



Subsidiary Body for Implementation

Forty-sixth session

Bonn, 8–18 May 2017

Item 2(a) of the provisional agenda

Organizational matters

Adoption of the agenda

Provisional agenda and annotations

Note by the Executive Secretary

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II. Annotations to the provisional agenda

1. Opening of the session

1. The forty-sixth session of the Subsidiary Body for Implementation (SBI) will be opened by the Chair, Mr. Tomasz Chruszczow (Poland), on Monday, 8 May 2017.

2. Organizational matters

(a) Adoption of the agenda

2. The provisional agenda, prepared by the Executive Secretary in agreement with the Chair, will be presented for adoption.

<i>FCCC/SBI/2017/1</i>	<i>Provisional agenda and annotations. Note by the Executive Secretary</i>
<i>Further information</i>	http://unfccc.int/10078

(b) Organization of the work of the session

3. Detailed information on the work of the session will be posted on the SBI 46 web page.¹ Delegates are invited to refer to the overview schedule and the in-session Daily Programme² and to regularly consult the closed-circuit television screens for an up-to-date schedule of the work of the SBI. In order to maximize negotiation time and ensure timely closure of the session, presiding officers will propose, in consultation with Parties and in a transparent manner, time-saving approaches to the organization and scheduling of meetings during the session, taking into account previous relevant SBI conclusions.³ In this context, the presiding officers of the SBI, the Subsidiary Body for Scientific and Technological Advice (SBSTA) and the Ad Hoc Working Group on the Paris Agreement (APA) will propose cut-off times for group work and deadlines for submission of conclusions to ensure that they are available in all six official languages of the United Nations for the closing plenary. The presiding officers will also work together to ensure consistency of information provided by the subsidiary bodies, including with regard to mandated events taking place during the sessions.

4. SBI 44 took note of the work of the secretariat on the establishment of a platform for the exchange of experiences and sharing of best practices on mitigation and adaptation in a holistic and integrated manner, as referred to in decision 1/CP.21, paragraph 135, and requested further information on the implementation of such work by SBI 46.⁴ The Conference of the Parties (COP) at its twenty-second session held consultations on this matter and requested the Chair of the SBSTA to initiate the process to develop the local communities and indigenous peoples platform, which will include convening an open multi-stakeholder dialogue on the operationalization of the platform in conjunction with SBSTA 46 and SBI 46 to be co-moderated by the Chair of the SBSTA and a representative

¹ <http://unfccc.int/10078>.

² Available at <http://unfccc.int/10078>.

³ FCCC/SBI/2014/8, paragraphs 218–221.

⁴ FCCC/SBI/2016/8 paragraph 165.

of indigenous peoples organizations. COP 22 agreed that the consideration of this matter will be concluded at SBSTA 47 under a new agenda item.⁵

(c) Multilateral assessment working group session under the international assessment and review process

5. The multilateral assessment process for the second round of the international assessment and review (IAR) process established under the SBI for developed country Parties⁶ is being conducted in the period 2016–2017 and was launched at SBI 45, where 24 Parties were multilaterally assessed.⁷ At this session, 18 Parties will go through this process.

<i>Further information</i>	http://unfccc.int/10090 and http://unfccc.int/9534
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(d) Facilitative sharing of views under the international consultation and analysis process

6. The third facilitative sharing of views under the international consultation and analysis (ICA) process of biennial update reports (BURs) under the SBI⁸ will be convened at this session for the developing country Parties that have submitted a BUR and for which a summary report has been finalized by 8 March 2017. It will take the form of a workshop open to all Parties. Interested Parties will be allowed to submit written questions in advance.

<i>Further information</i>	http://unfccc.int/8722 , http://unfccc.int/10054 and http://unfccc.int/9382
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(e) Other mandated events

Technical examination processes

7. The technical examination processes on mitigation and adaptation are organized jointly by the SBI and the SBSTA,⁹ with the Adaptation Committee conducting the technical examination process on adaptation. To support these processes, the secretariat is organizing at this session a series of technical expert meetings on mitigation and adaptation.¹⁰

<i>Further information</i>	http://climateaction2020.unfccc.int/tep/technical-expert-meetings and http://unfccc.int/10144
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Paris Committee on Capacity-building

8. COP 22 adopted the terms of reference for the Paris Committee on Capacity-building (PCCB) established under decision 1/CP.21, paragraph 71.¹¹ It requested the SBI to organize the first meeting of the PCCB in conjunction with SBI 46 and SBSTA 46, where the PCCB will further develop and adopt its working modalities and procedures.¹²

⁵ FCCC/CP/2016/10, paragraphs 165–168.

⁶ Decision 2/CP.17, annex II.

⁷ See annex to document FCCC/SBI/2016/10.

⁸ Decision 2/CP.17, annex IV.

⁹ Decision 1/CP.21, paragraphs 112 and 126.

¹⁰ Decision 1/CP.21, paragraphs 111(a) and 129(a).

¹¹ Decision 2/CP.22, paragraph 1.

¹² Decision 2/CP.22, paragraphs 5 and 6.

9. SBI 45 agreed that in 2017 the first focus area or theme for the PCCB will be on capacity-building activities for the implementation of nationally determined contributions in the context of the Paris Agreement.¹³

<i>Further information</i>	http://unfccc.int/10053
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In-session workshop to develop possible elements of a gender action plan

10. COP 22 requested the SBI to develop a gender action plan in order to support the implementation of gender-related decisions and mandates under the UNFCCC process. The secretariat will convene, in cooperation with Parties and interested observers and other stakeholders, an in-session workshop during SBI 46 and SBSTA 46 to develop possible elements of the gender action plan for consideration at SBI 47. As invited at COP 22, Parties, observers and other stakeholders may submit their views on the matters to be addressed at the in-session workshop.^{14, 15}

Other events

11. In addition, the following workshops and events are mandated to take place in conjunction with the session:

- (a) The 6th meeting of the Durban Forum on capacity-building;¹⁶
- (b) The 5th Dialogue on Action for Climate Empowerment;¹⁷
- (c) A workshop on opportunities to further enhance the effective engagement of non-Party stakeholders with a view to strengthening the implementation of the provisions of decision 1/CP.21;¹⁸
- (d) The meeting of an ad hoc technical expert group to consider the two areas of the work programme on response measures.¹⁹

3. Reporting from and review of Parties included in Annex I to the Convention

(a) Status of submission and review of second biennial reports from Parties included in Annex I to the Convention

12. *Background:* The COP requested developed country Parties to submit their second biennial reports (BR2s) by 1 January 2016.²⁰ As at 22 February 2017, the secretariat had received 43 BR2s and 43 corresponding BR2 common tabular format submissions. All 43 technical review reports of BR2s have been published.

13. *Action:* The SBI will be invited to take note of the status of submission and review of BR2s.

¹³ FCCC/SBI/2016/20, paragraph 91.

¹⁴ See decision 21/CP.22, paragraphs 27–30.

¹⁵ Parties should submit their views, by 25 January, via the submission portal at <http://unfccc.int/5900>. Observers and other stakeholders should e-mail their submissions to secretariat@unfccc.int.

¹⁶ See paragraphs 46 and 51 below.

¹⁷ See <http://unfccc.int/10123>.

¹⁸ See paragraph 67 below.

¹⁹ See paragraph 53 below.

²⁰ Decision 2/CP.17, paragraph 13.

<i>FCCC/SBI/2017/INF.1</i>	<i>Status of submission and review of second biennial reports. Note by the secretariat</i>
<i>Further information</i>	http://unfccc.int/7550

(b) Compilation and synthesis of second biennial reports from Parties included in Annex I to the Convention

14. *Background:* The secretariat prepared a compilation and synthesis report²¹ on the information reported by Parties included in Annex I to the Convention (Annex I Parties) in their BR2s for consideration at COP 22.²² SBI 45 initiated consideration of this matter and agreed that it would continue at SBI 46.²³

15. *Action:* The SBI will be invited to conclude its consideration of the compilation and synthesis report and to recommend a draft decision on this matter, as appropriate, for consideration and adoption at COP 23.

<i>Further information</i>	http://unfccc.int/7550
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(c) Revision of the “Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part II: UNFCCC reporting guidelines on national communications”

16. *Background:* COP 17 requested SBI 40 to begin the revision of the “Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part II: UNFCCC reporting guidelines on national communications”, based on the experiences gained in preparing the first biennial reports and other information, with a view to the revised guidelines being adopted at COP 20.²⁴ SBI 45 continued the consideration of this matter initiated at SBI 40 and agreed that consideration of the outstanding issue contained in paragraph 71 of the draft guidelines²⁵ would continue at SBI 46.²⁶

17. *Action:* The SBI will be invited to conclude its consideration of the outstanding issue and to recommend a draft decision on the revised guidelines for consideration and adoption at COP 23.

<i>Further information</i>	http://unfccc.int/1095
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(d) Revision of the modalities and procedures for international assessment and review

18. *Background:* COP 22 noted that the SBI had concluded its consideration of the outcome of the first round of the IAR process referred to in decision 2/CP.17, annex II,

²¹ FCCC/SBI/2016/INF.10 and Add.1.

²² Decision 2/CP.17, paragraph 21.

²³ FCCC/SBI/2016/20, paragraphs 19 and 20.

²⁴ Decision 2/CP.17, paragraph 18.

²⁵ As contained in document FCCC/SBI/2016/8, annex I.

²⁶ FCCC/SBI/2016/20, paragraph 20.

paragraph 12.²⁷ Parties may submit their views on the revision of the modalities and procedures for IAR on the basis of experience gained in the first round of IAR.²⁸

19. *Action:* The SBI will be invited to revise the modalities and procedures for IAR on the basis of experience gained in the first round of IAR, taking into account any submissions received from Parties, with a view to recommending revised modalities and procedures for consideration and adoption at COP 23.²⁹

Further information	http://unfccc.int/9534 and http://unfccc.int/10090
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4. Reporting from Parties not included in Annex I to the Convention

(a) Information contained in national communications from Parties not included in Annex I to the Convention

20. *Background:* At SBI 24, some Parties proposed that, in accordance with Article 10, paragraph 2, of the Convention, the SBI consider the information communicated by Parties not included in Annex I to the Convention (non-Annex I Parties) in all of their national communications (NCs).³⁰ At SBI 45, this agenda sub-item was held in abeyance. On a proposal by the Chair, the SBI decided to include this sub-item on the provisional agenda for SBI 46.

21. *Action:* The SBI will be invited to provide guidance on how to consider the information contained in NCs from non-Annex I Parties taking into account the relevant provisions of the Paris Agreement.

(b) Financial and technical support

22. *Background:* SBI 44 encouraged non-Annex I Parties to take advantage of the opportunities for technical assistance and support available under the Global Support Programme of the Global Environment Facility,³¹ and recognized the contribution made by the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention.³² At SBI 45, the secretariat reported on progress made on its efforts, in coordination with the United Nations Environment Programme and the United Nations Development Programme, to provide further technical support aimed at improving the domestic capacity of non-Annex I Parties for continuity in meeting reporting requirements.³³

23. *Action:* The SBI will be invited to agree on any further actions on these matters, as appropriate.

Further information	http://unfccc.int/6921
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²⁷ See decision 18/CP.22.

²⁸ Decision 18/CP.22, paragraph 2. Parties should submit their views, by 1 March 2017, via the submission portal at <http://unfccc.int/5900>.

²⁹ Decision 18/CP.22, paragraph 3.

³⁰ FCCC/SBI/2006/11, paragraph 32.

³¹ FCCC/SBI/2016/8, paragraph 38.

³² FCCC/SBI/2016/8, paragraph 40.

³³ See document FCCC/SBI/2016/INF.17.

(c) Summary reports on the technical analysis of biennial update reports of Parties not included in Annex I to the Convention

24. *Background:* The summary report for each BUR submitted under the ICA process³⁴ is made available on the UNFCCC website³⁵ and presented to the SBI.³⁶

25. Seven rounds of technical analysis covering 34 BURs have been conducted by 10 March 2017. Three rounds were organized in 2015 and four rounds in 2016, covering 14 and 20 BURs, respectively. The seventh round was held from 5 to 9 December 2016 for BURs submitted between 21 June and 21 September 2016.

26. *Action:* The SBI will be invited to take note, in its conclusions, of the summary reports finalized in the period 1 October 2016 to 10 March 2017.

<i>Further information</i>	http://unfccc.int/8722
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5. Development of modalities and procedures for the operation and use of a public registry referred to in Article 4, paragraph 12, of the Paris Agreement

27. *Background:* SBI 45 continued the consideration, initiated at SBI 44, of the development of the modalities and procedures for the operation and use of the public registry referred to in Article 4, paragraph 12, of the Paris Agreement.³⁷ COP 22 requested the SBI to accelerate its work on the work programme resulting from the relevant requests considered in section III of decision 1/CP.21, and to forward the outcomes to COP 24 at the latest.³⁸

28. *Action:* The SBI will be invited to continue its consideration of this matter.³⁹

6. Development of modalities and procedures for the operation and use of a public registry referred to in Article 7, paragraph 12, of the Paris Agreement

29. *Background:* In accordance with Article 7, paragraph 12, of the Paris Agreement, adaptation communications submitted by Parties shall be recorded in a public registry maintained by the secretariat. As agreed by Parties at SBI 44, this item was included on the agenda for that session and its consideration continued at SBI 45.⁴⁰ COP 22 took note of the invitation by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (CMA)⁴¹ to continue to oversee the work on this matter.⁴²

³⁴ See paragraph 6 above.

³⁵ <http://unfccc.int/8722>.

³⁶ Decision 2/CP.17, annex IV, paragraphs 3(a), 4 and 5, and decision 20/CP.19, annex, paragraph 11.

³⁷ Decision 1/CP.21, paragraph 29.

³⁸ Decision 1/CP.22, paragraph 10.

³⁹ FCCC/SBI/2016/20, paragraph 42.

⁴⁰ FCCC/SBI/2016/8, paragraph 51.

⁴¹ Decision 1/CMA.1, paragraph 7.

⁴² Decision 1/CP.22, paragraph 9.

30. *Action:* The SBI will be invited to continue its consideration of this matter.⁴³

7. Review of the modalities and procedures for the clean development mechanism

31. *Background:* SBI 39 initiated the consideration of possible changes to the clean development mechanism (CDM) modalities and procedures.⁴⁴ The consultations at SBI 45 did not result in any conclusions, and in accordance with rules 10(c) and 16 of the draft rules of procedure being applied, this item is included on the provisional agenda for SBI 46.⁴⁵

32. *Action:* The SBI will be invited to conclude its work on this matter and to prepare a draft decision for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) at its thirteenth session.

8. Matters relating to the least developed countries

33. *Background:* SBI 45 requested the Least Developed Countries Expert Group (LEG), in collaboration with the Green Climate Fund (GCF) secretariat and relevant partner organizations, to continue considering ways to further enhance the provision of support to the least developed countries for accessing funding from the GCF for the process to formulate and implement national adaptation plans (NAPs) and to include information thereon in its report for consideration at SBI 46.⁴⁶

34. In accordance with its mandate,⁴⁷ the LEG is requested to develop a two-year rolling programme and present it at the first sessional meeting of the SBI each year. The 31st meeting of the LEG is planned to take place from 7 to 10 March 2017 in Bonn, Germany.

35. *Action:* The SBI will be invited to consider the information contained in the document prepared for the session and take further action, as appropriate.

<i>FCCC/SBI/2017/6</i>	<i>The 31st meeting of the Least Developed Countries Expert Group. Report by the secretariat</i>
<i>Further information</i>	http://unfccc.int/7504 and http://unfccc.int/7568

9. National adaptation plans

36. *Background:* SBI 44 considered how to enhance reporting related to the process to formulate and implement NAPs and agreed that the consideration of this matter would continue at SBI 46 taking into account relevant activities to be considered under the APA.⁴⁸

37. *Action:* The SBI will be invited to continue its consideration of this matter and to determine further actions on this matter, as appropriate.

⁴³ FCCC/SBI/2016/20, paragraph 46.

⁴⁴ In accordance with decision 5/CMP.8.

⁴⁵ FCCC/SBI/2016/20, paragraph 47.

⁴⁶ FCCC/SBI/2016/20, paragraph 60.

⁴⁷ Decision 6/CP.16, paragraph 3.

⁴⁸ FCCC/SBI/2016/8, paragraph 89.

Further information	http://unfccc.int/7500 and http://unfccc.int/7279
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10. Development and transfer of technologies: scope and modalities for the periodic assessment of the Technology Mechanism in relation to supporting the implementation of the Paris Agreement

38. *Background:* SBI 44 initiated the elaboration of the scope and modalities for the periodic assessment of the effectiveness and adequacy of the support provided to the Technology Mechanism in supporting the implementation of the Paris Agreement on matters relating to technology development and transfer.⁴⁹ Parties and observer organizations may submit their views on this matter.⁵⁰ The secretariat will prepare a compilation and synthesis report of those views for consideration at SBI 46.

39. *Action:* The SBI will be invited to determine further actions on this matter, as appropriate.

FCCC/SBI/2017/INF.2	<i>Views on the scope and modalities for the periodic assessment of the Technology Mechanism. Compilation and synthesis report by the secretariat</i>
Further information	http://unfccc.int/ttclear

11. Matters relating to climate finance

(a) Review of the functions of the Standing Committee on Finance

40. *Background:* COP 22 adopted the terms of reference for the review of the functions of the Standing Committee on Finance (SCF).⁵¹ Members of the SCF, Parties, the constituted bodies under the Convention and external stakeholders may submit their views on the review based on these terms of reference.⁵²

41. *Action:* The SBI will be invited to initiate work on the review in accordance with the terms of reference and taking into account the submissions referred to in paragraph 40 above, with a view to completing its work on the review at SBI 47 and recommending a draft decision on the matter for consideration and adoption at COP 23.⁵³

(b) Third review of the Adaptation Fund

42. *Background:* CMP 12 adopted the terms of reference for the third review of the Adaptation Fund⁵⁴ and invited Parties and observer organizations, as well as other

⁴⁹ Decision 1/CP.21, paragraph 70, and document FCCC/SBI/2016/8, paragraphs 93–96.

⁵⁰ Parties should submit their views, by 25 January 2017, via the submission portal at <http://unfccc.int/5900>. Observer organizations should e-mail their submissions to secretariat@unfccc.int.

⁵¹ Decision 9/CP.22.

⁵² Parties should submit their views, by 9 March 2017, via the submission portal at <http://unfccc.int/5900>. Observers and other stakeholders should e-mail their submissions to secretariat@unfccc.int.

⁵³ Decision 9/CP.22, paragraphs 4–6.

⁵⁴ Decision 1/CMP.12, paragraph 1.

interested international organizations, stakeholders and non-governmental organizations involved in the activities of the Adaptation Fund and implementing entities accredited by the Adaptation Fund Board, to submit their views on the third review of the Adaptation Fund.^{55, 56} As requested by the CMP, the secretariat, in collaboration with the Adaptation Fund Board secretariat, will prepare a technical paper for consideration at SBI 47 that will take into account the views referred to above as well as the deliberations and conclusions of SBI 46.⁵⁷

43. *Action:* The SBI will be invited to consider the submissions referred to in paragraph 42 above with a view to completing its work on the third review of the Adaptation Fund at SBI 47 and recommending a draft decision on the matter for consideration and adoption at CMP 13.

Further information	http://unfccc.int/6877
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12. Matters relating to capacity-building

(a) Capacity-building under the Convention

44. *Background:* COP 18 decided to conduct the fourth review of the implementation of the framework for capacity-building in countries with economies in transition at SBI 46.⁵⁸ Parties and relevant organizations may submit information on how they have implemented capacity-building activities in those countries with economies in transition that are currently receiving support.^{59, 60} The secretariat will compile and synthesize this information for consideration at SBI 46.⁶¹ As invited at COP 22, Parties may also submit their views on the fourth review of the implementation of the framework for capacity-building in countries with economies in transition.^{62, 63}

45. To assist the SBI in its annual monitoring and evaluation of the implementation of the framework for capacity-building in developing countries in accordance with decision 2/CP.7, the secretariat will prepare a synthesis report drawing on various sources of information, such as national reports from Annex I and non-Annex I Parties.

46. The 6th meeting of the Durban Forum on capacity-building will be held during SBI 46. Parties may submit their views on potential topics for this meeting.^{64, 65} The discussions at the meeting will be informed by the synthesis report referred to in paragraph 45 above and by a report compiling and synthesizing information on capacity-building contained in reports of relevant bodies established under the Convention and its Kyoto

⁵⁵ Decision 1/CMP.12, paragraph 3.

⁵⁶ Parties should submit their views, by 30 April 2017, via the submission portal at <http://unfccc.int/5900>. Observers and other stakeholders should e-mail their submissions to secretariat@unfccc.int.

⁵⁷ Decision 1/CMP.12, paragraph 4.

⁵⁸ Decision 21/CP.18, paragraph 4.

⁵⁹ Decision 21/CP.18, paragraph 5.

⁶⁰ Parties should submit their views via the submission portal at <http://unfccc.int/5900>. Observers and other stakeholders should e-mail their submissions to secretariat@unfccc.int.

⁶¹ Decision 21/CP.18, paragraph 6.

⁶² Decision 16/CP.22, paragraph 11.

⁶³ Parties should submit their views, by 9 March, via the submission portal at <http://unfccc.int/5900>.

⁶⁴ Decision 16/CP.22, paragraph 10.

⁶⁵ As footnote 63 above.

Protocol, the operating entities of the Financial Mechanism and the Adaptation Fund Board published from the 5th meeting of the Durban Forum to the end of December 2016.⁶⁶

47. The first meeting of the PCCB will be convened by the SBI in conjunction with SBI 46. The first focus area for the PCCB will be capacity-building activities for the implementation of nationally determined contributions in the context of the Paris Agreement.⁶⁷

48. *Action:* The SBI will be invited to conduct the fourth review of the implementation of the framework for capacity-building in countries with economies in transition with a view to this review being completed at COP 23, and to continue to monitor and evaluate the implementation of the framework for capacity-building in developing countries. In accordance with decision 16/CP.22, the SBI will be invited to facilitate complementarity between the Durban Forum and the PCCB.

<i>FCCC/SBI/2017/2</i>	<i>Capacity-building work of bodies established under the Convention and its Kyoto Protocol. Compilation and synthesis report by the secretariat</i>
<i>FCCC/SBI/2017/3</i>	<i>Implementation of the framework for capacity-building in developing countries. Synthesis report by the secretariat</i>
<i>FCCC/SBI/2017/INF.5</i>	<i>Implementation of the framework for capacity-building in countries with economies in transition. Synthesis report by the secretariat</i>
<i>Further information</i>	http://unfccc.int/7203 , http://unfccc.int/10133 and http://unfccc.int/10053

(b) Capacity-building under the Kyoto Protocol

49. *Background:* CMP 8 decided to conduct the fourth review of the implementation of the framework for capacity-building in countries with economies in transition at SBI 46.⁶⁸ Parties and relevant organizations may submit information on how they have implemented capacity-building activities in those countries with economies in transition that are currently receiving support.^{69, 70} The secretariat will compile and synthesize this information for consideration at SBI 46.⁷¹ As invited at CMP 12, Parties, observers and other stakeholders may submit their views on the fourth review of the implementation of the framework for capacity-building in countries with economies in transition.^{72, 73}

50. To assist the SBI in its annual monitoring and evaluation of the implementation of the framework for capacity-building in developing countries in accordance with decision 29/CMP.1, the secretariat will prepare a synthesis report drawing on various sources of information, such as national reports from Annex I and non-Annex I Parties, and the 2016 annual reports of the Executive Board of the CDM and the Nairobi Framework Partnership.

⁶⁶ Decisions 1/CP.18, paragraph 78, and 2/CP.17, paragraph 146.

⁶⁷ See also paragraphs 8 and 9 above.

⁶⁸ Decision 11/CMP.8, paragraph 4.

⁶⁹ Decision 11/CMP.8, paragraph 5.

⁷⁰ Parties should submit their views, by 9 March, via the submission portal at <http://unfccc.int/5900>. Observers and other stakeholders should e-mail their submissions to secretariat@unfccc.int.

⁷¹ Decision 11/CMP.8, paragraph 6.

⁷² Decision 6/CMP.12, paragraph 7.

⁷³ As footnote 70 above.

51. The 6th meeting of the Durban Forum on capacity-building will be held during SBI 46. Parties may submit their views on potential topics related to the Kyoto Protocol for this meeting.^{74, 75} The discussions at the meeting will be informed by the synthesis report referred to in paragraph 50 above and by a report compiling and synthesizing information on capacity-building contained in reports by relevant bodies established under the Convention and its Kyoto Protocol and by the operating entities of the Financial Mechanism and the Adaptation Fund Board published from the 5th meeting of the Durban Forum to the end of December 2016.⁷⁶

52. *Action:* The SBI will be invited to conduct the fourth review of the implementation of the framework for capacity-building in countries with economies in transition with a view to this review being completed at CMP 13, and to continue to monitor and evaluate the implementation of the framework for capacity-building in developing countries.

<i>FCCC/SBI/2017/2</i>	<i>Capacity-building work of bodies established under the Convention and its Kyoto Protocol. Compilation and synthesis report by the secretariat</i>
<i>FCCC/SBI/2017/3</i>	<i>Implementation of the framework for capacity-building in developing countries. Synthesis report by the secretariat</i>
<i>FCCC/SBI/2017/INF.5</i>	<i>Implementation of the framework for capacity-building in countries with economies in transition. Synthesis report by the secretariat</i>
<i>Further information</i>	http://unfccc.int/7203 and http://unfccc.int/10133

13. Impact of the implementation of response measures

(a) Improved forum and work programme

53. *Background:* SBI 45 and SBSTA 45 agreed to advance the technical work on the impact of the implementation of response measures by constituting an ad hoc technical expert group to meet in session during SBI 46 and SBSTA 46, and that the ad hoc technical expert group should elaborate on the technical work on the areas of the work programme in the context of sustainable development.⁷⁷ The ad hoc technical expert group will consider the two areas of the work programme: (1) economic diversification and transformation; and (2) just transition of the workforce and the creation of decent work and quality jobs, and will spend one day on each area.⁷⁸

54. The secretariat will publish on the UNFCCC website, by 15 March 2017, the list of all experts nominated in accordance with the terms of reference.⁷⁹

55. *Action:* The SBI and the SBSTA will convene the 3rd meeting of the improved forum, where Parties will conduct, in accordance with the work programme agreed at SBI

⁷⁴ Decision 6/CMP.12, paragraph 8.

⁷⁵ Parties should submit their views, by 9 March, via the submission portal at <http://unfccc.int/5900>.

⁷⁶ Decision 2/CP.17, paragraph 146.

⁷⁷ FCCC/SBI/2016/20, paragraph 98, and FCCC/SBSTA/2016/4, paragraph 61.

⁷⁸ FCCC/SBI/2016/20, paragraph 99, and FCCC/SBSTA/2016/4, paragraph 62.

⁷⁹ FCCC/SBI/2016/8, annex III, and FCCC/SBSTA/2016/2, annex II.

44 and SBSTA 44,⁸⁰ discussions on the meeting of the ad hoc technical expert group, as appropriate, and discussions on a recommendation to COP 23.

Further information <http://unfccc.int/4908>

(b) Modalities, work programme and functions under the Paris Agreement of the forum on the impact of the implementation of response measures

56. *Background:* COP 21 decided that the forum on the impact of the implementation of response measures under the subsidiary bodies shall continue and shall serve the Paris Agreement.⁸¹ It also decided that the SBI and the SBSTA shall recommend, for consideration and adoption at CMA 1, the modalities, work programme and functions of the forum on the impact of the implementation of response measures to address the effects of the implementation of response measures under the Paris Agreement.⁸²

57. SBI 45 and SBSTA 45 requested the Chairs of the SBI and the SBSTA, with the support of the secretariat, to prepare by 31 March 2017 a reflections note on Parties' views expressed during the discussions at the sessions as well as those submitted on the portal⁸³ relating to the modalities, work programme and functions under the Paris Agreement of the forum on the impact of the implementation of response measures referred to in paragraph 34 of decision 1/CP.21.⁸⁴

58. *Action:* The SBI and the SBSTA will be invited to consider the reflections note referred to in paragraph 57 above and continue their consideration of this matter.

Further information <http://unfccc.int/4908>

(c) Matters relating to Article 3, paragraph 14, of the Kyoto Protocol

(d) Progress on the implementation of decision 1/CP.10

59. *Background:* SBI 45 agreed to consider these agenda sub-items jointly with the SBI 45 and SBSTA 45 agenda sub-item "Improved forum and work programme" in a joint SBI/SBSTA forum. SBI 45 also agreed that consideration of these matters would continue at SBI 46.⁸⁵

60. *Action:* The SBI will be invited to continue the consideration of these matters.

14. Scope of the next periodic review of the long-term global goal under the Convention and of overall progress towards achieving it

61. *Background:* COP 21 agreed that the next periodic review should be conducted in an effective and efficient manner, avoiding duplication of work, and taking into account the results of relevant work conducted under the Convention, its Kyoto Protocol and the

⁸⁰ FCCC/SBI/2016/8, annex II, and FCCC/SBSTA/2016/2, annex I.

⁸¹ Decision 1/CP.21, paragraph 33.

⁸² Decision 1/CP.21, paragraph 34.

⁸³ <http://unfccc.int/5900>.

⁸⁴ The reflections note will be made available at <http://unfccc.int/4908>.

⁸⁵ FCCC/SBI/2016/20, paragraph 106.

subsidiary bodies.⁸⁶ It requested the SBI and the SBSTA to consider the scope of the next periodic review with a view to forwarding a recommendation for consideration by the COP no later than in 2018, as appropriate.⁸⁷

62. SBI 44 and SBSTA 44 noted the relevant work on the global stocktake under the APA, the facilitative dialogue, which will take place in 2018, and the technical examination processes.⁸⁸ They also noted that an in-session workshop on the scope of the next periodic review could be useful and that the SBI and the SBSTA may consider the matter further at SBI 46 and SBSTA 46.⁸⁹

63. *Action:* The SBI and the SBSTA will be invited to further consider the scope of the next periodic review and to refine it, taking into account relevant work referred to in paragraph 62 above and relevant experience from the 2013–2015 review.

Further information

<http://unfccc.int/6998>

15. Arrangements for intergovernmental meetings

64. *Background:* COP 22 decided that the sessions to be held from 6 to 17 November 2017 would be convened at the seat of the secretariat and expressed its appreciation for the nomination received from the Asia-Pacific States of a representative of the Government of Fiji to serve as President at the sessions. It requested the Executive Secretary to make the necessary arrangements for convening the sessions at the seat of the secretariat.⁹⁰

65. COP 22 also decided to adjust the previously agreed dates of the sessions in the second sessional period in 2018 to Monday, 3 December, to Friday, 14 December 2018.⁹¹ COP 22 further decided to accept with appreciation the offer by the Government of Poland to host these sessions. It is expected that a Host Country Agreement will be concluded no later than at COP 23.⁹²

66. COP 22 noted the high costs associated with convening sessions of the supreme bodies of the Convention and requested SBI 46 to consider this matter in the context of arrangements for intergovernmental meetings.⁹³

67. SBI 44 reaffirmed the value of contributions from observer organizations to deliberations on substantive issues and acknowledged the need to further enhance the effective engagement of observer organizations as the UNFCCC process moves forward into the implementation and operationalization of the Paris Agreement.⁹⁴ In this context, SBI 46 will convene an in-session workshop on opportunities to further enhance the effective engagement of non-Party stakeholders with a view to strengthening the implementation of the provisions of decision 1/CP.21.⁹⁵

⁸⁶ Decision 10/CP.21, paragraph 9.

⁸⁷ Decision 10/CP.21, paragraph 10.

⁸⁸ FCCC/SBI/2016/8, paragraph 132, and FCCC/SBSTA/2016/2, paragraph 46.

⁸⁹ FCCC/SBI/2016/8, paragraph 134, and FCCC/SBSTA/2016/2, paragraph 48.

⁹⁰ Decision 24/CP.22, paragraphs 3 and 4.

⁹¹ Decision 24/CP.22, paragraph 7.

⁹² Decision 24/CP.22, paragraphs 9 and 10.

⁹³ Decision 24/CP.22, paragraph 6.

⁹⁴ FCCC/SBI/2016/8, paragraph 162.

⁹⁵ FCCC/SBI/2016/8, paragraph 163.

68. Parties, observer organizations and interested United Nations agencies may submit their views on opportunities to further enhance the effective engagement of non-Party stakeholders with a view to strengthening the implementation of the provisions of decision 1/CP.21.⁹⁶ The summary report on the views contained in those submissions will be used as background information for the workshop referred to in paragraph 67 above. The secretariat will also prepare a report on the workshop for consideration at SBI 46.⁹⁷

69. *Actions:* the SBI will be invited to consider the following issues and to make related recommendations for consideration and adoption by the COP, as appropriate:

- (a) Status of preparations and arrangements for COP 23, CMP 13 and CMA 1.2;
- (b) Host of the United Nations Climate Change Conference to be convened from 11 to 22 November 2019;
- (c) Observer engagement in the intergovernmental process.

<i>FCCC/SBI/2017/5</i>	<i>Arrangements for intergovernmental meetings. Note by the secretariat</i>
<i>FCCC/SBI/2017/INF.3</i>	<i>Views on opportunities to further enhance the effective engagement of non-Party stakeholders. Summary report by the secretariat</i>
<i>Further information</i>	http://unfccc.int/8166

16. Administrative, financial and institutional matters

(a) Programme budget for the biennium 2018–2019

70. *Background:* The Executive Secretary will propose a programme budget for the biennium 2018–2019 at the session.⁹⁸

71. *Action:* The SBI will be invited to consider the programme budget proposed by the Executive Secretary for the biennium 2018–2019 and to recommend a draft decision thereon for consideration and adoption at COP 23 and for endorsement at CMP 13 and CMA 1.2. The SBI will also be invited to consider the proposed budget for the International Transaction Log and a methodology for the collection of its fees with a view to recommending a draft decision on the matter for consideration and adoption at CMP 13.

<i>FCCC/SBI/2017/4</i>	<i>Proposed programme budget for the biennium 2018–2019. Note by the Executive Secretary</i>
<i>FCCC/SBI/2017/4/Add.1</i>	<i>Proposed programme budget for the biennium 2018–2019. Note by the Executive Secretary. Addendum. Work programme of the secretariat for the biennium 2018–2019</i>
<i>FCCC/SBI/2017/4/Add.2</i>	<i>Proposed programme budget for the biennium 2018–2019. Note by the Executive Secretary. Addendum.</i>

⁹⁶ Parties should submit their views, by 28 February 2017, via the submission portal at <http://unfccc.int/5900>. Observer organizations should e-mail their submissions to secretariat@unfccc.int.

⁹⁷ FCCC/SBI/2016/8, paragraph 164.

⁹⁸ Decision 15/CP.1, annex, paragraph 3.

Trust Fund for the International Transaction Log

Further information <http://unfccc.int/1065>

(b) Other financial and budgetary matters

72. *Background:* COP 22 adopted the revised scale of contributions for 2016–2017 contained in the annex to decision 23/CP.22. A report on the status of indicative contributions from Parties as at 15 April 2017 to the Trust Fund for the Core Budget of the UNFCCC and the Trust Fund for the International Transaction Log and of voluntary contributions made to all of the trust funds of the UNFCCC has been prepared for the session.

73. COP 22 requested the secretariat to explore options on ways to address outstanding contributions to the core budget, as well as options for increasing the flexibility of the funds in the Trust Fund for Supplementary Activities, for consideration at SBI 46.⁹⁹

74. *Action:* The SBI will be invited to take note of the information contained in the document prepared for the session and any additional relevant oral information provided by the Executive Secretary and to decide on actions that may need to be included in draft decisions on administrative and financial matters to be recommended for consideration and adoption at COP 23 and CMP 13.

FCCC/SBI/2017/INF.4 *Status of contributions as at 15 April 2017. Note by the secretariat*

Further information <http://unfccc.int/1065>

(c) Continuing review of functions and operations of the secretariat

75. *Background:* SBI 21 decided to review the functions and operations of the secretariat annually.¹⁰⁰ SBI 44 agreed to continue the consideration of this matter at SBI 46.¹⁰¹

76. COP 22 requested the secretariat to undertake a review of best practices that relate to the selection of the executive heads of relevant United Nations programmes, specialized agencies and related organizations in time for consideration at SBI 46. The review will inform options for ways to clarify the process for the selection of the Executive Secretary and the Deputy Executive Secretary of the UNFCCC.¹⁰²

77. *Action:* As requested at COP 22, the SBI will consider the review of the process established by decision 14/CP.1 relating to the selection and nomination of the Executive Secretary and the Deputy Executive Secretary,¹⁰³ taking into account the document prepared for the session, and will make recommendations, as appropriate.

FCCC/SBI/2017/INF.6 *Information on common practices ensuring transparency in the selection of the executive heads of the UNFCCC and relevant United Nations programmes, specialized agencies and related organizations. Note by the Executive*

⁹⁹ Decision 23/CP.22, paragraphs 6 and 9.

¹⁰⁰ FCCC/SBI/2004/19, paragraph 105.

¹⁰¹ FCCC/SBI/2016/8, paragraph 177.

¹⁰² FCCC/CP/2016/10, paragraph 149.

¹⁰³ FCCC/CP/2016/10, paragraph 148.

Secretary

Further information

<http://unfccc.int/1065>

(d) Implementation of the Headquarters Agreement

78. *Background:* SBI 42 welcomed the continued collaboration between the Host Government, the secretariat and other relevant stakeholders on issues such as meeting and office facilities as well as enhanced services and information for meeting participants. It encouraged the Host Government and the secretariat to maintain this process of close and regular consultations. It requested the secretariat to continue to update Parties on the UNFCCC website about these and other aspects of the implementation of the Headquarters Agreement and invited the Host Government and the Executive Secretary to report to SBI 46 on progress made.¹⁰⁴

79. *Action:* The SBI will be invited to take note of progress made on this matter.

17. Other matters

80. Any other matters arising during the session will be taken up under this agenda item.

18. Closure of and report on the session

81. After the draft report on the session is presented to the SBI for consideration and adoption, the Chair will declare the session closed.

¹⁰⁴ FCCC/SBI/2015/10, paragraphs 150 and 151.