

**Tenth meeting of the Adaptation Committee
Bonn, Germany, 13-16 September 2016**

Workshop to advance the understanding and overcome challenges relating to the establishment of national implementing entities and accessing the Green Climate Fund readiness programme for adaptation

Concept note

Recommended action by the Adaptation Committee

The Adaptation Committee (AC), at its 10th meeting, will be invited to consider the concept note and agree on next steps in the organization of the workshop.

1. Introduction

1. In accordance with its revised 2016-2018 workplan, the Adaptation Committee (AC) agreed to, building on the long-term finance in-session workshop¹ and the high-level ministerial, identify and collect lessons learned and good practices from national implementing entities of funds, programmes/entities and initiatives, on the necessary enabling environments, institutional arrangements and governance for adaptation.
2. Following the identification and collection of lessons learned and good practices, the AC agreed to organize a workshop in 2017 for the engagement of relevant stakeholders, including experts of National Implementing Entities (NIEs) and National Designated Authorities (NDA), to advance understanding and overcome challenges relating to the establishment of NIEs and accessing the Green Climate Fund (GCF) readiness programme for adaptation.
3. The decision adopting the Paris Agreement, requests the GCF to expedite support for the least developed countries (LDCs) and other developing country Parties for the formulation of national adaptation plans (NAPs), consistent with decisions 1/CP.16 and 5/CP.17, and for the subsequent implementation of policies, projects and programmes identified by them.²
4. In response to the COP request, the GCF in June 2016 agreed in decision B.13/09 to:³
 - a) Invite NDAs and focal points to collaborate with readiness delivery partners and accredited entities, as appropriate, in order to submit requests for support to formulate their respective NAPs and/or other adaptation planning processes;
 - b) Also invite accredited entities to collaborate with respective developing countries in preparing project and programme concept notes, funding proposals, and Project Preparation Facility requests, in order to implement adaptation actions identified in NAPs and/or other adaptation planning processes, as appropriate;

¹ Information on the workshop is available at <unfccc.int/9518>.

² Decision 1/CP.21, para 46.

³ <www.greenclimate.fund/documents/20182/226888/GCF_B.13_32_Rev.01_-_Decisions_of_the_Board__thirteenth_meeting_of_the_Board__28-30_June_2016.pdf/c93a0291-28c1-4bfc-bc22-cf4c590c3c83>.

- c) Decide that, given the urgent need to support and expedite the formulation and implementation of NAPs and other adaptation planning processes, the Executive Director can approve up to USD 3 million per country through the GCF Readiness and Preparatory Support Programme modalities, in order to support the formulation of NAPs and/or other national adaptation planning processes;
- d) Also decide that support for the formulation of NAPs or other adaptation planning processes should be established as a separate activity area of the Readiness and Preparatory Support Programme, specifically for the formulation of NAPs and/or other national adaptation planning processes, and that funding for this new activity area is additional to the existing USD 1 million cap per country per year under the Readiness and Preparatory Support Programme;
- e) Request the (GCF) Secretariat to continue to engage with the Adaptation Committee and the Least Developed Countries Expert Group (LEG) in improving access to financial support for the process to formulate and implement NAPs.

2. Scope of the workshop

5. In line with the AC's workplan and recent developments under the GCF, the AC may wish the workshop to address the following two aspects:
 - a) Establishment of NIEs;
 - b) Accessing the GCF Readiness and Preparatory Support Programme, in particular the new activity area "Support for the formulation of NAPs and/or other national adaptation planning processes".
6. The workshop could see the participation of accredited NIEs of the Adaptation Fund and accredited national, sub-national and regional implementing entities and intermediaries of the GCF, which could be invited to share their experiences. The workshop could also invite GCF NDAs and draw on the expertise of other relevant organizations, agencies and experts.
7. To enhance visibility and participation, the AC may consider organizing the workshop in conjunction with another adaptation finance related event, for example one being organized by the GCF.

3. Preparation

8. The preparation of the workshop may be informed by a background note developed by the secretariat with input from the GCF and Adaptation Fund secretariats as well as other relevant bodies, organizations and agencies, as appropriate, containing:
 - a) Challenges, lessons learned and good practices from NIEs of funds, programmes/entities and initiatives, on the necessary enabling environments, institutional arrangements and governance for adaptation;
 - b) Challenges, lessons learned and good practices related to accessing the GCF Readiness and Preparatory Support Programme;
 - c) Possible policy questions and agenda for the workshop.
9. The note will draw on relevant information from:
 - a) The long-term finance in-session workshop and the high-level ministerial;
 - b) The work of the NAP TF on synthesizing information from relevant bodies, organizations and agencies, including the LEG, the NAP Global Support Programmes (NAP GSPs), the United Nations Development Programme (UNDP), the NAP Global Network, and the GCF secretariat and Adaptation Fund secretariats.
 - c) The paper on experiences of countries in accessing the readiness programme, including for the process to formulate and implement NAPs.
 - d) Any other relevant sources.
10. In addition to the background note, the secretariat could be asked to update this concept note, providing recommendations for the timing and location of the workshop and other relevant details.

11. Following consideration of the background and concept notes at AC 11, the AC may wish to finalize the details of the workshop, including policy questions to be addressed, agenda, presenters and participants.

4. Next steps

12. The AC may wish to consider:

- a) Assigning AC members to provide input and advice to the AC and the secretariat on the preparation of the workshop;
 - b) The scope of the background note and request the secretariat to prepare it and an updated concept note for consideration at AC11.
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