the AC's progress and performance and revise the workplan as necessary.

10. The AC agreed that the future work of the AC should be ambitious, strategic, inspiring and result driven, with the AC striving to become a recognized interface between science, policy and practice.

11. The AC agreed to further strengthen its ability to enhance access to relevant information and knowledge, to promote synergies and address barriers, and to facilitate linkages between stakeholders to bring about new insights, experiences, and lessons learned.

12. In addition, the AC may wish to take into account the COP's decision<sup>4</sup> to review the progress and performance of the AC at its twenty-second session (2016), with a view to adopting an appropriate decision on the outcome of this review. The AC may consider discussing any potential steps it deems useful to support the COP in its endeavor.<sup>5</sup>

## **III. Objectives and activities for the period 2016 to 2018**

13. The AC agreed to structure its workplan around a limited number of objectives, with relevant activities leading in a step-wise approach to a set of strategic outcomes. The AC further agreed to maintain the three workstreams as outlined in para. 4 above. Table 1 contains possible objectives per each workstream, as well as overarching objectives related to the AC's overall focus on coherence.

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<sup>3</sup> Contained in document FCCC/SB/2013/2, annex II.

<sup>4</sup> 2/CP.17, para 119.

<sup>5</sup> See also footnote 6 in the draft workplan below.

**Table 1. Draft objectives per workstream**

<b>Draft objectives per workstream</b>
<b>Overarching coherence</b>
<ul style="list-style-type: none"> <li>• To promote the implementation of adaptation action under the Convention in a coherent manner, in line with the Cancun Adaptation Framework, including paragraph 12</li> <li>• To strengthen engagement of other relevant institutions, organizations, frameworks, networks and centres outside of the Convention, including those at the intergovernmental, regional, national and, through them, subnational levels, where appropriate, and relevant international agreements, with respect to their distinct mandate, tasks, goals and ways of work, in order to enhance the implementation of adaptation actions and to enhance the provision of support to developing country Parties.</li> </ul>
<b>Workstream A. Technical support and guidance to the Parties on adaptation action</b>
<ul style="list-style-type: none"> <li>• To provide guidance to the Parties on adaptation planning and implementation at national level</li> <li>• To enhance the provision of technical support to developing country Parties for adaptation action in a coherent manner</li> </ul>
<b>Workstream B. Technical support and guidance to the Parties on means of implementation</b>
<ul style="list-style-type: none"> <li>• To provide guidance on ways to enhance support, including finance, technology and capacity building, in particular related to access, institutional arrangements and enabling environments, including for the process to formulate and implement NAPs</li> </ul>
<b>Workstream C. Awareness-raising, outreach and sharing of information</b>
<ul style="list-style-type: none"> <li>• To raise awareness and policy support for adaptation, particularly the NAP process, by relevant stakeholders at all levels, in particular the national level</li> <li>• To promote the exchange of information, particularly as it relates to the most vulnerable people, sectors and regions, and strengthen the interaction between Parties and stakeholders at all levels by utilizing the convening power of the AC to organize focused events</li> </ul>

14. Based on the objectives, table 2 provides an overview of potential activities, their timing and the expected outcome. Note that the current draft workplan does not take up findings from the joint AC/NWP workshop on available tools for the use of indigenous and traditional knowledge and practices for adaptation, and needs of local and indigenous communities, as further action on this matter has been mandated, through the SBSTA, to the NWP.

15. The AC will furthermore remain flexible to take on new and emerging tasks, including those resulting from future agreements and decisions under the UNFCCC.

**Table 2. Draft rolling workplan of the Adaptation Committee for 2016 to 2018**

Objectives		Activities				Outcomes
		2016: First half	2016: Second half	2017	2018	
<b>Overarching coherence</b>						
Strategic outcome: Adaptation initiatives are delivered effectively and efficiently						
O1	To promote the implementation of adaptation action under the Convention in a coherent manner, in line with the Cancun Adaptation Framework, including paragraph 12	Update map of mandates, work plans and/or decisions with adaptation relevance under the Convention, and compare and analyze to consider what actions may need to be undertaken by the AC	AR to the COP, through the SBs	Update map of mandates	Update map of mandates	Duplication of activities is reduced, gaps have been addressed and synergies have been strengthened.
		Invite representatives of the LEG, NWP, SCF, GCF, TEC, CTCN, CGE, the Excom of the WIM and the Durban Forum on CB to discuss a clear division of work on common issues and present a report on these consultations at AC-9	Include results in the AC's annual report	AR to the COP, through the SBs	AR to the COP, through the SBs	
		Members to represent the AC externally, where relevant, and to provide the AC with reports as appropriate				
O2	To strengthen engagement of other relevant institutions, organizations, frameworks, networks and centres outside of the Convention, including those at the intergovernmental, regional, national and, through them, subnational levels, where appropriate, and relevant international agreements, with respect to their distinct mandate,	Strategic and 'upon request' collaboration, including through submissions, workshops or side events in cooperation with interested organizations, including, but not limited to, UN-organizations and Parties		Documentation of joint activities to be included in the annual report		Coherence has been enhanced between adaptation action under the Convention and with other entities and processes

Objectives		Activities				Outcomes
		2016: First half	2016: Second half	2017	2018	
	tasks, goals and ways of work, in order to enhance the implementation of adaptation actions and to enhance the provision of support to developing country Parties.					
		Consult, including through a side event at SB44 and through targeted outreach, with national, regional and international organizations, centres and networks on collaboration in the area of provision of technical support, building on work completed through the regional assessment performed during WP1, and drawing on all work streams of the AC work	Develop terms of reference for a partnership platform with national, regional and international organizations, centres and networks, ensuring regional balance	Launch partnership platform with national, regional and international organizations, centres and networks	Building on the partnership platform, consider ways to strengthen the institutional and technical capacity of national training and research institutions, as well as regional centres and networks, for scaled up support to countries	Provision of technical support for adaptation to the Parties is increased through strengthened engagement with national, regional and international organizations, centres and networks
<b>Workstream A. Technical support and guidance to the Parties on adaptation action</b>						
Strategic outcome: The Parties have support and guidance to undertake adaptation action, including as it relates to the process to formulate and implement NAPs						
A1	To provide guidance to the Parties on adaptation planning and implementation at national level	Identify follow-up activities on livelihoods and economic diversification <sup>6</sup>	Finalize the technical paper on long-term adaptation planning.	Report on various approaches to adaptation, such as CBA and EBA taking into account livelihoods and economic diversification		Parties have guidance for adaptation planning and implementation, including on various approaches to adaptation
		NAP task force to develop a new workplan, that is based on and				

<sup>6</sup> To be decided following the AC's consideration of the expert meeting report at AC9.

Objectives		Activities				Outcomes
		2016: First half	2016: Second half	2017	2018	
		contributes to, the AC workplan. - Develop an inventory of ongoing M&E work, including by the LEG, GEF, GIZ as well as existing platforms fostering knowledge exchange on adaptation M&E, including PROVIA	Based on the analysis of the inventory/synthesis document, agree on additional work on M&E systems and impact evaluations, consistent with the outcome of COP 21	Convene a meeting to exchange views on national adaptation goals/indicators and how they relate to indicators/goals for sustainable development (SDGs) and for DRR in the context of the Sendai Framework  Synthesize the elements of the 2016 SCF Biennial assessment of climate finance	Explore ways to enhance the M&E of support provided and received for adaptation	Parties are in a position to better use M&E as a means to monitor, evaluate and learn from actions taken and support provided and received for national adaptation planning and implementation
A2	To enhance the provision of technical support to developing country Parties for adaptation action in a coherent manner	Continue to consider further modalities for supporting developing country Parties in their adaptation actions				
<b>Workstream B. Technical support and guidance to the Parties on means of implementation</b>						
Strategic outcome: Parties have the financial, technical and capacity-building means to enhance the implementation of adaptation action						

Objectives		Activities				Outcomes
		2016: First half	2016: Second half	2017	2018	
B1	To provide guidance on ways to enhance support, including finance, technology and capacity building, in particular related to access, institutional arrangements and enabling environments, including for the process to formulate and implement NAPs	To coordinate with, and provide inputs to, the SCF, the TEC and CTCN, as well as the financial entities under the Convention and the Kyoto Protocol, when relevant and as requested, including identifying next steps on technology and capacity-building in the workplan			Overview of approaches and current state of knowledge of climate change risks to supply chains, to inform development and implementation of risks sharing approaches	Coherence and collaboration across Convention entities regarding means of implementation
		To engage continuously with the GCF to understand its policies and programmatic priorities as they relate to adaptation and as they evolve	Develop an information paper, in collaboration with the LEG, SCF and the GCF, on experiences of countries in accessing the GCF readiness programme in supporting adaptation, including for the process to formulate and implement NAPs			Access to the GCF for adaptation is enhanced
		Collaborating with the GEF, the GCF, the Adaptation Fund, UN agencies, relevant multilateral and bilateral organizations and NGOs supporting the process to formulate and implement NAPs to enhance communication, awareness, coordination and complementarity of donors and funds;		Explore remaining barriers and challenges for accessing adaptation resources and preparing recommendations for the		The Parties receive broad guidance on accessing funding for adaptation action, complementing guidance provided by specific funds;

Objectives		Activities				Outcomes
		2016: First half	2016: Second half	2017	2018	
				different financial instruments of the Convention		Supporting the development of national climate change adaptation financing frameworks
			<p>Identify and collect lessons learned and good practices from national implementing entities of funds, programmes/entities and initiatives,<sup>7</sup> on the necessary enabling environments, institutional arrangements and governance for adaptation</p> <p>Organize a workshop for engagement of relevant stakeholders, experts on NIEs, NDAs etc. to advance understanding and overcoming challenges related to the establishment of NIEs as well as accessing the GCF</p>	<p>Prepare an information paper, in collaboration with SCF, on different national institutional frameworks for adaptation finance, and where available long-term financial strategies, including identifying good practices and lessons learned, highlighting strengths and limitations and providing examples of application</p>		

<sup>7</sup> Among others the Adaptation Fund, the GEF direct access pilot, the PPCR, GIZ's readiness program, USAID's ADAPT Asia Pacific.



Objectives		Activities				Outcomes
		2016: First half	2016: Second half	2017	2018	
			readiness programme for adaptation			
			Identify the authoritative actors from the private sector, including a mapping of their influence and , and identify next steps	Convene a meeting to foster greater contribution of the private sector to the process to formulate and implement NAPs, including examples of private sector involvement to date in NAPs		Increased engagement of the private sector, including leverage options in relation to public sector funding.
<b>Workstream C. Awareness-raising, outreach and sharing of information</b>						
Strategic outcome: Parties are aware of the urgency of adaptation, to be able to react in a timely and adequate manner on the threats and changes coming from global climate change						
C1	To raise awareness and policy support for adaptation, particularly the NAP process, by relevant stakeholders at all levels, in particular the national level	Decide on a topic for the 2016 thematic report  Develop an information product on livelihoods and economic diversification	Brochure on the state of adaptation	TR	TR	Awareness on targeted topic has been raised through shared information
C2	To promote the exchange of information, particularly as it relates to the most vulnerable people, sectors and regions, and strengthen the interaction between Parties and stakeholders at all levels by utilizing	Organize the 2016 Adaptation Forum in conjunction with the 2016 Adaptation Futures Conference		Adaptation Forum with representatives from UN agencies, relevant multilateral and bilateral organizations	AF	The Adaptation Forum serves as an action-oriented platform for advancing adaptation

Objectives		Activities				Outcomes
		2016: First half	2016: Second half	2017	2018	
	the convening power of the AC to organize focused events			and NGOs supporting the NAP process.		

**IV. Modalities of work and budget considerations**

16. The AC may wish to discuss if it has maximized the use of the modalities it has at its disposition. These modalities, as agreed by the COP, at its 17<sup>th</sup> session, are:

- Workshops and meetings
- Expert groups
- Compilation, review, synthesis, analysis reports of information, knowledge, experience and good practice
- Channels for sharing information, knowledge and expertise
- Coordination and linkages with all relevant bodies, programmes, institutions and networks, within and outside the Convention

17. In the process of further strengthening its capacity, enhancing its effectiveness, and enriching the content of its work, the AC might explore if the current working groups and task forces established by the AC should be continued in their current setting and with the same mandate.

18. The AC noted that the SBI budget proposal to the COP reflects a 0 per cent budget growth. Support for the activities of the AC will therefore remain at the same level as during the current biennium. Furthermore, Parties agreed that only 2 regular meetings of the constituted bodies under the Convention will be included in the core budget per biennium. All other activities will have to be subject to supplementary funding.

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