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CONFERENCE OF THE PARTIES

**Report of the Conference of the Parties  
on its tenth session, held at Buenos Aires  
from 6 to 18 December 2004**

**Addendum**

**Part Two: Action taken by the Conference of the Parties  
at its tenth session**

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## Decision 1/CP.10

### Buenos Aires programme of work on adaptation and response measures

*The Conference of the Parties,*

*Recalling* its decision 5/CP.7 and 10/CP.9,

*Welcoming* the progress made in the implementation of decision 5/CP.7,

*Acknowledging* that there is a need to further implement decision 5/CP.7 in order to address the gaps in implementation that remain,

*Having considered* the reports on the workshops referred to in paragraphs 32–37 of decision 5/CP.7,<sup>1</sup>

*Having considered* submissions from Parties on this subject,<sup>2</sup>

#### I. Adverse effects of climate change

1. *Invites* developing country Parties to make use of the strategic priorities on adaptation and capacity-building funded by the Global Environment Facility, in response to existing guidance from the Conference of the Parties, and of the funding recently pledged to the Special Climate Change Fund;
2. *Requests* the entities identified in decision 5/CP.7, paragraphs 7 and 8, to make available further financial and technical resources to implement the actions therein;
3. *Urges* Parties included in Annex II to the Convention (Annex II Parties) to contribute to the Special Climate Change Fund and other multilateral and bilateral sources, to support, as a top priority, adaptation activities to address the adverse impacts of climate change;
4. *Insists* that action relating to adaptation follow an assessment and evaluation process, based on national communications and/or other relevant information, so as to prevent maladaptation and to ensure that adaptation actions are environmentally sound and will produce real benefits in support of sustainable development;
5. *Decides* to further the implementation of actions under decision 5/CP.7, paragraph 7, including through:
  - (a) **Information and methodologies**
    - (i) Improving data collection and information gathering, and the analysis, interpretation and dissemination of such data and information to end-users, under decision 5/CP.7, paragraph 7 (a) (i), within and by Parties not included in Annex I to the Convention (non-Annex I Parties) which are vulnerable to the adverse effects of climate change, including through the enhancement of systematic observation and monitoring networks in countries with observation stations that feed into the Global Climate Observing System and through increased data sharing between Parties, particularly among Parties included in Annex I to the Convention (Annex I Parties) and among non-Annex I Parties;

<sup>1</sup> FCCC/SBI/2002/9, FCCC/SBI/2003/11, FCCC/SBI/2003/18, FCCC/SB/2003/1, FCCC/SBI/2003/INF.2.

<sup>2</sup> FCCC/SBI/2004/MISC.2 and Add.1–2, and FCCC/SBI/2002/MISC.3 and Add.1, FCCC/SBSTA/2004/MISC.12 and Add.1, FCCC/SBSTA/2004/MISC.6, FCCC/SBSTA/2003/MISC.11.

- (ii) Strengthening in-country capacity-building for generating, managing, processing and analysing data sets, for improving the quality of analytical tools, and for disseminating the results of these efforts in sectors that can contribute to climate change impact analysis, including through the development and strengthening of in-country modelling tools to assess the adverse effects of climate change and drivers of regional climate trends;
  - (iii) Providing additional training in each specialized field relevant to adaptation identified in decision 5/CP.7, paragraph 7 (a) (iii), in order to create and sustain in-country capacity, including through overseas training, scholarship programmes and workshops, according to needs identified by Parties;
  - (iv) Improving the availability of General Circulation Models, including their outputs and results, and providing training and financial and technical assistance to non-Annex I Parties for the development and application of downscaling tools at regional and national levels;
  - (v) Strengthening institutions and centres through targeted research programmes under decision 5/CP.7, paragraph 7 (a) (v) and (vi), to address the adverse effects of climate change in vulnerable sectors;
  - (vi) Supporting education and training in, and public awareness of, issues relating to climate change under decision 5/CP.7, paragraph 7 (a) (vii), as well as stakeholder participation in key sectors;
- (b) **Vulnerability and adaptation**
- (i) Carrying out pilot and demonstration projects under decision 5/CP.7, paragraph 7 (b) (v), in particular to take forward adaptation projects identified in national communications and other relevant sources, including activities that strengthen adaptive capacity;
  - (ii) Enhancing technical training for integrated climate change impact and vulnerability assessment across all relevant sectors, and for environmental management relating to climate change under decision 5/CP.7, paragraph 7 (b) (ii);
  - (iii) Promoting the transfer of technologies for adaptation under decision 5/CP.7, paragraph 7 (b) (iv), on an urgent basis in priority sectors, including agriculture and water resources, for example through the exchange of experiences and lessons learned in enhancing resilience to the adverse effects of climate change in key sectors;
  - (iv) Building capacity, including institutional capacity, for preventive measures, planning, preparedness and management of disasters relating to climate change, including contingency planning, in particular for droughts and floods and extreme weather events, in accordance with decision 5/CP.7, paragraphs 7 (b) (vi) and 8 (c);

6. *Requests* the Global Environment Facility to report to the Conference of the Parties at its eleventh session (November–December 2005) and subsequent sessions on how the above-mentioned activities have been supported, and the barriers, obstacles and opportunities presented, through:

- (a) The strategic priority “Piloting an Operational Approach to Adaptation”

- (b) The small grants programme
- (c) Efforts to address adaptation in the climate change focal area and to mainstream it into other focal areas of the Global Environment Facility
- (d) The Least Developed Countries Fund and efforts to finance the preparation of national adaptation programmes of action
- (e) The Special Climate Change Fund;

7. *Requests* the Global Environment Facility to expand support for the elaboration of adaptation strategies as part of the national communication process in non-Annex I Parties;

8. *Requests* the secretariat to organize the following, before the thirteenth session of the Conference of the Parties (November 2007), as outlined in decision 5/CP.7, paragraph 32, in order to facilitate information exchange and integrated assessments to assist in identifying specific adaptation needs and concerns:

- (a) Three regional workshops, reflecting regional priorities
- (b) One expert meeting for small island developing States, reflecting issues of priority identified by that group;

9. *Further requests* the secretariat to prepare reports on the outcome of these workshops and meetings in order for the Subsidiary Body for Implementation to consider what further actions may be required by the Conference of the Parties at its thirteenth session;

### **Modelling**

10. *Encourages* the Intergovernmental Panel on Climate Change to incorporate, to the extent possible, region-specific modelling information on the adverse effects of climate change into its Fourth Assessment Report, and to engage developing country researchers in the assessment process;

11. *Stresses* the importance of involving experts from developing countries in improving data collection and information gathering relating to the adverse effects of climate change, as well as in the analysis, interpretation and dissemination of such data and information;

### **Reporting**

12. *Requests* Annex II Parties to provide detailed information, including in their national communications, on progress made on support programmes to meet the specific needs and circumstances of developing country Parties arising from the adverse effects of climate change;

13. *Encourages* non-Annex I Parties to provide information, including in their national communications and/or other reports, on their specific needs and concerns arising from the adverse effects of climate change, including any gaps they identify in the implementation of decision 5/CP.7;

14. *Requests* the Subsidiary Body for Implementation at its twenty-seventh session (November 2007) to consider available compilation and synthesis reports of national communications of both Annex I Parties and non-Annex I Parties and other relevant reports in relation to the adverse effects of climate change;

## II. Impact of the implementation of response measures

### Progress on implementation

15. *Recalls* paragraph 19 of decision 5/CP.7 that mandated support for the implementation of activities included in paragraphs 22–29 through the Global Environment Facility (in accordance with decision 6/CP.7), the Special Climate Change Fund (in accordance with decision 7/CP.7), and other bilateral and multilateral sources;

### Modelling and economic diversification

16. *Requests* the secretariat to organize two pre-session expert meetings in conjunction with sessions of the Subsidiary Body for Implementation:

- (a) The first, in conjunction with the twenty-third session of the Subsidiary Body for Implementation (November–December 2005), to consider the outcomes of the workshops held in response to decision 5/CP.7, paragraphs 33 and 35, and exchange information on tools and methodologies to achieve resilience to possible impacts of response measures, including the assessment of the role of financial risk management strategies, as well as modelling for socio-economic impacts
- (b) The second, in conjunction with the twenty-fourth session of the Subsidiary Body for Implementation (May 2006), to consider how economic diversification might be integrated into, and support sustainable development strategies and to discuss what technical assistance may be needed to develop structural and institutional capacity for facilitating efforts to achieve economic diversification, as well as how foreign and domestic private sector investments in these areas may be encouraged;

17. *Decides* that the outcome of these meetings be reported to the Subsidiary Body for Implementation at its twenty-fifth session (November 2006), to consider what further actions may be required by the Conference of the Parties at its thirteenth session;

### Reporting

18. *Requests* Annex II Parties to provide detailed information, including in their national communications, on progress made on support programmes to meet the specific needs and circumstances of developing country Parties arising from the impact of the implementation of response measures;

19. *Encourages* non-Annex I Parties to provide information, in their national communications and/or other relevant reports, on their specific needs and concerns arising from the impacts of the implementation of response measures, including any gaps they identify on the implementation of decision 5/CP.7;

20. *Requests* the Subsidiary Body for Implementation at its twenty-seventh session (November 2007) to consider available compilation and synthesis reports of national communications of both Annex I and non-Annex I Parties in relation to the impacts of response measures and the implementation of decision 5/CP.7;

21. *Invites* the Global Environment Facility and other bilateral and multilateral sources to provide feedback to the Conference of the Parties, at its twelfth session (November 2006), on activities undertaken in response to decision 5/CP.7, paragraphs 22–29 (in accordance with decisions 6/CP.7 and 7/CP.7), with a view to the Conference of the Parties adopting a decision on further action at its thirteenth session;

### **III. Further multilateral work relating to activities under decision 5/CP.7**

22. *Decides* to assess, at its fourteenth session (December 2008), the status of implementation of Article 4, paragraph 8, of the Convention, decision 5/CP.7 and this decision, and to consider further action thereon;

### **IV. Subsidiary Body for Scientific and Technological Advice programme of work on impacts, vulnerability and adaptation to climate change**

23. *Requests* the Subsidiary Body for Scientific and Technological Advice to develop a structured five-year programme of work on the scientific, technical and socio-economic aspects of impacts, vulnerability and adaptation to climate change, which would address the following issues: methodologies, data and modelling; vulnerability assessments; adaptation planning, measures and actions; and integration into sustainable development, in the context of the terms of reference of the Subsidiary Body for Scientific and Technological Advice as referred to in Article 9 of the Convention;

24. *Requests* the secretariat, under the guidance of the Chair of the Subsidiary Body for Scientific and Technological Advice, to organize an in-session workshop during the twenty-second session of the Subsidiary Body for Scientific and Technological Advice (May 2005) to facilitate the development of the programme referred to in paragraph 23 above;

25. *Invites* Parties to submit to the secretariat, by 31 March 2005, their views on the work programme;

26. *Requests* the secretariat to compile the views submitted in accordance with paragraph 25 above into a miscellaneous document for consideration by the Subsidiary Body for Scientific and Technological Advice at the twenty-second session.

*6<sup>th</sup> plenary meeting  
17–18 December 2004*

## Decision 2/CP.10

### Capacity-building for developing countries (non-Annex I Parties)

*The Conference of the Parties,*

*Recalling* its decision 2/CP.7 to conduct a comprehensive review of the implementation of the framework for capacity-building in developing countries, and decision 9/CP.9 to complete the review at its tenth session and to conduct further comprehensive reviews every five years thereafter,

*Reaffirming* that decision 2/CP.7 should continue to be the basis for and guide the implementation of capacity-building activities in developing countries, and that it remains effective,

*Reaffirming also* that the guiding principles, the approaches and initial scope for the capacity-building framework, as contained in the annex to decision 2/CP.7, are still valid and important in advancing the purpose of the capacity-building framework for developing countries and in contributing to the objective of the Convention,

*Noting* that whereas a range of the priority issues identified in the capacity-building framework are being addressed by the Global Environment Facility and its implementing agencies, as well as other multilateral and bilateral agencies, significant gaps still remain to be filled and access to financial resources remains an issue to be addressed,

*Noting also* that the preparation of national communications and of national adaptation programmes of action in least developed countries and of a range of other activities has contributed to the development of individual level capacity within and across institutions and has trained individuals from different sectors, including non-governmental actors,

*Noting* that Parties, in general, continue to involve different stakeholders, such as non-governmental organizations, academic institutions, and, in some cases, the private sector, in implementing capacity-building activities,

*Acknowledging* that activities, projects and programmes implemented should continue to put emphasis on defining realistic results, identifying programme beneficiaries, monitoring the progress made towards expected results, identifying and managing risks and providing information on results achieved,

*Welcoming* the strategic approach of the Global Environment Facility towards enhancing capacity-building with the aim of providing adequate support for nationally determined and prioritized capacity-building needs,

*Having taken note* of the terms of reference of the first comprehensive review of the implementation of the framework for capacity-building in developing countries, contained in annex III to the report of the Subsidiary Body for Implementation at its eighteenth session,

*Acknowledging* all inputs into the comprehensive review, such as national communications and submissions from Parties, multilateral organizations and the secretariat, as well as from the various assessments, document FCCC/SBI/2004/9 as well as the meeting of capacity-building practitioners held on 3 December 2004 in Buenos Aires, Argentina,

1. *Decides* that the scope of capacity-building needs, as contained in the framework for capacity-building in developing countries, annexed to decision 2/CP.7, is still relevant and that the

following are key factors that should be taken into account and could assist in the further implementation of decision 2/CP.7:

- (a) To make institutional capacity-building a priority for the creation and strengthening of basic institutional infrastructure
- (b) To raise awareness at various levels on climate change issues and increase the involvement of national governmental organizations in capacity-building activities
- (c) To develop and, where appropriate, promote exchange of best practices, experiences, and information on capacity-building activities undertaken by various Parties, including financial resources, case studies and tools for capacity-building
- (d) To ensure effectiveness of capacity-building activities so that:
  - (i) They enhance the ability of developing country Parties to implement the Convention and to participate effectively in the Kyoto Protocol process
  - (ii) Initial and subsequent national communications and national adaptation programmes of action provide a good measure of successful capacity-building as it relates to the implementation of the Convention
  - (iii) Capacity-building is integrated as a priority by policy and decision makers
  - (iv) Long-term sustainability of capacity-building activities is achieved through integration in planning processes
- (e) Financial and technical resources should be made available, through an operating entity of the financial mechanism and, as appropriate, through multilateral and bilateral agencies and the private sector, to assist developing countries, in particular least developed countries and small island developing States among them, in the implementation of this framework
- (f) To further apply learning-by-doing approaches for capacity-building by supporting various types of capacity-building activities, projects and programmes at the national and local levels
- (g) To continue to improve international donor coordination in the provision of financial resources, and to harmonize donor support in alignment with national priorities, plans and strategies
- (h) To ensure that resources are made available for the implementation of capacity-building activities
- (i) To strengthen institutional arrangements at the national level to coordinate implementation consistent with decision 2/CP.7 as a way of promoting integration of climate change issues into the national planning processes so as to increase effectiveness and sustainability of outcomes;

2. *Encourages* Parties to further improve the implementation of capacity-building activities by taking into account key factors identified in paragraph 1 above, and to report on the effectiveness and sustainability of capacity-building programmes in their national communications and other relevant documents;



3. *Requests* the Global Environment Facility, as an operating entity of the financial mechanism, to take into account the key factors identified in paragraph 1 of this decision in the context of the projects of the operating entity of the financial mechanism, when supporting capacity-building activities in developing countries in accordance with decisions 2/CP.7 and 4/CP.9 and as defined in the Strategic Approach to Enhance Capacity-Building;<sup>1</sup>

4. *Invites* Parties included in Annex II to the Convention, multilateral, bilateral and international agencies and the private sector that are in a position to do so, to continue providing financial resources to support the capacity-building framework, as annexed to decision 2/CP.7;

5. *Invites* relevant intergovernmental and non-governmental organizations, and in particular the United Nations Development Programme, the World Bank and the United Nations Environment Programme, to incorporate into their own work programmes, scope of needs identified in the capacity-building framework, taking into account the key factors identified in paragraph 1 above, and to cooperate with each other, with the support and facilitation of the secretariat, in order to ensure efficient and coordinated support to capacity-building efforts by developing country Parties;

6. *Requests* the Global Environment Facility to include in its report to the Conference of Parties, at its eleventh session (November–December 2005), information on how it is responding to this decision;

7. *Decides* to initiate a second comprehensive review of the implementation of the capacity-building framework in developing countries, based on paragraph 8 of this decision and information provided by Parties, the Global Environment Facility and its implementing agencies, and bilateral, multilateral and other international organizations, at the twenty-eighth session of the Subsidiary Body for Implementation (June 2008) with a view to completing it at the fifteenth session of the Conference of the Parties (November–December 2009);

8. *Invites* Parties to submit to the secretariat, by 15 February 2006, their views on steps to be taken by the Subsidiary Body for Implementation to monitor regularly capacity-building activities undertaken pursuant to decision 2/CP.7, for consideration at the twenty-fourth session of the Subsidiary Body for Implementation (May 2006);

9. *Requests* the secretariat:

- (a) To continue to cooperate with the operating entity of the financial mechanism of the Convention, its implementing agencies, and bilateral and other multilateral and international organizations to facilitate the implementation of the capacity-building framework;
- (b) To continue to cooperate with the secretariats of the Convention on Biological Diversity and the United Nations Convention to Combat Desertification, and other pertinent United Nations Conventions to maximize synergy in implementing capacity-building activities through, for example, sharing information, knowledge, experiences and lessons learned in implementation of the respective Conventions;
- (c) To prepare a synthesis report on the steps to be taken to monitor regularly capacity-building activities pursuant to decision 2/CP.7 based on views from Parties as indicated in paragraph 8 and taking into account the work of the Global Environment Facility on capacity-building performance indicators for the climate change focal area

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<sup>1</sup> GEF/C.22/8 at <<http://www.gefweb.org>>.

consistent with decision 4/CP.9 and make this report available to the Subsidiary Body for Implementation at its twenty-fourth session;

- (d) To prepare reports, based on inputs from Parties, the Global Environment Facility and its implementing agencies, as well as from other relevant organizations and institutions, for consideration by the Subsidiary Body for Implementation at its thirty-first session (November–December 2009), to allow the Conference of Parties to complete its second comprehensive review of the capacity-building framework;
- (e) To disseminate, in cooperation with the Global Environment Facility and its implementing agencies, an information document on best practices, lessons learned in capacity-building projects and programmes, and to facilitate its publication through the UNFCCC web site.

*6<sup>th</sup> plenary meeting  
17–18 December 2004*

## Decision 3/CP.10

### Capacity-building for countries with economies in transition

*The Conference of the Parties,*

*Recalling* the provisions relating to the capacity-building framework for countries with economies in transition, contained in its decision 3/CP.7, and to the review of the effectiveness of its implementation, contained in decision 9/CP.9,

*Noting* that capacity-building priorities contained in the framework for capacity-building in Parties with economies in transition are still pertinent,

*Noting also* the results, useful experiences and lessons learned from developing and implementing capacity-building activities at the country and regional levels, for example, the national capacity self-assessments funded by the Global Environment Facility,

*Noting further* that Parties with economies in transition still need support, and that many common issues and lessons learned from the developing countries are also applicable to the Parties with economies in transition,

*Acknowledging* the key challenges encountered by Parties with economies in transition in implementing capacity-building activities, such as insufficient financial and human resources, the need for capacity to be sustainable, lack of active stakeholder participation, the need for increasing support from key decision makers, and the inability to integrate climate change into national policies,

1. *Decides* that the scope of capacity-building needs as contained in the framework for capacity-building in countries with economies in transition, annexed to decision 3/CP.7, is still relevant and that the following are key factors that could assist in implementing decision 3/CP.7:

- (a) **Enhancement** of enabling environments to promote the sustainability and effectiveness of capacity-building activities relating to the implementation of the Convention
- (b) **Improvement** of information sharing through, for example, databases and other means of sharing experiences and best practices
- (c) **Enhancement** of training, education and public awareness relating to climate change
- (d) **Cooperation** and coordination relating to capacity-building among the Parties with economies in transition
- (e) **Enhancement** of the national capacities and expertise in the governments of Parties with economies in transition, including improving institutional arrangements and national coordination
- (f) **Improvement** of the abilities of Parties with economies in transition to participate effectively in international negotiations in the climate change process, including the Convention and its Kyoto Protocol
- (g) **Participation** in, and access to, capacity-building activities by all stakeholders, including governments, civil society and the private sector;

2. *Invites* the Global Environment Facility within its mandate, Parties included in Annex II to the Convention (Annex II Parties), and multilateral, bilateral and other international

organizations that are in a position to do so, to provide financial support for capacity-building activities in Parties with economies in transition, as outlined in decision 3/CP.7;

3. *Invites* the Global Environment Facility within its mandate and its implementing agencies, Annex II Parties and multilateral, bilateral and other international organizations to provide information regarding opportunities for technical and financial support for capacity-building activities in Parties with economies in transition;

4. *Encourages* Parties with economies in transition to use the outcomes and results of national capacity self assessments in prioritizing their capacity-building activities at the country level, and to improve the capacity of experts and institutions to implement the action plans derived from their national capacity self assessment projects;

5. *Encourages* Parties with economies in transition and Annex II Parties to exchange information on human and institutional capacities relating to general priority areas identified in decision 3/CP.7;

6. *Encourages* Parties with economies in transition to strengthen national institutions to build capacity through training, public education and awareness programmes for addressing the various issues relating to the implementation of the Convention and its Kyoto Protocol;

7. *Decides* to review the status of implementation of decision 3/CP.7 at the twenty-seventh session of the Subsidiary Body for Implementation (November 2007) in preparation for the first commitment period of the Kyoto Protocol, making use of information provided by Parties with economies in transition and Annex II Parties in their national communications and other relevant documents and information to be provided by the Global Environment Facility and its implementing agencies, and bilateral, multilateral and other international agencies;

8. *Requests* the secretariat to prepare a compilation and synthesis of information provided by Parties with economies in transition and Annex II Parties for the above-mentioned review and make it available for consideration by the Subsidiary Body for Implementation at its twenty-seventh session;

9. *Invites* the Global Environment Facility and its implementing agencies, and bilateral, multilateral and other international organizations, to provide information for the review of decision 3/CP.7 as indicated in paragraphs 7 and 8 above.

*6<sup>th</sup> plenary meeting  
17–18 December 2004*

## Decision 4/CP.10

### Work of the Least Developed Countries Expert Group

*The Conference of the Parties,*

*Recalling* its decisions 29/CP.7 and 7/CP.9,

*Having considered* the progress report of the Least Developed Countries Expert Group,

*Noting with appreciation* the work performed by the Least Developed Countries Expert Group during its current term,

1. *Requests* the Least Developed Countries Expert Group to prepare possible elements to be considered on the role of the Group in support of the implementation of national adaptation programmes of action as a new mandate and to report thereon to the Subsidiary Body for Implementation at its twenty-third session (November–December 2005);

2. *Requests* the Least Developed Countries Expert Group, in consultation with least developed country Parties, to include in its report to the twenty-third session of the Subsidiary Body for Implementation information on the potential technical and financial difficulties that least developed country Parties may have in the implementation of national adaptation programmes of action.

*6<sup>th</sup> plenary meeting  
17–18 December 2004*

## Decision 5/CP.10

### Implementation of the global observing system for climate

*The Conference of the Parties,*

*Having considered* the recommendations of the Subsidiary Body for Scientific and Technological Advice at its twenty-first-session,

1. *Expresses its appreciation* to the Global Climate Observing System for preparing the *Implementation Plan for the Global Observing System for Climate in Support of the UNFCCC* (hereinafter referred to as the implementation plan);
2. *Welcomes* the emphasis given in the implementation plan to enhancing the participation of developing countries, in particular the least developed countries and small island developing States, in the global observing systems for climate;
3. *Encourages* Parties to strengthen their efforts to address the priorities identified in the implementation plan, and to implement the priority elements in the regional action plans relating to the global observing systems for climate;
4. *Encourages* Parties to enhance their work and collaboration on observation of the essential climate variables and on development of climate products to support the needs of the Convention, including through participation in the Global Climate Observing System cooperation mechanism;
5. *Invites* Parties that support space agencies involved in global observations to request these agencies to provide a coordinated response to the needs expressed in the implementation plan;
6. *Requests* the secretariat of the Global Climate Observing System to provide information to the Subsidiary Body for Scientific and Technological Advice at its twenty-third session (November–December 2005) and, as required, at subsequent sessions, on how the actions identified in the implementation plan are being implemented.

*6<sup>th</sup> plenary meeting  
17–18 December 2004*

## Decision 6/CP.10

### Development and transfer of technologies

*The Conference of the Parties,*

*Recalling* chapter 34 of Agenda 21 on the transfer of environmentally sound technologies and the relevant provisions of the plan of implementation adopted by the World Summit on Sustainable Development held in Johannesburg, South Africa, in August 2002,

*Recalling also* the relevant provisions of the Convention, in particular its Article 4, paragraphs 1, 3, 5, 7 and 8, Article 9, paragraph 2 (c), Article 11, paragraphs 1 and 5, and Article 12, paragraphs 3 and 4,

*Recalling* its decisions 11/CP.1, 13/CP.1, 7/CP.2, 9/CP.3, 2/CP.4, 4/CP.4, 9/CP.5, 4/CP.7 and 10/CP.8,

*Welcoming* progress in the work of the Expert Group on Technology Transfer in enhancing the implementation of Article 4, paragraph 5, of the Convention and in advancing technology transfer activities under the Convention as mandated by decision 4/CP.7, taking particular note of the technical paper on enabling environments for technology transfer<sup>1</sup> and the workshop on innovative options for financing the development and transfer of technologies,<sup>2</sup>

*Agreeing* that issues relating to the implementation of Article 4, paragraph 5, of the Convention on the development and transfer of, or access to, environmentally sound technologies and know-how is a continuing process, and that, inter alia, the assessments of technologies, of terms of access, and of technology needs of Parties will continue to be undertaken under the Convention, to ensure that further substantive progress is made,

*Agreeing also* that the implementation of the commitment of developed country Parties and other developed Parties included in Annex II to the Convention as stated in Article 4, paragraph 5, of the Convention are essential conditions for the effective implementation by developing country Parties of their commitments under the Convention,

1. *Urges* Parties included in Annex II to the Convention (Annex II Parties) to continue to provide and where possible increase financial and technical support for the development and enhancement of the endogenous capacities and technologies of developing country Parties;

2. *Requests* the Expert Group on Technology Transfer to make recommendations for enhancing implementation of the framework for effective and meaningful actions to enhance the implementation of Article 4, paragraph 5, of the Convention prior to the twenty-fourth session of the Subsidiary Body for Scientific and Technological Advice (May 2006), including innovative public and/or private partnerships, enhanced cooperation with the private sector, cooperation with the relevant conventions and intergovernmental processes, and medium- and long-term planning of the Expert Group on Technology Transfer, based on terms of reference for these recommendations to be agreed upon at the twenty-second session of the Subsidiary Body for Scientific and Technological Advice (May 2005) with a view that the outcome of this work would provide inputs towards the review of the Expert Group on Technology Transfer by the Conference of the Parties at its twelfth session (November 2006) in accordance with decision 4/CP.7, including possible revision of key themes in the existing framework;

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<sup>1</sup> FCCC/TP/2003/2.

<sup>2</sup> FCCC/SBSTA/2004/11.

3. *Decides* to encourage Parties to explore the opportunity for further joint research and development programmes/projects between Annex II Parties and Parties not included in Annex I to the Convention for the development of environmentally sound technologies to respond to the requirements of Article 4, paragraph 5, of the Convention;

4. *Encourages* the secretariat to continue its work on a pilot project on networking between the technology information clearing house TT:CLEAR and national and regional technology information centres that would provide Parties with a clear understanding of the technical feasibility and cost implications of the strengthening of technology centres in developing countries and to report on the outcome to the Subsidiary Body for Scientific and Technological Advice at its twenty-third session (November–December 2005).

*6<sup>th</sup> plenary meeting  
17–18 December 2004*



## Decision 7/CP.10

### **Status of, and ways to enhance, implementation of the New Delhi work programme on Article 6 of the Convention**

*The Conference of the Parties,*

*Recalling* Article 6 of the Convention,

*Recalling also* its decision 11/CP.8,

*Noting* the report on progress achieved in implementing Article 6 of the Convention,<sup>1</sup>

*Reaffirming* that the level and nature of climate change outreach activities continue to be driven by national circumstances and capacities, and that many Parties not included in Annex I to the Convention still lack the institutional, financial and technical capacities to plan, coordinate and implement sustainable education, training and public awareness programmes,

*Reaffirming also* that regional, subregional and national workshops are valuable forums for sharing experiences and lessons learned,

*Recognizing* the need to enhance access to, and visibility of, opportunities provided by the Global Environment Facility for funding Article 6 activities,

*Having considered* the recommendations of the Subsidiary Body for Implementation at its twenty-first session,

1. *Recognizes* that:
  - (a) Some Parties have gained experience in planning and implementing Article 6 activities, assessing their specific needs and identifying major obstacles, and that many have already learned from their national experiences;
  - (b) In some developing country Parties, awareness by the public of climate change and its impacts is very low, and that much work needs to be done by Parties to overcome this situation;
  - (c) Some intergovernmental, non-governmental and community-based organizations, as well as the private and public sectors, are working actively to raise awareness about, and increase understanding of, the causes and impacts of climate change as well as on adaptation and mitigation actions;
  - (d) It is essential to share experiences and lessons learned, identify specific opportunities for international and regional cooperation, and build partnership with all sectors of the economy;
2. *Invites* Parties and international organizations in a position to do so to support regional, subregional and national workshops, and the development and implementation of the information network clearing house;

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<sup>1</sup> FCCC/SBI/2004/15.

3. *Urges* the Global Environment Facility to continue its work in improving the access to, and visibility of, opportunities for funding Article 6 activities and in providing information on Article 6 activities in its reports to the Conference of the Parties;

4. *Invites* Parties not included in Annex I to the Convention and Parties with economies in transition to emphasize the Article 6 components within the regular projects they submit to the Global Environment Facility for funding;

5. *Invites* Parties to elaborate on Article 6 activities within the context of implementing capacity-building activities;

6. *Encourages* Parties to identify and engage existing subregional and regional resources, including effective organizations and experts; successful programmes and initiatives; and cooperative agreements with regional and international partners;

7. *Recognizes* that the New Delhi work programme has proven to be an adequate framework for country-driven action;

8. *Decides* that the New Delhi work programme should continue to guide Parties in implementing Article 6 of the Convention;

9. *Decides also* to undertake the full review of the implementation of the New Delhi work programme in 2007;

10. *Requests* Parties to continue to report, within their national communications where possible, on their efforts to implement the New Delhi work programme;

11. *Invites* intergovernmental and non-governmental organizations to provide information to the secretariat on progress achieved in responding to the Article 6 work programme;

12. *Requests* the secretariat to prepare a report for the Subsidiary Body for Implementation, at its twenty-seventh session (November 2007), on progress achieved by Parties in implementing Article 6 of the Convention, based on information contained in national communications and other sources of information, and to facilitate coordinated inputs by intergovernmental and non-governmental organizations.

## Decision 8/CP.10

### Additional guidance to an operating entity of the financial mechanism

*The Conference of the Parties,*

*Recalling* Article 3, Article 4, paragraphs 1, 3, 4, 5, 7, 8 and 9, Article 9, paragraph 2 (c), Article 11, paragraphs 1 and 5, and Article 12, paragraphs 3 and 4, of the Convention,

*Recalling also* its decisions 13/CP.1, 7/CP.2, 10/CP.2, 11/CP.2, 12/CP.2, 9/CP.3, 1/CP.4, 2/CP.4, 4/CP.4, 6/CP.4, 8/CP.5, 9/CP.5, 10/CP.5, 2/CP.7, 3/CP.7, 4/CP.7, 5/CP.7, 6/CP.7, 7/CP.7, 5/CP.8, 7/CP.8, 9/CP.8, 10/CP.8, 2/CP.9, 3/CP.9, 4/CP.9 and 9/CP.9,

*Recalling further* that in accordance with decision 11/CP.1, the Conference of the Parties is to give guidance on policies, programme priorities and eligibility criteria to an operating entity of the financial mechanism,

1. *Requests* the Global Environment Facility, as an operating entity of the financial mechanism, to take into account, on matters relating to capacity-building, the key factors identified in paragraph 1 of decision 2/CP.10 in the context of projects of the operating entity of the financial mechanism, when supporting capacity-building activities in developing countries in accordance with decisions 2/CP.7 and 4/CP.9 and as defined in the Strategic Approach to Enhance Capacity-Building;<sup>1</sup>
2. *Urges* the Global Environment Facility, on matters relating to Article 6 of the Convention, to continue its work in improving access to, and visibility of, opportunities for funding Article 6 activities;
3. *Requests* the Global Environment Facility to report to the Conference of the Parties at its eleventh session (November–December 2005) and at subsequent sessions on how activities identified in paragraph 6 of decision 1/CP.10 have been supported, and the barriers, obstacles and opportunities presented, through:
  - (a) The strategic priority “Piloting an Operational Approach to Adaptation”
  - (b) The small grants programme
  - (c) Efforts to address adaptation in the climate change focal area and to mainstream it into other focal areas of the Global Environment Facility
  - (d) The Least Developed Countries Fund and efforts to finance the preparation of national adaptation programmes of action
  - (e) The Special Climate Change Fund;
4. *Requests* the Global Environment Facility, as an operating entity of the financial mechanism, to make available further financial and technical resources to implement the actions identified in paragraph 7 of decision 5/CP.7;
5. *Requests* the Global Environment Facility to expand support for the elaboration of adaptation strategies as part of the national communication process in Parties not included in Annex I to the Convention;

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<sup>1</sup> GEF/C.22/8 at <<http://www.gefweb.org>>.

6. *Requests* the Global Environment Facility to include in its report to the Conference of the Parties at its eleventh session information on specific steps undertaken to implement this decision;

7. *Invites* the Global Environment Facility to provide feedback to the Conference of the Parties, at its twelfth session (November 2006), on activities undertaken in response to decision 5/CP.7, paragraphs 22–29 (in accordance with decisions 6/CP.7 and 7/CP.7), with a view to the Conference of the Parties adopting a decision on further action at its thirteenth session (November 2007).

*6<sup>th</sup> plenary meeting  
17–18 December 2004*

## Decision 9/CP.10

### Assessment of funding to assist developing countries in fulfilling their commitments under the Convention

*The Conference of the Parties,*

*Recalling* Article 4, paragraphs 3 and 7, Article 11, and Article 21, paragraph 3, of the Convention,

*Recalling also* its decisions 12/CP.2, 12/CP.3 and 5/CP.8,

*Noting with appreciation* the report<sup>1</sup> prepared by the secretariat, in collaboration with the Global Environment Facility, on the assessment of funding necessary to assist developing countries in fulfilling their commitments under the Convention prepared in the context of the memorandum of understanding between the Conference of the Parties and the Council of the Global Environment Facility,<sup>2</sup>

*Noting also* that the report prepared by the secretariat contains useful information that should be communicated to the Global Environment Facility,

*Noting further* that although previous replenishment exercises have been successful, the Conference of the Parties did not make a formal assessment or communication of the amount of funds for the purpose of the joint determination that are necessary to assist developing countries as stated in the procedures set out in the annex to the memorandum of understanding between the Conference of the Parties and the Global Environment Facility,

*Reiterating* that in accordance with the memorandum of understanding and the annex to the memorandum of understanding, the Conference of the Parties and the Global Environment Facility shall jointly determine the aggregate Global Environment Facility funding requirements for the purpose of the Convention,

*Noting* that in recent years there has been an increase in the number of funding sources available for activities relating to climate change,

1. *Decides* that the report on the assessment of funding necessary to assist developing countries in fulfilling their commitments under the Convention shall constitute an input of the Conference of the Parties to the fourth replenishment negotiations of the Global Environment Facility Trust Fund;

2. *Urges* the Council of the Global Environment Facility to ensure that adequate funding is available to enable developing countries to meet their commitments under the Convention, taking into account Article 4, paragraph 7, as well as Article 11, paragraph 5, of the Convention which provides that developed country Parties may also provide through bilateral, regional and other multilateral channels financial resources relating to the implementation of the Convention by developing country Parties;

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<sup>1</sup> FCCC/SBI/2004/18.

<sup>2</sup> FCCC/CP/1996/15/Add.1.

3. *Requests* the secretariat on the basis of the experience of international funds and multilateral financial institutions, to compile information that is relevant to address future investment needs of developing countries for the purposes of fulfilling their commitments under the Convention. It also requests the secretariat to make this compilation available to the Subsidiary Body for Implementation as an information document at its twenty-third session (November–December 2005).

*6<sup>th</sup> plenary meeting  
17–18 December 2004*

## Decision 10/CP.10

### **Continuation of activities implemented jointly under the pilot phase**

*The Conference of the Parties,*

*Recalling* its decisions 5/CP.1, 10/CP.3, 13/CP.5, 8/CP.7 and 14/CP.8,

*Having considered* the conclusions of the Subsidiary Body for Scientific and Technological Advice at its twenty-first session,

*Acknowledging* that activities implemented jointly under the pilot phase have been providing an important opportunity for learning-by-doing and that a number of Parties are maintaining programmes on activities implemented jointly under the pilot phase,

*Noting* that reports on activities implemented jointly under the pilot phase may be submitted at any time and are available on the UNFCCC web site,

1. *Decides* to continue the pilot phase for activities implemented jointly;
2. *Decides also* that the deadline for submission of reports on activities implemented jointly under the pilot phase, to be considered in the seventh synthesis report, shall be 1 June 2006.

*6<sup>th</sup> plenary meeting  
17–18 December 2004*

## Decision 11/CP.10

### Administrative and financial matters

*The Conference of the Parties,*

*Having considered* the information contained in documents prepared by the secretariat on administrative and financial matters,<sup>1</sup>

*Recalling* the financial procedures for the Conference of the Parties to the United Nations Framework Convention on Climate Change adopted by decision 15/CP.1, annex I,<sup>2</sup>

#### I. Audited financial statements for the biennium 2002–2003

1. *Takes note* of the audited financial statements for the biennium 2002–2003, the audit report by the United Nations Board of Auditors, and the comments of the secretariat on the latter;<sup>3</sup>

2. *Expresses appreciation* to the United Nations for arranging the audits of the Convention's accounts and for the valuable audit observations and recommendations;

3. *Takes note* of the recommendations and urges the Executive Secretary to implement them, as appropriate;

#### II. Budget performance in the biennium 2004–2005

4. *Takes note* of the report on financial performance for the period 1 January to 30 June 2004, including the status of contributions to all the trust funds of the Convention;

5. *Expresses appreciation* to Parties that have paid their contributions to the core budget in a timely manner;

6. *Also expresses appreciation* for the contributions received from Parties to facilitate the participation of developing country Parties in the Convention process, particularly the least developed countries and small island developing States among them, as well as contributions to the Trust Fund for Supplementary Activities;

7. *Expresses disappointment* at the continuing shortage of contributions to the Trust Fund for Participation in the UNFCCC Process, and the subsequent inability to finance two delegates from eligible least developed countries and small island developing States;

8. *Notes with concern* the low level of contributions to the Trust Fund for Supplementary Activities in comparison to the requirements for the biennium 2004–2005;

9. *Encourages* Parties to increase their efforts to contribute to the Trust Fund for Participation in the UNFCCC Process and to the Trust Fund for Supplementary Activities;

10. *Reiterates its appreciation* to the Government of Germany for its annual voluntary contribution to the core budget of EUR 766,938 and its special contribution of EUR 1,789,522, as host Government to the secretariat in Bonn;

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<sup>1</sup> FCCC/SBI/2004/12 and Add.1–2, FCCC/SBI/2004/13 and FCCC/SBI/2004/INF.15.

<sup>2</sup> FCCC/CP/1995/7/Add.1.

<sup>3</sup> FCCC/SBI/2004/12 and Add.1–2.



11. *Notes with concern* the large number of Parties that have not made their contributions to the core budget for 2004 or for prior years, some since the establishment of the trust funds;<sup>4</sup>
12. *Notes also* the serious economic difficulties being experienced by some Parties;
13. *Urges* Parties that have not paid their contributions to the core budget to do so without further delay, bearing in mind that contributions are due on 1 January of each year in accordance with the financial procedures;
14. *Urges also* Parties to the Kyoto Protocol to pay their contribution to the Kyoto Protocol Interim Allocation for 2005, which is due by 16 February 2005;
15. *Authorizes* the Executive Secretary, on an exceptional basis and without creating a precedent for the Convention, the Kyoto Protocol or any other international instrument, to draw up to USD 1.5 million from unspent balances (carry-over) from previous financial periods and miscellaneous income to cover over-expenditures on salaries in the biennium 2004–2005 resulting from the reduced value of income as a result of the considerable decline in the value of the United States dollar against the euro during 2004;

### **III. Programme budget for the biennium 2006–2007**

16. *Requests* the Executive Secretary to submit for consideration by the Subsidiary Body for Implementation, at its twenty-second session (May 2005), a proposed programme budget for the biennium 2006–2007, including a contingency for conference services, should this prove necessary in the light of decisions taken by the General Assembly at its fifty-ninth session;
17. *Requests* the secretariat to explore options, taking into account the experience of other international organizations and conventions, to protect the funds of the Convention and the Kyoto Protocol against the adverse effects of exchange rate fluctuations, and to report to the Subsidiary Body for Implementation at its twenty-second session, when submitting the programme budget pursuant to paragraph 16 above;
18. *Requests* the Subsidiary Body for Implementation, at its twenty-second session, to recommend a programme budget for adoption by the Conference of the Parties at its eleventh session (November–December 2005) , and by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its first session;
19. *Also requests* the Subsidiary Body for Implementation to authorize the Executive Secretary to notify Parties of their contributions for 2006 on the basis of the recommended budget.

*6<sup>th</sup> plenary meeting  
17–18 December 2004*

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<sup>4</sup> FCCC/SBI/2004/INF.15.