

# Provision of Support

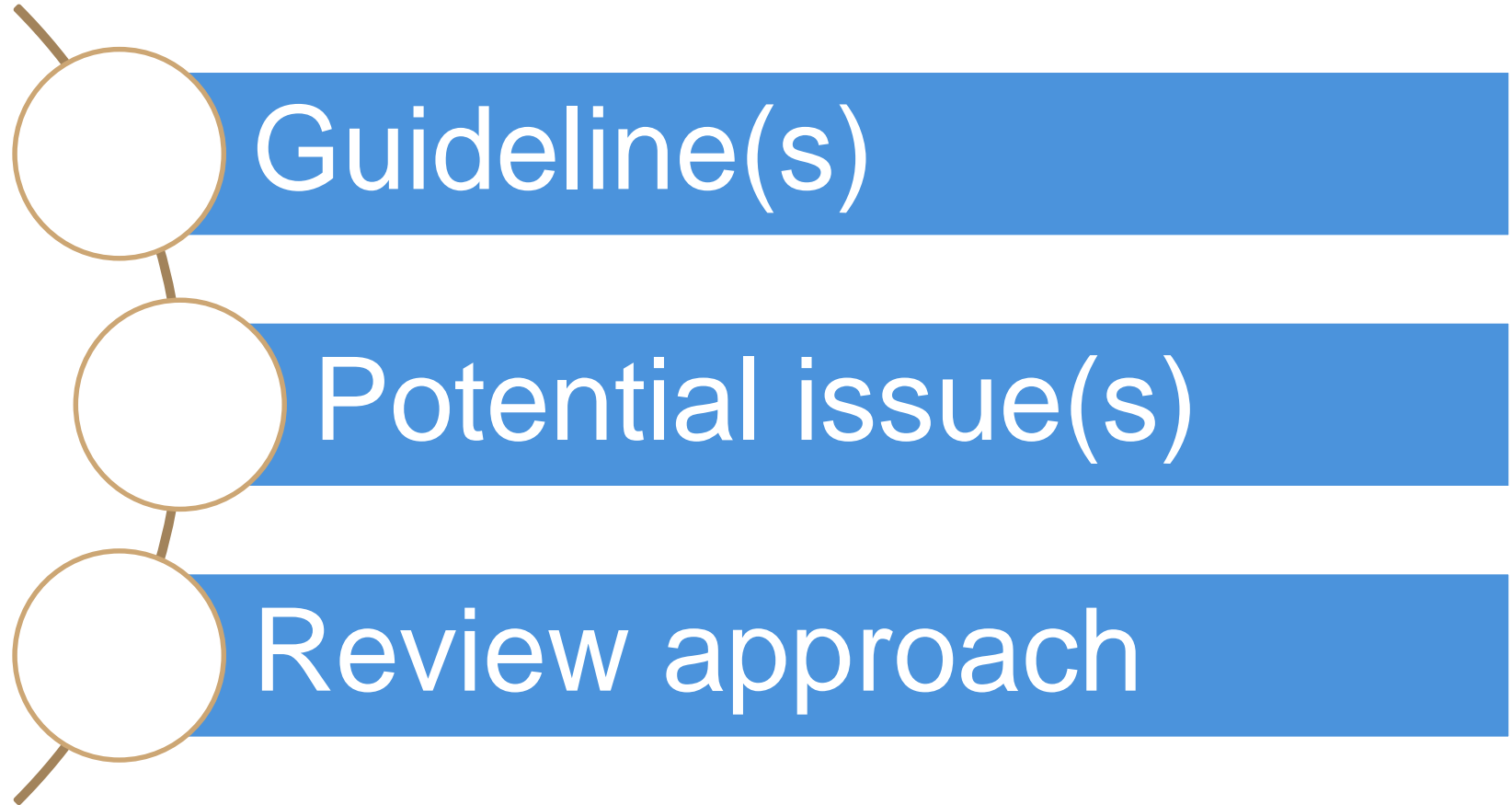
Finance, technology, and capacity-building

3<sup>rd</sup> BRs and NCs Lead Reviewers Meeting



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Bonn, 3-4 March 2016



## Financial Support

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**Guideline**: The BR and NC reporting guidelines stipulate that non-Annex II Parties do not have an obligation to report on the provision on financial, technological and capacity-building support to developing country Parties.

**Potential Issue**: How to address the information on financial support provided by Parties with economies in transition and non-Annex II Parties.

**Review Approach**: The ERT should review and note the information provided by the non-Annex II Party on the provision of financial support. The ERT should not provide a recommendation or an encouragement as this information was provided on a voluntary basis. The ERT can commend the Party for reporting this information and suggest that the Party continue reporting in its subsequent submissions.



## Financial Support

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**Guideline**: It is a mandatory reporting requirement for Parties to fill out the BR CTF tables or provide explanations for the missing data, including financial tables 7, 7a, and 7b. This includes the provision for all Parties to provide financial information in the BR CTF in the same currency (USD), which ensures the comparability and the transparency of the information provided.

**Potential Issue**: The Party does not provide financial information in the BR CTF tables in USD (only in domestic currency), nor a reason it did not do so.

**Review Approach**: The ERT should include a “recommendation” that the Party provide the requested information in the BR CTF in USD or a duly substantiated explanation for the observed gaps/inconsistencies in the next BR/CTF. While seeking to fill the reporting gap, the ERT should not convert the data reported in the BR in a Party’s national currency to USD using publically available exchange rates. The ERT should rather inform the Party on where such information is available and see this as a capacity building/knowledge sharing opportunity.

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## Financial Support

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**Guideline**: According to decision 15/CMP.1, “any Party included in Annex I that has provided funding for the Adaptation Fund <...> shall report on its financial contributions to this fund”.

**Potential Issue**: If a KP Party does not state whether or not it has provided funding for the Adaptation fund, the ERT cannot assess from the BR if they did not contribute or they just failed to report that they have contributed.

**Review Approach**: If a KP Party has made a contribution to the Adaptation Fund, it shall report on that in its NC. If a Party did not report information on such a contribution, the ERT should reflect this in the review report and provide a relevant recommendation.

If a KP Party has not made any contribution to the Adaptation Fund, it cannot be expected to report on it. The ERT **should not** then provide a recommendation or encouragement on this issue.



## Technology Transfer

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**Guideline**: The NC reporting guidelines stipulate that “Parties **shall, where feasible**, report activities related to technology transfer, including success and failure stories, using table 6”. The BR reporting guidelines stipulate that “Parties **may** also provide information on success and failure stories”.

**Potential Issue**: Some ERTs found that information on success and failure stories was not provided at all, or was provided only in textual format, without table 6 of the NC reporting guidelines. Some Parties reported in a qualitative way on factors that contributed to a particular technology transfer project being successful.

**Review Approach**: The ERT should consider that the requirement to report success and failure stories has been fulfilled when the Party has clearly highlighted in the text and/or the relevant tables the success/failure story(ies) related to **at least one project**. If a Party, in its NC, has provided substantive information in textual format, but not in table 6, the ERT should recommend that the Party improve the transparency of the reported information by filling out table 6 in its next NC. If a Party, in its BR, did not report on success and failure stories, then the ERT should provide an encouragement.



## Financial Support

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**Guideline**: The BR reporting guidelines state that: “Each Annex II Party shall describe, **to the extent possible**, how it seeks to ensure that the resources it provides **effectively** address the needs of non-Annex I Parties with regard to climate change adaptation and mitigation”.

**Potential Issue**: The majority of the Annex II Parties have reported on the **efforts** they make to ensure that the financial resources provided do take the needs of NAI Parties into consideration. However, most, if not all, Parties have not (1) reported on how the financial resources provided “**effectively**” address these needs, or (2) explained why providing this information was not “**possible**”.

**Review Approach**: If the Party has neither reported the information nor provided explanations for not reporting or partially reporting, then this should lead to a recommendation by the ERT that reflects the language of the reporting requirement (e.g. "The ERT recommends that..., to the extent possible").



## Capacity Building

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**Guideline**: The BR reporting guidelines state that: “Each Annex II Party shall provide information, to the extent possible, on how it has provided capacity-building support that responds to the existing and emerging capacity building needs identified by non-Annex I Parties in the areas of mitigation, adaptation, and technology development and transfer.”

**Potential Issue**: Most Annex II Parties have reported on the capacity building support that they provide in the areas of mitigation and development. However, for about half of the Annex II Parties that did report on the capacity building support they provided, there is no information included in the TRRs as to whether this support **responds to the needs** of developing countries.

**Review** If the Party has neither reported the information nor provided explanations for not reporting or partially reporting, then this should lead to a recommendation by the ERT that reflects the language of the reporting requirement (e.g. "The ERT recommends that..., to the extent possible").

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## Financial Support

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**Guideline**: According to the BR reporting guidelines the description of the national approach for tracking of provision of financial support “<...> shall include information on indicators and delivery mechanisms used and allocation channels tracked”.

**Potential Issue**: Several Annex II Parties did not provide any information on indicators, delivery mechanisms, and/or allocation channels tracked when describing their national approach for tracking the provision of financial support. The ERTs addressed this missing information inconsistently. An assessment of the BRs indicates that for some Parties that did not provide this information, the ERTs failed to highlight this in the TRRs and provide the relevant recommendation.

**Review Approach**: The ERTs should clearly state whether a Party provided this information or not, along with a brief overview. If a Party has not provided textual information but has only filled out the relevant tables ((CTF 7, 7(a), 7(b)), the ERT should acknowledge this and recommend that a textual description be provided as well.



Thank you for your  
attention!

