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## Subsidiary Body for Scientific and Technological Advice Forty-fourth session Bonn, 16–26 May 2016

Item 2(a) of the provisional agenda Organizational matters Adoption of the agenda

# Provisional agenda and annotations

# Note by the Executive Secretary

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Please recycle

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  - (b) Rules, modalities and procedures for the mechanism established by Article 6, paragraph 4, of the Paris Agreement;
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- 12. Modalities for the accounting of financial resources provided and mobilized through public interventions in accordance with Article 9, paragraph 7, of the Paris Agreement.
- 13. Cooperation with other international organizations.
- 14. Other matters.
- 15. Closure of and report on the session.

# II. Annotations to the provisional agenda

#### **1.** Opening of the session

1. The forty-fourth session of the Subsidiary Body for Scientific and Technological Advice (SBSTA) will be opened by the Chair, Mr. Carlos Fuller (Belize), on Monday, 16 May 2016, at 10 a.m.

#### 2. Organizational matters

#### (a) Adoption of the agenda

2. The provisional agenda, prepared by the Executive Secretary in agreement with the Chair, will be presented for adoption.

FCCC/SBSTA/2016/1	Provisional agenda and annotations. Note by the Executive Secretary
Further information	<www.unfccc.int 9393=""></www.unfccc.int>

#### (b) Organization of the work of the session

3. SBSTA 44 will be held from 16 to 26 May 2016. Detailed information on the work of the session will be posted on the SBSTA 44 web page.<sup>1</sup> Delegates are invited to refer to the overview schedule<sup>2</sup> and the in-session Daily Programme and to regularly consult the closed-circuit television screens for an up-to-date schedule of the work of the SBSTA. In order to maximize negotiation time and ensure timely closure of the session, presiding officers will propose, in consultation with Parties and in a transparent manner, time-saving approaches to the organization and scheduling of meetings during the session, taking into account previous relevant conclusions of the Subsidiary Body for Implementation (SBI). Items not concluded at SBSTA 44 will be forwarded for consideration at subsequent sessions, in accordance with the draft rules of procedure being applied.

Technical examination processes

4. At its twenty-first session, the Conference of the Parties (COP) decided to strengthen, in the period 2016–2020, the existing technical examination process on mitigation as defined in decisions 1/CP.19, paragraph 5(a), and 1/CP.20, paragraph 19, taking into account the latest scientific knowledge.<sup>3</sup>

5. It also decided to launch in the same period a technical examination process on adaptation, which will endeavour to identify concrete opportunities for strengthening resilience, reducing vulnerabilities and increasing the understanding and implementation of adaptation actions.<sup>4</sup> Parties and observer organizations were invited by the COP to submit information by 3 February 2016 on these opportunities<sup>5, 6</sup> The technical examination

<sup>&</sup>lt;sup>1</sup> <www.unfccc.int/9393>.

<sup>&</sup>lt;sup>2</sup> <www.unfccc.int/9413>.

<sup>&</sup>lt;sup>3</sup> Decision 1/CP.21, paragraph 109. See <a href="http://unfccc.int/8179">http://unfccc.int/8179</a>>.

<sup>&</sup>lt;sup>4</sup> Decision 1/CP.21, paragraphs 124 and 125.

<sup>&</sup>lt;sup>5</sup> Decision 1/CP.21, paragraph 132.

<sup>&</sup>lt;sup>6</sup> Once uploaded by Parties, submissions are made available on the submissions portal at <www.unfccc.int/5900>. Submissions from observer organizations are made available at <www.unfccc.int/7478>.

process on adaptation will take into account the process, modalities, output, outcomes and lessons learned from the technical examination process on mitigation.<sup>7</sup>

6. As decided at COP 21, these processes will be organized jointly by the SBI and the SBSTA,<sup>8</sup> with the Adaptation Committee conducting the technical examination process on adaptation. COP 21 requested the secretariat to organize and support these processes, including by organizing regular technical expert meetings on mitigation and adaptation.<sup>9, 10</sup> Such meetings will be convened during the sessions of the subsidiary bodies.

Other mandated events

7. The following events are mandated to take place in conjunction with the session:

(a) A workshop to identify the types of revegetation activities potentially eligible as project activities under the clean development mechanism (CDM) under the existing modalities and procedures contained in decisions 5/CMP.1 and 6/CMP.1, and to identify the cases where new modalities and procedures for revegetation would need to be developed in order to guarantee the environmental integrity of the CDM;<sup>11</sup>

(b) A workshop on the identification of adaptation measures, taking into account the diversity of the agricultural systems, indigenous knowledge systems and the differences in scale as well as possible co-benefits and sharing experiences in research and development and on the ground activities, including socioeconomic, environmental and gender aspects;<sup>12</sup>

(c) A workshop on the identification and assessment of agricultural practices and technologies to enhance productivity in a sustainable manner, food security and resilience, considering the differences in agroecological zones and farming systems, such as different grassland and cropland practices and systems; <sup>13</sup>

(d) The  $8^{th}$  meeting of the research dialogue;<sup>14</sup>

(e) A workshop on linkages between the Technology Mechanism and the Financial Mechanism of the Convention.<sup>15</sup>

8. The SBSTA will be invited to agree on the organization of the work of the session.

# **3.** Nairobi work programme on impacts, vulnerability and adaptation to climate change

9. *Background*: COP 19 requested the SBSTA to take stock of progress in the implementation of the Nairobi work programme on impacts, vulnerability and adaptation to climate change and to further elaborate additional activities, including the timing of such activities, at SBSTA 44.<sup>16</sup> SBSTA 44 is also to consider and elaborate relevant activities

<sup>&</sup>lt;sup>7</sup> Decision 1/CP.21, paragraphs 124 and 128.

<sup>&</sup>lt;sup>8</sup> Decision 1/CP.21, paragraphs 112 and 126.

<sup>&</sup>lt;sup>9</sup> Decision 1/CP.21, paragraphs 111(a) and 129(a).

<sup>&</sup>lt;sup>10</sup> Information on the technical examination meetings taking place at these sessions will be made available in due time at <www.unfccc.int/9143>.

<sup>&</sup>lt;sup>11</sup> FCCC/SBSTA/2015/5, paragraph 57. See also paragraph 52 below.

<sup>&</sup>lt;sup>12</sup> FCCC/SBSTA/2014/2, paragraph 88. See also paragraph 17 below.

<sup>&</sup>lt;sup>13</sup> As footnote 12 above.

<sup>&</sup>lt;sup>14</sup> Decision 16/CP.17, paragraphs 2 and 3. See also paragraph 24 below.

<sup>&</sup>lt;sup>15</sup> Decision 13/CP.21, paragraph 8.

<sup>&</sup>lt;sup>16</sup> Decision 17/CP.19, paragraph 13(b).

under the Nairobi work programme that support the process to formulate and implement national adaptation plans.<sup>17</sup>

10. SBSTA 40 agreed on a number of activities to be undertaken under the Nairobi work programme prior to SBSTA 45. They focus on the collection, analysis and dissemination of information and knowledge to inform adaptation planning and actions at the regional, national and subnational levels, particularly in relation to ecosystems, human settlements, water resources and health.

11. As one of these activities, the secretariat was requested to invite and engage regional centres and networks with the capacity to do so, and in collaboration with relevant Nairobi work programme partner organizations, to use knowledge-sharing modalities, as appropriate and subject to the availability of financial resources, to produce information by SBSTA 43 on methods, tools, good practices and lessons learned.<sup>18</sup>

12. The secretariat invited and engaged the relevant regional centres and networks to report on the outcomes of the activities referred to in paragraph 11 above for consideration at SBSTA 44.

13. *Action*: The SBSTA will take stock of the progress made in the implementation of the Nairobi work programme and further elaborate additional activities, including the timing of such activities. The SBSTA will also consider and elaborate relevant activities under the Nairobi work programme that support the process to formulate and implement national adaptation plans.

FCCC/SBSTA/2016/INF.1	Activities undertaken by regional centres and networks on adaptation planning processes and processes and structures for linking national and local adaptation planning. Note by the secretariat
FCCC/SBSTA/2016/INF.4	Progress made in implementing activities under the Nairobi work programme on impacts, vulnerability and adaptation to climate change. Note by the secretariat
Further information	<www.unfccc.int 8036=""></www.unfccc.int>

# 4. Technology framework under Article 10, paragraph 4, of the Paris Agreement

14. *Background*: COP 21 requested SBSTA 44 to initiate the elaboration of the technology framework established under Article 10, paragraph 4, of the Paris Agreement<sup>19</sup> and to report on its findings to the COP with a view to the COP making a recommendation on the framework to the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (CMA) for consideration and adoption at its first session, taking into consideration that the framework should facilitate, inter alia: <sup>20</sup>

(a) The undertaking and updating of technology needs assessments, as well as the enhanced implementation of their results, particularly technology action plans and project ideas, through the preparation of bankable projects;

<sup>&</sup>lt;sup>17</sup> FCCC/SBSTA/2014/5, paragraph 17.

<sup>&</sup>lt;sup>18</sup> FCCC/SBSTA/2014/2, paragraph 25.

<sup>&</sup>lt;sup>19</sup> Annex to decision 1/CP.21.

<sup>&</sup>lt;sup>20</sup> Decision 1/CP.21, paragraph 67.

(b) The provision of enhanced financial and technical support for the implementation of the results of the technology needs assessments;

(c) The assessment of technologies that are ready for transfer;

(d) The enhancement of enabling environments for and the addressing of barriers to the development and transfer of socially and environmentally sound technologies.

15. *Action*: The SBSTA will be invited to initiate its consideration of the elaboration of the technology framework.

*Further information* <<u>http://unfccc.int/focus/technology/items/7000.php></u>

### 5. Issues relating to agriculture

16. *Background*: SBSTA 40 invited Parties and admitted observer organizations to submit to the secretariat their views on the following elements, among others:

(a) The identification of adaptation measures, taking into account the diversity of the agricultural systems, indigenous knowledge systems and the differences in scale as well as possible co-benefits and sharing experiences in research and development and on the ground activities, including socioeconomic, environmental and gender aspects;

(b) The identification and assessment of agricultural practices and technologies to enhance productivity in a sustainable manner, food security and resilience, considering the differences in agroecological zones and farming systems, such as different grassland and cropland practices and systems.<sup>21</sup>

17. The SBSTA requested the secretariat to organize two in-session workshops on the elements referred to in paragraph 16 above in conjunction with SBSTA 44 and to prepare a report on each of these workshops for consideration at SBSTA 45.<sup>22</sup>

18. SBSTA 43 agreed that the consideration of the reports on the two in-session workshop <sup>23</sup> contained in documents FCCC/SBSTA/2015/INF.6 and FCCC/SBSTA/2015/INF.7 would continue at SBSTA 44 and, together with the consideration of the reports referred to in paragraph 17 above, at SBSTA 45.<sup>24</sup>

19. *Action*: The SBSTA will be invited to consider the information in the documents prepared for the session and to determine any appropriate further action arising therefrom.

FCCC/SBSTA/2016/MISC.1Views on issues relating to agriculture. Submissions<br/>from Parties and admitted observer organizationsFurther information<www.unfccc.int/8793.php>

<sup>&</sup>lt;sup>21</sup> FCCC/SBSTA/2014/2, paragraph 85.

<sup>&</sup>lt;sup>22</sup> FCCC/SBSTA/2014/2, paragraph 89.

<sup>&</sup>lt;sup>23</sup> See document FCCC/SBSTA/2014/2, paragraph 88.

<sup>&</sup>lt;sup>24</sup> FCCC/SBSTA/2015/5, paragraph 20.

#### 6. Matters relating to science and review

#### (a) <u>Research and systematic observation</u>

20. *Background*: SBSTA 37 concluded that the SBSTA would continue to focus its consideration on research during the first sessional period of a year and on systematic observation during the second sessional period of a year.<sup>25</sup>

21. SBSTA 42 invited Parties, taking into account the information note on the  $7^{\text{th}}$  meeting of the research dialogue,<sup>26</sup> to submit to the secretariat their views on possible topics for consideration at the research dialogue to be held during SBSTA 44 and beyond.<sup>27</sup>

22. SBSTA 42 also invited Parties to submit their views on themes for a possible research workshop to be held in conjunction with SBSTA  $46.^{28}$ 

23. Furthermore, SBSTA 42 encouraged the scientific community to address information and research gaps identified during the 7<sup>th</sup> research dialogue, including scenarios that limit warming in 2100 to below 1.5 °C relative to pre-industrial levels and the range of impacts at the regional and local levels associated with these scenarios.<sup>29</sup>

24. The SBSTA will organize the 8<sup>th</sup> meeting of the research dialogue during the session<sup>30</sup> with the participation of Parties and representatives of the Intergovernmental Panel on Climate Change (IPCC) and relevant regional and international research programmes and organizations active in climate change research, taking into account the contributions referred to in paragraphs 21 and 22 above.

25. *Action*: The SBSTA will be invited to consider this matter and to determine further action, which could include a workshop, on the basis of the above-mentioned contributions and on the themes from the research dialogue in order to better serve emerging research needs under the Convention.

Further information

<www.unfccc.int/3461> and <www.unfccc.int/6793>

(b) <u>Assessments of the Intergovernmental Panel on Climate Change and the global stocktake</u> referred to in Article 14 of the Paris Agreement

26. *Background*: COP 21 requested the SBSTA to provide advice on how the assessments of the IPCC can inform the global stocktake of the implementation of the Paris Agreement pursuant to its Article 14 and to report on this matter to the Ad Hoc Working Group on the Paris Agreement at its second session.<sup>31</sup>

27. *Action*: The SBSTA will be invited to initiate the consideration of this matter and to determine any action or inputs required, taking into account that the IPCC has started its sixth assessment cycle.

Further information	<www.unfccc.int 1077=""></www.unfccc.int>
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<sup>&</sup>lt;sup>25</sup> FCCC/SBSTA/2012/5, paragraph 46.

 <sup>&</sup>lt;sup>26</sup> Available at <<u>http://unfccc.int/files/science/workstreams/research/application/pdf/rd7\_infnote.pdf></u>.
 <sup>27</sup> FCCC/SBSTA/2015/2, paragraph 34. Once uploaded by Parties, submissions are made available on

the submissions portal at <www.unfccc.int/5900>.

<sup>&</sup>lt;sup>28</sup> FCCC/SBSTA/2015/2, paragraph 35.

<sup>&</sup>lt;sup>29</sup> FCCC/SBSTA/2015/2, paragraph 33.

<sup>&</sup>lt;sup>30</sup> Please consult the Daily Programme for more information on this event.

<sup>&</sup>lt;sup>31</sup> Decision 1/CP.21, paragraph 100.

(c) The scope of the next periodic review of the long-term global goal under the Convention and of overall progress towards achieving it

28. *Background*: COP 21 agreed that the next periodic review should be conducted in an effective and efficient manner, avoiding duplication of work, and taking into account the results of relevant work conducted under the Convention, its Kyoto Protocol and the subsidiary bodies.<sup>32</sup>

29. COP 21 requested the SBSTA and the SBI to consider the scope of the next periodic review with a view to forwarding a recommendation for consideration by the COP no later than in 2018, as appropriate.<sup>33</sup>

30. *Action*: The SBSTA and the SBI will be invited to initiate the consideration of the scope of the next periodic review and to determine further action.

Further information	<www.unfccc.int 6998=""></www.unfccc.int>	

#### 7. Impact of the implementation of response measures

#### (a) Improved forum and work programme

31. *Background*: COP 21 decided to continue and improve the forum on the impact of the implementation of response measures to provide a platform allowing Parties to share, in an interactive manner, information, experiences, case studies, best practices and views, and to facilitate assessment and analysis of the impact of the implementation of response measures, with a view to recommending specific actions. The COP also adopted the work programme to implement the improved forum.<sup>34</sup>

32. As requested at COP 21, the secretariat will prepare a guidance document to assist developing country Parties in assessing the impact of the implementation of response measures, which will include guidance on modelling tools, as well as technical materials to assist developing country Parties in their economic diversification initiatives, for consideration at SBSTA 44 and SBI 44.<sup>35</sup>

33. *Action*: The Chairs of the SBSTA and SBI will convene the improved forum to implement the work programme under a joint agenda item of these subsidiary bodies, as requested at COP 21.<sup>36</sup> These subsidiary bodies may constitute ad hoc technical expert groups, as appropriate, to elaborate on the technical work under the improved forum.<sup>37</sup>

FCCC/TP/2016/3	Technical materials for assisting developing country Parties in their economic diversification initiatives. Technical paper by the secretariat
FCCC/TP/2016/4	Guidance to assist developing country Parties to assess the impact of the implementation of response measures, including guidance on modelling tools. Technical paper by the secretariat
Further information	<www.unfccc.int 4908=""></www.unfccc.int>

<sup>&</sup>lt;sup>32</sup> Decision 10/CP.21, paragraph 9.

<sup>&</sup>lt;sup>33</sup> Decision 10/CP.21, paragraph 10.

<sup>&</sup>lt;sup>34</sup> Decision 11/CP.21, paragraphs 1 and 5.

<sup>&</sup>lt;sup>35</sup> Decision 11/CP.21, paragraph 9.

<sup>&</sup>lt;sup>36</sup> Decision 11/CP.21, paragraph 3.

<sup>&</sup>lt;sup>37</sup> Decision 11/CP.21, paragraph 4.

(b) <u>Modalities, work programme and functions under the Paris Agreement of the forum on the</u> <u>impact of the implementation of response measures</u>

34. *Background*: COP 21 decided that the forum on the impact of the implementation of response measures under the subsidiary bodies shall continue and shall serve the Paris Agreement. It also decided that the SBSTA and the SBI shall recommend, for consideration and adoption at CMA 1, the modalities, work programme and functions of the forum on the impact of the implementation of response measures to address the effects of the implementation of response measures under the Paris Agreement.<sup>38</sup>

35. *Action*: The SBSTA and the SBI will be invited to initiate their consideration of this matter.

(c) <u>Matters relating to Article 2, paragraph 3, of the Kyoto Protocol</u>

36. *Background*: SBSTA 43 agreed to consider this matter jointly with the SBSTA 43 and SBI 43 agenda sub-item "Forum and work programme" in a joint SBSTA/SBI forum. SBSTA 43 also agreed that consultations would continue at SBSTA 44 on how to take up this matter.<sup>39</sup>

37. Action: The SBSTA will be invited to agree on how to take up this matter.

### 8. Methodological issues under the Convention

(a) <u>Greenhouse gas data interface</u>

38. *Background*: SBSTA 38<sup>40</sup> and SBSTA 39<sup>41</sup> requested the secretariat to implement any necessary technical changes to the greenhouse gas (GHG) data interface following the adoption of the revised "Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part I: UNFCCC reporting guidelines on annual greenhouse gas inventories",<sup>42</sup> subject to the availability of financial resources.

39. SBSTA 39 also agreed that matters relating to the further development of the interface would be considered at SBSTA 43.

40. SBSTA 43 agreed to defer the consideration of this agenda sub-item to SBSTA 44.

41. *Action*: The SBSTA will be invited to consider this matter and to determine any further action.

*Further information* <www.unfccc.int/3800>

#### (b) <u>Common metrics to calculate the carbon dioxide equivalence of greenhouse gases</u>

42. *Background*: SBSTA 34 agreed that the consideration of common metrics under the methodological issues under the Convention, taking into account the report on the workshop on common metrics held on 3 and 4 April 2012,<sup>43</sup> would continue at SBSTA 36.<sup>44</sup>

<sup>&</sup>lt;sup>38</sup> Decision 1/CP.21, paragraph 33.

<sup>&</sup>lt;sup>39</sup> FCCC/SBSTA/2015/5, paragraph 38.

<sup>&</sup>lt;sup>40</sup> FCCC/SBSTA/2013/3, paragraph 121.

<sup>&</sup>lt;sup>41</sup> FCCC/SBSTA/2013/5, paragraph 86.

<sup>&</sup>lt;sup>42</sup> Decision 24/CP.19.

<sup>&</sup>lt;sup>43</sup> FCCC/SBSTA/2012/INF.2.

<sup>&</sup>lt;sup>44</sup> FCCC/SBSTA/2011/2, paragraph 102.

43. Consideration of common metrics continued at SBSTA 40, 41 and 42, including consideration in relation to the findings on common metrics contained in the Fifth Assessment Report of the IPCC presented at a special event at SBSTA 40.<sup>45</sup> SBSTA 42 agreed that the consideration of common metrics would continue at SBSTA 44.<sup>46</sup>

44. *Action*: The SBSTA will be invited to consider this matter and to determine any further action.

Further information<www.unfccc.int/8245> and <www.unfccc.int/6737>

(c) Emissions from fuel used for international aviation and maritime transport

45. *Background*: SBSTA 43 invited the secretariats of the International Civil Aviation Organization (ICAO) and the International Maritime Organization (IMO) to continue to report, at future sessions of the SBSTA, on relevant work on addressing emissions from fuel used for international aviation and maritime transport.<sup>47</sup>

46. *Action*: The SBSTA will be invited to take note of the information contained in the reports of the secretariats of ICAO and IMO.

FCCC/SBSTA/2016/MISC.2	Information relevant to emissions from fuel used for international aviation and maritime transport. Submissions from international organizations
Further information	<www.unfccc.int 1057=""></www.unfccc.int>

#### (d) <u>Training programme for review experts for the technical review of greenhouse gas</u> inventories of Parties included in Annex I to the Convention

47. *Background*: COP 20 requested the secretariat <sup>48</sup> to implement the training programme for review experts for the technical review of GHG inventories of Parties included in Annex I to the Convention and to include, in its annual report to the SBSTA on the composition of expert review teams, information on the training programme, in particular on examination procedures and the selection of trainees and instructors, in order for Parties to assess the effectiveness of the programme.<sup>49</sup>

48. SBSTA 41 requested the secretariat to make available in 2015 the online training courses of the training programme. The SBSTA agreed to assess the results of the programme at SBSTA 44 and to make recommendations to COP 22 on the further development and enhancement of the training programme.<sup>50</sup>

49. *Action:* The SBSTA will be invited to consider this matter and to provide its recommendations on the further development and enhancement of the training programme to the COP.

*Further information <www.unfccc.int/2763>* 

<sup>&</sup>lt;sup>45</sup> FCCC/SBSTA/2012/2, paragraph 92.

<sup>&</sup>lt;sup>46</sup> FCCC/SBSTA/2015/2, paragraph 55.

<sup>&</sup>lt;sup>47</sup> FCCC/SBSTA/2015/5, paragraph 43.

<sup>&</sup>lt;sup>48</sup> Decision 14/CP.20, paragraphs 1 and 3.

<sup>&</sup>lt;sup>49</sup> See document FCCC/SBSTA/2015/INF.9, paragraphs 36–43.

<sup>&</sup>lt;sup>50</sup> FCCC/SBSTA/2014/5, paragraphs 72 and 73.

## 9. Methodological issues under the Kyoto Protocol

(a) <u>Land use, land-use change and forestry under Article 3, paragraphs 3 and 4, of the Kyoto</u> <u>Protocol and under the clean development mechanism</u>

50. *Background*: At SBSTA 41, the SBSTA agreed to continue at SBSTA 44 its consideration of issues outlined in the work programmes referred to in decision 2/CMP.7, paragraphs 5, 7 and 10, with a view to recommending a draft decision or reporting on the outcomes, as appropriate, for consideration and, if relevant, adoption at the twelfth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP).<sup>51</sup>

51. SBSTA 43 continued the work under the work programme referred to in decision 2/CMP.7, paragraph 6. It took note of the outcome of the assessment by the CDM Executive Board of the applicability of the modalities and procedures contained in decisions 5/CMP.1 and 6/CMP.1 to projects involving revegetation.<sup>52</sup>

52. The SBSTA recalled the earlier requests of the SBSTA to the secretariat to organize a workshop on facilitating the development of the modalities and procedures for possible additional land use, land-use change and forestry activities under the CDM and for alternative approaches to addressing the risk of non-permanence under the CDM.<sup>53</sup> It requested the secretariat to organize a workshop in conjunction with SBSTA 44 to identify the types of revegetation activities potentially eligible as project activities under the CDM under the existing modalities and procedures contained in decisions 5/CMP.1 and 6/CMP.1, and to identify the cases where new modalities and procedures for revegetation would need to be developed in order to guarantee the environmental integrity of the CDM.<sup>54</sup>

53. The SBSTA agreed to continue its consideration of the work programme referred to in decision 2/CMP.7, paragraph 6, at SBSTA 44 with a view to recommending a draft decision on this matter for consideration and adoption at CMP 12.<sup>55</sup>

54. *Action*: The SBSTA will be invited to continue its consideration of the work programmes referred to in decision 2/CMP.7, paragraphs 5, 6, 7 and 10, with a view to recommending a draft decision or reporting on the outcomes, as appropriate, for consideration and, if relevant, adoption at CMP 12.

*Further information <www.unfccc.int/1084.php>* 

(b) <u>Implications of the choice of metrics used to calculate the carbon dioxide equivalence of</u> <u>greenhouse gases listed in Annex A to the Kyoto Protocol</u>

55. *Background*: CMP 7 requested the SBSTA to conduct an assessment, based on the work of, inter alia, the IPCC, of the implications of the choice of metrics used to calculate the carbon dioxide equivalence of GHGs listed in Annex A to the Kyoto Protocol for the third or subsequent commitment periods. It also requested the SBSTA to initiate such an assessment no later than 2015 and to present to the CMP its recommendations on the most

<sup>&</sup>lt;sup>51</sup> FCCC/SBSTA/2014/5, paragraphs 95 and 96.

<sup>&</sup>lt;sup>52</sup> See document FCCC/KP/CMP/2015/5, paragraphs 121–123.

<sup>&</sup>lt;sup>53</sup> FCCC/SBSTA/2013/3, paragraph 144, FCCC/SBSTA/2013/5, paragraph 109, and FCCC/SBSTA/2014/2, paragraph 151.

<sup>&</sup>lt;sup>54</sup> FCCC/SBSTA/2015/5, paragraph 57.

<sup>&</sup>lt;sup>55</sup> FCCC/SBSTA/2015/5, paragraph 58.

appropriate metric and related values to be used by Parties with a view to the CMP adopting a decision on these matters.<sup>56</sup>

56. SBSTA 42 initiated its consideration of the implications of the choice of metrics used to calculate the carbon dioxide equivalence of GHGs listed in Annex A to the Kyoto Protocol together with its consideration of common metrics under the methodological issues under the Convention. The SBSTA agreed to continue its consideration of common metrics at SBSTA 44.

57. Action: The SBSTA will be invited to consider the implications of the choice of metrics and present its recommendations on this matter to the CMP with a view to the CMP adopting a decision on the metric and related values.

Further information	<www.unfccc.int 8245=""> and <www.unfccc.int 6737=""></www.unfccc.int></www.unfccc.int>

(c) <u>Implications of the inclusion of reforestation of lands with forest in exhaustion as</u> afforestation and reforestation clean development mechanism project activities

58. *Background*: SBSTA 42 considered this agenda sub-item and invited Parties to submit their further views on this matter via the submissions portal by 9 March 2016. The SBSTA further agreed to continue its consideration of this matter at SBSTA 44 with a view to reporting on the outcomes of that consideration at CMP 12.<sup>57</sup>

59. *Action*: The SBSTA will be invited to continue its consideration of this matter taking into account views expressed by Parties in their submissions.

Further information	<www.unfccc.int 1084=""></www.unfccc.int>	
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### 10. Market and non-market mechanisms under the Convention

(a) <u>Framework for various approaches</u>

60. *Background*: SBSTA 43 continued the work programme to elaborate a framework for various approaches<sup>58</sup> with a view to recommending a draft decision for consideration and adoption by the COP. As SBSTA 43 was unable to reach agreement on this matter, it agreed that the consideration of the matter would continue at SBSTA 44, in accordance with rule 16 of the draft rules of procedure being applied.<sup>59</sup>

61. *Action*: The SBSTA will be invited to conclude its work under this agenda sub-item in view of the adoption of the Paris Agreement, in particular the provisions of its Article 6.

 Further information
 <www.unfccc.int/7551>

(b) <u>Non-market-based approaches</u>

62. *Background*: SBSTA 43 continued the work programme to elaborate a framework for non-market-based approaches<sup>60</sup> with a view to recommending a draft decision for consideration and adoption by the COP. As SBSTA 43 was unable to reach agreement on

<sup>&</sup>lt;sup>56</sup> Decision 4/CMP.7, paragraphs 10 and 11.

<sup>&</sup>lt;sup>57</sup> FCCC/SBSTA/2015/2, paragraphs 76–79.

<sup>&</sup>lt;sup>58</sup> In accordance with decision 1/CP.18, paragraphs 41–46.

<sup>&</sup>lt;sup>59</sup> FCCC/SBSTA/2015/5, paragraph 59.

<sup>&</sup>lt;sup>60</sup> In accordance with decision 1/CP.18, paragraph 47.

this matter, it agreed that the consideration of the matter would continue at SBSTA 44, in accordance with rule 16 of the draft rules of procedure being applied.<sup>61</sup>

63. *Action*: The SBSTA will be invited to conclude its work under this agenda sub-item in view of the adoption of the Paris Agreement, in particular the provisions of its Article 6.

Further information	<www.unfccc.int 7551=""></www.unfccc.int>	

#### (c) <u>New market-based mechanism</u>

64. *Background*: SBSTA 43 continued the work programme to elaborate a framework for modalities and procedures for the mechanism defined in decision 2/CP.17, paragraph 83. As SBSTA 43 was unable to reach agreement on this matter, it agreed <sup>62</sup> that the consideration of the matter would continue at SBSTA 44, in accordance with rule 16 of the draft rules of procedure being applied.<sup>63</sup>

65. *Action*: The SBSTA will be invited to conclude its work under this agenda sub-item in view of the adoption of the Paris Agreement, in particular the provisions of its Article 6.

*Further information* <www.unfccc.int/7551>

## 11. Matters relating to Article 6 of the Paris Agreement

(a) <u>Guidance on cooperative approaches referred to in Article 6, paragraph 2, of the Paris</u> <u>Agreement</u>

66. *Background*: COP 21 requested the SBSTA to develop and recommend the guidance referred to in Article 6, paragraph 2, of the Paris Agreement for consideration and adoption by CMA 1, including guidance to ensure that double counting is avoided on the basis of a corresponding adjustment by Parties for both anthropogenic emissions by sources and removals by sinks covered by their nationally determined contributions under the Paris Agreement.<sup>64</sup>

67. Action: The SBSTA will be invited to initiate its consideration of this matter.

*Further information <www.unfccc.int/7551>* 

(b) <u>Rules, modalities and procedures for the mechanism established by Article 6, paragraph 4, of the Paris Agreement</u>

68. *Background*: COP 21 requested the SBSTA to develop and recommend rules, modalities and procedures for the mechanism established by Article 6, paragraph 4, of the Paris Agreement for consideration and adoption at CMA  $1.^{65}$ 

69. Action: The SBSTA will be invited to initiate its consideration of this matter.

Further information	<www.unfccc.int 7551=""></www.unfccc.int>	
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<sup>&</sup>lt;sup>61</sup> FCCC/SBSTA/2015/5, paragraph 59.

<sup>&</sup>lt;sup>62</sup> FCCC/SBSTA/2015/5, paragraph 59.

<sup>&</sup>lt;sup>63</sup> See decision 1/CP.18, paragraphs 50 and 51.

<sup>&</sup>lt;sup>64</sup> Decision 1/CP.21, paragraph 36.

<sup>&</sup>lt;sup>65</sup> Decision 1/CP.21, paragraph 38.

(c) <u>Work programme under the framework for non-market approaches referred to in Article 6,</u> paragraph 8, of the Paris Agreement

70. *Background*: COP 21 requested the SBSTA to undertake a work programme under the framework for non-market approaches to sustainable development referred to in Article 6, paragraph 8, of the Paris Agreement, with the objective of considering how to enhance linkages and create synergy between, inter alia, mitigation, adaptation, finance, technology transfer and capacity-building, and how to facilitate the implementation and coordination of non-market approaches. The COP further requested the SBSTA to recommend a draft decision on the work programme, taking into account the views of Parties, for consideration and adoption at CMA 1.<sup>66</sup>

71. Action: The SBSTA will be invited to initiate its consideration of this matter.

 Further information
 <www.unfccc.int/7551>

# 12. Modalities for the accounting of financial resources provided and mobilized through public interventions in accordance with Article 9, paragraph 7, of the Paris Agreement

72. *Background*: COP 21 requested the SBSTA to develop modalities for the accounting of financial resources provided and mobilized through public interventions in accordance with Article 9, paragraph 7, of the Paris Agreement for consideration at COP 24 with a view to making a recommendation for consideration and adoption at CMA 1.<sup>67</sup>

73. Action: The SBSTA will be invited to initiate its consideration of this matter.

 Further information
 <www.unfccc.int/2807>

## **13.** Cooperation with other international organizations

74. *Background*: SBSTA 30 requested the secretariat to prepare, before sessions at which this agenda item is taken up, an information paper summarizing relevant cooperative activities to enable Parties to comment on the information, as appropriate.<sup>68</sup>

75. The information paper referred to in paragraph 74 above will provide the SBSTA with an update on the cooperative activities of the secretariat with United Nations entities and other intergovernmental organizations that enhance and contribute to the work, and support the implementation of decisions, under the Convention.

76. *Action*: The SBSTA will be invited to take note of the document prepared for the session.

FCCC/SBSTA/2016/INF.3	Summary of cooperative activities with United Nations entities and intergovernmental organizations that contribute to the work under the Convention. Note by the secretariat
Further information	<www.unfccc.int 2533=""></www.unfccc.int>

<sup>&</sup>lt;sup>66</sup> Decision 1/CP.21, paragraphs 39 and 40.

<sup>&</sup>lt;sup>67</sup> Decision 1/CP.21, paragraph 57.

<sup>&</sup>lt;sup>68</sup> FCCC/SBSTA/2009/3, paragraph 128.

### 14. Other matters

77. *Background*: COP 19 decided that each submission from a developing country Party on proposed forest reference emission levels and/or forest reference levels referred to in decision 12/CP.17, paragraph 13, shall be subject to a technical assessment in the context of results-based payments.<sup>69</sup> It also adopted the guidelines and procedures for these technical assessments.<sup>70</sup> It furthermore requested the secretariat to prepare a synthesis report on the technical assessment process for consideration by the SBSTA after the first year of technical assessments.<sup>71</sup>

78. *Action*: The SBSTA will be invited to take note of the synthesis report to be prepared by the secretariat.

FCCC/SBSTA/2016/INF.2	Technical assessment process for proposed forest reference emission levels and/or forest reference levels submitted by developing country Parties. Synthesis report by the secretariat
Further information	<www.unfccc.int 4123=""></www.unfccc.int>

79. Any other matters arising during the session will be taken up under this agenda item.

### 15. Closure of and report on the session

80. After the draft report on the session is presented to the SBSTA for consideration and adoption, the Chair will declare the session closed.

<sup>&</sup>lt;sup>69</sup> Decision 13/CP.19, paragraphs 1 and 2.

<sup>&</sup>lt;sup>70</sup> Decision 13/CP.19, annex.

<sup>&</sup>lt;sup>71</sup> Decision 13/CP.19, paragraph 4.