

JI-AP: Fourth progress report

Oleg Pluzhnikov
Chair, JI-AP

Fatou Gaye
Vice-Chair, JI-AP

Motoharu Yamazaki
UNFCCC secretariat

Joint Implementation Supervisory Committee
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UNITED NATIONS FRAMEWORK CONVENTION ON CLIMATE CHANGE

- Reporting period

- 17 April 2007 – 23 September 2007 (after JI-AP 05, till end JI-AP 07)

- Applications

- 14 applications in total till the end of reporting period (1 new application received after JI-AP 07 → 15 applications)
- JI-AT members selected for 14 applications
- Assessment work by JI-AT is underway for 14 applications
 - Desk-review completed for 11 applications
 - On-site assessment (site visit) conducted for 5 applications (Additional 4 site visit done after JI-AP 07 → 9 applications)
- 3 applicant independent entities notified the name change, including one, which application was re-submitted from its group company

JI-AP: Fourth progress report | Status under assessment process (1)

	<i>Complete-ness check</i>	<i>JI-AP pre-consideration</i>	<i>Work-plan</i>	<i>JI-AT establishment</i>	<i>Desk review</i>	<i>On-site assessment</i>	<i>Witnessing activity</i>	<i>Indicative letter</i>	<i>Phased accreditation</i>
Det Norske Veritas Certification Ltd	✓	✓	✓	✓	✓	Site visit done			
Japan Quality Assurance Organization	✓	✓	✓	✓	✓	Site visit done*	1 opp. accepted		
Deloitte Tohmatu Evaluation and Certification Organization Co., Ltd	✓	✓	✓	✓	✓				
Lloyd's Register Quality Assurance Ltd.	✓	✓	✓	✓	✓	Site visit done			
JACO CDM., Ltd.	✓	✓	✓	✓	✓	Site visit done*	1 opp. accepted		
Japan Consulting Institute	✓	✓	✓	✓	✓				
Bureau Veritas Certification Holding S.A.	✓	✓	✓	✓	On-going				
TÜV SÜD Industrie Service GmbH	✓	✓	✓	✓	✓	Site visit done	2 opp. Proposed *		
Spanish Association for Standardisation and Certification	✓	✓	✓	✓	✓	Site visit done*			
SGS United Kingdom Limited	✓	✓	✓	✓	✓				
TÜV NORD CERT GmbH	✓	✓	✓	✓	✓	Site visit done			
TÜV Rheinland Japan Ltd.	✓	✓	✓	✓	On-going				

JI-AP: Fourth progress report | Status under assessment process (2)

	<i>Complete-ness check</i>	<i>JI-AP pre-consideration</i>	<i>Work-plan</i>	<i>JI-AT establishment</i>	<i>Desk review</i>	<i>On-site assessment</i>	<i>Witnessing activity</i>	<i>Indicative letter</i>	<i>Phased accreditation</i>
Swiss Association for Quality and Management Systems	✓	✓	✓	✓	✓	Site visit done*			
KPMG Sustainability B.V.	✓	✓	✓	✓	On-going				
Germanischer Lloyd Certification GmbH*	On-going								

*Development after the reporting period.



- **Roster of experts**
 - 37 experts from 3 calls (3rd call closed on 24 September 2007)
- **Recommendations**
 - Terms of reference of JI-ATs (ver. 2)
 - Indicative level of fees for JI-ATs 2 (ver. 2)
 - Clarification regarding utilization of laboratory services
- **Other outputs**
 - Revised/new forms for accreditation assessment
 - Witnessing opportunity identification form (ver. 2)
 - Preliminary assessment form (ver. 2)
 - Final assessment form (ver. 2)
 - Observation report form
 - Guidance for JI-ATs on on-site assessment
 - 2nd training session for experts for JI-ATs

- Cooperation with CDM accreditation process
- Guidance for JI-ATs on witnessing activities
- Revision of forms for witnessing activities

Revision

To add a provision (paragraph 10) which clarifies a requirement for experts in baseline setting and monitoring:

*A methodology expert in a JI-AT, regardless of whether the expert meets the requirements referred in paragraph 9(a) above, shall demonstrate relevant working experience, of **at least two years on methodological issues related to project-based mechanisms.***

Revision

To better reflect the actual workload of JI-AT members at each assessment step:

Task	Team leader (man-day)	Team member (man-day x persons)	Total cost (USD)
Desk review			
• Preparation of desk-review report	1.5 → 2	0.5 x 2 → 1 x 2	Included in application fee
On-site assessment			
• On-site assessment	2	2 x 2	2,400
• Verification of implementation of corrective actions	1	N/A → 0.5 x 2	400 → 800
• Preparation of preliminary and final assessment report	1	0.5 → 0.5 x 2	600 → 800
Witnessing activity			
• Witnessing activity	1.5 → 2.5	1.5 → 2.5	1,200 → 2,000
• Verification of implementation of corrective actions	1	N/A → 0.5	400 → 600
• Preparation of preliminary and final assessment report	1	0.5	600

Clarification

- If a laboratory related to an AIE has provided services for the monitoring of a project implemented under the verification procedure under the JISC, the same AIE cannot provide services of verification for the same project.
- For a given project, an AIE performing the verification function cannot use services of a laboratory involved in the monitoring activity. Only in exceptional cases these combinations may be accepted by the JISC taking into consideration the specific nature and requirements of the project.