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## Subsidiary Body for Scientific and Technological Advice

### Forty-third session

Paris, 30 November to 11 December 2015<sup>1</sup>

Item 2(a) of the provisional agenda

#### Organizational matters

#### Adoption of the agenda

## Provisional agenda and annotations

### Note by the Executive Secretary

#### I. Provisional agenda

1. Opening of the session.
2. Organizational matters:
  - (a) Adoption of the agenda;
  - (b) Organization of the work of the session;
  - (c) Election of officers other than the Chair.
3. Nairobi work programme on impacts, vulnerability and adaptation to climate change.
4. Report of the Adaptation Committee.
5. Development and transfer of technologies and implementation of the Technology Mechanism: joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network.
6. Issues relating to agriculture.
7. Report of the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts.
8. Matters relating to science and review:
  - (a) Research and systematic observation;
  - (b) The 2013–2015 review.
9. Impact of the implementation of response measures:

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<sup>1</sup> Exact dates within the sessional period are subject to confirmation.



- (a) Forum and work programme;
  - (b) Matters relating to Article 2, paragraph 3, of the Kyoto Protocol.
10. Methodological issues under the Convention:
- (a) Methodologies for the reporting of financial information by Parties included in Annex I to the Convention;
  - (b) Greenhouse gas data interface;
  - (c) Emissions from fuel used for international aviation and maritime transport.
11. Methodological issues under the Kyoto Protocol:
- (a) Implications of the implementation of decisions 2/CMP.7 to 4/CMP.7 and 1/CMP.8 on the previous decisions on methodological issues related to the Kyoto Protocol, including those relating to Articles 5, 7 and 8 of the Kyoto Protocol;
  - (b) Accounting, reporting and review requirements for Parties included in Annex I without quantified emission limitation and reduction commitments for the second commitment period;
  - (c) Clarification of the text in section G (Article 3, paragraph 7 ter) of the Doha Amendment to the Kyoto Protocol, in particular the information to be used to determine the “average annual emissions for the first three years of the preceding commitment period”;
  - (d) Land use, land-use change and forestry under Article 3, paragraphs 3 and 4, of the Kyoto Protocol and under the clean development mechanism.
12. Market and non-market mechanisms under the Convention:
- (a) Framework for various approaches;
  - (b) Non-market-based approaches;
  - (c) New market-based mechanism.
13. Reports on other activities:
- (a) Annual report on the technical review of information reported under the Convention related to biennial reports and national communications by Parties included in Annex I to the Convention;
  - (b) Annual report on the technical review of greenhouse gas inventories of Parties included in Annex I to the Convention;
  - (c) Annual report on the technical review of greenhouse gas inventories and other information reported by Parties included in Annex I, as defined in Article 1, paragraph 7, of the Kyoto Protocol;
  - (d) Report on the implementation of domestic action by Parties included in Annex I, as defined in Article 1, paragraph 7, of the Kyoto Protocol, based on the information reported in their national communications.
14. Other matters.
15. Closure of and report on the session.

## II. Annotations to the provisional agenda

### 1. Opening of the session

1. The forty-third session of the Subsidiary Body for Scientific and Technological Advice (SBSTA) will be opened in the first week of the United Nations Climate Change Conference to be held in Paris, France, by the Chair, Ms. Lidia Wojtal (Poland).<sup>2</sup>

### 2. Organizational matters

#### (a) Adoption of the agenda

2. The provisional agenda, prepared by the Executive Secretary in agreement with the Chair, will be presented for adoption.

#### (b) Organization of the work of the session

3. Detailed information on the work of the session will be posted on the SBSTA 43 web page.<sup>3</sup> Delegates are invited to refer to the overview schedule<sup>4</sup> and the in-session Daily Programme and to regularly consult the closed-circuit television screens for an up-to-date schedule of the work of the SBSTA. In order to maximize negotiation time and ensure timely closure of the session, presiding officers will propose, in consultation with Parties and in a transparent manner, time-saving approaches to the organization and scheduling of meetings during the session, taking into account previous relevant conclusions of the Subsidiary Body for Implementation (SBI).<sup>5</sup>

4. In particular, in view of the expected heavy workload at the Paris Conference, the SBSTA Chair may consult with Parties in a transparent manner in the period leading up to the Conference on options and opportunities for deferring to SBSTA 44 consideration of some of the agenda items that require substantive discussion and for which no decision is expected to be adopted at the twenty-first session of the Conference of the Parties (COP) or the eleventh session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP). Items not concluded at SBSTA 43 will be forwarded for consideration at subsequent sessions, in accordance with the draft rules of procedure being applied.

5. The SBSTA will be invited to agree on the organization of the work of the session.

FCCC/SBSTA/2015/3	<i>Provisional agenda and annotations. Note by the Executive Secretary</i>
<i>Further information</i>	< <a href="http://www.unfccc.int/9088">www.unfccc.int/9088</a> >

#### (c) Election of officers other than the Chair

6. *Background:* The SBSTA shall elect its Vice-Chair and Rapporteur. The current officers will remain in office until their successors are elected. Parties are invited to give active consideration to the nomination of women for the elective posts.

<sup>2</sup> Exact date and time to be announced in due course.

<sup>3</sup> <[www.unfccc.int/9088](http://www.unfccc.int/9088)>.

<sup>4</sup> <<http://unfccc.int/8926>>.

<sup>5</sup> FCCC/SBI/2014/8, paragraphs 218–221.

7. When the SBSTA exercises its functions with regard to matters concerning the Kyoto Protocol, any member of its Bureau representing a State that is a Party to the Convention but, at that time, not a Party to the Kyoto Protocol shall be replaced by an additional member to be elected by and from among the Parties to the Kyoto Protocol.

8. *Action:* The SBSTA will be invited to elect its officers at the earliest opportunity following the completion of consultations. If necessary, the SBSTA will be invited to elect an additional officer to replace the Vice-Chair and/or the Rapporteur if representing a State that is a Party to the Convention but not to its Kyoto Protocol.

*Further information* <[www.unfccc.int/6558](http://www.unfccc.int/6558)>

### 3. Nairobi work programme on impacts, vulnerability and adaptation to climate change

9. *Background:* SBSTA 40 agreed on a number of activities to be undertaken under the Nairobi work programme on impacts, vulnerability and adaptation to climate change prior to SBSTA 45. These activities focus on the collection, analysis and dissemination of information and knowledge to inform adaptation planning and actions at the regional, national and subnational levels, particularly in relation to ecosystems, human settlements, water resources and health.

10. As one of these activities, the SBSTA requested the secretariat, under the guidance of the Chair of the SBSTA, in collaboration with the Adaptation Committee (AC) and the Least Developed Countries Expert Group (LEG) and with contributions from relevant Nairobi work programme partner organizations, including regional centres and networks, to develop case studies, as appropriate, on the following, for consideration at this session:<sup>6</sup>

(a) Available and implemented tools and methods for adaptation planning processes addressing the four issues of ecosystems, human settlements, water resources and health;

(b) Good practices and lessons learned in adaptation planning processes, including on monitoring and evaluation, addressing the four issues of ecosystems, human settlements, water resources and health;

(c) Good practices and lessons learned related to processes and structures for linking national and local adaptation planning.

11. *Action:* The SBSTA will be invited to consider the documents prepared for the session and to consider the progress made prior to SBSTA 43 in implementing activities under the Nairobi work programme.

*FCCC/SBSTA/2015/4* *Good practices and lessons learned in adaptation planning processes addressing ecosystems, human settlements, water resources and health, and in processes and structures for linking national and local adaptation planning: a synthesis of case studies. Note by the secretariat*

<sup>6</sup> FCCC/SBSTA/2014/2, paragraph 24.

<i>FCCC/SBSTA/2015/INF.8</i>	<i>Progress made in implementing activities under the Nairobi work programme on impacts, vulnerability and adaptation to climate change. Note by the secretariat</i>
<i>Further information</i>	<i>&lt;www.unfccc.int/8036&gt;</i>

#### 4. Report of the Adaptation Committee

12. *Background:* COP 17 requested the AC to report annually to the COP through the subsidiary bodies.<sup>7</sup>

13. Two regular meetings of the AC were planned for 2015: the 7<sup>th</sup> meeting was convened from 24 to 27 February, and the 8<sup>th</sup> meeting will be held from 9 to 12 September. As per its workplan,<sup>8</sup> the AC convened a workshop on the means of implementation for enhanced adaptation action (2–4 March 2015) and will convene an expert meeting on promoting livelihoods and economic diversification (7 and 8 September 2015).

14. Additionally, in response to an invitation of SBI 40, the AC, in collaboration with the LEG, organized a workshop<sup>9</sup> to share experiences, good practices, lessons learned, gaps and needs in the process to formulate and implement national adaptation plans (NAPs). The workshop was held on 16 and 17 April 2015. This workshop and all meetings organized by the AC during 2015 took place in Bonn, Germany.

15. As invited at SBI 42, the AC will provide information in its report on how it has responded to its invitation for continued collaboration with the Green Climate Fund (GCF) on considering how to best support developing country Parties in accessing funding from the GCF for formulating and implementing NAPs.

16. *Action:* The SBSTA and the SBI will be invited to consider the report of the AC and recommend draft conclusions or a draft decision resulting from the implementation of its workplan for consideration and adoption at COP 21.

<i>FCCC/SB/2015/2</i>	<i>Report of the Adaptation Committee</i>
<i>Further information</i>	<i>&lt;www.unfccc.int/6053&gt;</i>

#### 5. Development and transfer of technologies and implementation of the Technology Mechanism: joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network

17. *Background:* COP 20 decided that the Technology Executive Committee (TEC) and the Climate Technology Centre and Network shall continue to prepare a joint annual report to the COP, through the subsidiary bodies, on their respective activities and the performance of their respective functions.<sup>10</sup>

18. COP 20 requested the TEC to provide guidance on how the results of the technology needs assessments, in particular the technology action plans, can be developed

<sup>7</sup> Decision 2/CP.17, paragraph 96.

<sup>8</sup> Available at <unfccc.int/7517>.

<sup>9</sup> FCCC/SBI/2014/8, paragraph 106.

<sup>10</sup> Decision 17/CP.20, paragraph 4.

into projects that can be ultimately implemented, and to provide an interim report on its preliminary findings to SBSTA 43 and SBI 43.<sup>11</sup>

19. *Action:* The SBSTA and the SBI will be invited to consider the documents prepared for the session and recommend a draft decision for consideration and adoption at COP 21.

<i>FCCC/SB/2015/1</i>	<i>Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network for 2015</i>
<i>FCCC/SB/2015/INF.3</i>	<i>Guidance on enhanced implementation of the results of technology needs assessments: interim report by the Technology Executive Committee</i>
<i>Further information</i>	<i>&lt;www.unfccc.int/ttclear&gt;</i>

## 6. Issues relating to agriculture

20. *Background:* The SBSTA continued consideration of issues relating to agriculture at SBSTA 42 and concluded that it would continue the work referred to in document FCCC/SBSTA/2014/2, paragraphs 87–89.<sup>12</sup> The SBSTA agreed to consider at SBSTA 43 the reports on the two in-session workshops<sup>13</sup> held during SBSTA 42.<sup>14</sup>

21. *Action:* The SBSTA will be invited to consider the information contained in the documents prepared for the session and to determine any further action it deems appropriate.

<i>FCCC/SBSTA/2015/INF.6</i>	<i>Report on the workshop on the development of early warning systems and contingency plans in relation to extreme weather events and their effects such as desertification, drought, floods, landslides, storm surge, soil erosion and saline water intrusion. Note by the secretariat</i>
<i>FCCC/SBSTA/2015/INF.7</i>	<i>Report on the workshop on the assessment of risk and vulnerability of agricultural systems to different climate change scenarios at regional, national and local levels, including but not limited to pests and diseases. Note by the secretariat</i>
<i>Further information</i>	<i>&lt;www.unfccc.int/8793&gt;</i>

## 7. Report of the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts

22. *Background:* COP 20 approved the initial two-year workplan of the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts.<sup>15</sup> It requested the Executive Committee to report annually to the

<sup>11</sup> Decision 17/CP.20, paragraph 13.

<sup>12</sup> FCCC/SBSTA/2015/2, paragraph 25.

<sup>13</sup> See document FCCC/SBSTA/2014/2, paragraph 88.

<sup>14</sup> FCCC/SBSTA/2015/2, paragraph 27.

<sup>15</sup> Decision 2/CP.20, paragraph 1.

COP through the subsidiary bodies and to make recommendations, as appropriate.<sup>16</sup> The Executive Committee will convene its first meeting from 24 to 26 September in Bonn.

23. *Action:* The SBI and the SBSTA will be invited to consider the report of the Executive Committee and recommend draft conclusions or a draft decision resulting from the implementation of its workplan for consideration and adoption at COP 21.

<i>FCCC/SB/2015/3</i>	<i>Report of the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts</i>
<i>Further information</i>	< <a href="http://www.unfccc.int/7545">www.unfccc.int/7545</a> > and < <a href="http://www.unfccc.int/6056">www.unfccc.int/6056</a> >

## 8. Matters relating to science and review

### (a) Research and systematic observation

24. *Background:* At SBSTA 37, the SBSTA agreed to continue to focus its considerations on research during the first sessional period of a year and on systematic observation during the second sessional period of a year.<sup>17</sup>

25. SBSTA 37 invited the secretariat of the Global Climate Observing System (GCOS) to provide the third report on the adequacy of the global observing systems for climate to the SBSTA in 2015 by its forty-third session.<sup>18</sup> SBSTA 41 noted the progress made by GCOS towards the development of a status report that will be presented at SBSTA 43.<sup>19</sup> This status report includes the assessment of the adequacy of the global observing system and the progress made in the implementation of the 2010 GCOS implementation plan.

26. SBSTA 41 welcomed the plans of the GCOS secretariat to organize, in collaboration with the Intergovernmental Panel on Climate Change and the secretariat, a workshop to identify ways to enhance systematic observation and related capacity, especially in developing countries, in order to support preparedness for and adaptation to a changing climate. The workshop was held from 10 to 12 February 2015 in Bonn. SBSTA 41 invited the GCOS secretariat to provide a report on the workshop by SBSTA 43.<sup>20</sup>

27. SBSTA 41 invited the Committee on Earth Observation Satellites (CEOS) to report on progress made by space agencies providing global observations in their coordinated response to relevant needs of the Convention at SBSTA 43 and subsequent sessions, as appropriate.<sup>21</sup>

28. SBSTA 41 invited the World Meteorological Organization (WMO) to provide, at SBSTA 43, information on progress made on the implementation of the Global Framework for Climate Services.<sup>22</sup>

29. The information received from the GCOS secretariat, CEOS and WMO in response to the invitations referred to in paragraphs 25–28 above will be made available on the UNFCCC website.<sup>23</sup>

<sup>16</sup> Decision 2/CP.20, paragraph 4.

<sup>17</sup> FCCC/SBSTA/2012/5, paragraph 46.

<sup>18</sup> FCCC/SBSTA/2012/5, paragraph 37.

<sup>19</sup> FCCC/SBSTA/2014/5, paragraph 37.

<sup>20</sup> FCCC/SBSTA/2014/5, paragraph 39.

<sup>21</sup> FCCC/SBSTA/2014/5, paragraph 40.

<sup>22</sup> FCCC/SBSTA/2014/5, paragraph 41.

<sup>23</sup> <<http://unfccc.int/7482.php>> and <<http://unfccc.int/3462.php>>.

30. *Action:* The SBSTA will be invited to take note of the information received, to consider matters related to systematic observation and to determine further action with the aim of strengthening observations in order to better serve emerging needs under the Convention.

<i>Further information</i>	<a href="http://www.unfccc.int/7482">www.unfccc.int/7482</a> and <a href="http://www.unfccc.int/3462">www.unfccc.int/3462</a>
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(b) The 2013–2015 review

31. *Background:* The COP decided to periodically review the adequacy of the long-term global goal and overall progress made towards achieving it,<sup>24</sup> with the assistance of the SBSTA and the SBI,<sup>25</sup> supported by the structured expert dialogue (SED).<sup>26</sup>

32. SBSTA 42 and SBI 42 began the consideration of the report of the SED,<sup>27</sup> which includes a compilation and a technical summary of the summary reports on the meetings of the SED and the submissions from Parties on the 2013–2015 review.<sup>28</sup> SBSTA 42 and SBI 42 agreed that the consideration of this matter would continue at SBSTA 43 and SBI 43.<sup>29</sup>

33. *Action:* The SBSTA and the SBI will be invited to consider this matter with a view to concluding their considerations and reporting their findings to COP 21.

<i>Further information</i>	<a href="http://www.unfccc.int/6998">www.unfccc.int/6998</a>
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## 9. Impact of the implementation of response measures

(a) Forum and work programme

34. *Background:* The review of the work of the forum and work programme on the impact of the implementation of response measures was concluded at SBI 40 and SBSTA 40.<sup>30</sup> Since SBI 41 and SBSTA 41, Parties have been discussing how to take the work on this matter forward. SBI 42 and SBSTA 42 decided that the draft decision text contained in the annex to document FCCC/SB/2015/L.2 would be considered at SBI 43 and SBSTA 43.<sup>31</sup>

35. As invited by SBI 42 and SBSTA 42, Parties may submit<sup>32</sup> their views on the further elaboration of the work programme on the impact of the implementation of response measures and the modalities for its implementation as detailed in the annex to document FCCC/SB/2015/L.2.<sup>33</sup>

36. *Action:* The SBSTA and the SBI will be invited to recommend a draft decision on this matter for consideration and adoption at COP 21.

<i>Further information</i>	<a href="http://www.unfccc.int/4908">www.unfccc.int/4908</a>
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<sup>24</sup> Decisions 1/CP.16, paragraph 138, and 1/CP.18, paragraph 79.

<sup>25</sup> Decision 2/CP.17, paragraph 162.

<sup>26</sup> Decision 1/CP.18, paragraphs 85 and 86.

<sup>27</sup> FCCC/SB/2015/INF.1.

<sup>28</sup> See documents FCCC/SBSTA/2015/2, paragraph 37, and FCCC/SBI/2015/10, paragraph 113.

<sup>29</sup> See documents FCCC/SBSTA/2015/2, paragraph 38, and FCCC/SBI/2015/10, paragraph 114.

<sup>30</sup> FCCC/SBSTA/2014/2, paragraph 99, and FCCC/SBI/2014/8, paragraph 178.

<sup>31</sup> FCCC/SBSTA/2015/2, paragraph 43.

<sup>32</sup> Once uploaded by Parties, the submissions are available on the portal at [www.unfccc.int/5900](http://www.unfccc.int/5900).

<sup>33</sup> FCCC/SBSTA/2015/2, paragraph 42.



(b) Matters relating to Article 2, paragraph 3, of the Kyoto Protocol

37. *Background:* SBSTA 42 agreed to consider this matter jointly with the SBSTA and SBI agenda sub-item “Forum and work programme” in a joint SBSTA/SBI forum. The SBSTA agreed to continue consultations at SBSTA 43 on how to take up this matter.<sup>34</sup>

38. *Action:* The SBSTA will be invited to agree on how to take up this matter.

**10. Methodological issues under the Convention**(a) Methodologies for the reporting of financial information by Parties included in Annex I to the Convention

39. *Background:* COP 20 decided to extend by one year the deadline of the mandate given to the SBSTA, as referred to in decision 2/CP.17, paragraph 19, with a view to the SBSTA recommending a draft decision for consideration and adoption at COP 21.<sup>35</sup>

40. The COP requested the secretariat to organize a joint in-session technical workshop to inform the work of the SBSTA on this matter, under the auspices of the SBSTA, the SBI and the Standing Committee on Finance (SCF).<sup>36</sup> It also requested the SCF to include its recommendations on this matter in its annual report to COP 21, taking into consideration the outcomes of the workshop.<sup>37</sup> It further requested the SCF to present an update of its work on this matter to SBSTA 43 for its consideration.<sup>38</sup>

41. The secretariat organized the workshop referred to in paragraph 40 above in conjunction with the SBSTA 42 and SBI 42, under the guidance of the Chairs of the SBSTA and the SBI and the Co-Chairs of the SCF. SBSTA 42 welcomed the workshop and the documents prepared for the workshop<sup>39</sup> and looked forward to receiving the update from the SCF referred to in paragraph 40 above.<sup>40</sup> The SCF considered this matter, including the outcomes of the workshop, at its 10<sup>th</sup> and 11<sup>th</sup> meetings.<sup>41</sup>

42. *Action:* The SBSTA will be invited to consider this agenda sub-item, taking into account the information referred to in paragraphs 40 and 41 above, with a view to recommending a draft decision for consideration and adoption at COP 21.

<i>Further information</i>	<a href="http://www.unfccc.int/8892">www.unfccc.int/8892</a>
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(b) Greenhouse gas data interface

43. *Background:* SBSTA 38 requested the secretariat to implement, by June 2015, any necessary technical changes to the greenhouse gas (GHG) data interface should the revised “Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part I: UNFCCC reporting guidelines on annual greenhouse gas inventories” be adopted at COP 19, subject to the availability of financial resources.<sup>42</sup>

<sup>34</sup> FCCC/SBSTA/2015/2, paragraph 44.

<sup>35</sup> Decision 11/CP.20, paragraph 1.

<sup>36</sup> Decision 11/CP.20, paragraphs 4 and 5.

<sup>37</sup> Decision 11/CP.20, paragraph 6.

<sup>38</sup> Decision 11/CP.20, paragraph 7.

<sup>39</sup> FCCC/SBSTA/2015/2, paragraphs 46 and 47.

<sup>40</sup> FCCC/SBSTA/2015/2, paragraphs 48 and 50.

<sup>41</sup> FCCC/CP/2015/8.

<sup>42</sup> FCCC/SBSTA/2013/3, paragraph 121.

44. SBSTA 39 recalled the request from SBSTA 38 and agreed to further consider matters relating to the further development of the interface at SBSTA 43.<sup>43</sup>

45. The COP, by decision 24/CP.19, adopted the revised reporting guidelines referred to in paragraph 38 above. However, to date, the financial resources received have been insufficient to complete the necessary changes.

46. *Action:* The SBSTA will be invited to defer consideration of this agenda sub-item to SBSTA 44.

<i>Further information</i>	<a href="http://unfccc.int/ghg_data/items/3800.php">http://unfccc.int/ghg_data/items/3800.php</a>
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(c) Emissions from fuel used for international aviation and maritime transport

47. *Background:* SBSTA 42 invited the secretariats of the International Civil Aviation Organization (ICAO) and the International Maritime Organization (IMO) to continue to report, at future sessions of the SBSTA, on relevant work on addressing emissions from fuel used for international aviation and maritime transport.<sup>44</sup>

48. *Action:* The SBSTA will be invited to take note of the information contained in the reports provided by the secretariats of ICAO and IMO.

<i>FCCC/SBSTA/2015/MISC.5</i>	<i>Information relevant to emissions from fuel used for international aviation and maritime transport. Submissions from international organizations</i>
<i>Further information</i>	<a href="http://www.unfccc.int/1057">www.unfccc.int/1057</a>

## 11. Methodological issues under the Kyoto Protocol

(a) Implications of the implementation of decisions 2/CMP.7 to 4/CMP.7 and 1/CMP.8 on the previous decisions on methodological issues related to the Kyoto Protocol, including those relating to Articles 5, 7 and 8 of the Kyoto Protocol

49. *Background:* Responding to requests made at CMP 7,<sup>45</sup> 8,<sup>46</sup> 9,<sup>47</sup> and 10,<sup>48</sup> and in line with the relevant conclusions of SBSTA 41, SBSTA 42 continued the work on assessing and addressing the implications of the implementation of decisions 2/CMP.7 to 4/CMP.7, as well as those of decision 1/CMP.8, on the previous decisions on methodological issues related to the Kyoto Protocol, including those relating to Articles 5, 7 and 8 of the Kyoto Protocol.

50. SBSTA 42 advanced but was not able to conclude the work under this agenda sub-item and agreed that the consideration of the matters referred to in paragraph 49 above would continue at SBSTA 43 on the basis of the draft decision texts contained in annexes I and II to document FCCC/SBSTA/2015/L.13 with a view to forwarding those draft decisions for consideration and adoption at CMP 11.

51. SBSTA 42, affirming interlinkages between this agenda item and the issues addressed under agenda item 11(b), noted that requirements for Parties included in Annex I without quantified emission limitation and reduction commitments for the second

<sup>43</sup> FCCC/SBSTA/2013/5, paragraph 86.

<sup>44</sup> FCCC/SBSTA/2015/2, paragraph 58.

<sup>45</sup> Decision 1/CMP.7, paragraph 9.

<sup>46</sup> Decision 2/CMP.8, paragraph 6.

<sup>47</sup> FCCC/KP/CMP/2013/9, paragraph 36.

<sup>48</sup> FCCC/KP/CMP/2014/9, paragraph 34.

commitment period of the Kyoto Protocol would be included in a user-friendly document compiled by the secretariat, as agreed at SBSTA 41,<sup>49</sup> after the conclusion of SBSTA agenda sub-item 11(b) in the appropriate sections addressing accounting, reporting, review and adjustments.<sup>50</sup>

52. In addition, SBSTA 42 agreed to forward a draft decision on the training programme for members of expert review teams (ERTs) participating in annual reviews under Article 8 of the Kyoto Protocol, for consideration and adoption at CMP11.<sup>51</sup>

53. *Action:* The SBSTA will be invited to continue its consideration of the matters referred to in paragraph 49 above on the basis of the texts referred to in paragraph 50 above with a view to recommending draft decisions for consideration and adoption at CMP 11.

<i>Further information</i>	<i>FCCC/SBSTA/2015/L.13, annexes I and II</i>
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- (b) Accounting, reporting and review requirements for Parties included in Annex I without quantified emission limitation and reduction commitments for the second commitment period

54. *Background:* Annex B to the Kyoto Protocol, as contained in the Doha Amendment to the Kyoto Protocol (decision 1/CMP.8, annex I), specifies for a number of Parties included in Annex I a value for a quantified emission limitation and reduction commitment for the first commitment period, but not for the second commitment period. As agreed at SBSTA 41,<sup>52</sup> SBSTA 42 continued the consideration of the accounting, reporting and review requirements for such Parties.

55. SBSTA 42 initiated the consideration of these requirements with the issues identified in chapter V.G of document FCCC/TP/2014/6 and agreed that this consideration would continue at SBSTA 43.<sup>53</sup>

56. *Action:* The SBSTA will be invited to continue its consideration of these matters, taking into account the texts contained in the annex to document FCCC/SBSTA/2015/L.10, with a view to recommending a draft decision for consideration and adoption at CMP 11.

<i>Further information</i>	<i>FCCC/TP/2014/6, chapter V.G, &lt;unfccc.int/1029&gt; and &lt;unfccc.int/8525&gt;</i>
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- (c) Clarification of the text in section G (Article 3, paragraph 7 ter) of the Doha Amendment to the Kyoto Protocol, in particular the information to be used to determine the “average annual emissions for the first three years of the preceding commitment period”

57. *Background:* By decision 1/CMP.8, the CMP adopted an amendment to the Kyoto Protocol (the Doha Amendment). Section G of annex I to decision 1/CMP.8 reads as follows: “Article 3, paragraph 7 ter. The following paragraph shall be inserted after paragraph 7 bis of Article 3 of the Protocol: 7 ter. Any positive difference between the assigned amount of the second commitment period for a Party included in the Annex I and average annual emissions for the first three years of the preceding commitment period multiplied by eight shall be transferred to the cancellation account of that Party”.

<sup>49</sup> FCCC/SBSTA/2014/5, paragraph 82.

<sup>50</sup> FCCC/SBSTA/2015/2, paragraph 62.

<sup>51</sup> FCCC/SBSTA/2015/2/Add.2.

<sup>52</sup> FCCC/SBSTA/2014/5, paragraph 83.

<sup>53</sup> FCCC/SBSTA/2015/2, paragraph 71.

58. Kazakhstan, in a letter dated 28 June 2013, requested clarification of how section G of annex I to decision 1/CMP.8 is to be interpreted, since there may be implications for the application of that provision for Kazakhstan. The Party requested that an item be added to the provisional agenda for CMP 9 concerning the clarification of the text of section G (Article 3, paragraph 7 ter) of the Doha Amendment, in particular with regard to what information is to be used to determine the “average annual emissions for the first three years of the preceding commitment period”.

59. CMP 9 referred the matter to the SBSTA, which considered the issue at SBSTA 39, 40 and 41. CMP 10 took note of the recommendation of SBSTA 41 that consideration of this matter be continued at SBSTA 42. SBSTA 42 agreed that the consideration of this agenda sub-item would continue at SBSTA 43 taking into account the options for elements for the text of a draft decision contained in the annex to document FCCC/SBSTA/2015/L.11.<sup>54</sup>

60. *Action:* The SBSTA will be invited to consider the text referred to in paragraph 60 above with a view to recommending a draft decision for consideration and adoption at CMP 11.

<i>Further information</i>	<i>FCCC/KP/CMP/2013/7 and FCCC/SBSTA/2015/L.11, annex</i>
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- (d) Land use, land-use change and forestry under Article 3, paragraphs 3 and 4, of the Kyoto Protocol and under the clean development mechanism

61. *Background:* CMP 10 requested the Executive Board of the clean development mechanism, in the context of the work programme referred to in decision 2/CMP.7, paragraph 6, to assess the applicability of the modalities and procedures contained in decisions 5/CMP.1 and 6/CMP.1 to project activities involving revegetation, and to report to CMP 11 on the outcome of the assessment. It requested the SBSTA to consider this report at SBSTA 43.<sup>55</sup>

62. *Action:* The SBSTA will be invited to consider the report referred to in paragraph 61 above with a view to recommending a draft decision for consideration and adoption at CMP 11.

<i>Further information</i>	<i>&lt;www.unfccc.int/1084&gt;</i>
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## 12. Market and non-market mechanisms under the Convention

- (a) Framework for various approaches

63. *Background:* SBSTA 42 continued the work programme to elaborate a framework for various approaches in accordance with decision 1/CP.18, paragraphs 41–46. As the SBSTA was unable to reach agreement on this matter, in accordance with rule 16 of the draft rules of procedure being applied it will continue considering it at SBSTA 43.

64. *Action:* The SBSTA will be invited to continue its work programme to elaborate a framework for various approaches.

<i>Further information</i>	<i>&lt;www.unfccc.int/7551&gt;</i>
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<sup>54</sup> FCCC/KP/CMP/2014/9, paragraph 79.

<sup>55</sup> Decision 7/CMP.10, paragraphs 1–3.

(b) Non-market-based approaches

65. *Background:* SBSTA 42 continued the work programme to elaborate non-market-based approaches in accordance with decision 1/CP.18, paragraph 47. As the SBSTA was unable to reach agreement on this matter, in accordance with rule 16 of the draft rules of procedure being applied it will continue considering it at SBSTA 43.

66. *Action:* The SBSTA will be invited to continue its work programme to elaborate non-market-based approaches.

<i>Further information</i>	<a href="http://www.unfccc.int/7551"> &lt;www.unfccc.int/7551&gt;</a>
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(c) New market-based mechanism

67. *Background:* SBSTA 42 continued the work programme to elaborate modalities and procedures for the mechanism defined in decision 2/CP.17, paragraph 83, in accordance with decision 1/CP.18, paragraphs 50 and 51. As the SBSTA was unable to reach agreement on this matter, in accordance with rule 16 of the draft rules of procedure being applied it will continue considering it at SBSTA 43.

68. *Action:* The SBSTA will be invited to continue its work programme to elaborate modalities and procedures for the mechanism defined in decision 2/CP.17, paragraph 83.

<i>Further information</i>	<a href="http://www.unfccc.int/7551"> &lt;www.unfccc.int/7551&gt;</a>
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**13. Reports on other activities**

(a) Annual report on the technical review of information reported under the Convention related to biennial reports and national communications by Parties included in Annex I to the Convention

69. *Background:* In accordance with decision 13/CP.20, annex, paragraphs 40 and 44, the secretariat prepares an annual report containing the following: information on the composition of ERTs, the annual report of the lead reviewers, and the latest information on the technical review of information reported under the Convention related to biennial reports and national communications by Parties included in Annex I to the Convention.

70. *Action:* The SBSTA will be invited to take note of the information contained in the report.

<i>FCCC/SBSTA/2015/INF.5</i>	<i>Annual report on the technical review of information reported under the Convention related to biennial reports and national communications by Parties included in Annex I to the Convention. Note by the secretariat</i>
<i>Further information</i>	<a href="http://www.unfccc.int/1095"> &lt;www.unfccc.int/1095&gt;</a> and <a href="http://www.unfccc.int/7534"> &lt;www.unfccc.int/7534&gt;</a>

(b) Annual report on the technical review of greenhouse gas inventories of Parties included in Annex I to the Convention

71. *Background:* The secretariat prepares an annual report containing the latest information on the technical review of the GHG inventories submitted by Parties included in Annex I to the Convention information on the composition of ERTs and selection of experts and lead reviewers for the review teams, and suggestions on how to improve the

quality, efficiency and consistency of the reviews.<sup>56</sup> The 2015 report summarizes the outcomes of the review process for the 2014 submissions and addresses the particular challenges for the review process in 2015, primarily caused by the delay in the delivery of the upgraded CRF Reporter software and the subsequent delays in the submission of the GHG inventories of Parties included in Annex I to the Convention.

72. *Action:* The SBSTA will be invited to consider the information contained in the report and provide guidance to the secretariat on the review process, in particular on the organization of the review of the 2015 and 2016 GHG inventory submissions from Annex I Parties, including the selection of experts and the coordination of ERTs.

<i>FCCC/SBSTA/2015/INF.9</i>	<i>Annual report on the technical review of greenhouse gas inventories of Parties included in Annex I to the Convention. Note by the secretariat</i>
<i>Further information</i>	<i>&lt;www.unfccc.int/2762&gt;</i>

- (c) Annual report on the technical review of greenhouse gas inventories and other information reported by Parties included in Annex I, as defined in Article 1, paragraph 7, of the Kyoto Protocol

73. *Background:* The secretariat prepares an annual report containing the latest information on the technical review of GHG inventories and other information reported by Parties included in Annex I as defined in Article 1, paragraph 7, of the Kyoto Protocol.<sup>57</sup> The 2015 report addresses the particular challenges for the review process in 2015, including specific aspects arising from the incompleteness of agreed guidance from Parties on Articles 5, 7 and 8 of the Kyoto Protocol (SBSTA agenda item 11(a)), as well as the delay in the delivery of the upgraded CRF Reporter software. Because of these challenges, the 2015 submissions of some information required under Article 7, paragraph 1, of the Kyoto Protocol and the submissions of the report to facilitate the calculation of the assigned amount pursuant to Article 3, paragraphs 7 bis, 8 and 8 bis, of the Kyoto Protocol for the second commitment period (the initial report for the second commitment period) were delayed.<sup>58</sup>

74. *Action:* The SBSTA will be invited to consider the information contained in the report and provide guidance to the secretariat on the review process under Article 8 of the Kyoto Protocol, including on the organization of the review for the 2015 and 2016 GHG inventory submissions from Parties included in Annex I, and on the organization of the review for the initial reports for the second commitment period.

<i>FCCC/SBSTA/2015/INF.10</i>	<i>Annual report on the technical review of greenhouse gas inventories and other information reported by Parties included in Annex I, as defined in Article 1, paragraph 7, of the Kyoto Protocol. Note by the secretariat</i>
<i>Further information</i>	<i>&lt;www.unfccc.int/2762&gt;</i>

<sup>56</sup> Decision 13/CP.20, annex, paragraphs 40 and 44.

<sup>57</sup> Decision 22/CMP.1, annex, paragraphs 35 and 40.

<sup>58</sup> Decision 2/CMP.8, paragraph 2.

- (d) Report on the implementation of domestic action by Parties included in Annex I, as defined in Article 1, paragraph 7, of the Kyoto Protocol, based on the information reported in their national communications

75. *Background:* The CMP, by decision 15/CMP.1, requested the secretariat to prepare a report relating to decision 5/CP.6, annex, paragraph 4, based on information contained in national communications from Parties and other relevant sources, for consideration by the SBSTA. This report shall be prepared each time that the review process under Article 8 of the Kyoto Protocol relating to national communications and supplementary information from Parties included in Annex I is completed.

76. As at 30 April 2015, the secretariat had coordinated the in-depth reviews of all 41 sixth national communications received from Parties included in Annex I, in accordance with the guidelines for review under Article 8 of the Kyoto Protocol.<sup>59</sup>

77. *Action:* The SBSTA will be invited to consider the document prepared for the session and to take note of the information contained therein.

<i>FCCC/SBSTA/2015/INF.4</i>	<i>Report on the implementation of domestic action by Parties included in Annex I, as defined in Article 1, paragraph 7, of the Kyoto Protocol, based on the information reported in their national communications. Note by the secretariat</i>
<i>Further information</i>	<i>&lt;<a href="http://www.unfccc.int/1095">www.unfccc.int/1095</a>&gt;</i>

#### 14. Other matters

78. Any other matters referred to the SBSTA at COP 21 or CMP 11, as well as any other matters arising during the session, will be taken up under this agenda item.

#### 15. Closure of and report on the session

79. A draft report on the work of the session will be prepared for consideration and adoption by the SBSTA at the end of the session, after which the Chair will declare the session closed.

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<sup>59</sup> Turkey's fifth national communication was reviewed in 2015.