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CONFERENCE OF THE PARTIES

**REPORT OF THE CONFERENCE OF THE PARTIES ON
ITS SEVENTH SESSION, HELD AT MARRAKESH
FROM 29 OCTOBER TO 10 NOVEMBER 2001**

Addendum

PART TWO: ACTION TAKEN BY THE CONFERENCE OF THE PARTIES

Volume IV

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III. OTHER DECISIONS ADOPTED BY THE CONFERENCE AT ITS SEVENTH SESSION

Decision 25/CP.7

Third Assessment Report of the Intergovernmental Panel on Climate Change

The Conference of the Parties,

Having considered the recommendations of the Subsidiary Body for Scientific and Technological Advice at its fifteenth session,

1. *Expresses* its appreciation and gratitude to the Intergovernmental Panel on Climate Change, particularly its Chairman and all its authors and scientists, for their excellent work in preparing the Third Assessment Report, and encourages the Intergovernmental Panel on Climate Change to continue its work, including, *inter alia*, preparation of the Fourth Assessment Report;
2. *Encourages* Parties to make full use of the information contained in the Third Assessment Report by the Intergovernmental Panel on Climate Change (IPCC);
3. *Urges* Parties to nominate and support further scientists to contribute to the work of the IPCC;
4. *Urges* Parties, in particular Parties included in Annex I to the Convention, to continue to provide the financial support necessary to the IPCC for it to carry out its tasks;
5. *Urges* Parties to contribute to the IPCC trust fund so that more experts from developing countries can participate in the activities of the IPCC.

*8th plenary meeting
9 November 2001*

Decision 26/CP.7

Amendment to the list in Annex II to the Convention

The Conference of the Parties,

Welcoming the intention expressed by Turkey to accede to the Convention,

Recalling Article 4, paragraph 2(f), of the Convention,

Recalling further its decision 15/CP.4,

Recalling also the conclusions of the Conference of the Parties as agreed at its fifth session and the first part of its sixth session, in the light of the new request by Turkey,¹

Recalling also the amendments proposed by Azerbaijan and Pakistan concerning the deletion of the name of Turkey from the lists in Annexes I and II to the Convention,

Taking note of the information contained in documents FCCC/CP/1997/MISC.3 and FCCC/CP/2001/11,

Underlining that Parties should protect the climate system for the benefit of present and future generations of humankind, on the basis of equity and in accordance with their common but differentiated responsibilities and respective capabilities,

Having considered the request put forward by Turkey, in particular the new proposal presented at the first part of the sixth session of the Conference of the Parties, that its name should be deleted from Annex II to the Convention,

1. *Decides* to amend the list in Annex II to the Convention by deleting the name of Turkey;
2. *Notes* that the entry into force of this amendment to the list in Annex II to the Convention shall be subject to the same procedure as that for the entry into force of annexes to the Convention in accordance with Article 16, paragraph 3, of the Convention;
3. *Invites* the Parties to recognize the special circumstances of Turkey, which place Turkey, after becoming a Party, in a situation different from that of other Parties included in Annex I to the Convention.

*8th plenary meeting
9 November 2001*

¹ See FCCC/CP/1999/6, paras. 59 to 63 and FCCC/CP/2000/5/Add.1, paras. 83 to 85.

Decision 27/CP.7

Guidance to an entity entrusted with the operation of the financial mechanism of the Convention, for the operation of the least developed countries fund

The Conference of the Parties,

Recognizing the specific needs and special situations of the least developed countries referred to in Article 4, paragraph 9, of the Convention,

Recalling its decision 5/CP.6 containing the Bonn Agreements on the implementation of the Buenos Aires Plan of Action,

1. *Decides* to adopt the following initial guidance to an entity entrusted with the operation of the financial mechanism of the Convention, for the operation of the Least Developed Countries Fund (LDC Fund), established under decisions 5/CP.7 and 7/CP.7, to support the work programme for the least developed countries, including, *inter alia*, the preparation and implementation of national adaptation programmes of action (NAPAs) referred to in paragraph 11 of decision 5/CP.7. The operating entity is requested:

(a) As a first step, to provide funding from the LDC Fund to meet the agreed full cost of preparing the NAPAs, given that the preparation of NAPAs will help to build capacity for the preparation of national communications under Article 12, paragraph 1, of the Convention;

(b) To ensure complementarity of funding between the LDC Fund and other funds with which the operating entity is entrusted;

(c) To ensure separation of the LDC Fund from other funds with which the operating entity is entrusted;

(d) To adopt simplified procedures and arrange for expedited access to the Fund by the least developed countries, while ensuring sound financial management;

(e) To ensure transparency in all steps relating to the operation of the Fund;

(f) To encourage the use of national and, where appropriate, regional experts;

(g) To adopt streamlined procedures for the operation of the Fund;

2. *Requests* the entity referred to in paragraph 1 above to include in its report to the Conference of the Parties the specific steps it has undertaken to implement the provisions of this decision;

3. *Decides* to consider and adopt further guidance to the entity referred to in paragraph 1 above, on the operation of the LDC Fund, at its eighth session.

*8th plenary meeting
10 November 2001*

Decision 28/CP.7

Guidelines for the preparation of national adaptation programmes of action

The Conference of the Parties,

Recognizing the specific needs and special situations of the least developed countries referred to in Article 4, paragraph 9, of the Convention,

Recognizing further that many of the least developed country Parties do not have the capacity to prepare and submit national communications in the foreseeable future, or to convey their urgent and immediate needs in respect of their vulnerability and adaptation to the adverse effects of climate change,

Recognizing also that information contained in national adaptation programmes of action may constitute the first step in the preparation of initial national communications, and would help to build capacity for addressing urgent and immediate adaptation needs, as well as for the preparation of national communications;

1. *Decides* to adopt the guidelines for the preparation of national adaptation programmes of action included in the annex to the present decision;
2. *Invites* Parties to make submissions with a view to improving the guidelines, by 15 July 2002, for consideration by the Subsidiary Body for Implementation at its seventeenth session;
3. *Decides* to review, and if necessary revise, the guidelines at its eighth session, taking into account the views submitted by Parties and the least developed countries expert group established under decision 29/CP.7;
4. *Invites* least developed country Parties to use the above-mentioned guidelines, in accordance with their national circumstances, in preparing their national adaptation programmes of action.

*8th plenary meeting
10 November 2001*

ANNEX

Guidelines for the preparation of national adaptation programmes of action

A. Introduction

1. National adaptation programmes of action (NAPAs) will communicate priority activities¹ addressing the urgent and immediate needs and concerns of the least developed countries (LDCs), relating to adaptation to the adverse effects of climate change.
2. The rationale for developing NAPAs rests on the low adaptive capacity of LDCs, which renders them in need of immediate and urgent support to start adapting to current and projected adverse effects of climate change. Activities proposed through NAPAs would be those whose further delay could increase vulnerability, or lead to increased costs at a later stage.
3. The NAPA will be presented in the form of a document specifying a list of priority activities, with a concise justification based on a tight set of criteria.
4. The NAPA document will not be an end in itself, but rather a means for the dissemination, by an LDC Party, of its proposed programme of action to address its urgent needs for adaptation. The priority activities identified through the NAPA process will be made available to the entity that will operate the LDC fund referred to in decision 7/CP.7, paragraph 6, and other sources of funding, for the provision of financial resources to implement these activities.

B. Objective of NAPAs

5. National adaptation programmes of action will serve as simplified and direct channels of communication for information relating to the urgent and immediate adaptation needs of the LDCs.

C. Characteristics of NAPAs

6. National adaptation programmes of action should:
 - (a) Be easy to understand;
 - (b) Be action-oriented and country-driven;
 - (c) Set clear priorities for urgent and immediate adaptation activities as identified by the countries.

¹ For the purposes of this annex, activities should include, *inter alia*, projects, integration into other activities, capacity building and policy reform.

D. Guiding elements

7. The preparation of NAPAs will be guided by the following:
- (a) A participatory process involving stakeholders, particularly local communities;
 - (b) A multidisciplinary approach;
 - (c) A complementary approach, building upon existing plans and programmes, including national action plans under the United Nations Convention to Combat Desertification, national biodiversity strategies and action plans under the Convention on Biological Diversity, and national sectoral policies;
 - (d) Sustainable development;
 - (e) Gender equality;
 - (f) A country-driven approach;
 - (g) Sound environmental management;
 - (h) Cost-effectiveness;
 - (i) Simplicity;
 - (j) Flexibility of procedures based on individual country circumstances.

E. Process

8. The preparation of the NAPA may proceed as follows:
- (a) The setting up of a national NAPA team: the national climate change focal point will set up a NAPA team composed of a lead agency and representatives of stakeholders including government agencies and civil society. This group would be constituted using an open and flexible process that will be inclusive and transparent. The NAPA team will be responsible for preparing the NAPA and coordinating the implementation of NAPA activities;
 - (b) The NAPA team will assemble a multidisciplinary team:
 - (i) To synthesize available information on adverse effects of climate change and coping strategies, which would be collated and reviewed, including the national strategies for sustainable development, the Programme of Action for the Least Developed Countries, the United Nations development assistance frameworks, and poverty reduction strategy papers, if available in the countries;
 - (ii) To conduct a participatory assessment of vulnerability to current climate variability and extreme weather events, and to assess where climate change is causing increases in associated risks;

- (iii) To identify key climate-change adaptation measures, based, to the extent possible, on vulnerability and adaptation assessment; such measures would also be responsive to needs identified under other relevant processes, such as the preparation of national action plans under the United Nations Convention to Combat Desertification and national biodiversity strategies and action plans under the Convention on Biological Diversity;
- (iv) To identify and prioritize country-driven criteria for selecting priority activities to address needs arising from the adverse effects of climate change, drawing on the criteria referred to in section F.4 below.

(c) Development of proposals for priority activities to address needs arising from the adverse effects of climate change: the national team will:

- (i) Organize a national and/or subnational consultative process to solicit inputs and proposal ideas in order to help develop a short list of potential NAPA activities. The national team would facilitate this consultative process, and would help in translating ideas into activities. This process will allow adequate dialogue between the national team and the public, with time allowed for public comment and revisions;
- (ii) Identify potential activities, which may include capacity building and policy reform, and which may be integrated into sectoral and other policies;
- (iii) Select and identify priority activities, based on the agreed criteria;
- (iv) Propose profiles of priority activities using the following format:
 - Title
 - Rationale/justification in relation to climate change, including sectors concerned
 - Description
 - Objectives and activities
 - Inputs
 - Short-term outputs
 - Potential long-term outcomes
 - Implementation
 - Institutional arrangement
 - Risks and barriers
 - Evaluation and monitoring
 - Financial resources

(d) The development of the NAPA document: the document will be prepared following the structure set out in section F below;

(e) Public review and revision: the NAPA document will undergo public review and be revised accordingly;

(f) The final review process: the NAPA document, including the profiles, will be reviewed by a team of government and civil society representatives, including the private sector, who may take into consideration any advice solicited from the Least Developed Countries Expert Group;

(g) National government endorsement of the NAPA: after the NAPA has been prepared, it will be submitted to the national government for endorsement.

(h) Public dissemination: the endorsed NAPA document will be made available to the public and to the UNFCCC secretariat.

F. Structure of NAPA document

1. Introduction and setting

9. This introductory section will include background information about the country that is relevant to the NAPA process. It will cover current characteristics, key environmental stresses, and how climate change and climate variability adversely affect biophysical processes and key sectors.

2. Framework for adaptation programme

10. This section will also provide an overview of climate variability and observed and projected climate change and associated actual and potential adverse effects of climate change. This overview will be based on existing and ongoing studies and research, and/or empirical and historical information as well as traditional knowledge.

11. This section will describe the NAPA framework and its relationship to the country's development goals, as described in subparagraph 8(b)(i) above, to make the framework consistent with socio-economic and development needs. In addition, it would also describe the goals, objectives and strategies of the NAPA, taking into account other plans and multilateral environmental agreements.

12. Where possible, a description of the potential barriers to implementation should also be included.

3. Identification of key adaptation needs

13. Based on this overview and framework, past and current practices for adaptation to climate change and climate variability will be identified as related to existing information regarding the country's vulnerability to the adverse effects of climate change, climate variability and extreme weather events, as well as long-term climate change. This section will explain how and to what extent activities may address specific vulnerabilities.

14. Given the actual and potential adverse effects of climate change described in section F.2 above, this section will identify relevant adaptation options including capacity building, policy reform, integration into sectoral policies and project-level activities.

4. Criteria for selecting priority activities

15. A set of locally-driven criteria will be used to select priority adaptation activities. These criteria should include, *inter alia*:

- (a) Level or degree of adverse effects of climate change;
- (b) Poverty reduction to enhance adaptive capacity;
- (c) Synergy with other multilateral environmental agreements;
- (d) Cost-effectiveness.

16. These criteria for prioritization will be applied to, *inter alia*:

- (a) Loss of life and livelihood;
- (b) Human health;
- (c) Food security and agriculture;
- (d) Water availability, quality and accessibility;
- (e) Essential infrastructure;
- (f) Cultural heritage;
- (g) Biological diversity;
- (h) Land-use management and forestry;
- (i) Other environmental amenities;
- (j) Coastal zones, and associated loss of land.

5. List of priority activities

17. This section will list priority climate-change adaptation activities that have been selected based on the criteria listed in section F.4 above.

18. For each of the selected priority activities a set of profiles will be developed for inclusion in the NAPA document. This could follow the format set out in subparagraph 8(c)(iv) above.

6. NAPA preparation process

19. This section will describe the NAPA development process, including the process of consultation, the methods for evaluation and monitoring, the institutional arrangements, and the mechanism of endorsement by the national government.

Decision 29/CP.7

Establishment of a least developed countries expert group

The Conference of the Parties,

Recalling its decision 5/CP.7,

Recognizing the specific needs and special situations of the least developed countries referred to in Article 4, paragraph 9, of the Convention,

Recalling the provisions of paragraph 16 of its decision 5/CP.7, in which, *inter alia*, it decided that, at its current session, consideration should be given to the establishment of a least developed countries expert group, including its terms of reference, taking into account geographical balance,

1. *Decides* to establish a least developed countries expert group, pursuant to the terms of reference included in the annex to the present decision;
2. *Decides also* that, taking into account the unique circumstances of the least developed countries, the establishment of the group referred to in paragraph 1 above does not set a precedent for the establishment of similar groups for other categories of countries;
3. *Requests* the secretariat to facilitate the work of the least developed countries expert group in accordance with the terms of reference included in the annex to the present decision;
4. *Decides* to review, at its ninth session, the progress, need for continuation and terms of reference of the group, including the duration of the term of office of its members, and to adopt a decision thereon, taking into account the implementation needs identified in completed national adaptation programmes of action, as well as the experience of least developed country Parties which have started implementing their national adaptation programmes of action.

*8th plenary meeting
10 November 2001*

ANNEX

Terms of reference of the least developed countries expert group

1. The objective of the least developed countries expert group is to advise on the preparation and implementation strategy for national adaptation programmes of action (NAPAs), which would meet the urgent and immediate adaptation needs of the least developed countries (LDCs). This includes the provision of technical advice relating to the identification of relevant data and information to be synthesized as part of an integrated assessment. The expert group will also provide advice on capacity-building needs for LDCs in support of the preparation and implementation of NAPAs. The expert group will coordinate and collaborate with other relevant efforts relating to adaptation activities for LDCs, including within the greater development context. The expert group will not be directly involved in the execution of identified activities and projects.
2. The group shall consist of 12 experts having recognized competence and appropriate expertise to assist in the development of NAPAs. The group shall consist of five experts from African LDC Parties, two experts from Asian LDC Parties, two experts from small island developing States which are LDC Parties, and three experts from Annex II Parties. At least one selected LDC expert and at least one selected expert from Annex II Parties shall also be a member of the Consultative Group of Experts on National Communications from Parties not included in Annex I. The experts will be selected by the Parties from their respective regions or groups, and shall have expertise in vulnerability and adaptation assessment. The group may draw upon additional expertise as deemed necessary.
3. The expert group shall conduct its work until the ninth session of the Conference of the Parties, subject to a decision by the Conference of the Parties pursuant to paragraph 4 of decision 29/CP.7 above.
4. Members of the group shall serve in their personal capacity and shall have no pecuniary or financial interest in the issues under consideration by the group.
5. The group shall elect annually a chairperson, a vice-chairperson and two rapporteurs from amongst its LDC members.
6. The chairperson, or a representative of the expert group, shall attend meetings of the subsidiary bodies and the Conferences of the Parties.
7. The group shall convene twice each year, as appropriate and, if possible, the secretariat will organize one meeting in 2002 of the expert group back-to-back with the Consultative Group of Experts on National Communications from Parties not included in Annex I, in order to establish a link on issues relating to adaptation.
8. The group shall report on its work and shall propose a programme of work for the remainder of its term, for consideration at the sixteenth session of the Subsidiary Body for Implementation, and shall report on its work to the Subsidiary Body for Implementation at its eighteenth and nineteenth sessions.

9. The group shall be mandated as follows:

(a) To provide technical guidance and advice on the preparation and on the implementation strategy of NAPAs, including the identification of possible sources of data and its subsequent application and interpretation, upon request by LDC Parties;

(b) To serve in an advisory capacity to the LDCs, for the preparation and strategy for implementation of NAPAs through, *inter alia*, workshops, upon request by LDC Parties;

(c) To advise on capacity-building needs for the preparation and implementation of NAPAs and to provide recommendations, as appropriate, taking into account the Capacity Development Initiative of the Global Environment Facility and other relevant capacity-building initiatives;

(d) To facilitate the exchange of information and to promote regional synergies, and synergies with other multilateral environmental conventions, in the preparation and in the implementation strategy of NAPAs;

(e) To advise on the mainstreaming of NAPAs into regular development planning in the context of national strategies for sustainable development.

10. The group shall also be mandated to provide input into the review, and if necessary revision, of NAPA guidelines at the eighth session of the Conference of the Parties.

11. The secretariat shall support the implementation of the above-mentioned activities and facilitate the preparation of the group's relevant reports, which will be made available to the Parties for consideration at subsequent sessions of the subsidiary bodies.

Decision 30/CP.7

**Third compilation and synthesis of initial national communications
from Parties not included in Annex I to the Convention**

The Conference of the Parties,

Recalling the relevant provisions of the United Nations Framework Convention on Climate Change, in particular, its Article 4, paragraph 1, Article 10, paragraph 2(a), and Article 12, paragraphs 1, 4, 5, 6 and 7,

Recalling also its decisions on initial communications from Parties not included in Annex I to the Convention (non-Annex I Parties), in particular, decisions 10/CP.2, 11/CP.2, 12/CP.4, 7/CP.5 and 3/CP.6,

Noting that, pursuant to decision 10/CP.2, the national and regional development priorities, objectives and circumstances of non-Annex I Parties should, in accordance with the provisions of Article 3 and Article 4, paragraphs 1, 3, 4, 5, 7, 8, 9 and 10 of the Convention, be taken into account by the Conference of the Parties in considering matters related to their initial communications,

Noting also that, from its first session onwards, in accordance with Article 12, paragraph 7, of the Convention, the Conference of the Parties has arranged for the provision of both technical and financial support to developing country Parties, on request, in compiling and communicating information under that Article, as well as in identifying the technical and financial needs associated with proposed projects and response measures under Article 4 of the Convention,

Having considered the third compilation and synthesis of initial national communications from non-Annex I Parties,¹ prepared by the secretariat pursuant to decision 3/CP.6, and the relevant recommendations of the Subsidiary Body for Implementation,

1. *Requests*, in accordance with Article 12, paragraph 5, of the Convention, each Party not included in Annex I to the Convention which has not made its initial communication within three years of the entry into force of the Convention for that Party, or of the availability of financial resources in accordance with Article 4, paragraph 3, of the Convention, to do so as soon as possible, it being understood that Parties that are least developed countries may make their initial communications at their discretion;

2. *Requests* the Convention secretariat to prepare the fourth compilation and synthesis of initial national communications from non-Annex I Parties, based on submissions received from such Parties between 1 June 2001 and 1 June 2002, and to make that report

¹ FCCC/SBI/2001/14 and Add.1.

available to the subsidiary bodies for consideration by the Conference of the Parties at its eighth session. In preparing that compilation and synthesis, the Convention secretariat shall:

(a) Report on issues, constraints and problems encountered in using the UNFCCC guidelines for the preparation of initial national communications by non-Annex I Parties,² and on other issues raised by these Parties;

(b) Prepare an executive summary covering the information contained in all the initial national communications from non-Annex I Parties;

3. *Concludes*, with respect to the reporting of information by non-Annex I Parties that have submitted their initial national communications, that:

(a) Non-Annex I Parties are fulfilling their commitments under Article 4, paragraph 1(a), of the Convention to make available to the Conference of the Parties national inventories of anthropogenic emissions by sources and removals by sinks of all greenhouse gases not controlled by the Montreal Protocol, and have called for assistance to prepare and update inventories on a systematic basis by national teams;

(b) Non-Annex I Parties are generally following the UNFCCC and other recommended guidelines with varying levels of detail across the different elements of information in the communications;

4. *Concludes also* that, in view of the constraints and problems encountered in the preparation of initial national communications, namely difficulties relating to the quality and availability of data, emission factors and methodologies for the integrated assessment of the effects of climate change and impacts of response measures, there is a need for maintaining and enhancing national capacities in non-Annex I Parties for preparing national communications;

5. *Concludes also* that, although significant limitations have been encountered in the use of the current guidelines, Parties have found ways of overcoming these problems and have provided additional information, particularly in relation to greenhouse gas inventories; and that further analysis of the problems encountered in the use of the guidelines will be required when additional national communications are submitted;

6. *Concludes further*, with respect to the implementation of the Convention by non-Annex I Parties, that, as described in the third compilation and synthesis of initial communications from non-Annex I Parties, the reporting Parties are taking measures to address climate change and its adverse impacts.

*8th plenary meeting
10 November 2001*

² Decision 10/CP.2, annex.

Decision 31/CP.7

Consultative Group of Experts on National Communications from non-Annex I Parties

The Conference of the Parties,

Recalling the relevant provisions of the United Nations Framework Convention on Climate Change, in particular its Article 4, paragraphs 3 and 7, Article 10, paragraph 2(a) and Article 12, paragraphs 1 and 5,

Recalling also its decisions on communications from Parties not included in Annex I to the Convention (non-Annex I Parties), in particular decisions 10/CP.2, 11/CP.2, 2/CP.4, 12/CP.4, 8/CP.5,

Recognizing that the preparation of national communications is a continuing process,

Acknowledging that the sharing of national, subregional and regional experiences is important in the process of improving the preparation of national communications by non-Annex I Parties,

Noting the importance of providing a forum for non-Annex I Parties, including the least developed countries, to share national, subregional and regional experiences in the preparation of national communications,

1. *Decides* that the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention (non-Annex I Parties) shall have the objective of improving the preparation of national communications by non-Annex I Parties;
2. *Decides also* that, in accordance with paragraph 2 of the annex to decision 29/CP.7 on the establishment of a least developed country (LDC) expert group, at least one member of the Consultative Group Experts from least developed countries and at least one member of the Consultative Group Experts from Annex II Parties shall also be members of the LDC expert group, in order to establish a link on issues related to adaptation;
3. *Decides further* that in addition to the mandate contained in the annex to decision 8/CP.5, it shall carry out the following:
 - (a) Identify and assess technical problems and constraints that have affected the preparation of initial national communications by non-Annex I Parties that have yet to complete them, and make recommendations for consideration by the subsidiary bodies;
 - (b) Provide input to the draft improved guidelines for the preparation of national communications of non-Annex I Parties referred to in paragraph 1(b) of decision 32/CP.7;
4. *Decides* that the Consultative Group of Experts shall conduct two workshops in the year 2002, assuming the availability of funds, with the objective of sharing experiences to

ensure adequate coverage of the issues described in paragraph 3 above; experts and/or resource persons for these workshops will be drawn from the roster of experts of the UNFCCC secretariat, taking into account geographical balance and limited to 40 persons from non-Annex I Parties;

5. *Decides also* that in the year 2002, and to the extent possible, the secretariat will organize a meeting of the Consultative Group Experts, back-to-back with a meeting of the LDC expert group, in order to ensure an exchange of views;

6. *Decides also* that the mandate and terms of reference of the Consultative Group of Experts shall be reviewed at the eighth session of the Conference of the Parties.

*8th plenary meeting
9 November 2001*

Decision 32/CP.7

Other matters relating to communications from Parties not included in Annex I to the Convention

The Conference of the Parties,

Recalling the relevant provisions of the United Nations Framework Convention on Climate Change, and in particular Article 4, paragraphs 1, 3 and 7, Article 10, paragraph 2(a) and Article 12, paragraphs 1 and 5,

Recalling its decisions on communications from Parties not included in Annex I to the Convention (non-Annex I Parties), and in particular decisions 10/CP.2, 2/CP.4, 12/CP.4 and 8/CP.5,

Recalling that, at its fifth session, it had initiated a process of reviewing the guidelines for the preparation of national communications with the aim of improving them by the seventh session of the Conference of the Parties, taking into account information on the use of the guidelines contained in the compilation and synthesis report prepared by the secretariat comprising a representative and meaningful number of national communications from non-Annex I Parties,

Recalling also that Parties have submitted to the UNFCCC secretariat their views¹ on the current progress of the process aimed at the improvement of guidelines for subsequent national communications from Parties not included in Annex I to the Convention,

Recalling further paragraph 1(d) of decision 11/CP.2 on guidance to the Global Environment Facility (GEF), which states that the preparation of national communications is a continuing process,

Reiterating the importance of providing financial and technical support for the preparation of national communications by an entity operating the financial mechanism of the Convention,

Having considered the views of Parties on the report of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention, and on the current progress of the process aimed at improving guidelines for subsequent national communications of non-Annex I Parties,

1. *Decides:*

(a) To continue the process of reviewing the guidelines for the preparation of national communications from Parties not included in Annex I to the Convention (non-Annex I Parties) in

¹ FCCC/SBI/2001/INF.11, section IV.

accordance with decision 8/CP.5 with a view to improving these guidelines at the eighth session of the Conference of the Parties;

(b) That the improvement of the guidelines shall take into account, *inter alia*, information on the use of the guidelines contained in the third compilation and synthesis report, as well as information contained in national communications submitted as at 31 December 2001 and the recommendations of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention;

(c) That the UNFCCC secretariat shall prepare: (i) draft guidelines for the preparation of national communications from non-Annex I Parties in accordance with paragraph 1(b) above, and (ii) background information on national communications from non-Annex I Parties submitted as at 31 December 2001, which shall be considered at an intersessional workshop with the participation of representatives from Parties to be held prior to the sixteenth session of the subsidiary bodies;

2. Invites Parties to submit proposals to the secretariat on the proposed improved guidelines by 5 August 2002;

3. *Requests* the secretariat to prepare an information document containing the views of Parties on the proposed improved guidelines for consideration by the subsidiary bodies at their seventeenth sessions;

4. *Decides also* that non-Annex I Parties wishing to start the preparation of their subsequent national communications may do so using the initial guidelines as set out in decisions 10/CP.2 and 2/CP.4, pending the adoption by the Conference of the Parties of improved guidelines for the preparation of national communications.

*8th plenary meeting
9 November 2001*

Decision 33/CP.7

**National communications from Parties included
in Annex I to the Convention**

The Conference of the Parties,

Recalling the relevant provisions of the United Nations Framework Convention on Climate Change, in particular, Article 4, Article 6, Article 7, paragraph 2, Article 9, paragraph 2(b), Article 10, paragraph 2, and Article 12,

Recalling its decisions 9/CP.2, 11/CP.4 and 4/CP.5 on national communications from Parties included in Annex I to the Convention (Annex I Parties),

Having considered the relevant recommendations of the Subsidiary Body for Implementation,

1. *Requests* the secretariat to apply the procedures for the review of national communications, including in-depth reviews, as defined in decisions 2/CP.1 and 6/CP.3, to the national communications submitted by Annex I Parties in accordance with decision 11/CP.4; the in-depth reviews should be completed before the ninth session of the Conference of the Parties;
2. *Requests* the secretariat to prepare the compilation and synthesis of national communications submitted in accordance with decision 11/CP.4, for consideration by the Conference of the Parties at its eighth session.

*8th plenary meeting
9 November 2001*

Decision 34/CP.7

Revision of the guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part I: UNFCCC reporting guidelines on annual inventories, and the guidelines for the technical review of greenhouse gas inventories from Parties included in Annex I to the Convention

The Conference of the Parties,

Having considered the recommendations of the Subsidiary Body for Scientific and Technological Advice at its fifteenth session,

Recalling its decisions 3/CP.5 and 6/CP.5,

1. *Decides* to defer the revision of the guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part I: UNFCCC reporting guidelines on annual inventories for consideration by the Subsidiary Body for Scientific and Technological Advice at its sixteenth session with a view to submitting a decision for adoption by the Conference of the Parties at its eighth session and to extend the trial period for assessing those guidelines and the guidelines for the technical review of greenhouse gas inventories from Parties included in Annex I to the Convention until the eighth session of the Conference of the Parties;

2. *Requests* the secretariat to continue to organize technical reviews of greenhouse gas inventories submitted by Parties included in Annex I to the Convention in 2002.

*8th plenary meeting
9 November 2001*

Decision 35/CP.7

Request from a group of countries of Central Asia and the Caucasus, Albania and Moldova regarding their status under the Convention

The Conference of the Parties,

Having considered the request from a group of countries of Central Asia and the Caucasus, Albania and Moldova countries,¹

Noting the comments expressed by Parties concerning the need to give consideration to the implications of the request, in particular to the legal aspects,

Invites the Subsidiary Body for Implementation, at its sixteenth session, to give further consideration to the aforementioned request and make recommendations thereon to the Conference of the Parties.

*2nd plenary meeting
2 November 2001*

¹ Initially presented on behalf of a group of these countries in a letter of 27 July 2001. See FCCC/CP/2001/12.

Decision 36/CP.7

Improving the participation of women in the representation of Parties in bodies established under the United Nations Framework Convention on Climate Change or the Kyoto Protocol

The Conference of the Parties,

Recalling the Beijing Declaration of the 1995 Fourth World Conference on Women which recognizes that women's empowerment and their full participation on the basis of equality in all spheres of society, including participation in decision-making processes and access to power, are fundamental for the achievement of equality, development and peace,

Recalling further that the Beijing Declaration called on governments, the United Nations system and regional and international institutions to contribute to the implementation of the Beijing Platform of Action,

Noting that improvement in the gender balance of officers elected to the bodies established under the United Nations Framework Convention on Climate Change and the Kyoto Protocol would be one such contribution to the implementation of the Beijing Platform of Action,

Having considered the need for Parties to take into account the need for more equitable representation of female and male officers elected to the bodies established under the Convention or the Kyoto Protocol,

Urging Parties to take the measures necessary to enable women to participate fully in all levels of decision making relevant to climate change,

1. *Invites* Parties to give active consideration to the nomination of women for elective posts in any body established under the Convention or the Kyoto Protocol;
2. *Requests* the secretariat to bring this decision to the attention of Parties whenever a vacancy arises for any elective post in any body established under the Convention or the Kyoto Protocol;
3. *Further requests* the secretariat to maintain information on the gender composition of each body with elective posts established under the Convention or the Kyoto Protocol, and to bring this information to the attention of the Parties whenever such a vacancy occurs.

Decision 37/CP.7

Date and venue of the eighth session of the Conference of the Parties

Recalling Article 7, paragraph 4, of the United Nations Framework Convention on Climate Change,

Recalling General Assembly resolution 40/243 of 18 December 1985 on the pattern of conferences,

1. *Decides* that the eighth session of the Conference of the Parties shall be held from 23 October to 1 November 2002;
2. *Notes* with appreciation the expression of interest by the Government of India in hosting the eighth session of the Conference of the Parties and to cover the related costs;
3. *Requests* the Executive Secretary to continue consultations with the Government of India and to report to the President not later than 24 November 2001 on whether the eighth session of the Conference of the Parties could be held in India, in conformity with General Assembly resolution 40/243;
4. *Requests* the Bureau to decide on the venue of the eighth session of the Conference of the Parties at its next meeting.

8th plenary meeting
9 November 2001

Decision 38/CP.7

Programme budget for the biennium 2002-2003

The Conference of the Parties,

Recalling paragraph 4 of the financial procedures for the Conference of the Parties to the United Nations Framework Convention on Climate Change,¹

Having considered the proposed budget for the biennium 2002-2003 submitted by the Executive Secretary,²

1. *Approves* the programme budget for the biennium 2002-2003, amounting to US\$ 32,837,100 for the purposes specified in table 1 below;
2. *Notes with appreciation* the annual contribution of the host Government of DM 1.5 million, which offsets planned expenditures;
3. *Approves* a drawing of US\$ 5 million from the unspent balances or contributions (carry-over) from previous financial periods to cover part of the 2002-2003 budget;
4. *Adopts* the indicative scale of contributions for 2002 and 2003 contained in the annex to this decision;
5. *Approves* the staffing table for the programme budget, as contained in table 2 below;
6. *Approves* a contingency budget for conference servicing, amounting to US\$ 5,661,800, to be added to the programme budget for the coming biennium in the event that the General Assembly of the United Nations decides not to provide resources for these activities in the United Nations regular budget for the biennium 2002-2003 (see tables 3 and 4 below);
7. *Invites* the United Nations General Assembly to decide at its fifty-sixth session on the issue of meeting the conference-servicing expenses of the Convention from its regular budget;
8. *Requests* the Executive Secretary to report to the Subsidiary Body for Implementation on the implementation of paragraph 6 above, as necessary;
9. *Authorizes* the Executive Secretary to make transfers between each of the main appropriation lines set out in table 1 below, up to an aggregate limit of 15 per cent of the total estimated expenditure for those appropriation lines, provided that a further limitation of up to minus 25 per cent of each such appropriation line shall apply;

¹ Decision 15/CP.1, annex I (FCCC/CP/1995/7/Add.1).

² FCCC/SBI/2001/17.

10. *Decides* to maintain the level of the working capital reserve at 8.3 per cent of the estimated expenditure;

11. *Invites* all Parties to the Convention to note that contributions to the core budget are due on 1 January of each year in accordance with paragraph 8(b) of the financial procedures, and to pay promptly and in full, for each of the years 2002 and 2003, the contributions required to finance expenditures approved under paragraph 1 above, as offset by the contributions noted in paragraph 2 and a drawing approved in paragraph 3 above, and any contributions required to finance the expenditures arising from the decisions referred to in paragraph 6 above;

12. *Takes note* of the funding estimates for the Trust Fund for Participation in the UNFCCC Process specified by the Executive Secretary (US\$ 3,356,200 for the biennium 2002-2003), and invites Parties to make contributions to this fund (see table 5 below);

13. *Invites Parties* to make contributions to the Trust Fund for Supplementary Activities under the United Nations Framework Convention on Climate Change in the order of US\$ 7.3 million for the biennium 2002-2003;

14. *Invites Parties* to make contributions in the order of US\$ 6.8 million to support activities relating to the “prompt start” of the clean development mechanism (CDM) for the biennium 2002-2003;

15. *Requests* the Executive Secretary to report to the Conference of the Parties at its eighth session on income and budget performance and to propose any adjustments that might be needed in the Convention budget for the biennium 2002-2003.

*8th plenary meeting
9 November 2001*

Table 1. Programme budget for the biennium 2002-2003^a
(thousands of United States dollars)

	2002	2003	Biennium total
Expenditures			
I. <u>Executive Direction</u>			
Executive Direction and Management	1 665.8	1 683.1	3 349.0
Intergovernmental and Conference Affairs ^b	693.5	693.5	1 386.9
II. <u>Technical Programmes</u>			
Methods, Inventories and Science	2 746.9	2 964.9	5 711.8
Sustainable Development	1 205.4	1 259.3	2 464.7
Cooperative Mechanisms	858.2	1 067.4	1 925.6
Implementation	2 521.3	2 564.7	5 086.0
III. <u>Support Services</u>			
Conference Affairs Services	1 066.4	1 105.2	2 171.6
Information Services	1 744.2	1 954.6	3 698.8
Administrative Services and support costs	1 541.6	1 488.4	3 030.0
Subtotal (I+II+III) programme activities	14 043.3	14 781.1	28 824.4
IV. <u>Programme support charge (overhead) ^c</u>	1 825.6	1 921.6	3 747.2
V. <u>Working capital reserve ^d</u>	196.3	69.2	265.5
TOTAL BUDGET (lines I+II+III+IV+V)	16 065.2	16 771.9	32 837.1
Income			
Contribution from the host Government ^e	657.9	657.9	1 315.8
Unspent balances or contributions from previous financial periods (carry-over)	2 000.0	3 000.0	5 000.0
Indicative contributions	13 407.3	13 114.0	26 521.3
TOTAL INCOME	16 065.2	16 771.9	32 837.1

^a Incorporating the CDM "prompt start" contingency.

^b This programme was entitled S/COP in the previous presentation.

^c Standard 13 per cent applied by the United Nations for administrative support.

^d In accordance with the financial procedures (decision 15/CP.1, annex I, para. 14). This will bring the working capital reserve to US\$ 1,330,400 in 2002 and US\$ 1,372,200 in 2003.

^e Equivalent to DM 1.5 million, based on the prevailing United Nations rate of exchange in June 2001 (US\$ 1= DM 2.28)

Table 2. Programme budget staffing table 2002-2003

	2002	2003
A. <u>Professional category and above</u>		
Executive Secretary (ASG)	1	1
D-2	3	4
D-1	6	6
P-5	8	8
P-4	17	18
P-3	25	25
P-2	9	9
Subtotal (A)	69	71
B. <u>General Service category</u>	38.5	39.5
TOTAL (A+B)	107.5	110.5

Table 3. Resource requirements for the conference services contingency
(thousands of United States dollars)

Item of expenditure	2002	2003	Biennium total
A. Servicing of meetings ^a	987.1	1 015.1	2 002.2
B. Documentation ^b	1 326.8	1 340.1	2 666.9
Subtotal	2 313.9	2 355.2	4 669.1
C. Overhead charge ^c	300.8	306.2	607
D. Contingencies ^d	78.4	79.8	158.2
E. Working capital reserve ^e	223.5	4	227.5
TOTAL	2 916.6	2 745.2	5 661.8

^a Includes interpretation and conference assistance.

^b Includes revision, translation, typing, reproduction and distribution of pre-, in- and post-session documentation (regular and temporary staff, travel, and contractual services).

^c Standard 13 per cent applied by the United Nations for administrative support.

^d Including exchange rate fluctuations, calculated at 3 per cent.

^e In accordance with paragraph 14 of the financial procedures. The 2002 amount has been calculated as 8.3 per cent of the subtotal and the overhead charge; the 2003 amount has been calculated as the amount required to bring the carried-over 2002 reserve to 8.3 per cent of the subtotal and overhead charge for 2003.

Table 4. Staffing requirements for the conference services contingency

		2002	2003
A.	Professional category and above		
	P-4	1	1
	Total Professional category and above	1	1
B.	Total General Service category	5	5
TOTAL (A+B)		6	6

**Table 5. Trust Fund for Participation in the UNFCCC Process:
Estimated resource requirements
(thousands of United States dollars)**

Item of expenditure		2002	2003	Biennium total
A.	Support for eligible Parties to participate in one two-week session of the subsidiary bodies	630.0	630.0	1 260.0
B.	Support for eligible Parties to participate in one two-week session of the Conference of the Parties and its subsidiary bodies ^a	855.0	855.0	1 710.0
	Subtotal	1 485.0	1 485.0	2 970.0
	Overhead charge ^b	193.1	193.1	386.2
	TOTAL	1 678.1	1 678.1	3 356.2

^a Includes funding for a second delegate from least developed countries and small island developing States, in accordance with the practice followed at all meetings of the Conference of the Parties to date.

^b Standard 13 per cent applied by the United Nations for administrative support.

Annex

Indicative scales of contribution 2002-2003				
Party	2002		2003	
	United Nations Scale	Revised UNFCCC Scale	United Nations Scale	Revised UNFCCC Scale
Albania	0.003	0.003	0.00300	0.003
Algeria	0.071	0.069	0.07000	0.068
Angola	0.002	0.002	0.00200	0.002
Antigua and Barbuda	0.002	0.002	0.00200	0.002
Argentina	1.159	1.122	1.14900	1.113
Armenia	0.002	0.002	0.00200	0.002
Australia	1.640	1.588	1.62700	1.576
Austria	0.954	0.924	0.94700	0.917
Azerbaijan	0.004	0.004	0.00400	0.004
Bahamas	0.012	0.012	0.01200	0.012
Bahrain	0.018	0.017	0.01800	0.017
Bangladesh	0.010	0.010	0.01000	0.010
Barbados	0.009	0.009	0.00900	0.009
Belarus	0.019	0.018	0.01900	0.018
Belgium	1.138	1.102	1.12900	1.094
Belize	0.001	0.001	0.00100	0.001
Benin	0.002	0.002	0.00200	0.002
Bhutan	0.001	0.001	0.00100	0.001
Bolivia	0.008	0.008	0.00800	0.008
Bosnia and Herzegovina	0.004	0.004	0.00400	0.004
Botswana	0.010	0.010	0.01000	0.010
Brazil	2.093	2.027	2.39000	2.315
Brunei Darussalam	0.000	0.000	0.00000	0.000
Bulgaria	0.013	0.013	0.01300	0.013
Burkina Faso	0.002	0.002	0.00200	0.002
Burundi	0.001	0.001	0.00100	0.001
Cambodia	0.002	0.002	0.00200	0.002
Cameroon	0.009	0.009	0.00900	0.009
Canada	2.579	2.497	2.55800	2.478
Cape Verde	0.001	0.001	0.00100	0.001
Central African Republic	0.001	0.001	0.00100	0.001
Chad	0.001	0.001	0.00100	0.001
Chile	0.187	0.181	0.21200	0.205
China	1.545	1.496	1.53200	1.484
Colombia	0.171	0.166	0.20100	0.195
Comoros	0.001	0.001	0.00100	0.001

Indicative scales of contribution 2002-2003				
Party	2002		2003	
	United Nations Scale	Revised UNFCCC Scale	United Nations Scale	Revised UNFCCC Scale
Congo	0.001	0.001	0.00100	0.001
Cook Islands	0.001	0.001	0.00100	0.001
Costa Rica	0.020	0.019	0.02000	0.019
Côte d'Ivoire	0.009	0.009	0.00900	0.009
Croatia	0.039	0.038	0.03900	0.038
Cuba	0.030	0.029	0.03000	0.029
Cyprus	0.038	0.037	0.03800	0.037
Czech Republic	0.172	0.167	0.20300	0.197
Democratic People's Republic of Korea	0.009	0.009	0.00900	0.009
Democratic Republic of the Congo	0.004	0.004	0.00400	0.004
Denmark	0.755	0.731	0.74900	0.726
Djibouti	0.001	0.001	0.00100	0.001
Dominica	0.001	0.001	0.00100	0.001
Dominican Republic	0.023	0.022	0.02300	0.022
Ecuador	0.025	0.024	0.02500	0.024
Egypt	0.081	0.078	0.08100	0.078
El Salvador	0.018	0.017	0.01800	0.017
Equatorial Guinea	0.001	0.001	0.00100	0.001
Eritrea	0.001	0.001	0.00100	0.001
Estonia	0.010	0.010	0.01000	0.010
Ethiopia	0.004	0.004	0.00400	0.004
European Community	2.500	2.500	2.50000	2.500
Fiji	0.004	0.004	0.00400	0.004
Finland	0.526	0.509	0.52200	0.506
France	6.516	6.310	6.46600	6.263
Gabon	0.014	0.014	0.01400	0.014
Gambia	0.001	0.001	0.00100	0.001
Georgia	0.005	0.005	0.00500	0.005
Germany	9.845	9.534	9.76900	9.463
Ghana	0.005	0.005	0.00500	0.005
Greece	0.543	0.526	0.53900	0.522
Grenada	0.001	0.001	0.00100	0.001
Guatemala	0.027	0.026	0.02700	0.026
Guinea	0.003	0.003	0.00300	0.003
Guinea-Bissau	0.001	0.001	0.00100	0.001
Guyana	0.001	0.001	0.00100	0.001
Haiti	0.002	0.002	0.00200	0.002
Honduras	0.004	0.004	0.00500	0.005
Hungary	0.121	0.117	0.12000	0.116
Iceland	0.033	0.032	0.03300	0.032

Indicative scales of contribution 2002-2003				
Party	2002		2003	
	United Nations Scale	Revised UNFCCC Scale	United Nations Scale	Revised UNFCCC Scale
India	0.344	0.333	0.34100	0.330
Indonesia	0.201	0.195	0.20000	0.194
Iran (Islamic Republic of)	0.236	0.229	0.27200	0.263
Ireland	0.297	0.288	0.29400	0.285
Israel	0.418	0.405	0.41500	0.402
Italy	5.104	4.943	5.06475	4.906
Jamaica	0.004	0.004	0.00400	0.004
Japan	19.669	19.047	19.51575	18.904
Jordan	0.008	0.008	0.00800	0.008
Kazakhstan	0.029	0.028	0.02800	0.027
Kenya	0.008	0.008	0.00800	0.008
Kiribati	0.001	0.001	0.00100	0.001
Kuwait	0.148	0.143	0.14700	0.142
Kyrgyzstan	0.001	0.001	0.00100	0.001
Lao People's Democratic Republic	0.001	0.001	0.00100	0.001
Latvia	0.010	0.010	0.01000	0.010
Lebanon	0.012	0.012	0.01200	0.012
Lesotho	0.001	0.001	0.00100	0.001
Libyan Arab Jamahiriya	0.067	0.065	0.06700	0.065
Liechtenstein	0.006	0.006	0.00600	0.006
Lithuania	0.017	0.016	0.01700	0.016
Luxembourg	0.080	0.077	0.08000	0.077
Madagascar	0.003	0.003	0.00300	0.003
Malawi	0.002	0.002	0.00200	0.002
Malaysia	0.237	0.230	0.23500	0.228
Maldives	0.001	0.001	0.00100	0.001
Mali	0.002	0.002	0.00200	0.002
Malta	0.015	0.015	0.01500	0.015
Marshall Islands	0.001	0.001	0.00100	0.001
Mauritania	0.001	0.001	0.00100	0.001
Mauritius	0.011	0.011	0.01100	0.011
Mexico	1.095	1.060	1.08600	1.052
Micronesia (Federated States of)	0.001	0.001	0.00100	0.001
Monaco	0.004	0.004	0.00400	0.004
Mongolia	0.001	0.001	0.00100	0.001
Morocco	0.045	0.044	0.04400	0.043
Mozambique	0.001	0.001	0.00100	0.001
Myanmar	0.010	0.010	0.01000	0.010
Namibia	0.007	0.007	0.00700	0.007
Nauru	0.001	0.001	0.00100	0.001

Indicative scales of contribution 2002-2003				
Party	2002		2003	
	United Nations Scale	Revised UNFCCC Scale	United Nations Scale	Revised UNFCCC Scale
Nepal	0.004	0.004	0.00400	0.004
Netherlands	1.751	1.696	1.73800	1.684
New Zealand	0.243	0.235	0.24100	0.233
Nicaragua	0.001	0.001	0.00100	0.001
Niger	0.001	0.001	0.00100	0.001
Nigeria	0.056	0.054	0.06800	0.066
Niue	0.001	0.001	0.00100	0.001
Norway	0.652	0.631	0.64600	0.626
Oman	0.062	0.060	0.06100	0.059
Pakistan	0.061	0.059	0.06100	0.059
Palau	0.001	0.001	0.00100	0.001
Panama	0.018	0.017	0.01800	0.017
Papua New Guinea	0.006	0.006	0.00600	0.006
Paraguay	0.016	0.015	0.01600	0.015
Peru	0.119	0.115	0.11800	0.114
Philippines	0.101	0.098	0.10000	0.097
Poland	0.319	0.309	0.37800	0.366
Portugal	0.466	0.451	0.46200	0.448
Qatar	0.034	0.033	0.03400	0.033
Republic of Korea	1.866	1.807	1.85100	1.793
Republic of Moldova	0.002	0.002	0.00200	0.002
Romania	0.059	0.057	0.05800	0.056
Russian Federation	1.200	1.162	1.20000	1.162
Rwanda	0.001	0.001	0.00100	0.001
Saint Kitts and Nevis	0.001	0.001	0.00100	0.001
Saint Lucia	0.002	0.002	0.00200	0.002
Saint Vincent and the Grenadines	0.001	0.001	0.00100	0.001
Samoa	0.001	0.001	0.00100	0.001
San Marino	0.002	0.002	0.00200	0.002
Sao Tome & Principe	0.001	0.001	0.00100	0.001
Saudi Arabia	0.559	0.541	0.55400	0.537
Senegal	0.005	0.005	0.00500	0.005
Seychelles	0.002	0.002	0.00200	0.002
Sierra Leone	0.001	0.001	0.00100	0.001
Singapore	0.396	0.383	0.39300	0.381
Slovakia	0.043	0.042	0.04300	0.042
Slovenia	0.081	0.078	0.08100	0.078
Solomon Islands	0.001	0.001	0.00100	0.001
South Africa	0.411	0.398	0.40800	0.395
Spain	2.539	2.459	2.51875	2.440

Indicative scales of contribution 2002-2003				
Party	2002		2003	
	United Nations Scale	Revised UNFCCC Scale	United Nations Scale	Revised UNFCCC Scale
Sri Lanka	0.016	0.015	0.01600	0.015
Sudan	0.006	0.006	0.00600	0.006
Suriname	0.002	0.002	0.00200	0.002
Swaziland	0.002	0.002	0.00200	0.002
Sweden	1.035	1.002	1.02675	0.995
Switzerland	1.274	1.234	1.27400	1.234
Syrian Arab Republic	0.081	0.078	0.08000	0.077
Tajikistan	0.001	0.001	0.00100	0.001
Thailand	0.254	0.246	0.29400	0.285
The former Yugoslav Republic of Macedonia	0.006	0.006	0.00600	0.006
Togo	0.001	0.001	0.00100	0.001
Tonga	0.001	0.001	0.00100	0.001
Trinidad and Tobago	0.016	0.015	0.01600	0.015
Tunisia	0.031	0.030	0.03000	0.029
Turkmenistan	0.003	0.003	0.00300	0.003
Tuvalu	0.001	0.001	0.00100	0.001
Uganda	0.005	0.005	0.00500	0.005
Ukraine	0.053	0.051	0.05300	0.051
United Arab Emirates	0.204	0.198	0.20200	0.196
United Kingdom of Great Britain and Northern Ireland	5.579	5.402	5.53600	5.363
United Republic of Tanzania	0.004	0.004	0.00400	0.004
United States of America	22.000	21.304	22.00000	21.311
Uruguay	0.081	0.078	0.08000	0.077
Uzbekistan	0.011	0.011	0.01100	0.011
Vanuatu	0.001	0.001	0.00100	0.001
Venezuela	0.210	0.203	0.20800	0.201
Viet Nam	0.013	0.013	0.01600	0.015
Yemen	0.007	0.007	0.00600	0.006
Yugoslavia	0.020	0.019	0.02000	0.019
Zambia	0.002	0.002	0.00200	0.002
Zimbabwe	0.008	0.008	0.00800	0.008
TOTAL	103.184	100.000	103.152	100.000

Decision 39/CP.7

**Income and budget performance in the biennium 2000-2001 and
arrangements for administrative support to the Convention**

The Conference of the Parties,

Having considered the report of the Subsidiary Body for Implementation on its work on administrative and financial matters at its fourteenth and fifteenth sessions,

Having taken note of the reports by the Executive Secretary on related subjects,¹

1. *Approves* the revised scale of contributions for 2001 based on the United Nations revised scale of assessments adopted at the fifty-fifth session of the General Assembly;
2. *Takes note* of the interim financial statements as at 31 December 2000;
3. *Takes note also* of income and budget performance in the biennium 2000-2001, as at 30 June 2001;
4. *Expresses* its appreciation to the Parties that made their contributions to the core budget in a timely manner, as well as to those that made additional voluntary contributions to the Trust Fund for Participation in the UNFCCC Process and the Trust Fund for Supplementary Activities of the UNFCCC;
5. *Expresses also* its appreciation to the Government of Germany for its special contribution to cover costs of events held in Germany (the Bonn Fund);
6. *Expresses* concern at the continuing trend towards late payment of contributions, some still outstanding from 1996 and 1997, and encourages all Parties that have not yet paid their contributions to do so without further delay;
7. *Takes note* of the action taken by the Executive Secretary to improve the administrative arrangements for the Convention secretariat and requests him to continue with these efforts.

*8th plenary meeting
9 November 2001*

¹ FCCC/SBI/2001/16, FCCC/SBI/2001/INF.2, FCCC/SBI/2001/INF.3/Rev.1, FCCC/SBI/2001/INF.5 and FCCC/SBI/2001/INF.10.

IV. RESOLUTIONS ADOPTED BY THE CONFERENCE OF THE PARTIES

Resolution 1/CP.7

1. Expression of gratitude to the Government of the Kingdom of Morocco and to the City and people of Marrakesh

The Conference of the Parties,

Having met in Marrakesh from 29 October to 10 November 2001 at the invitation of the Government of the Kingdom of Morocco,

1. *Expresses its profound gratitude* to the Government of the Kingdom of Morocco for having made it possible for the seventh session of the Conference of the Parties to be held in Marrakesh;

2. *Requests* the Government of the Kingdom of Morocco to convey to the City and the people of Marrakesh the gratitude of the Conference of the Parties for the hospitality and warm welcome extended to the participants.

*8th plenary meeting
10 November 2001*

Resolution 2/CP.7

2. Expression of gratitude to the Executive Secretary

The Conference of the Parties,

Mindful of his untiring efforts in the service of the climate change process since the first meeting of the Intergovernmental Negotiating Committee in February 1991,

Noting his achievement in building and leading an efficient and respected secretariat team,

Recognizing his fairness and objectivity in ensuring that the views and interests of all Parties are respected,

Acknowledging his commitment, professionalism and acumen which contributed to the successes of the climate change negotiating process, including the entry into force of the Convention, the adoption of the Kyoto Protocol, and the adoption of the Bonn Agreements and the Marrakesh Accords for the implementation of the Buenos Aires Plan of Action,

1. *Expresses* its great appreciation to Michael Zammit Cutajar for his excellent services as Executive Secretary of the Convention secretariat;
2. *Wishes* him well in his future pursuits.

*8th plenary meeting
10 November 2001*

V. OTHER ACTION TAKEN BY THE CONFERENCE OF THE PARTIES

A. Calendar of meetings of Convention bodies, 2002-2007

At its 8th plenary meeting on 9 November 2001, the Conference of the Parties, on the recommendation of the Subsidiary Body for Implementation, adopted the calendar of meetings of Convention bodies for the years 2005-2007.

Accordingly, the calendar of meetings of Convention bodies for the period 2002-2007 is as follows;

- First sessional period in 2002: from 3 to 14 June 2002;
- Second sessional period in 2002: from 23 October to 1 November 2002¹;
- First sessional period in 2003: from 2 to 13 June 2003;
- Second sessional period in 2003: from 1 to 12 December 2003;
- First sessional period in 2004: from 14 to 25 June 2004;
- Second sessional period in 2004: from 29 November to 10 December 2004.
- First sessional period in 2005: from 16 May to 27 May;
- Second sessional period in 2005: from 7 to 18 November;
- First sessional period in 2006: from 15 May to 26 May;
- Second sessional period in 2006: from 6 to 17 November;
- First sessional period in 2007: from 7 May to 18 May; and
- Second sessional period in 2007: from 5 November to 16 November.

B. Report of the Global Environment Facility to the Conference of the Parties

1. At its 8th plenary meeting on 9 November 2001, the Conference of the Parties, endorsing the conclusions of the Subsidiary Body for Implementation, took note of the report of the Global Environment Facility (GEF) to the Conference of the Parties (FCCC/CP/2001/8). The report included information on how the GEF had applied the guidance and decisions of the Conference of the Parties in accordance with the memorandum of understanding between the Conference of the Parties and the GEF Council. The Conference welcomed the efforts made by the GEF to support capacity building through its Capacity Development Initiative.

2. The Conference noted the important role that the GEF, as an operating entity of the financial mechanism of the Convention, played in supporting efforts made by Parties to address

¹ Dates revised pursuant to decision 37/CP.7.

the problems of climate change, and urged the GEF to streamline its procedures in order to reduce the time between project approval and disbursement of funds and, in addition, to encourage its implementing agencies to be more responsive to the requests of developing countries for financial and technical support.

3. The Conference recalled the relevant provisions of decisions 2/CP.4 and 8/CP.5, and urged the GEF to facilitate the provision of financial support to non-Annex I Parties requesting funds for the preparation of their second national communications. The Conference further noted the concerns expressed by some Parties regarding the adequacy of GEF assistance to programmes supporting the preparation of national communications in non-Annex I Parties.

4. The Conference also took note of the conclusions of the SBSTA relating to the Third Assessment Report of the Intergovernmental Panel on Climate Change, which urge the GEF to make financial resources available to ensure that the Third Assessment Report is widely disseminated. The Conference also noted of the conclusions of the Subsidiary Body for Scientific and Technological Advice relating to the financial requirements for the implementation of Article 6 of the Convention, urging the GEF to make financial resources available for this purpose.

C. Amendment proposed by Kazakhstan to add its name to the list in Annex I to the Convention²

1. At its 8th plenary meeting, on 9 November 2001, the Conference of the Parties, acting upon the recommendation of the Subsidiary Body for Implementation, took note that Kazakhstan, in accordance with Article 4, paragraph 2(g), had notified the Depositary on 23 March 2000 that it intended to be bound by Article 4, paragraphs 2(a) and (b) of the Convention. The Conference further noted that the Depositary had informed the other signatories and Parties of that notification, and that, upon ratification of the Kyoto Protocol by Kazakhstan and its entry into force, Kazakhstan becomes a Party included in Annex I for the purposes of this Protocol in accordance with Article 1, paragraph 7 of the Protocol.

2. The Conference of the Parties noted the interest expressed by Kazakhstan in engaging in negotiations with a view to defining a quantified emission limitation or reduction commitment for Kazakhstan under Annex B of the Protocol.

3. The Conference of the Parties recognized that Kazakhstan will continue to be a Party not included in Annex I for purposes of the Convention.

² The heading of this item reflects the original request of Kazakhstan of 24 April 1999. The heading has been retained although this conclusion by the Conference of the Parties does not imply any amendment of the lists in the Annexes to the Convention.

**D. Conclusions on assessing the status of implementation of
Article 4, paragraph 9, of the Convention**

1. At its 8th plenary meeting on 10 November 2001, the Conference of the Parties, acting upon the recommendation of the Subsidiary Body for Implementation, noted that work had advanced significantly in this context, both under the agenda item on matters relating to the least developed countries (LDCs), particularly regarding the establishment of the LDC fund and development of guidance thereon, the development of guidelines on national adaptation programmes of action (NAPAs), and the establishment of an LDC Expert Group, as well as under other related agenda items.
2. The Conference further noted that, in order to operationalize this action in a way that would meet the urgent and immediate needs of least developed countries, relating to their vulnerability and adaptation to the adverse effects of climate change, prompt steps needed to be taken to expedite the process of provision of funding in support of NAPA preparation.
3. The Conference concluded that there was a need to assess the status of implementation of Article 4, paragraph 9, of the Convention at its ninth session, with a view to considering further action thereon.

E. Election of the Executive Board of the Clean Development Mechanism

At its 8th plenary meeting on 10 November 2001, the Conference of the Parties elected the members of the Executive Board of the Clean Development Mechanism, as follows:

	Member	Alternate
Africa (1)	Mr. John Shaibu Kilani South Africa (3-year term)	Mr. Ndiaye Cheikh Sylla Senegal (3-year term)
Asia (1)	Mr. Mohammad Reza Salamat Islamic Republic of Iran (3-year term)	Mr. Chow Kok Kee Malaysia (3-year term)
Eastern Europe (1)	Mr. Oleg Pluzhnikov Russian Federation (2-year term)	Ms. Marina Shvangiradze Georgia (2-year term)
GRULAC (1)	Mr. Luiz Gylvan Meira Filho Brazil (3-year term)	Mr. Eduardo Sanhueza Chile (3-year term)
WEOG (1)	Mr. Jean-Jacques Becker France (3-year term)	Mr. Martin Enderlin Switzerland (3-year term)
AOSIS (1)	Mr. John W. Ashe Antigua and Barbuda (2-year term)	Mr. Tuiloma Neroni Slade Samoa (2-year term)
NAIP ¹ (2)	Mr. Franz Tattenbach Capra Costa Rica (2-year term)	Mr. Abdulmuhsen Al-Sunaid Saudi Arabia (2-year term)
	Mr. Abdelhay Zerouali Morocco (2-year term)	Mr. Xuedu Lu China (2-year term)
AIP ² (2)	Mr. Sozaburo Okamatsu Japan (3-year term)	Ms. Sushma Gera Canada (3-year term)
	Mr. Hans-Juergen Stehr Denmark (2-year term)	Mr. Georg Børsting Norway (2-year term)

¹ NAIP refers to a Party not included in Annex 1.

² AIP refers to a Party included in Annex 1.