

**Eleventh meeting of the Adaptation Committee
Bonn, Germany, 7-10 March 2017**

**Relevant adaptation-related outcomes from the 2016 Climate Change Conference
held in Marrakech, Morocco**

Recommended action by the Adaptation Committee

The Adaptation Committee (AC), at its 11th meeting, will be invited take note of the information provided in this report and draw from it, as appropriate, for relevant subsequent agenda items.

1. Introduction and scope

1. As part of its efforts to promote the implementation of adaptation action under the Convention in a coherent manner in line with the Cancun Adaptation Framework and the Paris Agreement, the Adaptation Committee (AC) requested the secretariat to prepare an updated map of mandates, workplans and/or decisions with adaptation relevance under the Convention after each meeting of the Conference of the Parties.
2. This paper is intended to provide an overview of new and relevant developments on adaptation under the Convention. This includes those that require action from the AC and those that the AC may wish to monitor. The paper is broadly structured along the AC's workstreams.

2. Background and relevant measures taken in the area of overarching coherence and collaboration

3. In the context reviewing the progress and performance of the AC at COP 23, Parties noted with appreciation the ongoing and planned collaboration between the AC and other constituted bodies and institutional arrangements under the Convention, including the Least Developed Countries Expert Group (LEG), the Nairobi work programme on impacts, vulnerability and adaptation to climate change (NWP), the Technology Executive Committee (TEC), the Standing Committee on Finance (SCF), the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts (ExCom), the Adaptation Fund, the Global Environment Facility, the Green Climate Fund (GCF), the Climate Technology Centre and Network (CTCN) and the Paris Committee on Capacity-building (PCCB), in order to enhance the process to formulate and implement national adaptation plans (NAPs) and actions, the implementation of the relevant mandates contained in decision 1/CP.21 and access by developing country Parties to adaptation finance, in particular from the GCF, as appropriate.¹

¹ Decision 5/CP.22, para. 3.

4. This collaboration has developed over time in response to a series of mandates from Parties and invitations from other institutional arrangements under the Convention seeking to enhance coherence and reduce duplication of similar tasks. The AC has established solid linkages, including through the following working groups, which were initiated either by the AC or by other constituted bodies. The groups are in fully operational and producing concrete outcomes:

- a) The AC's task force on the formulation and implementation of national adaptation plans (NAP taskforce);
- b) The AC's extended working group on the technical examination process on adaptation (TEP-A group);
- c) The AC/LEG working group on joint mandates from decision 1/CP.21 ("Paris mandates");
- d) The LEG's support group to NAP Central;
- e) The LEG's NAP Expo Advisory Group;
- f) The ExCom's Task Force on Displacement;
- g) The TEC's taskforce on adaptation;
- h) The CTCN Advisory Board;

5. The AC stands ready to nominate a member to the PCCB once an invitation is issued (see para. 30 below).

3. Recent developments and main relevant elements from workplans of other adaptation-related institutional arrangements under the Convention

3.1. Overarching coherence

6. The work of the AC is closely linked to the work of the LEG. Due to the nature of the two bodies' mandates, their continued collaboration is important and in place. Coherence is observed by active participation in working groups, joint meetings, and overall a constant flow of information between members of the two bodies. It has further been useful for members of the AC and the LEG to participate in each other's meetings and workshops.

7. Similar to the AC, the LEG has also been mandated to continue to **engage a wide range of organizations**, regional centres and networks on the provision of technical guidance and support on adaptation.² While the LEG's engagement is specifically tailored to support the LDCs, the two bodies could nevertheless benefit from each other's networks of support.

8. With regard to the COP's consideration of **gender**, the COP requested the secretariat to prepare a technical paper identifying entry points for integrating gender considerations in workstreams under the UNFCCC process for consideration by SBI 48 (April–May 2018). AC and other constituted bodies are requested to include, from 2018 on, in their regular reports information on progress made towards integrating a gender perspective in its processes. It invited Parties, members of constituted bodies, United Nations organizations, observers and other stakeholders to consult through meetings, prior to SB 46, in order to provide inputs to the formulation of the gender action plan.³

² The workplan of the LEG is available on <unfccc.int/files/adaptation/application/pdf/detailed_leg_wp_2016-2017_12april.pdf>.

³ Decision 21/CP.22, paras. 13, 14, 28.

3.2. Progress made on the Paris rule book

9. The so-called “Paris rule book” refers to a range of elements that need to be agreed upon in order to operationalize the Paris Agreement. The AC is directly or indirectly involved in several of these. For example, the AC provides direct inputs to the global stocktake (GST), including by developing modalities to recognize the adaptation efforts of developing countries; or for reviewing the adequacy and effectiveness of adaptation and support.

10. The Paris Agreement links the **adaptation communications** with its other elements, such as the GST, and with other arrangements under the Convention, such as NAPs, nationally determined contributions (NDCs), and national communications. The informal note prepared by the co-facilitators in Marrakech indicate that there is interest to clarify the relationship between the adaptation communications and: the vehicle through which it is submitted; existing guidance for communicating information on adaptation; the transparency framework; and the global stocktake (GST).⁴

11. The informal note by the Co-Facilitators on the negotiations on the GST summarizes the issues discussed on this item so far. This includes linkages and context, sources of input, modalities, and outcome/outputs. Sources of input that could inform the GST include outputs from the work of Parties using the modalities of recognizing adaptation efforts (recommended by AC and LEG) and the reports of the AC. In the context of means of implementation, the GST could also draw on relevant sections of AC reports.⁵

12. The AC may wish to monitor the negotiations under the APA, in particular regarding the items on adaptation communications and the GST, and clarify how new development may affect AC activities, including those relating to NAPs and NDCs.

3.3. Technical Examination Process on Adaptation

13. During the discussions on the report and review of the AC, Parties welcomed the 2016 technical expert meetings (TEMs) on adaptation, the 2016 technical paper as well as the establishment of the AC’s working group on the technical examination process on adaptation (TEP-A).⁶ Parties requested the AC, in conducting the TEP-A, to accelerate the preparations for the 2017 TEMs, including the selection of topics.

14. As part of the gender decision, Parties and the secretariat were encouraged to take into consideration a gender perspective in the organization of TEMs.

15. Furthermore, Parties welcomed the Marrakesh Partnership for Climate Action, under which the Champions are seeking to provide guidance for the organization of the TEMs in early 2017.⁷ The AC has in the meantime agreed on the topic for the 2017 TEP-A and informed the Chairs of the subsidiary bodies and the Champions accordingly.⁸

3.4. Technical support and guidance on adaptation action

16. **National adaptation plans** were a central point of discussion under various agenda items. In its consultations on matters relating to the LDCs, the SBI noted the gaps and needs in relation to the LDC Parties advancing their work on the formulation and implementation of NAPs and emerging needs arising from the Paris Agreement, as identified in the report on the 30th meeting of the LEG. The SBI

⁴ <unfccc.int/files/meetings/marrakech_nov_2016/in-session/application/pdf/apa_item_4_informal_summary_note_final_version_14112016_1920.pdf>.

⁵ <unfccc.int/files/meetings/marrakech_nov_2016/in-session/application/pdf/apa_6_informal_note.pdf>.

⁶ Decision 5/CP.22, paras 5-7.

⁷ Decision 1/CP.22, para. 19.

⁸ The topic for 2017 is “Integrating climate change adaptation with the Sustainable Development Goals and the Sendai Framework on Disaster Risk Reduction”. See document AC/2017/5.

requested the LEG to explore how those gaps and needs can be addressed through the planned regional training workshops on NAPs.⁹

17. With regard to funding for NAPs, the COP requested the AC and the LEG, inter alia, to continue their respective engagement with the GCF and to continue to include information on that engagement, including on ways to enhance the process to access support for the formulation and implementation of NAPs, in their reports for consideration by SBI 47 (November 2017) with a view to making recommendations to COP 23 (November 2017), as appropriate.¹⁰

18. In the context of NAPs, the AC may further wish to recall a previous decision that will require action in 2018: The COP requested SBI 48 (April/May 2018) to assess progress made on NAPs with a view to making recommendations to the COP. This will be done in the following steps:

- a) Submissions from Parties and organizations by 1 February 2018;
- b) Parties to provide information, guided by a questionnaire, on an ongoing basis through NAP Central;
- c) Synthesis report on the above by the secretariat;
- d) The LEG, in collaboration with the AC, to organize a meeting of Party experts, at which the report referred to in (c) above would be considered, with a view to providing a summary of progress made in the process to formulate and implement NAPs;
- e) The LEG, in collaboration with the AC and with the support of the secretariat, to prepare a report on the meeting for consideration by the SBI 48;¹¹

19. With regard to **monitoring and evaluation** of progress, effectiveness, gaps and adequacy in the process to formulate and implement NAPs, the LEG's detailed workplan for 2016/17¹² foresees a range of relevant outputs that may serve as useful inputs to the AC's further work on M&E:

- a) Further develop and test the tool for monitoring and evaluation of progress, effectiveness and gaps in addressing adaptation in the LDCs (PEG M&E tool) to capture the breadth of assessments related to the process to formulate and implement NAPs;
- b) A technical paper on methods for processing and using the information collected through the online questionnaire on NAP Central and related sources to assess progress, effectiveness and gaps, using the PEG M&E tool and other relevant tools, with the support of the NAP technical working group;
- c) A technical paper on monitoring and assessing all the guiding principles of the process to formulate and implement NAPs, taking into account new elements arising from the Paris Agreement, using the PEG M&E tool, with the support of the NAP technical working group.

20. In the context of the **Warsaw International Mechanism on Loss and Damage** the AC was invited, as appropriate, as it undertakes its work, to continue or to initiate the integration of efforts to avert, minimize and address loss and damage associated with the adverse effects of climate change in particularly vulnerable developing countries, vulnerable populations and the ecosystems that they depend on.¹³ Such efforts are implicit to the work of the AC and are taken into account in ongoing work, such as the report on various approaches to adaptation and the TEP, both being discussed at AC 11.

⁹ FCCC/SBI/2016/20 para. 9.

¹⁰ Decision 6/CP.22, para. 7.

¹¹ Decision 4/CP.21, para. 12.

¹² <unfccc.int/files/adaptation/application/pdf/detailed_leg_wp_2016-2017_12april.pdf>.

¹³ 3/CP.22, para. 8.

3.5. Technical support and guidance on means of implementation

3.5.1. Finance

21. In the context of **long-term finance**¹⁴ the COP urged developed country Parties to continue their efforts to channel a substantial share of public climate funds to adaptation activities and to strive to achieve a greater balance between finance for mitigation and for adaptation, recognizing the importance of adaptation finance. It recognized that country-driven processes for the assessment of adaptation needs are fundamental in scaling up adaptation finance and noted that NDCs and adaptation communications could be good opportunities to support scaling up of adaptation finance. The COP also noted the call to enhance the role of the private sector in adaptation finance, the challenge of accessing adaptation finance particularly for SIDS and LDCs and the call for enhanced tracking of adaptation flows and strengthening of national public financing management systems. These conclusions are fully in line with the findings and work of the AC, its NAP taskforce, and the LEG.

22. While considering the **report of the GCF**,¹⁵ the COP welcomed the GCF's modalities for enabling support for the preparation and implementation of NDCs and adaptation-related elements of the Paris Agreement. It further encouraged the Board to seek opportunities to engage with the private sector, including local actors, on adaptation action at the national, regional and international levels. The COP invited national designated authorities and focal points, where appropriate, to prepare adaptation and mitigation proposals of increasing quality and impact potential. Information from the GCF on progress made in this respect may be relevant for the AC when carrying out further work on engaging the private sector, and when planning its workshop to advance the understanding and overcome challenges relating to the establishment of National Implementing Entities and accessing the readiness programme for adaptation of the GCF in the second half of 2017.

23. In the same decision the COP welcomed progress made by the Board of the GCF on the relationship with the thematic bodies of the Convention, including the hosting of **the first annual event** with these bodies. The AC attended this meeting and welcomed this initiative. It may want to make use of future meetings to further optimize the information flow on adaptation finance.

24. In this context the AC may wish to recall that the LEG has included in its workplan several activities regarding engaging with the GCF secretariat for accessing funding for the process to formulate and implement NAPs.¹⁶ For example, the LEG will develop training and outreach materials, with input from the GCF secretariat and GCF implementing partners, on accessing funding from the GCF for NAPs, and use the materials in the NAP training workshops. This information could be included into a periodic finance bulletin, a discussion on which is on the agenda for AC11.

25. With regard to the **sixth review of the Financial Mechanism (FM)**, which is to be finalized by COP 23, the COP invited Parties, observers and other interested international organizations, stakeholders and non-governmental organizations involved in the activities of the operating entities of the FM, to submit, by 30 April 2017, their views on the review, based on the guidelines contained in the annex to decision 12/CP.22, for consideration by the SCF in preparing its expert input to the review.¹⁷

26. Relevant activities from the workplan of the **SCF** for 2017¹⁸ include the organization of a forum for the communication and continued exchange of information among bodies and entities dealing with climate change finance in order to promote linkages and coherence; and providing to the COP draft guidance to the operating entities of the FM of the Convention, with a view to improving the consistency and practicality of such guidance, taking into account the annual reports of the operating

¹⁴ Decision 7/CP.22, paras. 5, 7.

¹⁵ Decision 10/CP.22, paras. 2, 11, 12.

¹⁶ <unfccc.int/files/adaptation/application/pdf/detailed_leg_wp_2016-2017_12april.pdf>.

¹⁷ Decision 12/CP.22, paras. 2-3.

¹⁸ The workplan is contained in annex VIII of document FCCC/CP.2016/8 and available at <unfccc.int/resource/docs/2016/cop22/eng/08.pdf#page=68>.

entities and relevant submissions from Parties. The AC may want to note that theme, date and venue of the forum have not been agreed at the time of compiling this report, and stand ready for preparing its annual input to the SCF's draft guidance to the operating entities of the FM in the second half of 2017.

3.5.2. Technology

27. With regard to the **Technology Mechanism**, the COP welcomed the workplan of the TEC for 2016-2018 including in the area of adaptation.¹⁹ It noted that strengthening linkages between the technology needs assessments, NDCs and the process to formulate and implement NAPs would enhance their effectiveness and responsiveness towards implementation in countries.²⁰ Since COP 22 TEC has advanced this work by sharing a draft outline of a paper on this matter for feedback with the AC's NAP taskforce, with the intention to discuss the draft paper at TEC 14 at the end of March 2017 and finalize the paper by the 3rd quarter of the year. The paper will then be turned into a policy brief to be presented at COP 23.

28. The TEC's workplan further foresees to continue work on South-South collaboration (SSC) and triangular collaboration (TrC) on technologies for adaptation, including through the establishment of an ad-hoc expert panel, if appropriate, to provide the TEC with expert advice on how to advance SSC and TrC on technologies for adaptation and/or mitigation. The AC may wish to provide input to these activities through its nominees to the TEC's working group on adaptation.

3.5.3. Capacity Building

29. The objective of the PCCB is to address current and emerging gaps and needs in implementing capacity-building in developing country Parties and further enhance capacity-building efforts, including with regard to coherence and coordination in capacity-building activities under the Convention. The PCCB will hold its first meeting in conjunction with the 2017 May sessions of the subsidiary bodies. The PCCB's theme for the year 2017 is "Capacity-building activities for the implementation of NDCs in the context of the Paris Agreement".

30. The AC, along with the LEG, TEC, SCF, GCF and the GEF are invited to participate in the first meeting of the PCCB. The AC will be invited to identify a representative to collaborate on specific activities related to the work of the PCCB for a term of one year.²¹

3.6. Awareness-raising, outreach and sharing of information

31. The NAP Expo, organized by the LEG, may be a forum under the Convention that the AC may wish to further explore in its workstream on awareness-raising, outreach and sharing of information, and through its nominated members to the LEG's NAP Expo advisory group. During the consultations on NAPs, Parties welcomed progress made by all bodies and contributors to the process and noted the emerging needs for technical guidance and support for the implementation of national adaptation plans. They welcomed the successful conduct of the **NAP Expo** in 2016 and the active participation therein of developing countries and other relevant stakeholders.²²

32. In its workplan,²³ the LEG foresees to continue convening NAP Expo events annually, with the support of the **NAP Expo advisory group** (in which members of the AC are active), and in collaboration with relevant bodies and organizations. It further plans to promote, and where appropriate conduct, **regional NAP Expos** in collaboration with NAP regional training workshops and other relevant events.

¹⁹ The workplan is available at <unfccc.int/ttclear/misc_/StaticFiles/gnwoerk_static/TEC_column_M/0fb1009f2d3b4f43b7ebcb16bbb60c8d/dcdf79ce412d46159ba7311252c9be18.pdf>.

²⁰ Decision 15/CP.22, para. 9.

²¹ Decision 2/CP.22, annex. and FCCC/SBI/2016/20, paras. 91-93.

²² 6/CP.22, para. 3.

²³ <unfccc.int/files/adaptation/application/pdf/detailed_leg_wp_2016-2017_12april.pdf>.

4. Next steps

33. The AC may wish to take note of the information provided in this report and draw from it, as appropriate, for relevant subsequent agenda items.
