



Ad Hoc Working Group on the Paris Agreement

Third part of the first session

Bonn, 8–18 May 2017

Item 2(b) of the agenda

Organizational matters

Adoption of the agenda

Agenda and annotations

Note by the Executive Secretary

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 - (a) Election of officers;
 - (b) Adoption of the agenda;
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3. Further guidance in relation to the mitigation section of decision 1/CP.21 on:
 - (a) Features of nationally determined contributions, as specified in paragraph 26;
 - (b) Information to facilitate clarity, transparency and understanding of nationally determined contributions, as specified in paragraph 28;
 - (c) Accounting for Parties' nationally determined contributions, as specified in paragraph 31.
4. Further guidance in relation to the adaptation communication, including, inter alia, as a component of nationally determined contributions, referred to in Article 7, paragraphs 10 and 11, of the Paris Agreement.
5. Modalities, procedures and guidelines for the transparency framework for action and support referred to in Article 13 of the Paris Agreement.
6. Matters relating to the global stocktake referred to in Article 14 of the Paris Agreement:



- (a) Identification of the sources of input for the global stocktake;
 - (b) Development of the modalities of the global stocktake.
7. Modalities and procedures for the effective operation of the committee to facilitate implementation and promote compliance referred to in Article 15, paragraph 2, of the Paris Agreement.
8. Further matters related to implementation of the Paris Agreement:
 - (a) Preparing for the convening of the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement;
 - (b) Taking stock of progress made by the subsidiary and constituted bodies in relation to their mandated work under the Paris Agreement and section III of decision 1/CP.21, in order to promote and facilitate coordination and coherence in the implementation of the work programme, and, if appropriate, take action, which may include recommendations.
9. Other matters.
10. Closure of and report on the session.

II. Annotations to the agenda

1. Opening of the session

1. The Ad Hoc Working Group on the Paris Agreement (APA), at the end of APA 1.2, held in Marrakech, Morocco, from 7 to 14 November 2016, agreed to further suspend its first session. APA 1.3 will take place in conjunction with the forty-sixth sessions of the Subsidiary Body for Implementation (SBI) and the Subsidiary Body for Scientific and Technological Advice (SBSTA) in Bonn, Germany. It will be opened by the APA Co-Chairs, Ms. Sarah Baashan (non-Annex I Parties) and Ms. Jo Tyndall (Annex I Parties), on Monday, 8 May 2017.

2. Organizational matters

(a) Election of officers

2. *Background:* The Conference of the Parties (COP) established the APA under the same arrangement, *mutatis mutandis*, as those concerning the election of officers to the Bureau of the Ad Hoc Working Group on the Durban Platform for Enhanced Action.¹ As per this arrangement, the members of the Bureau of the APA will be elected at APA 1.3. To this end the secretariat will be issuing a communication inviting Parties to submit, through the coordinators of the Annex I Parties and non-Annex I Parties constituencies, as appropriate, nominations for the Co-Chairs and Rapporteur of the Bureau of the APA. Parties are invited to recall decisions 36/CP.7 and 23/CP.18 and give active consideration to the nomination of women for elective posts in bodies established under the Convention.

3. *Action:* The APA will be invited to elect its Co-Chairs and Rapporteur.

(b) Adoption of the agenda

4. The APA adopted its agenda for its first session at APA 1.1.²

5. Following the entry into force of the Paris Agreement on 4 November 2016, APA 1.2 agreed that no further work is required under its agenda item “Preparing for the entry into force of the Paris Agreement”.³ Consequently, this matter does not appear on the agenda for APA 1.3.

6. In response to the invitation by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) at its eleventh session,⁴ COP 22 referred matters relating to the Adaptation Fund to the APA.⁵ APA 1.2 agreed to consider these matters under agenda item 8(b), “Preparing for the convening of the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement”.⁶ At APA 1.3, the APA will continue to consider the matters related to the Adaptation Fund under this agenda item, which, in accordance with paragraph 5 above, has been renumbered as agenda sub-item 8(a).

¹ Decision 1/CP.21, paragraph 7. See also decision 2/CP.18, paragraph 2, and <http://unfccc.int/6558>.

² FCCC/APA/2016/2, paragraph 9.

³ FCCC/APA/2016/4, paragraph 9.

⁴ See decision 1/CMP.11, paragraph 9.

⁵ FCCC/CP/2016/10, paragraph 18.

⁶ FCCC/APA/2016/4, paragraph 5.

(c) Organization of the work of the session

7. APA 1.2 agreed that at APA 1.3 the following modalities for the organization of the work, adopted at APA 1.1, would be applied:^{7, 8}

(a) The APA will continue working in a single contact group on agenda items 3–8;

(b) The contact group will meet at least three times as follows: at an opening meeting to set the direction of work; at a mid-session meeting to assess progress and adjust guidance, if needed; and at a closing meeting to assess the results of the session and adopt conclusions;

(c) The mid-session meeting of the contact group will take an overarching view of all items on the APA agenda, including cross-cutting issues, and the direction of technical work will be adjusted as needed;

(d) The contact group will conduct technical work for each of the substantive agenda items, each to be facilitated by two co-facilitators. The APA Co-Chairs will announce the team of facilitators in a communication to Parties well in advance of the resumed first session. The APA Co-Chairs will facilitate the informal consultations on agenda item 8;⁹

(e) Efforts will be made to ensure that no more than two informal consultations referred to in paragraph 7(d) above take place at the same time; conducting consultations at the same time on two items with substantive linkages between them should be avoided;

(f) The APA Co-Chairs will provide, through the contact group meeting, a clear mandate and guidance to the co-facilitators on the direction of the work and the expected outcomes. As the work evolves, the guidance will be reassessed and, if needed, adjusted through the mid-session meeting of the contact group. This mode of work will allow conclusions and other outcomes, as relevant, to be developed for each substantive agenda item;

(g) At the closing contact group meeting, the APA will consider the organization of work for its next session and may change the organizational approach, if necessary.

8. Detailed information on the work at the session will be posted on the APA 1.3 web page.¹⁰ Delegates are invited to refer to the overview schedule and the in-session Daily Programme¹¹ and to regularly consult the closed-circuit television screens for an up-to-date schedule of the work of the APA. In order to maximize negotiation time and ensure timely closure of the session, the APA Co-Chairs will propose, in consultation with Parties and in a transparent manner, time-saving approaches to the organization and scheduling of meetings during the session, taking into account previous relevant conclusions of the SBI.¹² In this context, the presiding officers of the APA, the SBI and the SBSTA will propose cut-off times for group work and deadlines for submission of conclusions to ensure that they are available in all six official languages of the United Nations for the closing plenary.

⁷ FCCC/APA/2016/4, paragraph 14.

⁸ FCCC/APA/2016/2, paragraph 21.

⁹ In accordance with their discussion with Parties at APA 1.2, the APA Co-Chairs intend to appoint two co-facilitators to conduct technical work on matters related to the Adaptation Fund.

¹⁰ <http://www.unfccc.int/10073>.

¹¹ http://unfccc.int/sb46_dp.

¹² FCCC/SBI/2014/8, paragraphs 218–221.

3. Further guidance in relation to the mitigation section of decision 1/CP.21 on:

- (a) Features of nationally determined contributions, as specified in paragraph 26
- (b) Information to facilitate clarity, transparency and understanding of nationally determined contributions, as specified in paragraph 28
- (c) Accounting for Parties' nationally determined contributions, as specified in paragraph 31

9. *Background:* Article 4, paragraph 2, of the Paris Agreement requires each Party to prepare, communicate and maintain successive nationally determined contributions (NDCs) that it intends to achieve, as well as pursue domestic mitigation measures with the aim of achieving the objectives of such contributions.

10. The COP requested the APA:¹³

- (a) To develop further guidance on features of the NDCs;
- (b) To develop further guidance for the information to be provided by Parties in order to facilitate clarity, transparency and understanding of NDCs;
- (c) To elaborate, drawing from approaches established under the Convention and its related legal instruments as appropriate, guidance for accounting for Parties' NDCs.¹⁴

11. The COP requested the APA to develop the guidance referred to in paragraph 10 above for consideration and adoption at the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (CMA).¹⁵

12. Consideration of this agenda item was continued at APA 1.2, where the APA invited Parties to submit, by 1 April 2017, their views on issues discussed under this agenda item, taking into consideration the questions identified by Parties as relevant for this item and listed in the annex to the informal note by the co-facilitators.^{16, 17} The APA requested the secretariat to compile these submissions and make that compilation available on the UNFCCC website prior to APA 1.3.¹⁸

13. The APA requested the secretariat to organize, under the guidance of the APA Co-Chairs, a round table on 6 May 2017 that takes into account Parties' submissions referred to paragraph 12 above and is also guided by, but not restricted to, the set of questions prepared by the co-facilitators at APA 1.2 and contained in the annex to their informal note.¹⁹ Further information on the round table will be made available on the APA 1.3 web page in due course.²⁰

14. *Action:* The APA will be invited to continue its consideration of this agenda item, including of Parties' submissions referred to in paragraph 12 above and their views expressed at the round table referred to in paragraph 13 above.

¹³ Decision 1/CP.21, paragraphs 26, 28 and 31. See also Article 4, paragraph 13, of the Paris Agreement.

¹⁴ Article 4, paragraph 13, of the Paris Agreement.

¹⁵ Decision 1/CP.21, paragraphs 26, 28 and 31.

¹⁶ Parties should submit their views via the submission portal at <http://www.unfccc.int/5900>.

¹⁷ Available at http://unfccc.int/meetings/marrakech_nov_2016/items/9974.php.

¹⁸ www.unfccc.int/10131.

¹⁹ As footnote 17 above.

²⁰ www.unfccc.int/10073.

4. Further guidance in relation to the adaptation communication, including, inter alia, as a component of nationally determined contributions, referred to in Article 7, paragraphs 10 and 11, of the Paris Agreement

15. *Background:* Article 7, paragraph 10, of the Paris Agreement states that each Party should, as appropriate, submit and update periodically an adaptation communication, which may include its priorities, implementation and support needs, plans and actions, without creating any additional burden for developing country Parties. Article 7, paragraph 11, of the Paris Agreement states that the adaptation communication shall be, as appropriate, submitted and updated periodically, as a component of or in conjunction with other communications or documents, including a national adaptation plan, a nationally determined contribution²¹ and/or a national communication.

16. Consideration of this agenda item continued at APA 1.2. The APA requested the secretariat to prepare, by 15 February 2017, an information note identifying information on each possible element of the adaptation communication identified by Parties, while considering the guidelines, where existent, for different vehicles of communication mentioned in Article 7, paragraph 11, of the Paris Agreement. The information note should further consider the non-exhaustive list of elements captured in the submissions and the annex to the informal summary note prepared by the co-facilitators.²²

17. The APA also invited Parties to submit, by 30 March 2017, further views in relation to the adaptation communication, including, inter alia, as a component of NDCs, referred to in Article 7, paragraphs 10 and 11, of the Paris Agreement, taking into account the discussions on this item at APA 1.2 as well as the annex to the informal note prepared by the co-facilitators at that session.²³ The APA requested the secretariat to prepare, by 30 April 2017, a synthesis of all submissions by Parties on this agenda item.²⁴

18. Further, the APA also requested the secretariat to organize, under the guidance of the APA Co-Chairs, a workshop on agenda item 4 to take place on 6 May 2017 in conjunction with APA 1.3.²⁵

19. *Action:* The APA will be invited to continue its consideration of this agenda item, including of the information note and Parties' views referred to in paragraphs 16 and 17 above respectively.

FCCC/APA/2017/INF.1 Information related to possible elements of adaptation communications identified by Parties. Note by the secretariat

FCCC/APA/2017/INF.3 Submissions on agenda item 4 of the Ad Hoc Working Group on the Paris Agreement. Synthesis report by the secretariat

Further information www.unfccc.int/10073

²¹ Article 4, paragraph 2, of the Paris Agreement.

²² FCCC/APA/2016/4, paragraph 19.

²³ FCCC/APA/2016/4, paragraph 20.

²⁴ FCCC/APA/2016/4, paragraph 21.

²⁵ FCCC/APA/2016/4, paragraph 22.

5. Modalities, procedures and guidelines for the transparency framework for action and support referred to in Article 13 of the Paris Agreement

20. *Background:* Article 13 of the Paris Agreement established the transparency framework for action and support. The COP established a Capacity-building Initiative for Transparency²⁶ and provided guidance for taking forward the transparency of action and support under the Paris Agreement.²⁷ Building on experience from the arrangements related to transparency under the Convention, and elaborating on the provisions of Article 13 of the Paris Agreement, CMA 1 is to adopt common modalities, procedures and guidelines, as appropriate, for the transparency of action and support.²⁸

21. The COP requested the APA to develop recommendations for modalities, procedures and guidelines in accordance with Article 13, paragraph 13, of the Paris Agreement, and to define the year of their first and subsequent review and update, as appropriate, at regular intervals, for consideration at COP 24, with a view to the COP forwarding them to CMA 1 for consideration and adoption.²⁹ The COP also requested the APA to report on the progress of work on these modalities, procedures and guidelines to future sessions of the COP, and that this work be concluded no later than 2018.³⁰

22. Work on this agenda item continued at APA 1.2 and the APA invited Parties to submit their views on this item by 15 February 2017.^{31, 32}

23. The APA also requested the secretariat to organize, under the guidance of the APA Co-Chairs, an intersessional workshop before APA 1.3 on this item.³³ This workshop will take place from 16 to 18 March 2017 in Bonn. As requested by the APA, the APA Co-Chairs will prepare a workshop report, with the support of the secretariat, for consideration at APA 1.3.

24. *Action:* The APA will be invited to continue its consideration of this agenda item, including of Parties' views and the workshop report referred to in paragraphs 22 and 23 above respectively.

<i>FCCC/APA/2017/ INF.2</i>	<i>Workshop on the development of modalities, procedures and guidelines for the transparency framework for action and support referred to in Article 13 of the Paris Agreement. Report by the secretariat</i>
<i>Further information</i>	www.unfccc.int/10073

²⁶ Decision 1/CP.21, paragraphs 84–88.

²⁷ Decision 1/CP.21, paragraphs 89–98.

²⁸ Article 13, paragraph 13, of the Paris Agreement.

²⁹ Decision 1/CP.21, paragraph 91.

³⁰ Decision 1/CP.21, paragraph 96.

³¹ FCCC/APA/2016/4, paragraph 23.

³² As footnote 16 above.

³³ FCCC/APA/2016/4, paragraph 24.

6. Matters relating to the global stocktake referred to in Article 14 of the Paris Agreement

- (a) Identification of the sources of input for the global stocktake
- (b) Development of the modalities of the global stocktake

25. *Background:* Article 14 of the Paris Agreement requires the CMA to periodically take stock of the implementation of the Paris Agreement in order to assess the collective progress towards achieving its purpose and its long-term goals (the global stocktake), in a comprehensive and facilitative manner, considering mitigation, adaptation and the means of implementation and support, and in the light of equity and the best available science. The CMA is to undertake the first global stocktake in 2023 and every five years thereafter unless otherwise decided by the CMA.³⁴

26. The COP requested the APA to identify the sources of input for the global stocktake, to develop its modalities and to report to the COP with a view to the COP making a recommendation to CMA 1 for consideration and adoption.³⁵

27. Consideration of this agenda item continued at APA 1.2. The APA welcomed the advice of the SBSTA on how the assessments of the Intergovernmental Panel on Climate Change can inform the global stocktake.³⁶ The APA also invited Parties to submit, by 30 April 2017, their views on issues discussed under this agenda item, taking into consideration the questions identified by Parties as relevant for this item.^{37, 38}

28. *Action:* The APA will be invited to continue its consideration of this agenda item, including of Parties' views and the advice of the SBSTA referred to in paragraph 27 above.

7. Modalities and procedures for the effective operation of the committee to facilitate implementation and promote compliance referred to in Article 15, paragraph 2, of the Paris Agreement

29. *Background:* Article 15, paragraph 1, of the Paris Agreement establishes a mechanism to facilitate implementation of and promote compliance with the provisions of the Paris Agreement. Paragraph 2 of the same Article provides that the mechanism will consist of a committee that, in accordance with paragraph 3 of the same Article, will operate under the modalities and procedures adopted at CMA 1.

30. The COP requested the APA to develop the modalities and procedures for the effective operation of the committee referred to in paragraph 29 above with a view to the APA completing its work on such modalities and procedures for consideration and adoption at CMA 1.³⁹

31. Work on this agenda item continued at APA 1.2. The APA invited Parties to submit, by 30 March 2017, their views and proposals on this agenda item in the light of Article 15 of the Paris Agreement and paragraphs 102 and 103 of decision 1/CP.21.^{40, 41}

³⁴ Article 14, paragraphs 1 and 2, of the Paris Agreement.

³⁵ Decision 1/CP.21, paragraphs 99 and 101.

³⁶ FCCC/SBSTA/2016/4, paragraphs 47–56.

³⁷ FCCC/APA/2016/4, paragraph 25.

³⁸ As footnote 16 above.

³⁹ Decision 1/CP.21, paragraph 103.

⁴⁰ FCCC/APA/2016/4, paragraph 26.

32. *Action:* The APA will be invited to continue its consideration of this agenda item, including of Parties views and proposals referred to in paragraph 31 above.

8. Further matters related to implementation of the Paris Agreement

- (a) Preparing for the convening of the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement
- (b) Taking stock of progress made by the subsidiary and constituted bodies in relation to their mandated work under the Paris Agreement and section III of decision 1/CP.21, in order to promote and facilitate coordination and coherence in the implementation of the work programme, and, if appropriate, take action, which may include recommendations

33. *Background:* The COP decided that the APA shall prepare for the convening of CMA 1. The COP also decided to oversee the implementation of the work programme resulting from the relevant requests contained in decision 1/CP.21, to be carried out by the subsidiary and constituted bodies under the Convention.⁴²

34. Work on this agenda item continued at APA 1.2. In addition, the APA initiated work on matters relating to the Adaptation Fund, as mandated by the COP, in response to the invitation by CMP 11.⁴³ The COP invited Parties to submit views on the governance and institutional arrangements, safeguards and operating modalities for the Adaptation Fund to serve the Paris Agreement by 31 March 2017.⁴⁴

35. Possible additional matters, relating to the implementation of the Paris Agreement and preparing for the convening of CMA 1 that have not yet been addressed under the work programme for the Paris Agreement contained in decision 1/CP.21 will also be taken up under this agenda item.

36. *Action:* The APA will be invited to continue its consideration of this agenda item, including of the submissions referred to in paragraph 34 above, and to take any necessary action.

9. Other matters

37. Any other matters arising during the session will be taken up under this agenda item.

10. Closure of and report on the session

38. After the draft report on the session is presented to the APA for consideration and adoption at its closing plenary, the Co-Chairs will declare the first session closed, unless Parties decide to further suspend the session on an exceptional basis.

⁴¹ As footnote 16 above.

⁴² Decision 1/CP.21, paragraphs 8 and 9.

⁴³ See decision 1/CMP.11, paragraph 9.

⁴⁴ Decision 1/CP.22, paragraph 15.