



Subsidiary Body for Implementation

Forty-fourth session

Bonn, 16–26 May 2016

Item 2(a) of the provisional agenda

Organizational matters

Adoption of the agenda

Provisional agenda and annotations

Note by the Executive Secretary

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¹ At the forty-third session of the Subsidiary Body for Implementation (SBI) there was no consensus to include this sub-item on the agenda. It was therefore held in abeyance. On a proposal by the Chair, the SBI decided to include the sub-item on the provisional agenda for SBI 44.

- (a) Financial and budgetary matters;
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II. Annotations to the provisional agenda

1. Opening of the session

1. The forty-fourth session of the Subsidiary Body for Implementation (SBI) will be opened by the Chair, Mr. Tomasz Chruszczow (Poland), on Monday, 16 May 2016.

2. Organizational matters

(a) Adoption of the agenda

2. The provisional agenda, prepared by the Executive Secretary in agreement with the Chair, will be presented for adoption.

<i>FCCC/SBI/2016/1</i>	<i>Provisional agenda and annotations. Note by the Executive Secretary</i>
<i>Further information</i>	<i><www.unfccc.int/9392></i>

(b) Organization of the work of the session

3. Detailed information on the work of the session will be posted on the SBI 44 web page.² Delegates are invited to refer to the overview schedule³ and the in-session Daily Programme and to regularly consult the closed-circuit television screens for an up-to-date schedule of the work of the SBI. In order to maximize negotiation time and ensure timely closure of the session, presiding officers will propose, in consultation with Parties and in a transparent manner, time-saving approaches to the organization and scheduling of meetings during the session, taking into account previous relevant SBI conclusions.⁴ Items not concluded at SBI 44 will be forwarded for consideration at subsequent sessions, in accordance with the draft rules of procedure being applied.

(c) Facilitative sharing of views under the international consultation and analysis process

4. At its seventeenth session, the Conference of the Parties (COP) adopted the modalities and guidelines for the international consultation and analysis (ICA) process of

² <www.unfccc.int/9392>.

³ <www.unfccc.int/9413>.

⁴ FCCC/SBI/2014/8, paragraphs 218–221.

biennial update reports (BURs) under the SBI.⁵ The ICA process aims to increase the transparency of mitigation actions by developing country Parties and their effects through analysis by technical experts in consultation with the Party concerned and through a facilitative sharing of views (FSV).

5. The FSV draws on the technical analysis of the BURs submitted by Parties not included in Annex I to the Convention (non-Annex I Parties) as well as on the summary report on the technical analysis. The summary report, incorporating comments from the Party, is finalized in consultation with the Party concerned and presented to the SBI.

6. Following the modalities and guidelines referred to in paragraph 4 above, the first FSV will be convened during SBI 44 for non-Annex I Parties that have submitted a BUR and for which a summary report has been finalized by 29 February 2016.⁶ It will take the form of a workshop open to all Parties. Other interested Parties will be allowed to submit written questions in advance. The Chair will provide information on the organization of the workshop.⁷

<i>Further information</i>	 <www.unfccc.int/8621> and <www.unfccc.int/9382>
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(d) Other mandated events

Technical examination processes

7. COP 21 decided to strengthen, in the period 2016–2020, the existing technical examination process on mitigation as defined in decisions 1/CP.19, paragraph 5(a), and 1/CP.20, paragraph 19, taking into account the latest scientific knowledge.⁸

8. It also decided to launch in the same period a technical examination process on adaptation, which will endeavour to identify concrete opportunities for strengthening resilience, reducing vulnerabilities and increasing the understanding and implementation of adaptation actions.⁹ Parties and observer organizations were invited by the COP to submit information by 3 February 2016 on these opportunities.^{10, 11} The technical examination process on adaptation will take into account the process, modalities, output, outcomes and lessons learned from the technical examination process on mitigation.¹²

9. As decided at COP 21, these processes will be organized jointly by the SBI and the Subsidiary Body for Scientific and Technological Advice (SBSTA),¹³ with the Adaptation Committee conducting the technical examination process on adaptation. COP 21 requested the secretariat to organize and support these processes, including by organizing regular technical expert meetings on mitigation and adaptation.^{14, 15} Such meetings will be convened during the sessions of the subsidiary bodies.

⁵ Decision 2/CP.17, annex IV.

⁶ Final summary reports are available at [<http://unfccc.int/8722>](http://unfccc.int/8722).

⁷ This information will be made available at [<http://unfccc.int/9382>](http://unfccc.int/9382).

⁸ Decision 1/CP.21, paragraph 109. See [<http://unfccc.int/8179>](http://unfccc.int/8179).

⁹ Decision 1/CP.21, paragraphs 124 and 125.

¹⁰ Decision 1/CP.21, paragraph 132.

¹¹ Once uploaded by Parties, submissions are made available on the submissions portal at [<www.unfccc.int/5900>](http://www.unfccc.int/5900). Submissions from observer organizations are made available at [<www.unfccc.int/7478>](http://www.unfccc.int/7478).

¹² Decision 1/CP.21, paragraphs 124 and 128.

¹³ Decision 1/CP.21, paragraphs 112 and 126.

¹⁴ Decision 1/CP.21, paragraphs 111(a) and 129(a).

¹⁵ Information on the technical examination meetings taking place at these sessions will be made available in due time at [<www.unfccc.int/9143>](http://www.unfccc.int/9143).

Other events

10. In addition, the following workshops and events are mandated to take place in conjunction with the session:

- (a) The 5th meeting of the Durban Forum on capacity-building;¹⁶
- (b) The 4th Dialogue on Action for Climate Empowerment;¹⁷
- (c) A workshop on gender-responsive climate policy with a focus on adaptation and capacity-building and training for delegates on gender issues;¹⁸
- (d) A workshop on the linkages between the Technology Mechanism and the Financial Mechanism.¹⁹

(e) Election of officers other than the Chair

11. *Background:* The SBI will elect its Rapporteur. The current officer will remain in office until his successor is elected. Parties are invited to give active consideration to the nomination of women for elective posts.

12. When the SBI exercises its functions with regard to matters concerning the Kyoto Protocol, any member of its Bureau representing a Party to the Convention but, at that time, not a Party to the Kyoto Protocol shall be replaced by an additional member to be elected by and among the Parties to the Kyoto Protocol.

13. *Action:* The SBI will be invited to elect its Rapporteur at the earliest opportunity. If necessary, the SBI will be invited to elect an additional officer to replace the Rapporteur representing a Party to the Convention but not to the Kyoto Protocol.

<i>Further information</i>	 <www.unfccc.int/6558>
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3. Reporting from and review of Parties included in Annex I to the Convention

(a) Status of submission and review of second biennial reports from Parties included in Annex I to the Convention

14. *Background:* COP 16 decided that Parties included in Annex I to the Convention should enhance the reporting in national communications (NCs) and submit biennial reports (BRs) that outline the progress made in achieving emission reductions and the provision of financial, technology and capacity-building support to non-Annex I Parties, building on existing reporting and review guidelines, processes and experiences.²⁰

15. Developed country Parties were requested to submit their second BRs (BR2s) by 1 January 2016.²¹ As at 24 February 2016, the secretariat had received 38 BR2s and 38 BR2 common tabular format submissions.

¹⁶ See paragraphs 59 and 62 below.

¹⁷ The implementation of Article 6 of the Convention is now referred to as “Action for Climate Empowerment”, as noted at SBI 43 (FCCC/SBI/2015/22, paragraph 106). See also paragraph 69 below.

¹⁸ See paragraph 83 below.

¹⁹ Decision 13/CP.21, paragraph 8.

²⁰ Decision 1/CP.16, paragraph 40.

²¹ Decision 2/CP.17, paragraph 13.

16. *Action:* The SBI will be invited to take note of the status of submission and review of BR2s.

<i>FCCC/SBI/2016/INF.1</i>	<i>Status of submission and review of second biennial reports. Note by the secretariat</i>
<i>Further information</i>	<i><www.unfccc.int/7742> and <www.unfccc.int/7550></i>

(b) Compilation and synthesis of sixth national communications and first biennial reports from Parties included in Annex I to the Convention

17. *Background:* SBI 42 agreed that the consideration of this matter would continue at SBI 44.²²

18. *Action:* The SBI will be invited to conclude its consideration of the compilation and synthesis report on sixth NCs and first BRs.

(c) Outcome of the first round of the international assessment and review process (2014–2015)

19. *Background:* The international assessment and review (IAR) process was established to promote the comparability of efforts of all developed countries with regard to their quantified economy-wide emission limitation and reduction targets. Under this process, the secretariat prepares a record for each Party that includes in-depth review reports, the summary report of the SBI, questions submitted by Parties and responses provided, and any other observations submitted by the Party under review within two months of the relevant working group session of the SBI. The SBI forwards conclusions based on such records to relevant bodies under the Convention, as appropriate.²³

20. Multilateral assessment (MA) working group sessions were convened during SBI 41, 42 and 43 to multilaterally assess the progress made by the 43 Parties under review in meeting their targets, with the first round of the IAR process being completed at SBI 43. The records for those Parties are available on the UNFCCC website;²⁴ their MA summary reports are annexed to the relevant SBI reports.²⁵

21. The consideration of the outcome of the first round of IAR was initiated at SBI 42. SBI 43 agreed that the consideration of this matter would continue at SBI 44.

22. *Action:* The SBI will be invited to complete its consideration of the outcome of the first round of IAR and to forward conclusions on this matter for consideration and adoption at COP 22, as appropriate.

<i>Further information</i>	<i><www.unfccc.int/8451></i>
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(d) Revision of the “Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part II: UNFCCC reporting guidelines on national communications”

23. *Background:* SBI 43 continued the consideration, initiated at SBI 40, of the revision of the “Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part II: UNFCCC reporting guidelines on national

²² FCCC/SBI/2015/10, paragraph 10.

²³ Decision 2/CP.17, annex II, paragraphs 11 and 12.

²⁴ <www.unfccc.int/8451>.

²⁵ FCCC/SBI/2014/21, FCCC/SBI/2015/10 and FCCC/SBI/2015/22.

communications” (hereinafter referred to as the UNFCCC reporting guidelines on NCs) The SBI agreed to finalize its work on this matter at SBI 44.²⁶

24. As requested at SBI 43, the secretariat will organize a pre-sessional workshop prior to SBI 44 and prepare a report on it as input to the discussion on this matter at SBI 44.²⁷

25. COP 21 decided to enhance consistency and transparency of reporting through adjustments in the reporting parameters in tables 7, 7(a) and 7(b) of the common tabular format for the “UNFCCC biennial reporting guidelines for developed country Parties” and invited the SBI to take these adjustments into account in its revision of the UNFCCC reporting guidelines on NCs.²⁸

26. *Action:* The SBI will be invited to complete its consideration of this matter and to recommend a draft decision on the revised guidelines for consideration and adoption at COP 22.

FCCC/SBI/2016/INF.4

Workshop on the revision of the “Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part II: UNFCCC reporting guidelines on national communications”.
Report by the secretariat

Further information

<www.unfccc.int/1095>

4. Reporting from Parties not included in Annex I to the Convention

(a) Information contained in national communications from Parties not included in Annex I to the Convention

27. *Background:* At SBI 24, some Parties proposed that, in accordance with Article 10, paragraph 2, of the Convention, the SBI consider the information communicated by non-Annex I Parties in all of their NCs.²⁹ At SBI 43, this agenda sub-item was held in abeyance. On a proposal by the Chair, the SBI decided to include this sub-item on the provisional agenda for SBI 44.

28. *Action:* The SBI will be invited to provide guidance on how to consider the information contained in NCs from non-Annex I Parties, taking into account the relevant provisions of the Paris Agreement.³⁰

(b) Provision of financial and technical support

29. *Background:* The Global Environment Facility (GEF), as an operating entity of the Financial Mechanism of the Convention, provides financial support for the preparation of NCs and BURs of non-Annex I Parties.

30. The GEF will provide information on its activities relating to the preparation of BURs, including dates of requests for funding, approval of funding and disbursement of funds and approximate dates for submission of BURs to the secretariat.³¹

²⁶ FCCC/SBI/2015/22, paragraph 19.

²⁷ FCCC/SBI/2015/10, paragraph 17.

²⁸ Decision 9/CP.21, paragraphs 6 and 15.

²⁹ FCCC/SBI/2006/11, paragraph 32.

³⁰ Annex to decision 1/CP.21.

31. *Action:* The SBI will be invited to consider the information contained in the document prepared for the session and to make recommendations, as appropriate, to the GEF.

<i>FCCC/SBI/2016/INF.2</i>	<i>Information provided by the Global Environment Facility on its activities relating to the preparation of biennial update reports. Note by the secretariat</i>
<i>Further information</i>	<i><www.unfccc.int/6921></i>

(c) Summary reports on the technical analysis of biennial update reports of Parties not included in Annex I to the Convention

32. *Background:* COP 17 adopted the modalities and guidelines for ICA.³² The ICA process includes a technical analysis of BURs by a team of experts and results in a summary report for each BUR submitted. The summary reports are made available on the UNFCCC website³³ and presented to the SBI.³⁴ SBI 43 took note of the four summary reports that had been finalized as at that time.³⁵

33. Four technical analysis rounds covering 20 BURs will have been conducted by 30 April 2016. Three rounds were organized in 2015, covering 14 BURs. A fourth round was held from 29 February to 4 March 2016 for BURs submitted between 18 September and 18 December 2015.

34. *Action:* The SBI will be invited to take note of the summary reports finalized in the period 18 December 2015 to 29 February 2016.

<i>Further information</i>	<i><www.unfccc.int/8722></i>
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5. Registry of nationally determined contributions referred to in Article 4, paragraph 12, of the Paris Agreement

35. *Background:* In accordance with Article 4, paragraph 12, of the Paris Agreement, nationally determined contributions communicated by Parties shall be recorded in a public registry maintained by the secretariat. The SBI will develop modalities and procedures for the operation and use of this public registry, for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (CMA) at its first session.³⁶

36. *Action:* The SBI will be invited to initiate its consideration of this matter.

<i>FCCC/SBI/2016/INF.6</i>	<i>Development and operation of an interim public registry for nationally determined contributions. Note by the secretariat</i>
<i>Further information</i>	<i><www.unfccc.int/9433></i>

³¹ FCCC/SBI/2015/22, paragraph 34.

³² See paragraph 4 above.

³³ <www.unfccc.int/8722>.

³⁴ Decisions 2/CP.17, annex IV, paragraphs 3(a), 4 and 5, and 20/CP.19, annex, paragraph 11.

³⁵ FCCC/SBI/2015/22, paragraph 42.

³⁶ Decision 1/CP.21, paragraph 29.

6. Matters relating to the mechanisms under the Kyoto Protocol

(a) Review of the modalities and procedures for the clean development mechanism

37. *Background:* At its first session, the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) adopted the modalities and procedures for the clean development mechanism (CDM).³⁷ SBI 39 initiated the consideration of possible changes to the CDM modalities and procedures.³⁸ SBI 43 agreed that the consideration would continue at SBI 44 taking into account the priorities of Parties with regard to this matter.³⁹

38. *Action:* The SBI will be invited to conclude its work on this matter and to prepare a draft decision for consideration and adoption at CMP 12.

<i>Further information</i>	 <www.unfccc.int/1673>
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(b) Review of the joint implementation guidelines

39. *Background:* CMP 1 adopted the guidelines for the implementation of Article 6 of the Kyoto Protocol (joint implementation (JI)).⁴⁰ SBI 39 initiated the review of these guidelines.⁴¹ SBI 43 agreed that the consideration of this matter would continue at SBI 44 on the basis of the draft decision text proposed by the co-facilitators of the informal consultations on this agenda sub-item contained in the annex to document FCCC/SBI/2015/L.30.⁴²

40. As requested at CMP 11, the Joint Implementation Supervisory Committee (JISC) will submit recommendations on actions that would be necessary to implement the draft JI modalities and procedures contained in the draft decision text referred to in paragraph 39 above.⁴³ The JISC will also submit recommendations in the context of the review of the JI guidelines, inter alia on options to address concerns raised by stakeholders and validation by an accredited independent entity of post-registration changes.⁴⁴

41. *Action:* The SBI will be invited to conclude its review of the JI guidelines and prepare a draft decision for consideration and adoption at CMP 12.

<i>FCCC/SBI/2016/INF.7</i>	<i>Implementation of the draft joint implementation modalities and procedures. Recommendations by the Joint Implementation Supervisory Committee</i>
<i>FCCC/SBI/2016/INF.8</i>	<i>Review of the joint implementation guidelines. Recommendations by the Joint Implementation Supervisory Committee</i>
<i>Further information</i>	 <www.unfccc.int/1673>

³⁷ Decision 3/CMP.1.

³⁸ In accordance with decision 5/CMP.8.

³⁹ FCCC/SBI/2015/22, paragraph 45.

⁴⁰ Decision 9/CMP.1.

⁴¹ In accordance with decision 6/CMP.8.

⁴² FCCC/SBI/2015/22, paragraph 48.

⁴³ Decision 7/CMP.11, paragraph 4.

⁴⁴ Decision 7/CMP.11, paragraph 5.

(c) Procedures, mechanisms and institutional arrangements for appeals against decisions of the Executive Board of the clean development mechanism

42. *Background:* CMP 6 requested⁴⁵ the SBI to make recommendations for consideration and adoption at CMP 7 on procedures, mechanisms and institutional arrangements under the CMP to allow for appeals against decisions of the CDM Executive Board, taking into account the recommendations of the Executive Board contained in its annual report.⁴⁶

43. The SBI has been considering this matter since SBI 34 without reaching agreement. SBI 42 agreed that the consideration of the matter would continue at SBI 44 on the basis of, inter alia, the co-facilitators' draft text contained in document FCCC/SBI/2012/33/Add.1.⁴⁷ Parties and admitted observer organizations may submit their views on the scope of the mechanism for appeals against the decisions of the Executive Board of the CDM by 1 March 2016.⁴⁸

44. *Action:* The SBI will be invited to conclude its consideration of this matter and to prepare a draft decision thereon for consideration and adoption at CMP 12.

7. Matters relating to the least developed countries

45. *Background:* COP 21 decided to extend the mandate of the Least Developed Countries Expert Group (LEG) under its current terms of reference,⁴⁹ and mandated the LEG to undertake a number of additional activities.⁵⁰ The LEG is also mandated to develop a two-year rolling programme of work for consideration by the SBI at its first sessional meeting of each year and to report on its work to the SBI at each of its sessions.⁵¹ The LEG will also report on its initial consideration of the mandates given to it at COP 21.⁵²

46. The 29th meeting of the LEG is planned to take place from 15 to 19 March 2016 in Dili, Timor-Leste.

47. *Action:* The SBI will be invited to consider the work programme of the LEG and take further action, as appropriate.

FCCC/SBI/2016/7

The 29th meeting of the Least Developed Countries Expert Group. Report by the secretariat

Further information

<www.unfccc.int/7504> and <www.unfccc.int/7568>

⁴⁵ Decision 3/CMP.6, paragraph 18.

⁴⁶ FCCC/KP/CMP/2010/10, annex II.

⁴⁷ FCCC/SBI/2015/10, paragraph 41.

⁴⁸ FCCC/SBI/2015/10, paragraph 42.

⁴⁹ Decision 19/CP.21, paragraph 1.

⁵⁰ Decision 19/CP.21, paragraphs 2 and 3.

⁵¹ Decision 6/CP.16, paragraph 3.

⁵² Decision 1/CP.21, paragraphs 41 and 45.

8. National adaptation plans

48. *Background:* SBI 42 initiated its consideration of options for enhancing reporting related to the process to formulate and implement national adaptation plans (NAPs) and agreed that the consideration of this matter would continue at SBI 44.⁵³

49. COP 17 and 18 invited Parties, relevant bodies under the Convention and relevant organizations to provide, through various channels, information related to the process to formulate and implement NAPs.⁵⁴ In accordance with a mandate from COP 20,⁵⁵ options for enhancing reporting related to the process to formulate and implement NAPs were considered as part of a workshop on experiences, good practices, lessons learned, gaps and needs in the process to formulate and implement NAPs, which was organized by the LEG and the Adaptation Committee in April 2015.⁵⁶

50. COP 21 requested the LEG and the Adaptation Committee to consider how they can provide more information on accessing funding from the Green Climate Fund for the process to formulate and implement NAPs and to include such information, as appropriate, in their reports.⁵⁷

51. *Action:* The SBI will be invited to consider matters relating to NAPs and to provide further guidance, as appropriate.

FCCC/SBI/2016/7

The 29th meeting of the Least Developed Countries Expert Group. Report by the secretariat

Further information

<www.unfccc.int/7500> and <www.unfccc.int/7279>

9. Third review of the Adaptation Fund

52. *Background:* As requested at CMP 10, SBI 44 will initiate the third review of the Adaptation Fund in accordance with the terms of reference as contained in the annex to decision 2/CMP.9 or as they may subsequently be amended.⁵⁸

53. *Action:* The SBI will be invited to initiate its consideration of this matter and to report back to CMP 12 with a view to the review being undertaken at CMP 13.

10. Scope and modalities for the periodic assessment of the Technology Mechanism in relation to supporting the implementation of the Paris Agreement

54. *Background:* COP 21 decided to undertake a periodic assessment of the effectiveness of, and adequacy of the support provided to, the Technology Mechanism in supporting the implementation of the Paris Agreement on matters relating to technology development and transfer.

⁵³ FCCC/SBI/2015/10, paragraph 75.

⁵⁴ Decisions 5/CP.17, paragraphs 32–35, and 12/CP.18, paragraphs 8–10.

⁵⁵ Decision 3/CP.20, paragraph 8.

⁵⁶ See document FCCC/SBI/2015/INF.6 for the report on the workshop.

⁵⁷ Decision 4/CP.21, paragraph 10.

⁵⁸ Decision 2/CMP.10, paragraph 9.

55. The COP requested SBI 44 to initiate the elaboration of the scope of and modalities for this periodic assessment, taking into account the review of the Climate Technology Centre and Network as referred to in decision 2/CP.17, annex VII, paragraph 20, and the modalities for the global stocktake referred to in Article 14 of the Paris Agreement, for consideration and adoption at COP 25.⁵⁹

56. *Action:* The SBI will be invited to initiate its consideration of this matter.

11. Capacity-building in developing countries

(a) Third comprehensive review of the implementation of the framework for capacity-building under the Convention

57. *Background:* COP 21 adopted the terms of reference for the third comprehensive review of the implementation of the framework for capacity-building in developing countries established under decision 2/CP.7 (hereinafter referred to as the capacity-building framework) and requested SBI 44 to conduct the review.⁶⁰

58. In accordance with the request of COP 21, as an input to the comprehensive review the secretariat will prepare, inter alia, a technical paper based on the terms of reference for the review, a report on the implementation of the capacity-building framework and a miscellaneous document containing the views on the review submitted by Parties and observer organizations by 9 March 2016.⁶¹

59. The 5th meeting of the Durban Forum on capacity-building will be held during SBI 44 and will explore potential ways for enhancing capacity-building by sharing information and varied experiences. Parties may submit suggestions on additional potential topics for the 5th meeting and views on possible ways to enhance the information included in the capacity-building portal.⁶²

60. *Action:* The SBI will be invited to conduct the third comprehensive review of the capacity-building framework with a view to recommending a draft decision on this matter for consideration and adoption at COP 22. Interested Parties and admitted organizations, as well as the media, are invited to participate in, and actively contribute to, the 5th meeting of the Durban Forum.

<i>FCCC/SBI/2016/3</i>	<i>Capacity-building work of bodies established under the Convention and its Kyoto Protocol. Compilation and synthesis report by the secretariat</i>
<i>FCCC/SBI/2016/4</i>	<i>Implementation of the framework for capacity-building in developing countries. Synthesis report by the secretariat</i>
<i>FCCC/SBI/2016/MISC.1</i>	<i>Information and views on capacity-building matters. Submissions from Parties</i>
<i>FCCC/TP/2016/1</i>	<i>Third comprehensive review of the implementation of the framework for capacity-building in developing countries. Technical paper by the secretariat</i>

⁵⁹ Decision 1/CP.21, paragraphs 69 and 70.

⁶⁰ Decision 14/CP.21, paragraphs 1 and 2.

⁶¹ Decision 14/CP.21, paragraphs 3 and 4, and annex, paragraph 6.

⁶² Decision 14/CP.21, paragraphs 9 and 11.

<i>Further information</i>	 <www.unfccc.int/1033> and <www.unfccc.int/7060>
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(b) Third comprehensive review of the implementation of the framework for capacity-building under the Kyoto Protocol

61. *Background:* CMP 11 requested SBI 44 to conduct, on the basis of the terms of reference contained in the annex to decision 14/CP.21, the third comprehensive review of the implementation of the capacity-building framework, established under decision 2/CP.7 and reaffirmed under decision 29/CMP.1.⁶³

62. The 5th meeting of the Durban Forum on capacity-building will be held during SBI 44 and will explore potential ways for enhancing capacity-building by sharing information and varied experiences related to the Kyoto Protocol. Parties may submit suggestions on additional potential topics related to the Kyoto Protocol for the 5th meeting of the Durban Forum by 9 March 2016.⁶⁴

63. *Action:* The SBI will be invited to conduct the third comprehensive review of the capacity-building framework in developing countries with a view to recommending a draft decision on this matter for consideration and adoption at CMP 12. Interested Parties and admitted organizations, as well as the media, are invited to participate in, and actively contribute to, the 5th meeting of the Durban Forum.

<i>FCCC/SBI/2016/3</i>	<i>Capacity-building work of bodies established under the Convention and its Kyoto Protocol. Compilation and synthesis report by the secretariat</i>
<i>FCCC/SBI/2016/4</i>	<i>Implementation of the framework for capacity-building in developing countries. Synthesis report by the secretariat</i>
<i>FCCC/SBI/2016/MISC.1</i>	<i>Information and views on capacity-building matters. Submissions from Parties</i>
<i>FCCC/TP/2016/1</i>	<i>Third comprehensive review of the implementation of the framework for capacity-building in developing countries. Technical paper by the secretariat</i>
<i>Further information</i>	 <www.unfccc.int/1033> , <www.unfccc.int/7203> , <www.unfccc.int/7486> and <www.unfccc.int/7060>

(c) Terms of reference for the Paris Committee on Capacity-building

64. *Background:* COP 21 established the Paris Committee on Capacity-building, whose aim will be to address gaps and needs, both current and emerging, in implementing capacity-building in developing country Parties and further enhancing capacity-building efforts, including with regard to coherence and coordination in capacity-building activities under the Convention.⁶⁵

65. As requested at COP 21, the SBI will develop the terms of reference for the Paris Committee on Capacity-building, in the context of the third comprehensive review of the implementation of the capacity-building framework, with a view to recommending a draft

⁶³ Decision 9/CMP.11, paragraph 1.

⁶⁴ Decision 9/CMP.11, paragraphs 2 and 3.

⁶⁵ Decision 1/CP.21, paragraph 71.

decision on this matter for consideration and adoption at COP 22. Parties may submit their views on the membership of the Paris Committee on Capacity-building by 9 March 2016.⁶⁶

66. *Action:* The SBI will be invited to initiate its consideration of this matter.

<i>FCCC/SBI/2016/MISC.1</i>	<i>Information and views on capacity-building matters. Submissions from Parties</i>
<i>Further information</i>	<i><www.unfccc.int/1033> and <www.unfccc.int/7060></i>

12. Review of the Doha work programme on Article 6 of the Convention

67. *Background:* COP 21 adopted the terms of reference for the intermediate review of the Doha work programme on Article 6 of the Convention and requested SBI 44 to launch the review.⁶⁷

68. As an input to the intermediate review, the secretariat will prepare a synthesis report on progress made by Parties, admitted organizations and other stakeholders in implementing the Doha work programme on Article 6 of the Convention, and a report on the functionality and accessibility of the information network clearing house (CC:iNet).⁶⁸ Parties, admitted observer organizations and other stakeholders may submit information on their experiences, best practices and steps taken to implement the work programme.⁶⁹

69. The 4th Dialogue on Action for Climate Empowerment⁷⁰ will be convened during SBI 44 with a focus on public participation, public awareness, public access to information and international cooperation on these matters.

70. *Action:* The SBI will be invited to launch the intermediate review of the Doha work programme on Article 6 of the Convention with a view to recommending a draft decision on this matter for consideration and adoption at COP 22. Interested Parties and admitted organizations, as well as the media, are invited to participate in, and actively contribute to, the 4th Dialogue.

<i>FCCC/SBI/2016/5</i>	<i>Functionality and accessibility of the climate change information network clearing house CC:iNet. Report by the secretariat</i>
<i>FCCC/SBI/2016/6</i>	<i>Progress made in implementing the Doha work programme on Article 6 of the Convention. Synthesis report by the secretariat</i>
<i>Further information</i>	<i><www.unfccc.int/8946> and <www.unfccc.int/8941></i>

⁶⁶ Decision 1/CP.21, paragraphs 76 and 77.

⁶⁷ Decision 15/CP.21, paragraph 1.

⁶⁸ Decision 15/CP.21, annex, paragraph 5.

⁶⁹ Once uploaded by Parties, submissions are made available on the submissions portal at <www.unfccc.int/5900>.

⁷⁰ See footnote 17 above.

13. Impact of the implementation of response measures

(a) Improved forum and work programme

71. *Background:* COP 21 decided to continue and improve the forum on the impact of the implementation of response measures to provide a platform allowing Parties to share, in an interactive manner, information, experiences, case studies, best practices and views, and to facilitate assessment and analysis of the impact of the implementation of response measures, with a view to recommending specific actions. The COP also adopted the work programme to implement the improved forum.⁷¹

72. As requested at COP 21, the secretariat will prepare a guidance document to assist developing country Parties in assessing the impact of the implementation of response measures, which will include guidance on modelling tools, as well as technical materials to assist developing country Parties in their economic diversification initiatives, for consideration at SBI 44 and SBSTA 44.⁷²

73. *Action:* The Chairs of the SBI and the SBSTA will convene the improved forum to implement the work programme under a joint agenda item of these subsidiary bodies, as requested at COP 21.⁷³ These subsidiary bodies may constitute ad hoc technical expert groups, as appropriate, to elaborate on the technical work under the improved forum.⁷⁴

<i>FCCC/TP/2016/3</i>	<i>Technical materials for assisting developing country Parties in their economic diversification initiatives. Technical paper by the secretariat</i>
<i>FCCC/TP/2016/4</i>	<i>Guidance to assist developing country Parties to assess the impact of the implementation of response measures, including guidance on modelling tools. Technical paper by the secretariat</i>
<i>Further information</i>	<i><www.unfccc.int/4908></i>

(b) Modalities, work programme and functions under the Paris Agreement of the forum on the impact of the implementation of response measures

74. *Background:* COP 21 decided that the forum on the impact of the implementation of response measures under the subsidiary bodies shall continue and shall serve the Paris Agreement. It also decided that the SBSTA and the SBI shall recommend, for consideration and adoption at CMA 1, the modalities, work programme and functions of the forum on the impact of the implementation of response measures to address the effects of the implementation of response measures under the Paris Agreement.⁷⁵

75. *Action:* The SBSTA and the SBI will be invited to initiate their consideration of this matter.

⁷¹ Decision 11/CP.21, paragraphs 1 and 5.

⁷² Decision 11/CP.21, paragraph 9.

⁷³ Decision 11/CP.21, paragraph 3.

⁷⁴ Decision 11/CP.21, paragraph 4.

⁷⁵ Decision 1/CP.21, paragraph 33.

(c) Matters relating to Article 3, paragraph 14, of the Kyoto Protocol

76. *Background:* SBI 43 agreed to consider this matter jointly with the SBI 43 and SBSTA 43 agenda sub-item “Forum and work programme” in a joint SBI/SBSTA forum. SBI 43 also agreed that consultations would continue at SBI 44 on how to take up this matter.⁷⁶

77. *Action:* The SBI will be invited to agree on how to take up this matter.

(d) Progress on the implementation of decision 1/CP.10

78. *Background:* SBI 43 agreed to consider this matter jointly with the SBI 43 and SBSTA 43 agenda sub-item “Forum and work programme” in a joint SBI/SBSTA forum. SBI 43 also agreed that consultations would continue at SBI 44 on how to take up this matter.⁷⁷

79. *Action:* The SBI will be invited to agree on how to take up this matter.

14. The scope of the next periodic review of the long-term global goal under the Convention and of overall progress towards achieving it

80. *Background:* COP 21 agreed that the next periodic review should be conducted in an effective and efficient manner, avoiding duplication of work and taking into account the results of relevant work conducted under the Convention, its Kyoto Protocol and the subsidiary bodies.⁷⁸

81. As requested at COP 21, the SBSTA and the SBI will consider the scope of the next periodic review with a view to forwarding a recommendation for consideration by the COP no later than in 2018, as appropriate.⁷⁹

82. *Action:* The SBSTA and the SBI will be invited to initiate consideration of the scope of the next periodic review and to determine further action.

<i>Further information</i>	< www.unfccc.int/6998 >
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15. Gender and climate change

83. *Background:* During SBI 44, as part of the Lima work programme on gender and as requested at COP 20, the secretariat will organize an in-session workshop on gender-responsive climate policy with a focus on adaptation and capacity-building and training for delegates on gender issues.⁸⁰ Parties and admitted organizations may submit their views on the matters to be addressed at the workshop.⁸¹

⁷⁶ FCCC/SBI/2015/22, paragraph 88.

⁷⁷ FCCC/SBI/2015/22, paragraph 89.

⁷⁸ Decision 10/CP.21, paragraph 9.

⁷⁹ Decision 10/CP.21, paragraph 10.

⁸⁰ Decision 18/CP.20, paragraph 12.

⁸¹ Decision 18/CP.20, paragraph 13.

84. As also requested at COP 20, the secretariat will prepare a technical paper on guidelines or other tools for integrating gender considerations into climate change related activities under the Convention.⁸²

85. *Action:* The SBI will be invited to consider the document prepared for the session, and to provide further guidance, as appropriate. Interested Parties and admitted organizations, as well as the media, are invited to participate in, and actively contribute to, the in-session workshop.

<i>FCCC/TP/2016/2</i>	<i>Guidelines or other tools for integrating gender considerations into climate change related activities under the Convention. Technical paper by the secretariat</i>
<i>Further information</i>	<i><www.unfccc.int/7516></i>

16. Arrangements for intergovernmental meetings

86. *Background:* COP 21 decided to accept the offer by the Kingdom of Morocco to host COP 22/CMP 12 in Marrakesh, Morocco, from Monday, 7 November, to Friday, 18 November 2016, and invited Parties to undertake consultations on hosting COP 23/CMP 13 and COP 24/CMP 14.⁸³

87. SBI 42 welcomed the views expressed by Parties on the frequency and organization of sessions of the COP, the CMP and their subsidiary bodies and recognized the need to take into account the important role of implementation after 2015 as well as the implications of any change in the frequency and organization of sessions for post-2015 work programmes and mandates. The secretariat will provide information on a 10-year calendar for the organization of the intergovernmental process, including any budgetary considerations and implications.⁸⁴

88. SBI 42 also welcomed the views expressed by Parties on adjusting the timing of the election of the President. It agreed that the issue of the frequency and organization of sessions and the issue of adjusting the timing of the election of the President would be further considered at SBI 44.⁸⁵

89. As requested at SBI 40, the secretariat will provide a report on the implementation of the conclusions adopted at SBI 34 on various ways to engage observer organizations in the intergovernmental process.⁸⁶

90. *Action:* The SBI will be invited to consider the following issues and to make related recommendations for consideration and adoption by the COP, as appropriate:

- (a) Status of preparations and arrangements for COP 22 and CMP 12;
- (b) Host of COP 23/CMP 13 and of COP 24/CMP 14;
- (c) Entry into force of the Paris Agreement and implications on the frequency and organization of sessions;
- (d) Observer engagement in the intergovernmental process.

⁸² Decision 18/CP.20, paragraph 14.

⁸³ Decision 23/CP.21, paragraphs 1 and 4.

⁸⁴ FCCC/SBI/2015/10, paragraph 128.

⁸⁵ FCCC/SBI/2015/10, paragraph 129.

⁸⁶ FCCC/SBI/2014/8, paragraph 225.

<i>FCCC/SBI/2016/2</i>	<i>Arrangements for intergovernmental meetings. Note by the secretariat</i>
<i>Further information</i>	<i><www.unfccc.int/8166></i>

17. Administrative, financial and institutional matters

(a) Financial and budgetary matters

91. *Background:* A report on the status of indicative contributions from Parties as at 29 April 2016 to the Trust Fund for the Core Budget of the UNFCCC and the Trust Fund for the International Transaction Log and of voluntary contributions made to all of the trust funds of the UNFCCC will be prepared for consideration at the session, in keeping with the financial procedures that require the Executive Secretary to inform Parties of the status of their contributions at least twice a year.

92. COP 15 decided that the position of Executive Secretary may be upgraded from Assistant Secretary-General to Under-Secretary-General (USG) following the independent review of the secretariat by the Secretary-General of the United Nations.⁸⁷ In February 2016, the Secretary-General proposed to the Bureau of the COP that the level of the post of Executive Secretary be raised to USG and that the new Executive Secretary be recruited at that level.⁸⁸ At its meeting held on 11 February 2016, the COP 21/CMP 11 Bureau welcomed and endorsed the proposal of the Secretary-General. The SBI will be invited to recommend to COP 22 that it reflect this change, with a corresponding change in the level of the post of Deputy Executive Secretary, in a revised staffing table for the biennium 2016–2017, within the programme budget approved for this biennium.

93. As requested at SBI 42, the secretariat will provide an overview of structures and bodies within the United Nations system that may inform Parties in making the budget process more efficient and transparent.⁸⁹

94. The Executive Secretary will report, as necessary, on the implementation of the matters related to conference services expenses raised at COP 21.⁹⁰

95. *Action:* The SBI will be invited to consider the information presented in the documents prepared for the session and any additional relevant oral information provided by the Executive Secretary and to make recommendations, as appropriate.

<i>FCCC/SBI/2016/INF.3</i>	<i>Status of contributions as at 29 April 2016. Note by the secretariat</i>
<i>FCCC/SBI/2016/INF.5</i>	<i>An overview of structures and bodies within the United Nations system that may inform Parties in making the budget process more efficient and transparent. Note by the Executive Secretary</i>
<i>Further information</i>	<i><www.unfccc.int/1065></i>

⁸⁷ Decision 12/CP.15, table 2.

⁸⁸ The current Executive Secretary is departing this year.

⁸⁹ FCCC/SBI/2015/10, paragraph 143.

⁹⁰ Decision 22/CP.21, paragraphs 7–9.

(b) Continuing review of functions and operations of the secretariat

96. *Background:* SBI 21 decided to review the functions and operations of the secretariat annually.⁹¹

97. *Action:* The SBI will be invited to consider this matter and to make recommendations, as appropriate.

<i>Further information</i>	 <www.unfccc.int/1065>
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(c) Privileges and immunities for individuals serving on constituted bodies established under the Kyoto Protocol

98. *Background:* CMP 8 took note of the draft treaty arrangements on the privileges and immunities for individuals serving on constituted bodies established under the Kyoto Protocol that were forwarded by the SBI. It requested the SBI to continue its consideration of this matter.⁹²

99. SBI 40 agreed that the consideration of this matter would continue at SBI 44 on the basis of the draft treaty arrangements⁹³ on privileges and immunities for individuals serving on constituted bodies established under the Kyoto Protocol.⁹⁴

100. *Action:* The SBI will be invited to conclude its consideration of this matter and forward a recommendation for consideration and adoption by the CMP.

(d) Privileges and immunities for individuals serving on constituted bodies established under the Convention

101. *Background:* COP 19 had referred this agenda sub-item to the SBI for consideration.⁹⁵ SBI 40 took note of the draft treaty arrangements on the privileges and immunities for individuals serving on constituted bodies established under the Kyoto Protocol,⁹⁶ and agreed that the consideration of this matter would continue at SBI 44.⁹⁷

102. *Action:* The SBI will be invited to consider whether privileges and immunities for individuals serving on bodies established under the Convention may be required, taking into account the work undertaken on this issue for individuals serving on bodies established under the Kyoto Protocol, and to forward the outcome of its work to the COP.

18. Other matters

103. Any other matters arising during the session will be taken up under this agenda item.

⁹¹ FCCC/SBI/2004/19, paragraph 105.

⁹² FCCC/KP/CMP/2012/13, paragraphs 106 and 107.

⁹³ FCCC/SBI/2012/15/Add.2, page 45.

⁹⁴ FCCC/SBI/2014/8, paragraph 246.

⁹⁵ FCCC/CP/2013/10, paragraph 149.

⁹⁶ As footnote 93 above.

⁹⁷ FCCC/SBI/2014/8, paragraph 251.

19. Closure of and report on the session

104. After the draft report on the session is presented to the SBI for consideration and adoption, the Chair will declare the session closed.
