



Subsidiary Body for Implementation

Forty-second session

Bonn, 1–11 June 2015

Agenda item 15(b)

Administrative, financial and institutional matters

Programme budget for the biennium 2016–2017

Programme budget for the biennium 2016–2017

Draft conclusions proposed by the Chair

Addendum

Recommendation of the Subsidiary Body for Implementation

The Subsidiary Body for Implementation, at its forty-second session, recommended the following draft decision for consideration and adoption by the Conference of the Parties at its twenty-first session:

Draft decision -/CP.21

Programme budget for the biennium 2016–2017

The Conference of the Parties,

Recalling paragraph 4 of the financial procedures for the Conference of the Parties, its subsidiary bodies and the secretariat,¹

Having considered the proposed programme budget for the biennium 2016–2017 submitted by the Executive Secretary,²

1. *Approves* the programme budget for the biennium 2016–2017, amounting to EUR 54,648,484, for the purposes specified in table 1;³

¹ Decision 15/CP.1, annex I, as revised by decision 23/CP.20.

² FCCC/SBI/2015/3 and Add.1–3.

³ In accordance with decision 13/CP.20, three operational approaches may be used for implementing the technical review of the greenhouse gas inventories of Parties included in Annex I to the

2. *Notes with appreciation* the annual contribution of EUR 766,938 of the Host Government, which offsets planned expenditures;
3. *Approves* the staffing table (table 2) for the programme budget;
4. *Notes* that the programme budget contains elements relating to the Convention as well as to the Kyoto Protocol;
5. *Adopts* the indicative scale of contributions for 2016 and 2017 contained in the annex, covering 71.2 per cent of the indicative contributions specified in table 1;
6. *Invites* the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol, at its eleventh session (November–December 2015), to endorse the elements of the recommended budget as it applies to the Kyoto Protocol;
7. *Also invites* the United Nations General Assembly to decide at its seventieth session on the issue of meeting the conference services expenses from its regular budget;
8. *Approves* a contingency budget for conference services, amounting to EUR 10,378,900, to be added to the programme budget for the biennium 2016–2017 in the event that the United Nations General Assembly decides not to provide resources for these activities in the United Nations regular budget (see table 3);
9. *Requests* the Executive Secretary to report to the Subsidiary Body for Implementation on the implementation of paragraphs 7 and 8 above, as necessary;
10. *Authorizes* the Executive Secretary to make transfers between each of the main appropriation lines set out in table 1, up to an aggregate limit of 15 per cent of total estimated expenditure for those appropriation lines, provided that a further limitation of up to minus 25 per cent of each such appropriation line shall apply;
11. *Decides* to maintain the level of the working capital reserve at 8.3 per cent of the estimated expenditure;
12. *Takes note* of the surplus accumulated under the Trust Fund for the Core Budget of the UNFCCC as at 31 December 2014 amounting to USD 6.337 million;
13. *Decides*, on an exceptional basis, not to apply the last sentence of regulation 5.3 of the Financial Regulations and Rules of the United Nations⁴ which would require the surrender of the accumulated surplus referred to in paragraph 12 above;
14. *Invites* all Parties to the Convention to note that contributions to the core budget are due on 1 January of each year in accordance with paragraph 8(b) of the financial procedures and to pay promptly and in full, for each of the years 2016 and 2017, the contributions required to finance expenditures approved under paragraph 1 above and any contributions required to finance the expenditures arising from the decision referred to in paragraph 8 above;
15. *Authorizes* the Executive Secretary to implement decisions taken by the Conference of the Parties at its twenty-first session (November–December 2015) for which provisions are not made under the approved budget, using voluntary contributions and to the extent possible, resources available under the core budget;

Convention, namely desk reviews, centralized reviews and in-country reviews. Taking into account core budget resources and supplementary funding the secretariat may implement desk or centralized reviews for those Parties that are due for an in-country review in 2016–2017.

⁴ ST/SGB/2013/4.

16. *Urges* Parties to make voluntary contributions as necessary for the timely implementation of the decisions referred to in paragraph 15 above;
17. *Takes note* of the funding estimates for the Trust Fund for Participation in the UNFCCC Process specified by the Executive Secretary (table 4);
18. *Invites* Parties to make contributions to the Trust Fund for Participation in the UNFCCC Process;
19. *Takes note* of the funding estimates for the Trust Fund for Supplementary Activities specified by the Executive Secretary (EUR 51,647,777 for the biennium 2016–2017) (table 5);
20. *Invites* Parties to make contributions to the Trust Fund for Supplementary Activities;
21. *Requests* the Executive Secretary to report to the Conference of the Parties at its twenty-second session (November 2016) on income and budget performance, and to propose any adjustments that might be needed in the programme budget for the biennium 2016–2017;
22. *Also requests* the Executive Secretary to facilitate the consideration of future programme budget proposals by including in the official budget documents budget scenarios, including zero nominal growth, together with information on the related implications of the implementation of the secretariat's work programme and the estimated impacts on the level of indicative contributions by Parties, and by submitting at least 15 days in advance of the relevant session of the Subsidiary Body for Implementation the latest unaudited financial statements and an update on the implementation of the approved core budget.

Table 1
Proposed core budget for 2016–2017 by programme

	2016 (EUR)	2017 (EUR)	Total (EUR)
A. Programme appropriations			
Executive Direction and Management	2 250 862	2 210 862	4 461 724
Mitigation, Data and Analysis	7 611 688	7 611 688	15 223 376
Finance, Technology and Capacity-Building	2 732 260	2 732 627	5 464 887
Adaptation	2 335 712	2 336 252	4 671 964
Sustainable Development Mechanisms	406 250	369 990	776 240
Legal Affairs	1 304 455	1 304 455	2 608 910
Conference Affairs Services	1 691 137	1 633 142	3 324 279
Communications and Outreach	1 591 177	1 478 722	3 069 899
Information Technology Services	2 874 780	2 690 771	5 565 551
Administrative Services ^a			
B. Secretariat-wide operating costs^b	1 402 358	1 829 358	3 231 716
Programme expenditures (A + B)	24 200 679	24 197 867	48 398 546
C. Programme support costs (overheads)^c	3 146 088	3 145 723	6 291 811
D. Adjustment to working capital reserve^d	(41 609)	(264)	(41 873)
Total (A + B + C + D)	27 305 158	27 343 326	54 648 484
Income			
Contribution from the Host Government	766 938	766 938	1 533 876
Indicative contributions	26 538 220	26 576 388	53 114 608
Total income	27 305 158	27 343 326	54 648 484

^a Administrative Services (AS) is funded from programme support costs (overheads).

^b Secretariat-wide operating costs are managed by AS.

^c Standard 13 per cent applied for administrative support.

^d In accordance with the financial procedures (decision 15/CP.1), the core budget is required to maintain a working capital reserve of 8.3 per cent (one month of operating requirements) amounting to EUR 2,269,782 in 2016 and EUR 2,269,518 in 2017.

Table 2

Secretariat-wide staffing from the core budget

	2015	2016	2017
Professional category and above ^a			
ASG	1	1	1
D-2	3	3	3
D-1	7	7	7
P-5	15	15	15
P-4	35	35	35
P-3	43	43	43
P-2	16	16	16
Subtotal Professional category and above	120	120	120
Subtotal General Service category	53.5	53.5	53.5
Total	173.5	173.5	173.5

^a Assistant Secretary-General (ASG), Director (D) and Professional (P).

Table 3

Resource requirements for the conference services contingency

	2016 (EUR)	2017 (EUR)	2016–2017 (EUR)
<i>Object of expenditure</i>			
Interpretation ^a	1 258 100	1 295 900	2 554 000
Documentation ^b			
Translation	2 104 500	2 167 700	4 272 200
Reproduction and distribution	719 900	741 500	1 461 400
Meetings services support ^c	259 200	266 900	526 100
Subtotal	4 341 700	4 472 000	8 813 700
Programme support costs	564 400	581 400	1 145 800
Working capital reserve	407 200	12 200	419 400
Total	5 313 300	5 065 600	10 378 900

Note: Assumptions used for calculating the conference services contingency budget include the following:

- The expected number of meetings with interpretation does not exceed 40 per session;
- The expected documentation volume is based on the calculations provided by the United Nations Office at Geneva;

Geneva;

- Meetings services support includes staff normally provided by the United Nations Office at Geneva conference services for the in-session coordination and support of interpretation, translation and reproduction services;

• Overall, the figures used are conservative and have been applied on the assumption that there will be no major increase in requirements during the biennium.

^a Includes salaries, travel and daily subsistence allowance for interpreters.

^b Includes all costs related to the processing of pre-, in- and post-session documentation; translation costs include revision and typing of documents.

^c Includes salaries, travel and daily subsistence allowance for meetings services support staff and costs of shipment and telecommunications.

Table 4
Resource requirements for the Trust Fund for Participation in the UNFCCC Process in the biennium 2016–2017

<i>Number of delegates</i>	<i>Estimated cost (EUR)</i>
Support for one delegate from each eligible Party to participate in a one-week session organized in Bonn, Germany ^a	615 000
Support for one delegate from each eligible Party to participate in a two-week session organized in Bonn ^a	960 000
Support for one delegate from each eligible Party plus a second delegate from each least developed country and each small island developing State to participate in a two-week session organized in Bonn ^a	1 485 000
Support for two delegates from each eligible Party to participate in a two-week session organized in Paris, France ^a	2 350 000
Support for two delegates from each eligible Party plus a delegate from each least developed country and each small island developing State to participate in a two-week session organized in Paris ^a	3 000 000

^a Locations provided as examples.

Table 5
Resource requirements for the Trust Fund for Supplementary Activities in the biennium 2016–2017^a

<i>Table no^b</i>	<i>Activities to be undertaken by the secretariat</i>	<i>EUR</i>
	<i>Convention</i>	
2	Coordinating the implementation of the Paris outcome, institutional arrangements under the Convention and efforts to mobilize and catalyse climate action	2 108 444
3	Support to gender mainstreaming in climate change policy and action	398 800
4	Supporting the work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention on implementing the measurement, reporting and verification framework for developing country Parties	1 843 304
8	Supporting the implementation of national greenhouse gas inventories and related activities by non-Annex I Parties, including national forest monitoring systems	529 643
9	Supporting the implementation of enhanced action on mitigation by developing country Parties	2 323 497
11	Supporting the work programme for the development of modalities and guidelines for enhanced reporting by developed country Parties and the international assessment and review process	1 621 731
13	Further improvements and development of the nationally appropriate mitigation action registry	585 340
15	Support to technical dialogue on nationally determined contributions	2 074 725
16	Supporting the operationalization of the international consultation and analysis process for developing country Parties	2 302 760
17	Supporting the Subsidiary Body for Scientific and Technological Advice consideration of issues relating to agriculture	275 720
18	Supporting the work of the Standing Committee on Finance	1 159 380
19	Support to climate finance	333 802

<i>Table no^b</i>	<i>Activities to be undertaken by the secretariat</i>	<i>EUR</i>
20	Supporting the implementation of the Technology Mechanism and the work of the Technology Executive Committee, including the implementation of the framework for meaningful and effective actions to enhance the implementation of Article 4, paragraph 5, of the Convention	1 193 981
22	Supporting the implementation of the Nairobi work programme on impacts, vulnerability and adaptation to climate change	1 301 172
23	Supporting the implementation of the Cancun Adaptation Framework	5 340 425
24	Supporting the least developed countries and the Least Developed Countries Expert Group	3 389 096
25	Supporting activities relating to climate change science, research and systematic observation	534 230
26	Supporting the periodic review of the adequacy of the long-term global goal referred to in decision 1/CP.16, paragraph 4	493 189
27	Supporting the implementation of adaptation mandates of a cross-cutting nature, including those relating to the Durban Platform, stakeholder engagement, communications and outreach	1 022 952
29	Support to stakeholder engagement in the UNFCCC process	746 193
31	Developing internal communication tools and channels within the secretariat, in order to enhance communication between management and staff and among staff	243 402
32	Managing and preserving sound recordings of all the sessions of the Conference of the Parties, the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol and the subsidiary bodies	1 010 646
36	Managing and preserving video recordings of the sessions of the Conference of the Parties, the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol and the subsidiary bodies	492 646
37	The development and maintenance of the secretariat's vocabulary for information retrieval in all secretariat systems	319 944
	Subtotal	31 645 022
	<i>Kyoto Protocol</i>	
5	Enhancement and maintenance of the compilation and accounting database under the Kyoto Protocol	577 204
28	Support to the Compliance Committee	505 901
	Subtotal	1 083 105
	<i>Convention and its Kyoto Protocol</i>	
6	Activities relating to land use, land-use change and forestry: reducing emissions from deforestation and forest degradation, enhancement of forest carbon sinks, and the role of sinks in future mitigation actions	2 242 598
7	Providing training for expert review teams and organizing meetings of the lead reviewers	1 524 485
10	Supporting activities relating to the impact of the implementation of response measures	1 086 608
12	Supporting the upgraded software (CRF Reporter) for the reporting of greenhouse gas emissions/removals by Annex I Parties	1 523 466
14	Maintenance and enhancement of the UNFCCC data warehouse and related information technology tools and data-processing systems, including tools for the review of greenhouse gas (GHG) inventories and the operation of the GHG data interface on the UNFCCC website	660 711

<i>Table no^b</i>	<i>Activities to be undertaken by the secretariat</i>	<i>EUR</i>
21	Supporting the implementation of the framework for capacity-building in developing countries established under decision 2/CP.7 and the framework for capacity-building in countries with economies in transition established under decision 3/CP.7	266 002
30	Further development of the Electronic Official Documentation System	52 048
33	Managing the secretariat's business records	1 135 853
34	Providing archive services for the historical records of the UNFCCC	1 394 578
35	Enhancing information governance	276 398
38	Website project – digital enhancements post-2015 (web/social media)	1 179 042
39	UNFCCC web portal in the six United Nations languages (Arabic, Chinese, English, French, Russian and Spanish)	2 185 782
40	Visualization of UNFCCC process information and data	808 402
41	Developing country media training workshops	395 500
42	Web and outreach for greater climate action	1 411 912
43	Momentum for Change	2 308 346
44	Activities to support the implementation of Article 6 of the Convention	467 919
	Subtotal	18 919 650
	Grand total	51 647 777

^a The table includes projects for which funding is sought from Parties. Projects funded from other sources are not listed.

^b Table numbers refer to tables contained in document FCCC/SBI/2015/3/Add.2.

Annex

[English only]

**Indicative scale of contributions from Parties to the Convention for the
biennium 2016–2017**

<i>Party</i>	<i>United Nations scale of assessments for 2015</i>	<i>UNFCCC adjusted scale of assessments for 2016</i>	<i>UNFCCC adjusted scale of assessments for 2017</i>
Afghanistan	0.005	0.005	0.005
Albania	0.010	0.010	0.010
Algeria	0.137	0.134	0.134
Andorra	0.008	0.008	0.008
Angola	0.010	0.010	0.010
Antigua and Barbuda	0.002	0.002	0.002
Argentina	0.432	0.421	0.421
Armenia	0.007	0.007	0.007
Australia	2.074	2.022	2.022
Austria	0.798	0.778	0.778
Azerbaijan	0.040	0.039	0.039
Bahamas	0.017	0.017	0.017
Bahrain	0.039	0.038	0.038
Bangladesh	0.010	0.010	0.010
Barbados	0.008	0.008	0.008
Belarus	0.056	0.055	0.055
Belgium	0.998	0.973	0.973
Belize	0.001	0.001	0.001
Benin	0.003	0.003	0.003
Bhutan	0.001	0.001	0.001
Bolivia (Plurinational State of)	0.009	0.009	0.009
Bosnia and Herzegovina	0.017	0.017	0.017
Botswana	0.017	0.017	0.017
Brazil	2.934	2.861	2.861
Brunei Darussalam	0.026	0.025	0.025
Bulgaria	0.047	0.046	0.046
Burkina Faso	0.003	0.003	0.003
Burundi	0.001	0.001	0.001
Cabo Verde	0.001	0.001	0.001
Cambodia	0.004	0.004	0.004
Cameroon	0.012	0.012	0.012
Canada	2.984	2.909	2.909
Central African Republic	0.001	0.001	0.001
Chad	0.002	0.002	0.002
Chile	0.334	0.326	0.326
China	5.148	5.019	5.019
Colombia	0.259	0.253	0.253
Comoros	0.001	0.001	0.001
Congo	0.005	0.005	0.005
Cook Islands	0.001	0.001	0.001
Costa Rica	0.038	0.037	0.037

<i>Party</i>	<i>United Nations scale of assessments for 2015</i>	<i>UNFCCC adjusted scale of assessments for 2016</i>	<i>UNFCCC adjusted scale of assessments for 2017</i>
Côte d'Ivoire	0.011	0.011	0.011
Croatia	0.126	0.123	0.123
Cuba	0.069	0.067	0.067
Cyprus	0.047	0.046	0.046
Czech Republic	0.386	0.376	0.376
Democratic People's Republic of Korea	0.006	0.006	0.006
Democratic Republic of the Congo	0.003	0.003	0.003
Denmark	0.675	0.658	0.658
Djibouti	0.001	0.001	0.001
Dominica	0.001	0.001	0.001
Dominican Republic	0.045	0.044	0.044
Ecuador	0.044	0.043	0.043
Egypt	0.134	0.131	0.131
El Salvador	0.016	0.016	0.016
Equatorial Guinea	0.010	0.010	0.010
Eritrea	0.001	0.001	0.001
Estonia	0.040	0.039	0.039
Ethiopia	0.010	0.010	0.010
European Union	2.500	2.500	2.500
Fiji	0.003	0.003	0.003
Finland	0.519	0.506	0.506
France	5.593	5.453	5.453
Gabon	0.020	0.019	0.019
Gambia	0.001	0.001	0.001
Georgia	0.007	0.007	0.007
Germany	7.141	6.962	6.962
Ghana	0.014	0.014	0.014
Greece	0.638	0.622	0.622
Grenada	0.001	0.001	0.001
Guatemala	0.027	0.026	0.026
Guinea	0.001	0.001	0.001
Guinea-Bissau	0.001	0.001	0.001
Guyana	0.001	0.001	0.001
Haiti	0.003	0.003	0.003
Honduras	0.008	0.008	0.008
Hungary	0.266	0.259	0.259
Iceland	0.027	0.026	0.026
India	0.666	0.649	0.649
Indonesia	0.346	0.337	0.337
Iran (Islamic Republic of)	0.356	0.347	0.347
Iraq	0.068	0.066	0.066
Ireland	0.418	0.408	0.408
Israel	0.396	0.386	0.386
Italy	4.448	4.337	4.337
Jamaica	0.011	0.011	0.011
Japan	10.833	10.562	10.562
Jordan	0.022	0.021	0.021
Kazakhstan	0.121	0.118	0.118
Kenya	0.013	0.013	0.013

<i>Party</i>	<i>United Nations scale of assessments for 2015</i>	<i>UNFCCC adjusted scale of assessments for 2016</i>	<i>UNFCCC adjusted scale of assessments for 2017</i>
Kiribati	0.001	0.001	0.001
Kuwait	0.273	0.266	0.266
Kyrgyzstan	0.002	0.002	0.002
Lao People's Democratic Republic	0.002	0.002	0.002
Latvia	0.047	0.046	0.046
Lebanon	0.042	0.041	0.041
Lesotho	0.001	0.001	0.001
Liberia	0.001	0.001	0.001
Libya	0.142	0.138	0.138
Liechtenstein	0.009	0.009	0.009
Lithuania	0.073	0.071	0.071
Luxembourg	0.081	0.079	0.079
Madagascar	0.003	0.003	0.003
Malawi	0.002	0.002	0.002
Malaysia	0.281	0.274	0.274
Maldives	0.001	0.001	0.001
Mali	0.004	0.004	0.004
Malta	0.016	0.016	0.016
Marshall Islands	0.001	0.001	0.001
Mauritania	0.002	0.002	0.002
Mauritius	0.013	0.013	0.013
Mexico	1.842	1.796	1.796
Micronesia (Federated States of)	0.001	0.001	0.001
Monaco	0.012	0.012	0.012
Mongolia	0.003	0.003	0.003
Montenegro	0.005	0.005	0.005
Morocco	0.062	0.060	0.060
Mozambique	0.003	0.003	0.003
Myanmar	0.010	0.010	0.010
Namibia	0.010	0.010	0.010
Nauru	0.001	0.001	0.001
Nepal	0.006	0.006	0.006
Netherlands	1.654	1.613	1.613
New Zealand	0.253	0.247	0.247
Nicaragua	0.003	0.003	0.003
Niger	0.002	0.002	0.002
Nigeria	0.090	0.088	0.088
Niue	0.001	0.001	0.001
Norway	0.851	0.830	0.830
Oman	0.102	0.099	0.099
Pakistan	0.085	0.083	0.083
Palau	0.001	0.001	0.001
Panama	0.026	0.025	0.025
Papua New Guinea	0.004	0.004	0.004
Paraguay	0.010	0.010	0.010
Peru	0.117	0.114	0.114
Philippines	0.154	0.150	0.150
Poland	0.921	0.898	0.898
Portugal	0.474	0.462	0.462

<i>Party</i>	<i>United Nations scale of assessments for 2015</i>	<i>UNFCCC adjusted scale of assessments for 2016</i>	<i>UNFCCC adjusted scale of assessments for 2017</i>
Qatar	0.209	0.204	0.204
Republic of Korea	1.994	1.944	1.944
Republic of Moldova	0.003	0.003	0.003
Romania	0.226	0.220	0.220
Russian Federation	2.438	2.377	2.377
Rwanda	0.002	0.002	0.002
Saint Kitts and Nevis	0.001	0.001	0.001
Saint Lucia	0.001	0.001	0.001
Saint Vincent and the Grenadines	0.001	0.001	0.001
Samoa	0.001	0.001	0.001
San Marino	0.003	0.003	0.003
Sao Tome and Principe	0.001	0.001	0.001
Saudi Arabia	0.864	0.842	0.842
Senegal	0.006	0.006	0.006
Serbia	0.040	0.039	0.039
Seychelles	0.001	0.001	0.001
Sierra Leone	0.001	0.001	0.001
Singapore	0.384	0.374	0.374
Slovakia	0.171	0.167	0.167
Slovenia	0.100	0.097	0.097
Solomon Islands	0.001	0.001	0.001
Somalia	0.001	0.001	0.001
South Africa	0.372	0.363	0.363
South Sudan	0.004	0.004	0.004
Spain	2.973	2.899	2.899
Sri Lanka	0.025	0.024	0.024
Sudan	0.010	0.010	0.010
Suriname	0.004	0.004	0.004
Swaziland	0.003	0.003	0.003
Sweden	0.960	0.936	0.936
Switzerland	1.047	1.021	1.021
Syrian Arab Republic	0.036	0.035	0.035
Tajikistan	0.003	0.003	0.003
Thailand	0.239	0.233	0.233
The former Yugoslav Republic of Macedonia	0.008	0.008	0.008
Timor-Leste	0.002	0.002	0.002
Togo	0.001	0.001	0.001
Tonga	0.001	0.001	0.001
Trinidad and Tobago	0.044	0.043	0.043
Tunisia	0.036	0.035	0.035
Turkey	1.328	1.295	1.295
Turkmenistan	0.019	0.019	0.019
Tuvalu	0.001	0.001	0.001
Uganda	0.006	0.006	0.006
Ukraine	0.099	0.097	0.097
United Arab Emirates	0.595	0.580	0.580
United Kingdom of Great Britain and Northern Ireland	5.179	5.049	5.049
United Republic of Tanzania	0.009	0.009	0.009
United States of America	22.000	21.449	21.449

FCCC/SBI/2015/L.18/Add.1

<i>Party</i>	<i>United Nations scale of assessments for 2015</i>	<i>UNFCCC adjusted scale of assessments for 2016</i>	<i>UNFCCC adjusted scale of assessments for 2017</i>
Uruguay	0.052	0.051	0.051
Uzbekistan	0.015	0.015	0.015
Vanuatu	0.001	0.001	0.001
Venezuela (Bolivarian Republic of)	0.627	0.611	0.611
Viet Nam	0.042	0.041	0.041
Yemen	0.010	0.010	0.010
Zambia	0.006	0.006	0.006
Zimbabwe	0.002	0.002	0.002
Total	102.502	100.000	100.000
