



Subsidiary Body for Implementation

Forty-third session

Paris, 30 November to 11 December 2015¹

Item 2(a) of the provisional agenda

Organizational matters

Adoption of the agenda

Provisional agenda and annotations

Note by the Executive Secretary

I. Provisional agenda

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2. Organizational matters:
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 - (b) Organization of the work of the session;
 - (c) Multilateral assessment working group session under the international assessment and review process;
 - (d) Election of officers other than the Chair.
3. Reporting from and review of Parties included in Annex I to the Convention:
 - (a) Status of submission and review of sixth national communications and first biennial reports from Parties included in Annex I to the Convention;
 - (b) Outcome of the first round of the international assessment and review process (2014–2015);
 - (c) Revision of the “Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part II: UNFCCC reporting guidelines on national communications”;
 - (d) Report on national greenhouse gas inventory data from Parties included in Annex I to the Convention for the period 1990–2013;
 - (e) Annual compilation and accounting report for Annex B Parties under the Kyoto Protocol for 2015.

¹ Exact dates within the sessional period are subject to confirmation.



4. Reporting from Parties not included in Annex I to the Convention:
 - (a) Information contained in national communications from Parties not included in Annex I to the Convention;²
 - (b) Work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention;
 - (c) Provision of financial and technical support;
 - (d) Summary reports on the technical analysis of biennial update reports of Parties not included in Annex I to the Convention.
5. Matters relating to the mechanisms under the Kyoto Protocol:
 - (a) Review of the modalities and procedures for the clean development mechanism;
 - (b) Review of the joint implementation guidelines;
 - (c) Modalities for expediting the continued issuance, transfer and acquisition of joint implementation emission reduction units;
 - (d) Report of the administrator of the international transaction log under the Kyoto Protocol.
6. Matters relating to the least developed countries.
7. National adaptation plans.
8. Report of the Adaptation Committee.
9. Report of the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts.
10. Development and transfer of technologies and implementation of the Technology Mechanism:
 - (a) Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network;
 - (b) Poznan strategic programme on technology transfer.
11. Capacity-building:
 - (a) Capacity-building under the Convention;
 - (b) Capacity-building under the Kyoto Protocol.
12. Impact of the implementation of response measures:
 - (a) Forum and work programme;
 - (b) Matters relating to Article 3, paragraph 14, of the Kyoto Protocol;
 - (c) Progress on the implementation of decision 1/CP.10.
13. The 2013–2015 review.
14. Gender and climate change.
15. Administrative, financial and institutional matters:

² At the forty-second session of the Subsidiary Body for Implementation (SBI) there was no consensus to include this item on the agenda. It was therefore held in abeyance. On a proposal by the Chair, the SBI decided to include this item on the provisional agenda for SBI 43.

- (a) Budget performance for the biennium 2014–2015;
 - (b) Audit report and financial statements for 2014.
16. Report on other activities: summary report on the 3rd Dialogue on Article 6 of the Convention.
 17. Other matters.
 18. Closure of and report on the session.

II. Annotations to the provisional agenda

1. Opening of the session

1. The forty-third session of the Subsidiary Body for Implementation (SBI) will be opened in the first week of the United Nations Climate Change Conference to be held in Paris, France, by the Chair, Mr. Amena Yauvoli (Fiji).³

2. Organizational matters

(a) Adoption of the agenda

2. The provisional agenda, prepared by the Executive Secretary in agreement with the Chair, will be presented for adoption.

(b) Organization of the work of the session

3. Detailed information on the work of the session will be posted on the SBI 43 web page.⁴ Delegates are invited to refer to the overview schedule⁵ and the in-session Daily Programme and to regularly consult the closed-circuit television screens for an up-to-date schedule of the work of the SBI. In order to maximize negotiation time and ensure timely closure of the session, presiding officers will propose, in consultation with Parties and in a transparent manner, time-saving approaches to the organization and scheduling of meetings during the session, taking into account previous relevant SBI conclusions.⁶

4. In particular, in view of the expected heavy workload at the Paris Conference, the SBI Chair may consult with Parties in a transparent manner in the period leading up to the Conference on options and opportunities for deferring to SBI 44 consideration of some of the agenda items that require substantive discussion and for which no decision is expected to be adopted at the twenty-first session of the Conference of the Parties (COP) or the eleventh session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP). Items not concluded at SBI 43 will be forwarded for consideration at subsequent sessions, in accordance with the draft rules of procedure being applied.

(c) Multilateral assessment working group session under the international assessment and review process

5. The multilateral assessment (MA) process is part of the international assessment and review (IAR) process established under the SBI for developed country Parties. During the

³ Exact date and time to be announced in due course.

⁴ <www.unfccc.int/9089>.

⁵ <<http://unfccc.int/files/8926>>.

⁶ FCCC/SBI/2014/8, paragraphs 218–221.

MA working group sessions, developed country Parties' progress towards the achievement of emission reductions and removals related to their quantified economy-wide emission reduction targets is multilaterally assessed. The modalities and procedures for this process were adopted at COP 17.⁷

6. The first round of the IAR process, conducted in the period 2014–2015, is based on the first biennial reports (BR1s) and the sixth national communications (NC6s) of Parties included in Annex I to the Convention (Annex I Parties). The first and second MA working group sessions were held during SBI 41⁸ and SBI 42,⁹ respectively. The third and final MA working group session of the first round of the IAR process, for the two countries remaining to be multilaterally assessed, will be held during SBI 43.¹⁰ Further information on the organization of the working group session will be posted on the SBI 43 web page.

<i>FCCC/SBI/2015/11</i>	<i>Provisional agenda and annotations. Note by the Executive Secretary</i>
<i>Further information</i>	<i><www.unfccc.int/9089> and <www.unfccc.int/8451></i>

(d) Election of officers other than the Chair

7. *Background:* The SBI will elect its Vice-Chair and Rapporteur. The current officers will remain in office until their successors are elected. Parties are invited to give active consideration to the nomination of women for elective posts.

8. When the SBI exercises its functions with regard to matters concerning the Kyoto Protocol, any member of its Bureau representing a State that is a Party to the Convention but, at that time, not a Party to the Kyoto Protocol shall be replaced by an additional member to be elected by and among the Parties to the Kyoto Protocol.

9. *Action:* The SBI will be invited to elect its officers at the earliest opportunity following the completion of consultations. If necessary, the SBI will be invited to elect additional officers to replace the Vice-Chair and/or Rapporteur representing a State that is a Party to the Convention but not to its Kyoto Protocol.

<i>Further information</i>	<i><www.unfccc.int/6558></i>
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3. Reporting from and review of Parties included in Annex I to the Convention

(a) Status of submission and review of sixth national communications and first biennial reports from Parties included in Annex I to the Convention

10. *Background:* COP 16 decided that Annex I Parties should enhance the reporting in national communications (NCs) and submit biennial reports that outline progress in achieving emission reductions and the provision of financial, technology and capacity-building support to Parties not included in Annex I to the Convention (non-Annex I Parties), building on existing reporting and review guidelines, processes and experiences.¹¹

⁷ Decision 2/CP.17, annex II.

⁸ FCCC/SBI/2014/21, paragraphs 7 and 8.

⁹ FCCC/SBI/2015/10, paragraphs 7 and 8.

¹⁰ See <www.unfccc.int/8451>.

¹¹ Decision 1/CP.16, paragraph 40.

11. Developed country Parties were requested to submit, by 1 January 2014, their NC6s and BR1s.¹² As at 1 September 2015, the secretariat had received, and coordinated the review of, 44 NC6s, 43 BR1s and 44 BR1 common tabular format submissions.

12. *Action:* The SBI will be invited to take note of the status of submission and review of NC6s and BR1s.

FCCC/SBI/2015/INF.9

Status of submission and review of sixth national communications and first biennial reports. Note by the secretariat

Further information

<www.unfccc.int/7742> and <www.unfccc.int/7550>

(b) Outcome of the first round of the international assessment and review process (2014–2015)

13. *Background:* The IAR process was established to promote the comparability of efforts of all developed countries with regard to their quantified economy-wide emission limitation and reduction targets. Under this process, the secretariat prepares a record for each Party that includes in-depth review reports, the summary report of the SBI, questions submitted by Parties and responses provided, and any other observations submitted by the Party under review within two months of the relevant working group session of the SBI.¹³ The SBI will forward conclusions based on such records, as appropriate.¹⁴

14. During the two MA working group sessions held at SBI 41 and 42, 41 Annex I Parties were multilaterally assessed. The records for those Parties are available on the UNFCCC website;¹⁵ their MA summary reports are also included in the relevant SBI report. At SBI 43, two more Parties will be multilaterally assessed to conclude the first round of the IAR process.

15. SBI 42 initiated but did not complete the consideration of the outcome of the first round of IAR. In accordance with rules 10(c) and 16 of the draft rules of procedure being applied, this matter has been included in the provisional agenda for SBI 43.

16. *Action:* The SBI will be invited to continue its consideration of the outcome of the first round of IAR.

Further information

<www.unfccc.int/8451>

(c) Revision of the “Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part II: UNFCCC reporting guidelines on national communications”

17. *Background:* SBI 42 agreed that work on the revision of the “Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part II: UNFCCC reporting guidelines on national communications” would continue at SBI 43.^{16, 17} The secretariat will revise and update the technical paper¹⁸ on the revision of these guidelines.

18. *Action:* The SBI will be invited to recommend revised guidelines for consideration and adoption at COP 21.

¹² Decisions 9/CP.16 and 2/CP.17, paragraph 13.

¹³ Decision 2/CP.17, annex II, paragraph 11.

¹⁴ Decision 2/CP.17, annex II, paragraph 12.

¹⁵ <www.unfccc.int/8451>.

¹⁶ FCCC/SBI/2015/10, paragraph 16.

¹⁷ Decision 2/CP.17, paragraph 18.

¹⁸ FCCC/SBI/2015/10, paragraph 15.

<i>FCCC/TP/2015/3</i>	<i>Revision of the “Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part II: UNFCCC reporting guidelines on national communications”. Technical paper</i>
<i>Further information</i>	<i><www.unfccc.int/1095></i>

(d) Report on national greenhouse gas inventory data from Parties included in Annex I to the Convention for the period 1990–2013

19. *Background:* The secretariat prepares an annual report containing the latest information on greenhouse gas inventory data submitted by Annex I Parties, for consideration by the COP and its subsidiary bodies.¹⁹ This year’s report covers the period 1990–2013.

20. *Action:* The SBI will be invited to take note of the information contained in the report.

<i>FCCC/SBI/2015/21</i>	<i>National greenhouse gas inventory data for the period 1990–2013. Note by the secretariat</i>
<i>Further information</i>	<i><www.unfccc.int/8812></i>

(e) Annual compilation and accounting report for Annex B Parties under the Kyoto Protocol for 2015

21. *Background:* The secretariat publishes annual compilation and accounting reports²⁰ to be forwarded to the CMP, the Compliance Committee and each Party concerned.

22. *Action:* The SBI will be invited to consider the 2015 reports and to recommend that CMP 11 take note of the information contained in them.

<i>FCCC/KP/CMP/2015/6</i>	<i>Annual compilation and accounting report for Annex B Parties under the Kyoto Protocol for 2015. Note by the secretariat</i>
<i>FCCC/KP/CMP/2015/6/Add.1</i>	<i>Annual compilation and accounting report for Annex B Parties under the Kyoto Protocol for 2015. Note by the secretariat. Addendum. Compilation and accounting information by Party</i>

4. Reporting from Parties not included in Annex I to the Convention

(a) Information contained in national communications from Parties not included in Annex I to the Convention

23. *Background:* At SBI 24, some Parties proposed that, in accordance with Article 10, paragraph 2, of the Convention, the SBI consider the information communicated by non-Annex I Parties in all of their NCs.²¹

¹⁹ Decision 19/CP.8.

²⁰ Decision 13/CMP.1.

²¹ FCCC/SBI/2006/11, paragraph 32.

24. *Action:* The SBI will be invited to provide guidance on how to further develop the process for considering the information contained in NCs from non-Annex I Parties, taking into consideration the parts of decision 2/CP.17 on biennial update reports (BURs) and international consultation and analysis (ICA).

(b) Work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention

25. *Background:* COP 19 decided to continue the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention (CGE) for a period of five years, from 2014 to 2018.²² As requested by the COP, the CGE will submit a progress report on its work for consideration at SBI 43.²³

26. *Action:* The SBI will be invited to consider the progress reports contained in the documents prepared for the session and provide guidance, as appropriate, to the CGE.

<i>FCCC/SBI/2015/18</i>	<i>Progress report on the work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention. Note by the secretariat</i>
<i>FCCC/SBI/2015/17</i>	<i>Progress report on the work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention: report on the training workshop for the African region on the preparation of biennial update reports. Note by the secretariat</i>
<i>FCCC/SBI/2015/20</i>	<i>Progress report on the work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention: report on the regional training workshops on vulnerability and adaptation assessment. Note by the secretariat</i>
<i>FCCC/SBI/2015/INF.13</i>	<i>Progress report on the work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention: technical report on experiences of, and lessons learned by, Parties not included in Annex I to the Convention in using the guidelines for the preparation of national communications. Note by the secretariat</i>
<i>Further information</i>	<i><www.unfccc.int/2608></i>

(c) Provision of financial and technical support

27. *Background:* The Global Environment Facility (GEF), as an operating entity of the Financial Mechanism of the Convention, provides financial support for the preparation of NCs and BURs by non-Annex I Parties.

28. The GEF will provide information on its activities relating to the preparation of NCs and BURs,²⁴ including:

- (a) Dates of request for funding, approval of funding and disbursement of funds;²⁵

²² Decision 19/CP.19, paragraph 1.

²³ Decision 19/CP.19, paragraph 7.

²⁴ FCCC/SBI/2015/10, paragraph 22.

²⁵ FCCC/SBI/2015/10, paragraph 22.

(b) Approximate dates for completion of draft NCs and submission of finalized NCs to the secretariat.²⁶

29. The GEF will also provide, in its report to COP 21, information on the procedures available to facilitate access by non-Annex I Parties to funding for the preparation of their NCs and multiple BURs with one application.²⁷

30. *Action:* The SBI will be invited to consider the information contained in the documents prepared for the session and to make recommendations, as appropriate, to the GEF.

<i>FCCC/SBI/2015/INF.15</i>	<i>Information provided by the Global Environment Facility on its activities relating to the preparation of national communications and biennial update reports. Note by the secretariat</i>
<i>FCCC/CP/2015/4</i>	<i>Report of the Global Environment Facility to the Conference of the Parties. Note by the secretariat</i>
<i>Further information</i>	<i><www.unfccc.int/6921></i>

(d) Summary reports on the technical analysis of biennial update reports of Parties not included in Annex I to the Convention

31. *Background:* COP 17 adopted the modalities and guidelines for ICA.²⁸ The ICA process includes a technical analysis of BURs by a team of experts and will result in a summary report for each BUR submitted. The summary reports will be made available on the UNFCCC website²⁹ and presented to the SBI.³⁰

32. *Action:* The SBI will be invited to take note of the summary reports in its conclusions.

<i>Further information</i>	<i><www.unfccc.int/8621></i>
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5. Matters relating to the mechanisms under the Kyoto Protocol

(a) Review of the modalities and procedures for the clean development mechanism

33. *Background:* CMP 1 adopted the modalities and procedures for the clean development mechanism (CDM). SBI 39 initiated the consideration of possible changes to the CDM modalities and procedures,³¹ which continued at SBI 40, 41 and 42.³² Consultations at SBI 42 did not result in any conclusions. In accordance with rules 10(c) and 16 of the draft rules of procedure being applied, this matter is included on the provisional agenda for SBI 43.

34. *Action:* The SBI will be invited to conclude its work on this matter and to prepare a draft decision for consideration and adoption at CMP 11.

²⁶ FCCC/SBI/2014/21, paragraph 30.

²⁷ FCCC/SBI/2014/21, paragraph 35.

²⁸ Decision 2/CP.17, annex IV.

²⁹ <www.unfccc.int/8621>.

³⁰ Decision 2/CP.17, annex IV, paragraphs 3(a), 4 and 5, and decision 20/CP.19, annex, paragraph 11.

³¹ Decision 5/CMP.8.

³² FCCC/SBI/2014/8, paragraph 46, FCCC/SBI/2014/21, paragraph 52, and FCCC/SBI/2015/10, paragraph 31, respectively.

<i>Further information</i>	 <www.unfccc.int/1673>
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(b) Review of the joint implementation guidelines

35. *Background:* CMP 1 adopted the guidelines for the implementation of Article 6 of the Kyoto Protocol (joint implementation (JI)).³³ SBI 39 initiated the review of these guidelines,³⁴ and the review continued at SBI 40, 41 and 42.³⁵

36. SBI 42 agreed to continue the consideration of this matter at SBI 43 on the basis of the draft decision text proposed by the co-facilitators of the informal consultations on this agenda sub-item and contained in the annex to document FCCC/SBI/2015/L.5.

37. *Action:* The SBI will be invited to conclude its review of the JI guidelines and prepare a draft decision for consideration and adoption at CMP 11.

<i>Further information</i>	 <www.unfccc.int/1673>
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(c) Modalities for expediting the continued issuance, transfer and acquisition of joint implementation emission reduction units

38. *Background:* Under the current guidance,³⁶ an Annex I Party³⁷ with commitments inscribed in Annex B to the Kyoto Protocol may issue, transfer and acquire emission reduction units (ERUs) under JI only after its assigned amount has been calculated and recorded and its assigned amount units and removal units have been issued. SBI 39 initiated the consideration of the modalities for expediting the continued issuance, transfer and acquisition of ERUs under JI for the second commitment period.³⁸ Consideration of this matter continued at SBI 40, 41 and 42. SBI 42 agreed that the discussion of this matter would continue at SBI 43 on the basis of the draft decision contained in the annex to document FCCC/SBI/2015/L.2.³⁹

39. *Action:* The SBI will be invited to conclude its consideration of this matter and to prepare a draft decision for consideration and adoption at CMP 11.

<i>Further information</i>	 <www.unfccc.int/1673>
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(d) Report of the administrator of the international transaction log under the Kyoto Protocol

40. *Background:* CMP 1 requested the SBI to consider annual reports of the international transaction log (ITL) administrator with a view to the SBI requesting the CMP to provide guidance in relation to the operation of registry systems.⁴⁰

41. *Action:* The SBI will be invited to take note of the 2015 report of the ITL administrator.

³³ Decision 9/CMP.1.

³⁴ Decision 6/CMP.8

³⁵ FCCC/SBI/2014/8, paragraph 50, FCCC/SBI/2014/21, paragraph 54, and FCCC/SBI/2015/10, paragraph 36, respectively.

³⁶ Decision 9/CMP.1, annex.

³⁷ As defined in Article 1, paragraph 7, of the Kyoto Protocol.

³⁸ In accordance with decision 1/CMP.8, paragraph 16.

³⁹ FCCC/SBI/2014/8, paragraph 60, FCCC/SBI/2014/21, paragraph 57, and FCCC/SBI/2015/10, paragraph 38, respectively.

⁴⁰ Decision 12/CMP.1, paragraph 11.

<i>FCCC/SBI/2015/INF.12</i>	<i>Annual report of the administrator of the international transaction log under the Kyoto Protocol. Note by the secretariat</i>
<i>Further information</i>	<i><www.unfccc.int/4065></i>

6. Matters relating to the least developed countries

42. *Background:* The COP decided to review, at COP 21, the progress, need for continuation, and terms of reference of the Least Developed Countries Expert Group (LEG), and to adopt a decision thereon.⁴¹ It also decided on actions and steps necessary for SBI 42 to initiate that review.⁴² The review was concluded and SBI 42 recommended a draft decision⁴³ on the extension of the mandate of the LEG, for consideration and adoption at COP 21.

43. The 28th meeting of the LEG will take place from 13 to 16 October 2015 in Antananarivo, Madagascar. SBI 42 requested the LEG to prepare an information paper on the NAP Expo, held in April 2015, and the regional training workshops on national adaptation plans (NAPs), which aimed to capture experiences, good practices and lessons learned in addressing adaptation in the least developed countries.⁴⁴ SBI 42 also requested the LEG to provide in its information paper an account of how it has responded to its invitation for continued collaboration with the Green Climate Fund (GCF) on considering how to best support developing country Parties in accessing funding from the GCF for formulating and implementing NAPs.

44. *Action:* The SBI will be invited to consider the documents prepared for this session and to provide further guidance to the LEG, as appropriate.

<i>FCCC/SBI/2015/19</i>	<i>Report on the 28th meeting of the Least Developed Countries Expert Group. Note by the secretariat</i>
<i>FCCC/SBI/2015/INF.14</i>	<i>Information paper on the NAP Expo and the regional training workshops on national adaptation plans: experiences, good practices and lessons learned in addressing adaptation in the least developed countries. Note by the secretariat</i>
<i>Further information</i>	<i><www.unfccc.int/7504>, <www.unfccc.int/7568> and <www.unfccc.int/8425></i>

7. National adaptation plans

45. *Background:* As requested at COP 17,⁴⁵ SBI 42 considered the monitoring and evaluation of progress made in the process to formulate and implement NAPs, and decided that the consideration of this matter would continue at SBI 43 on the basis of the notes

⁴¹ Decision 6/CP.16, paragraph 8.

⁴² Decision 6/CP.16, paragraph 9.

⁴³ FCCC/SBI/2015/L.13/Add.1.

⁴⁴ FCCC/SBI/2015/10, paragraph 62.

⁴⁵ Decision 5/CP.17, paragraph 37.

contained in document FCCC/SBI/2015/10, annex II, and with a view to recommending a draft decision for consideration and adoption at COP 21.⁴⁶

46. *Action:* The SBI will be invited to conclude its consideration of this matter and to recommend a draft decision for consideration and adoption at COP 21.

<i>FCCC/SBI/2015/19</i>	<i>Report on the 28th meeting of the Least Developed Countries Expert Group. Note by the secretariat</i>
<i>FCCC/CP/2015/4</i>	<i>Report of the Global Environment Facility to the Conference of the Parties. Note by the secretariat</i>
<i>FCCC/CP/2015/3</i>	<i>Report of the Green Climate Fund to the Conference of the Parties. Note by the secretariat</i>
<i>FCCC/SB/2015/2</i>	<i>Report of the Adaptation Committee</i>
<i>FCCC/SBI/2015/INF.11</i>	<i>Progress in the process to formulate and implement national adaptation plans. Note by the secretariat</i>
<i>FCCC/SBI/2015/INF.14</i>	<i>Information paper on the NAP Expo and the regional training workshops on national adaptation plans: experiences, good practices and lessons learned in addressing adaptation in the least developed countries. Note by the secretariat</i>
<i>Further information</i>	<i><www.unfccc.int/7500> and <www.unfccc.int/7279></i>

8. Report of the Adaptation Committee

47. *Background:* COP 17 requested the Adaptation Committee (AC) to report annually to the COP through the subsidiary bodies.⁴⁷

48. Two regular meetings of the AC were planned for 2015: the 7th meeting was convened from 24 to 27 February, and the 8th meeting will be held from 9 to 12 September. As per its workplan,⁴⁸ the AC convened a workshop on the means of implementation for enhanced adaptation action (2–4 March 2015) and will convene an expert meeting on promoting livelihoods and economic diversification (7 and 8 September 2015).

49. Additionally, in response to an invitation of SBI 40, the AC, in collaboration with the LEG, organized a workshop⁴⁹ to share experiences, good practices, lessons learned, gaps and needs in the process to formulate and implement NAPs. The workshop was held on 16 and 17 April 2015. This workshop and all meetings organized by the AC during 2015 took place in Bonn, Germany.

50. As invited at SBI 42, the AC will provide information in its report on how it has responded to its invitation for continued collaboration with the GCF on considering how to best support developing country Parties in accessing funding from the GCF for formulating and implementing NAPs.⁵⁰

⁴⁶ FCCC/SBI/2015/10, paragraph 76.

⁴⁷ Decision 2/CP.17, paragraph 96.

⁴⁸ Available at <unfccc.int/7517>.

⁴⁹ FCCC/SBI/2014/8, paragraph 106.

⁵⁰ FCCC/SBI/2015/10, paragraphs 71 and 72.

51. *Action:* The SBI and the Subsidiary Body for Scientific and Technological Advice (SBSTA) will be invited to consider the report of the AC and recommend draft conclusions or a draft decision resulting from the implementation of its workplan for consideration and adoption at COP 21.

<i>FCCC/SB/2015/2</i>	<i>Report of the Adaptation Committee</i>
<i>Further information</i>	<i><www.unfccc.int/6053></i>

9. Report of the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts

52. *Background:* COP 20 approved the initial two-year workplan of the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts.⁵¹ It requested the Executive Committee to report annually to the COP through the subsidiary bodies and to make recommendations, as appropriate.⁵² The Executive Committee will convene its first meeting from 24 to 26 September in Bonn.

53. *Action:* The SBI and the SBSTA will be invited to consider the report of the Executive Committee and recommend draft conclusions or a draft decision resulting from the implementation of its workplan for consideration and adoption at COP 21.

<i>FCCC/SB/2015/3</i>	<i>Report of the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts</i>
<i>Further information</i>	<i><www.unfccc.int/7545> and <www.unfccc.int/6056></i>

10. Development and transfer of technologies and implementation of the Technology Mechanism

(a) Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network

54. *Background:* COP 20 decided that the Technology Executive Committee (TEC) and the Climate Technology Centre and Network (CTCN) shall continue to prepare a joint annual report to the COP, through the subsidiary bodies, on their respective activities and the performance of their respective functions.⁵³

55. COP 20 requested the TEC to provide guidance on how the results of the technology needs assessments, in particular the technology action plans, can be developed into projects that can be ultimately implemented, and to provide an interim report on its preliminary findings to SBSTA 43 and SBI 43.⁵⁴

56. *Action:* The SBSTA and the SBI will be invited to consider the documents prepared for the session and recommend a draft decision for consideration and adoption at COP 21.

⁵¹ Decision 2/CP.20, paragraph 1.

⁵² Decision 2/CP.20, paragraph 4.

⁵³ Decision 17/CP.20, paragraph 4.

⁵⁴ Decision 17/CP.20, paragraph 13.

<i>FCCC/SB/2015/1</i>	<i>Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network for 2015</i>
<i>FCCC/SB/2015/INF.3</i>	<i>Guidance on enhanced implementation of the results of technology needs assessments: interim report by the Technology Executive Committee</i>
<i>Further information</i>	<i><www.unfccc.int/ttclear></i>

(b) Poznan strategic programme on technology transfer

57. *Background:* SBI 34 invited the GEF to provide reports on the progress made in carrying out its activities under the Poznan strategic programme on technology transfer for consideration at SBI sessions for the duration of the programme.⁵⁵

58. SBI 42 welcomed the collaboration between the CTCN and the regional technology transfer and finance centres supported by the GEF under the Poznan strategic programme. SBI 42 invited the GEF to provide more detailed information on its ongoing collaboration with the CTCN in its future progress reports.⁵⁶

59. SBI 42 also welcomed the interim report of the TEC on the evaluation of the Poznan strategic programme undertaken with the aim of enhancing the effectiveness of the Technology Mechanism. It looked forward to the final report of the TEC on the findings of the evaluation, which will be provided to the COP through SBI 43.⁵⁷

60. *Action:* The SBI will be invited to consider the report of the GEF and the final report of the TEC on the evaluation of the Poznan strategic programme with a view to determining further actions arising from the reports, as appropriate.

<i>FCCC/SBI/2015/16</i>	<i>Evaluation of the Poznan strategic programme on technology transfer: final report by the Technology Executive Committee</i>
<i>FCCC/SB/2015/1</i>	<i>Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network for 2015</i>
<i>FCCC/CP/2015/4</i>	<i>Report of the Global Environment Facility to the Conference of the Parties. Note by the secretariat</i>
<i>Further information</i>	<i><http://www.thegef.org/gef/TT_poznan_strategic_program> and <www.unfccc.int/ttclear></i>

11. Capacity-building

(a) Capacity-building under the Convention

61. *Background:* SBI 42 agreed that the consideration of capacity-building for developing countries under the Convention would continue at SBI 43 on the basis of the draft decision text contained in annex II to document FCCC/SBI/2015/L.15.⁵⁸

62. SBI 42 initiated the third comprehensive review of the implementation of the framework for capacity-building in developing countries⁵⁹ and agreed that the consideration

⁵⁵ FCCC/SBI/2011/7, paragraph 137.

⁵⁶ FCCC/SBI/2015/10, paragraph 79.

⁵⁷ FCCC/SBI/2015/10, paragraph 82.

⁵⁸ FCCC/SBI/2015/10, paragraph 87.

of the terms of reference for the review would continue at SBI 43 on the basis of the draft decision text contained in annex I to document FCCC/SBI/2015/L.15.⁶⁰

63. The 4th meeting of the Durban Forum on capacity-building was held during SBI 42. The secretariat will prepare a summary report on the meeting.⁶¹

64. SBI 42 requested the secretariat to organize a workshop back to back with a session of the Ad Hoc Working Group on the Durban Platform for Enhanced Action (ADP) to further discuss potential ways to enhance capacity-building activities. It also requested the secretariat to prepare a report on the workshop.⁶²

65. *Action:* The SBI will be invited to continue its consideration of capacity-building for developing countries under the Convention and to recommend a draft decision for consideration and adoption at COP 21.

66. The SBI will also be invited to conclude its consideration of the terms of reference for the third comprehensive review of the implementation of the framework for capacity-building in developing countries and recommend draft conclusions or a draft decision for consideration and adoption at COP 21.

<i>FCCC/SBI/2015/14</i>	<i>Summary report on the 4th meeting of the Durban Forum on capacity-building. Note by the secretariat</i>
<i>FCCC/SBI/2015/INF.16</i>	<i>Report on the workshop on potential ways to enhance capacity-building activities. Note by the secretariat</i>
<i>Further information</i>	<i><www.unfccc.int/1033> and <www.unfccc.int/7060></i>

(b) Capacity-building under the Kyoto Protocol

67. *Background:* SBI 42 agreed that the consideration of capacity-building for developing countries under the Kyoto Protocol would continue at SBI 43.⁶³

68. SBI 42 initiated the third comprehensive review of the implementation of the framework for capacity-building in developing countries and agreed that the consideration of the terms of reference for the review would continue at SBI 43 on the basis of the draft text contained in the annex to document FCCC/SBI/2015/L.16.⁶⁴

69. SBI 42 requested the secretariat to organize a workshop back to back with a session of the ADP to further discuss potential ways to enhance capacity-building activities as expressed by Parties at SBI 42. It also requested the secretariat to prepare a report on the workshop for consideration at SBI 43.⁶⁵

70. *Action:* The SBI will be invited to continue its consideration of capacity-building for developing countries under the Kyoto Protocol and to recommend a draft decision for consideration and adoption at CMP 11.

71. The SBI will also be invited to conclude its consideration of terms of reference for the third comprehensive review of the implementation of the framework for capacity-building in developing countries and recommend draft conclusions or a draft decision for consideration and adoption at CMP 11.

⁵⁹ Decision 13/CP.17, paragraph 7.
⁶⁰ FCCC/SBI/2015/10, paragraph 86.
⁶¹ Decision 2/CP.17, paragraph 147.
⁶² FCCC/SBI/2015/10, paragraph 88.
⁶³ FCCC/SBI/2015/10, paragraph 93.
⁶⁴ FCCC/SBI/2015/10, paragraph 92.
⁶⁵ FCCC/SBI/2015/10, paragraphs 94 and 95.

<i>FCCC/SBI/2015/14</i>	<i>Summary report on the 4th meeting of the Durban Forum on capacity-building. Note by the secretariat</i>
<i>FCCC/SBI/2015/INF.16</i>	<i>Report on the workshop on potential ways to enhance capacity-building activities. Note by the secretariat</i>
<i>Further information</i>	<i><www.unfccc.int/1033> and <www.unfccc.int/7060></i>

12. Impact of the implementation of response measures

(a) Forum and work programme

72. *Background:* The review of the work of the forum and work programme on the impact of the implementation of response measures was concluded at SBI 40 and SBSTA 40.⁶⁶ Since SBI 41 and SBSTA 41, Parties have been discussing how to take the work on this matter forward. SBI 42 and SBSTA 42 decided that the draft decision text contained in the annex to document FCCC/SB/2015/L.2 would be considered at SBI 43 and SBSTA 43.⁶⁷

73. As invited at SBI 42 and SBSTA 42, Parties may submit⁶⁸ their views on the further elaboration of the work programme on the impact of the implementation of response measures and the modalities for its implementation as detailed in the annex to document FCCC/SB/2015/L.2.⁶⁹

74. *Action:* The SBI and the SBSTA will be invited to recommend a draft decision on this matter for consideration and adoption at COP 21.

<i>Further information</i>	<i><www.unfccc.int/4908></i>
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(b) Matters relating to Article 3, paragraph 14, of the Kyoto Protocol

75. *Background:* SBI 42 agreed to consider this matter jointly with the SBI and SBSTA agenda sub-item “Forum and work programme” in a joint SBI/SBSTA forum. SBI 42 also agreed to continue consultations at SBI 43 on how to take up this matter.⁷⁰

76. *Action:* The SBI will be invited to agree on how to take up this matter.

(c) Progress on the implementation of decision 1/CP.10

77. *Background:* SBI 42 agreed to consider this matter jointly with the SBI and SBSTA agenda sub-item “Forum and work programme” in a joint SBI/SBSTA forum. SBI 42 also agreed that consultations would continue at SBI 43 on how to take up this matter.⁷¹

78. *Action:* The SBI will be invited to agree on how to take up this matter.

⁶⁶ FCCC/SBI/2014/8, paragraph 178, and FCCC/SBSTA/2014/2, paragraph 99.

⁶⁷ FCCC/SBI/2015/10, paragraph 109.

⁶⁸ Once uploaded by Parties, the submissions are available on the portal at <www.unfccc.int/5900>.

⁶⁹ FCCC/SBI/2015/10, paragraph 108.

⁷⁰ FCCC/SBI/2015/10, paragraph 110.

⁷¹ FCCC/SBI/2015/10, paragraph 111.

13. The 2013–2015 review

79. *Background:* The COP decided to periodically review the adequacy of the long-term global goal and overall progress made towards achieving it,⁷² with the assistance of the SBSTA and the SBI,⁷³ supported by the structured expert dialogue (SED).⁷⁴

80. SBSTA 42 and SBI 42 began their consideration of the report of the SED,⁷⁵ which includes a compilation and a technical summary of the summary reports on the meetings of the SED and the submissions from Parties on the 2013–2015 review.⁷⁶ SBSTA 42 and SBI 42 agreed that the consideration of this matter would continue at SBSTA 43 and SBI 43.⁷⁷

81. *Action:* The SBSTA and the SBI will be invited to consider this matter with a view to concluding their considerations and reporting their findings to COP 21.

<i>Further information</i>	 <www.unfccc.int/6998>
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14. Gender and climate change

82. *Background:* To enable the tracking of progress made towards the goal of gender balance adopted by decision 23/CP.18, the secretariat annually reports information on the gender composition of bodies established under the Convention and its Kyoto Protocol and of delegations to UNFCCC sessions.⁷⁸

83. As requested at COP 20, the secretariat will include in this year’s annual report on gender composition information regarding the implementation by the secretariat of those decisions that include a gender approach, in keeping with applicable gender-related policies under the Convention.⁷⁹

84. As part of the Lima work programme on gender, during SBI 42 the secretariat organized an in-session workshop on gender-responsive climate policy with a focus on mitigation and technology development and transfer, and will prepare a report on this workshop for consideration at SBI 43.⁸⁰

85. *Action:* The SBI will be invited to consider the reports prepared for the session, and to provide further guidance, as appropriate.

<i>FCCC/CP/2015/6</i>	<i>Report on gender composition. Note by the secretariat</i>
<i>FCCC/SBI/2015/12</i>	<i>Report on the in-session workshop on gender-responsive climate policy with a focus on mitigation and technology development and transfer. Note by the secretariat</i>
<i>Further information</i>	 <www.unfccc.int/7516>

⁷² Decisions 1/CP.16, paragraph 138, and 1/CP.18, paragraph 79.

⁷³ Decision 2/CP.17, paragraph 162.

⁷⁴ Decision 1/CP.18, paragraphs 85 and 86.

⁷⁵ FCCC/SB/2015/INF.1.

⁷⁶ See documents FCCC/SBSTA/2015/2, paragraph 37, and FCCC/SBI/2015/10, paragraph 113.

⁷⁷ See documents FCCC/SBSTA/2015/2, paragraph 38, and FCCC/SBI/2015/10, paragraph 114.

⁷⁸ Decision 23/CP.18, paragraph 8.

⁷⁹ Decision 18/CP.20, paragraph 4.

⁸⁰ In accordance with decision 18/CP.20, paragraph 11.

15. Administrative, financial and institutional matters

(a) Budget performance for the biennium 2014–2015

86. *Background:* A report on budget performance and programme delivery for the first 18 months of the biennium and a report on the status of indicative contributions from Parties as at 15 November 2015 to the Trust Fund for the Core Budget of the UNFCCC and the Trust Fund for the International Transaction Log, and of voluntary contributions made to all of the trust funds of the UNFCCC, have been prepared for the session.

87. *Action:* The SBI will be invited to take note of the information presented in the documents prepared for the session and any additional relevant oral information provided by the Executive Secretary, and to decide on actions that may need to be included in draft decisions on administrative and financial matters to be recommended for consideration and adoption at COP 21 and CMP 11.

<i>FCCC/SBI/2015/13</i>	<i>Budget performance for the biennium 2014–2015 as at 30 June 2015. Note by the Executive Secretary</i>
<i>FCCC/SBI/2015/INF.17</i>	<i>Status of contributions as at 15 November 2015. Note by the secretariat</i>
<i>Further information</i>	<i><www.unfccc.int/1065></i>

(b) Audit report and financial statements for 2014

88. *Background:* The financial statements for the year 2014 have been audited by the United Nations Board of Auditors.

89. *Action:* The SBI will be invited to take note of the information presented in the document prepared for the session.

<i>FCCC/SBI/2015/INF.10</i>	<i>Financial report and audited financial statements for the year 2014 and report of the Board of Auditors</i>
<i>Further information</i>	<i><www.unfccc.int/1065></i>

16. Report on other activities: summary report on the 3rd Dialogue on Article 6 of the Convention

90. *Background:* COP 18 adopted the Doha work programme on Article 6 of the Convention and established an annual dialogue to enhance work in this area.⁸¹ The 3rd Dialogue on Article 6 of the Convention was held during SBI 42 with a focus on education and training, and international cooperation in these areas.

91. *Action:* The SBI will be invited to take note of the summary report on the 3rd Dialogue on Article 6 of the Convention, to be prepared by the secretariat.

<i>FCCC/SBI/2015/15</i>	<i>Summary report on the 3rd Dialogue on Article 6 of the Convention. Note by the secretariat</i>
<i>Further information</i>	<i><www.unfccc.int/8946> and <www.unfccc.int/8938></i>

⁸¹ Decision 15/CP.18.

17. Other matters

92. Any other matters referred to the SBI at COP 21 or CMP 11, as well as any other matters arising during the session, will be taken up under this agenda item.

18. Closure of and report on the session

93. A draft report on the work of the session will be prepared for consideration and adoption by the SBI at the end of the session, after which the Chair will declare the session closed.
