



Ad Hoc Working Group on the Paris Agreement

Fourth part of the first session

Bonn, 7–15 November 2017

Agenda item 2(b)

Organizational matters

Adoption of the agenda

Agenda and annotations

Note by the Executive Secretary

I. Agenda

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 - (a) Election of officers;
 - (b) Adoption of the agenda;
 - (c) Organization of the work of the session.
3. Further guidance in relation to the mitigation section of decision 1/CP.21 on:
 - (a) Features of nationally determined contributions, as specified in paragraph 26;
 - (b) Information to facilitate clarity, transparency and understanding of nationally determined contributions, as specified in paragraph 28;
 - (c) Accounting for Parties' nationally determined contributions, as specified in paragraph 31.
4. Further guidance in relation to the adaptation communication, including, inter alia, as a component of nationally determined contributions, referred to in Article 7, paragraphs 10 and 11, of the Paris Agreement.
5. Modalities, procedures and guidelines for the transparency framework for action and support referred to in Article 13 of the Paris Agreement.
6. Matters relating to the global stocktake referred to in Article 14 of the Paris Agreement:
 - (a) Identification of the sources of input for the global stocktake;
 - (b) Development of the modalities of the global stocktake.
7. Modalities and procedures for the effective operation of the committee to facilitate implementation and promote compliance referred to in Article 15, paragraph 2, of the Paris Agreement.



8. Further matters related to implementation of the Paris Agreement:
 - (a) Preparing for the convening of the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement;
 - (b) Taking stock of progress made by the subsidiary and constituted bodies in relation to their mandated work under the Paris Agreement and section III of decision 1/CP.21, in order to promote and facilitate coordination and coherence in the implementation of the work programme, and, if appropriate, take action, which may include recommendations.
9. Other matters.
10. Closure of and report on the session.

II. Annotations to the agenda

1. Opening of the session

1. The Ad Hoc Working Group on the Paris Agreement (APA), at the end of APA 1.3, held in Bonn from 8 to 18 May 2017, agreed to further suspend its first session. APA 1.4 will take place in conjunction with the forty-seventh sessions of the subsidiary bodies in Bonn. It will be opened by the APA Co-Chairs, Ms. Sarah Baashan (Parties not included in Annex I to the Convention) and Ms. Jo Tyndall (Parties included in Annex I to the Convention), on Tuesday, 7 November 2017.

2. Organizational matters

(a) Election of officers

2. *Background:* The Conference of the Parties (COP) established the APA under the same arrangements, mutatis mutandis, as those concerning the election of officers to the Bureau of the Ad Hoc Working Group on the Durban Platform for Enhanced Action.¹

3. At APA 1.3, the APA agreed to elect, at the opening of APA 1.4, Ms. Baashan and Ms. Tyndall as Co-Chairs for a second consecutive term of one year.²

4. The APA will also elect its Rapporteur. The current Rapporteur will remain in office until her successor is elected.

5. *Action:* The APA will be invited to elect, at its opening meeting, Ms. Baashan and Ms. Tyndall as the Co-Chairs of the APA for a second consecutive term of one year. The APA will also be invited to elect its Rapporteur at the earliest opportunity.

(b) Adoption of the agenda

6. The APA adopted the agenda for its first session at APA 1.1.³ The agenda was amended after APA 1.2 following the completion of work on one of the agenda items. The amended agenda that was applied at APA 1.3 will also serve APA 1.4.⁴ Matters relating to the Adaptation Fund will continue to be taken up under agenda item 8(a), "Preparing for the convening of the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement".⁵

(c) Organization of the work of the session

7. APA 1.3 agreed that at APA 1.4 the following modalities for the organization of the work, adopted at APA 1.1, would be applied:^{6, 7}

(a) The APA will continue working in a single contact group on agenda items 3–8;

(b) The contact group will meet at least three times as follows: at an opening meeting to set the direction of work; at a mid-session meeting to assess progress and adjust guidance, if needed; and at a closing meeting to assess the results of the session and adopt conclusions;

(c) The mid-session meeting of the contact group will take an overarching view of all items on the APA agenda, including cross-cutting issues, and the direction of technical work will be adjusted as needed;

¹ Decision 1/CP.21, paragraph 7. See also decision 2/CP.18, paragraph 2, and <http://unfccc.int/6558>.

² FCCC/APA/2017/2, paragraphs 4–6.

³ FCCC/APA/2016/2, paragraph 9.

⁴ FCCC/APA/2017/1.

⁵ FCCC/APA/2016/4, paragraph 5.

⁶ FCCC/APA/2017/2, paragraph 19.

⁷ FCCC/APA/2016/2, paragraph 21.

(d) The contact group will conduct technical work for each of the substantive agenda items, each to be facilitated by two co-facilitators. The APA Co-Chairs will announce the team of facilitators in a communication to Parties well in advance of the resumed first session. The APA Co-Chairs will facilitate the informal consultations on agenda item 8, with the exception of matters relating to the Adaptation Fund, which will be facilitated by a pair of co-facilitators, as at APA 1.3;

(e) Efforts will be made to ensure that no more than two informal consultations referred to in paragraph 7(d) above take place at the same time; conducting consultations at the same time on two items with substantive linkages between them should be avoided;

(f) The APA Co-Chairs will provide, through the contact group meeting, a clear mandate and guidance to the co-facilitators on the direction of the work and the expected outcomes. As the work evolves, the guidance will be reassessed and, if needed, adjusted through the mid-session meeting of the contact group. This mode of work will allow conclusions and other outcomes, as relevant, to be developed for each substantive agenda item;

(g) At the closing contact group meeting, the APA will consider the organization of work for its next session and may change the organizational approach, if necessary.

8. Detailed information on the work at the session will be posted on the APA 1.4 web page.⁸ Delegates are invited to refer to the overview schedule and the in-session Daily Programme⁹ and to regularly consult the closed-circuit television screens for an up-to-date schedule of the work of the APA. In order to maximize negotiation time and ensure timely closure of the session, the APA Co-Chairs will propose, in consultation with Parties and in a transparent manner, time-saving approaches to the organization and scheduling of meetings during the session, taking into account previous relevant conclusions of the Subsidiary Body for Implementation (SBI).¹⁰ In this context, the presiding officers of the APA, the SBI and the Subsidiary Body for Scientific and Technological Advice (SBSTA) will propose cut-off times for group work and deadlines for the submission of draft conclusions to ensure that they are available in all six official languages of the United Nations for the closing plenary.

3. Further guidance in relation to the mitigation section of decision 1/CP.21 on:

- (a) Features of nationally determined contributions, as specified in paragraph 26
- (b) Information to facilitate clarity, transparency and understanding of nationally determined contributions, as specified in paragraph 28
- (c) Accounting for Parties' nationally determined contributions, as specified in paragraph 31

9. *Background:* Article 4, paragraph 2, of the Paris Agreement requires each Party to prepare, communicate and maintain successive nationally determined contributions (NDCs) that it intends to achieve, as well as pursue domestic mitigation measures, with the aim of achieving the objectives of such contributions.

10. The COP requested the APA:¹¹

- (a) To develop further guidance on features of the NDCs;
- (b) To develop further guidance for the information to be provided by Parties in order to facilitate clarity, transparency and understanding of NDCs;

⁸ www.unfccc.int/10381.

⁹ <http://unfccc.int/dpCOP23>.

¹⁰ FCCC/SBI/2014/8, paragraphs 218–221.

¹¹ Decision 1/CP.21, paragraphs 26, 28 and 31. See also Article 4, paragraph 13, of the Paris Agreement.

(c) To elaborate, drawing from approaches established under the Convention and its related legal instruments as appropriate, guidance for accounting for Parties' NDCs.¹²

11. The COP requested the APA to develop the guidance referred to in paragraph 10 above for consideration and adoption at the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (CMA).¹³

12. Consideration of this agenda item continued at APA 1.3. Taking into consideration the content of the information note prepared by the co-facilitators on this agenda item,¹⁴ the APA invited Parties to make, by 15 September 2017, focused submissions, including on the elements and issues outlined in that note and on how they would be reflected in the guidance, without prejudging further work under this agenda item.¹⁵

13. The APA requested the persons who facilitated discussions under this agenda item at APA 1.3, with the support of the secretariat, to prepare, by 15 October 2017, a non-paper capturing convergence, divergence and options, where appropriate, based on Parties' views expressed in the submissions referred to in paragraph 12 above, without omitting, reinterpreting or prejudging Parties' views.¹⁶

14. Further, the APA requested the secretariat to organize, under the guidance of the APA Co-Chairs, a round table, to be held on Monday, 6 November 2017, that takes into account Parties' submissions and the non-paper referred to in paragraphs 12 and 13 above, respectively.¹⁷ Further information on the round table will be made available on the APA 1.4 web page in due course.¹⁸

15. *Action:* The APA will be invited to continue its consideration of this matter, taking into account progress made at APA 1.2 and APA 1.3, Parties' submissions and the non-paper referred to in paragraphs 12 and 13 above, respectively, and the views expressed at the round table referred to in paragraph 14 above.

<i>APA.2017.1.non-paper</i>	<i>Non-paper on agenda item 3 of the Ad Hoc Working Group on the Paris Agreement</i>
<i>Further information</i>	www.unfccc.int/10381

4. Further guidance in relation to the adaptation communication, including, inter alia, as a component of nationally determined contributions, referred to in Article 7, paragraphs 10 and 11, of the Paris Agreement

16. *Background:* Article 7, paragraph 10, of the Paris Agreement states that each Party should, as appropriate, submit and update periodically an adaptation communication, which may include its priorities, implementation and support needs, plans and actions, without creating any additional burden for developing country Parties. Article 7, paragraph 11, of the Paris Agreement states that the adaptation communication shall be, as appropriate, submitted and updated periodically, as a component of or in conjunction with other communications or documents, including a national adaptation plan, an NDC as referred to in Article 4, paragraph 2, and/or a national communication.

17. Consideration of this agenda item continued at APA 1.3. The APA invited Parties to make focused submissions, by 15 September 2017, on the proposals for elements and

¹² Article 4, paragraph 13, of the Paris Agreement.

¹³ Decision 1/CP.21, paragraphs 26, 28 and 31.

¹⁴ http://unfccc.int/files/meetings/bonn_may_2017/in-session/application/pdf/informal_note_apa_3_for_publication_final.pdf.

¹⁵ FCCC/APA/2017/2, paragraph 23(a).

¹⁶ FCCC/APA/2017/2, paragraph 23(b).

¹⁷ FCCC/APA/2017/2, paragraph 23(c).

¹⁸ www.unfccc.int/10381.

'skeleton' outlines as contained in the informal note on this agenda item,¹⁹ and requested the persons who facilitated discussions under this agenda item at APA 1.3 to prepare, under the guidance of the APA Co-Chairs and with the support of the secretariat, a non-paper synthesizing these submissions by 15 October 2017.²⁰

18. The APA requested the secretariat to prepare, by 1 October 2017, a technical paper synthesizing adaptation-related information included in NDCs, national adaptation plans and recent national communications.²¹

19. Further, the APA requested the secretariat to organize, under the guidance of the APA Co-Chairs, a round table, to be held on Saturday, 4 November 2017, to facilitate work under this agenda item, taking into consideration the submissions and informal note referred to in paragraph 17 above.²² Further information on the round table will be made available on the APA 1.4 web page in due course.

20. The APA also requested its Co-Chairs to release an informal note²³ reflecting the views expressed at the pre-session workshop on this agenda item, held on 6 May 2017.²⁴

21. *Action:* The APA will be invited to continue its consideration of this matter, taking into account progress made at APA 1.2 and APA 1.3, Parties' submissions and the technical paper referred to in paragraphs 17 and 18 above, respectively, and Parties' views expressed at the round table referred to in paragraph 19 above.

<i>APA.2017.2.non-paper</i>	<i>Submissions on agenda item 4 of the Ad Hoc Working Group on the Paris Agreement. Synthesis report by the secretariat</i>
<i>FCCC/TP/2017/7</i>	<i>Adaptation-related information included in nationally determined contributions, national adaptation plans and recent national communications. Technical paper by the secretariat</i>
<i>Further information</i>	www.unfccc.int/10381

5. Modalities, procedures and guidelines for the transparency framework for action and support referred to in Article 13 of the Paris Agreement

22. *Background:* Article 13 of the Paris Agreement established the transparency framework for action and support. The COP established a Capacity-building Initiative for Transparency²⁵ and provided guidance for taking forward the transparency of action and support under the Paris Agreement.²⁶ Building on experience from the arrangements related to transparency under the Convention, and elaborating on the provisions of Article 13 of the Paris Agreement, CMA 1 is to adopt common modalities, procedures and guidelines, as appropriate, for the transparency of action and support.²⁷

23. The COP requested the APA to develop recommendations for modalities, procedures and guidelines in accordance with Article 13, paragraph 13, of the Paris Agreement and to define the year of their first and subsequent review and update, as appropriate, at regular intervals, for consideration at COP 24, with a view to the COP

¹⁹ http://unfccc.int/files/meetings/bonn_may_2017/in-session/application/pdf/apa_4_informal_note_final.pdf.

²⁰ FCCC/APA/2017/2, paragraph 24(a) and (c).

²¹ FCCC/APA/2017/2, paragraph 24(b).

²² FCCC/APA/2017/2, paragraph 24(d).

²³ APA.2017.2.InformalNote.

²⁴ FCCC/APA/2017/2, paragraph 17.

²⁵ Decision 1/CP.21, paragraphs 84–88.

²⁶ Decision 1/CP.21, paragraphs 89–98.

²⁷ Article 13, paragraph 13, of the Paris Agreement.

forwarding them to CMA 1 for consideration and adoption.²⁸ The COP also requested the APA to report on the progress of work on these modalities, procedures and guidelines at future sessions of the COP, and that this work be concluded no later than 2018.²⁹

24. Work on this agenda item continued at APA 1.3. The APA invited Parties to make focused submissions, by 30 September 2017, taking into account the possible “headings and subheadings” contained in the annex to the informal note prepared by the co-facilitators on this agenda item.³⁰ In submitting their views, Parties were invited to elaborate, as appropriate, the specific operational details under the possible “headings and subheadings”.

25. The APA requested the secretariat to organize, under the guidance of the APA Co-Chairs, a round table, to be held on Saturday, 4 November 2017, and Sunday, 5 November 2017, focusing on issues covered in Parties’ submissions referred to in paragraph 24 above and also including technical discussions on how cross-cutting issues listed in Article 13 of the Paris Agreement, including, inter alia, its paragraphs 2–4, were considered in Parties’ submissions.³¹ Further information on the round table will be made available on the APA 1.4 web page in due course.

26. *Action:* The APA will be invited to continue its consideration of this matter, taking into account progress made at APA 1.2 and APA 1.3, Parties’ submissions referred to in paragraph 24 above and the views expressed at the round table referred to in paragraph 25 above.

6. Matters relating to the global stocktake referred to in Article 14 of the Paris Agreement

- (a) Identification of the sources of input for the global stocktake
- (b) Development of the modalities of the global stocktake

27. *Background:* Article 14 of the Paris Agreement requires the CMA to periodically take stock of the implementation of the Paris Agreement in order to assess the collective progress towards achieving its purpose and its long-term goals (the global stocktake), in a comprehensive and facilitative manner, considering mitigation, adaptation and the means of implementation and support, and in the light of equity and the best available science. The CMA is to undertake the first global stocktake in 2023 and every five years thereafter, unless otherwise decided by the CMA.³²

28. The COP requested the APA to identify the sources of input for the global stocktake, to develop its modalities and to report to the COP, with a view to the COP making a recommendation to CMA 1 for consideration and adoption.³³

29. Consideration of this agenda item continued at APA 1.3. The APA invited Parties to make focused submissions, by 30 September 2017, on the possible elements of textual outline for the identification of the sources of input to and development of the modalities for the global stocktake, taking into consideration, as appropriate, the views of Parties reflected in the informal note on this agenda item.^{34, 35}

30. The APA requested the secretariat to organize, under the guidance of the APA Co-Chairs, a pre-session round table, to be held on Sunday, 5 November 2017, to facilitate work under this agenda item, taking into consideration the submissions and informal note

²⁸ Decision 1/CP.21, paragraph 91.

²⁹ Decision 1/CP.21, paragraph 96.

³⁰ http://unfccc.int/files/meetings/bonn_may_2017/in-session/application/pdf/apa2017_i5_informal_note_by_the_co-facilitators.pdf.

³¹ FCCC/APA/2017/2, paragraph 25(b).

³² Article 14, paragraphs 1 and 2, of the Paris Agreement.

³³ Decision 1/CP.21, paragraphs 99 and 101.

³⁴ http://unfccc.int/files/meetings/bonn_may_2017/in-session/application/pdf/final_informal_noteapa1_3_18052017@1800.pdf.

³⁵ FCCC/APA/2017/2, paragraph 26(a).

referred to in paragraph 29 above.³⁶ Further information on the round table will be made available on the APA 1.4 web page in due course.

31. *Action:* The APA will be invited to continue its consideration of this matter, taking into account progress made at APA 1.2 and APA 1.3, Parties' submissions referred to in paragraph 29 above and the views expressed at the round table referred to in paragraph 30 above.

7. Modalities and procedures for the effective operation of the committee to facilitate implementation and promote compliance referred to in Article 15, paragraph 2, of the Paris Agreement

32. *Background:* Article 15, paragraph 1, of the Paris Agreement establishes a mechanism to facilitate implementation of and promote compliance with the provisions of the Paris Agreement. Paragraph 2 of the same Article provides that the mechanism will consist of a committee that, in accordance with paragraph 3 of the same Article, will operate under the modalities and procedures adopted at CMA 1.

33. The COP requested the APA to develop the modalities and procedures for the effective operation of the committee referred to in paragraph 32 above, with a view to the APA completing its work on such modalities and procedures for consideration and adoption at CMA 1.³⁷

34. Work on this agenda item continued at APA 1.3. The APA invited Parties to make focused submissions, by 15 September 2017, as proposed in the annex to the informal note on this agenda item.^{38, 39}

35. The APA requested the secretariat to organize, under the guidance of the APA Co-Chairs, a round table, to be held on Monday, 6 November 2017, to facilitate work under this agenda item, taking into consideration the submissions and informal note referred to in paragraph 34 above.⁴⁰ Further information on the round table will be made available on the APA 1.4 web page in due course.

36. *Action:* The APA will be invited to continue its consideration of this matter, taking into account progress made at APA 1.2 and APA 1.3, Parties' views and proposals referred to in paragraph 34 above and the views expressed at the round table referred to in paragraph 35 above.

8. Further matters related to implementation of the Paris Agreement

- (a) Preparing for the convening of the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement
- (b) Taking stock of progress made by the subsidiary and constituted bodies in relation to their mandated work under the Paris Agreement and section III of decision 1/CP.21, in order to promote and facilitate coordination and coherence in the implementation of the work programme, and, if appropriate, take action, which may include recommendations

37. *Background:* The COP decided that the APA shall prepare for the convening of CMA 1. The COP also decided to oversee the implementation of the work programme resulting from the relevant requests contained in decision 1/CP.21, to be carried out by the subsidiary and constituted bodies under the Convention.⁴¹

³⁶ FCCC/APA/2017/2, paragraph 26(b).

³⁷ Decision 1/CP.21, paragraph 103.

³⁸ http://unfccc.int/files/meetings/bonn_may_2017/application/pdf/apa_item7_informalnote_provisional_17may2017@1100_final.pdf

³⁹ FCCC/APA/2017/2, paragraph 27(a).

⁴⁰ FCCC/APA/2017/2, paragraph 27(b).

⁴¹ Decision 1/CP.21, paragraphs 8 and 9.

38. In addition, in response to the invitation of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its eleventh session,⁴² COP 22 referred matters relating to the Adaptation Fund to the APA.⁴³ APA 1.2 agreed to consider these matters under the agenda sub-item “Preparing for the convening of the first session of the conference of the Parties serving as the meeting of the Parties to the Paris Agreement”.⁴⁴

39. Work on this agenda item continued at APA 1.3.

Matters relating to the Adaptation Fund

40. The APA welcomed the progress made at APA 1.3 in exchanging views and information on the Adaptation Fund, which is reflected in the informal note on this agenda sub-item.⁴⁵

41. The APA requested the secretariat to compile and make available on the UNFCCC website, by 15 September 2017, a list of all previous decisions that have been taken on the Adaptation Fund that touch on governance and institutional arrangements, safeguards and operating modalities.⁴⁶

Other matters

42. The APA took note of the exchange of views by Parties with regard to the procedural steps for the draft decisions for consideration and adoption at CMA 1 on:⁴⁷

(a) Progress and procedural steps to enable the forum on the implementation of response measures to serve the Paris Agreement further to decision 1/CP.21, paragraphs 33 and 34;

(b) Procedural steps for the modalities for the recognition of adaptation efforts of developing country Parties under Article 7, paragraph 3, of the Paris Agreement and decision 1/CP.21, paragraph 41.

43. The APA invited Parties to raise the matter referred to in paragraph 42(a) above during negotiations under the SBSTA and the SBI on related issues. Parties expressed their understanding that the draft decision being prepared by these subsidiary bodies regarding paragraph 34 of decision 1/CP.21 is to include a provision to complete the procedural step of CMA 1 deciding that the forum on the implementation of response measures shall serve the Paris Agreement, consistent with Article 19 of the Paris Agreement. In the light of this, the APA agreed that for this matter no further consideration would be needed by the APA under agenda item 8.⁴⁸

44. On the matter referred to in paragraph 42(b) above, the APA recommended that COP 23 address the need for procedural clarity with regard to the draft decision for consideration and adoption at CMA 1. The APA agreed that for this matter, should COP 23 provide such procedural clarity, no further consideration would be needed by the APA under agenda item 8.⁴⁹

45. The APA agreed to continue its consideration of the remaining possible additional matters,⁵⁰ as contained in the informal note issued by the Co-Chairs,⁵¹ at APA 1.4.

⁴² Decision 1/CMP.11, paragraph 9.

⁴³ FCCC/CP/2016/10, paragraph 18.

⁴⁴ FCCC/APA/2016/4, paragraph 5.

⁴⁵ http://unfccc.int/files/meetings/bonn_may_2017/in-session/application/pdf/apa_8_a_informal_note.pdf.

⁴⁶ FCCC/APA/2017/2, paragraph 28(b).

⁴⁷ FCCC/APA/2017/2, paragraph 28(c).

⁴⁸ FCCC/APA/2017/2, paragraph 29.

⁴⁹ FCCC/APA/2017/2, paragraph 30.

⁵⁰ The additional matters are: (1) modalities for biennially communicating finance information on the provision of public financial resources to developing countries in accordance with Article 9, paragraph 5, of the Paris Agreement; (2) initial guidance by the CMA to the operating entities of the Financial Mechanism (the Green Climate Fund and the Global Environment Facility) under Article 9, paragraph 8, of the Paris Agreement and decision 1/CP.21, paragraphs 58 and 61–63; (3) initial

46. *Action:* The APA will be invited to continue its consideration of this agenda item, including the compiled information referred to in paragraph 41 above and the Co-Chairs' informal note referred to in paragraph 45 above, and to take any necessary action.

9. Other matters

47. Any other matters arising during the session will be taken up under this agenda item.

10. Closure of and report on the session

48. After the draft report on the session is presented to the APA for consideration and adoption at its closing plenary, the Co-Chairs will propose to suspend the session, continuing to use the approach applied at previous APA sessions.

guidance by the CMA to the Least Developed Countries Fund and the Special Climate Change Fund in accordance with decision 1/CP.21, paragraph 58; (4) guidance by the CMA on adjustment of existing nationally determined contributions under Article 4, paragraph 11, of the Paris Agreement; and (5) setting a new collective quantified goal on finance in accordance with decision 1/CP.21, paragraph 53.

⁵¹ http://unfccc.int/files/meetings/bonn_may_2017/in-session/application/pdf/apa_informal_note_by_the_co-chairs_item_8ab_final_version_2017.05.17.pdf.