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Item 2(a) of the provisional agenda Organizational matters Adoption of the agenda

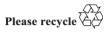
Provisional agenda and annotations

Note by the Executive Secretary

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 - (b) General guidelines for domestic measurement, reporting and verification of domestically supported nationally appropriate mitigation actions by developing country Parties;
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 - (b) Land use, land-use change and forestry under Article 3, paragraphs 3 and 4, of the Kyoto Protocol and under the clean development mechanism;
 - (c) Implications of the establishment of new hydrochlorofluorocarbon-22 (HCFC-22) facilities seeking to obtain certified emission reductions for the destruction of hydrofluorocarbon-23 (HFC-23);
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II. Annotations to the provisional agenda

1. Opening of the session

1. The thirty-ninth session of the Subsidiary Body for Scientific and Technological Advice (SBSTA) will be opened by the Chair on Monday, 11 November 2013, at 3 p.m.

2. Organizational matters

(a) Adoption of the agenda

2. The provisional agenda, prepared by the Executive Secretary in agreement with the Chair, will be presented for adoption.

(b) Organization of the work of the session

3. *Background*: SBSTA 39 will be held from 11 to 16 November 2013. Delegates are invited to refer to the overview schedule¹ and the in-session Daily Programme and to regularly consult the closed-circuit television screens for an up-to-date schedule of the work of the SBSTA.

4. The session will be organized taking into account the recommendations of the Subsidiary Body for Implementation (SBI) at its previous sessions.² To enable delegations to participate fully in other meetings, the SBSTA will be invited to conduct its deliberations as efficiently as possible, including optimizing the time used for plenary and for informal negotiations. The SBSTA will also be invited to start and finish its work within the time scheduled. Items that are not concluded at this session will be forwarded for consideration at SBSTA 40 or SBSTA 41.

5. In keeping with decision 23/CP.18, the Chair will be guided by the goal of gender balance when nominating facilitators and chairs.

6. Delegations are invited to provide a copy of their official plenary statements to the conference officer and are reminded that interventions should be limited to three minutes. A timing system will be available to assist delegations in this regard.

7. All documents prepared prior to and during the session will be made accessible on the UNFCCC website as soon as they are available. Delegates are urged to avoid the unnecessary printing of documents.

8. The following workshops and events are mandated to take place in conjunction with the session:³

(a) The seventh focal point forum for the Nairobi work programme on impacts, vulnerability and adaptation to climate change (NWP);⁴

³ Given the large number of in-session events under both subsidiary bodies, and the limited time

¹ <www.unfccc.int>.

² FCCC/SBI/2011/7, paragraph 167, and FCCC/SBI/2010/10, paragraphs 164 and 165.

available for negotiations, the Chairs may make proposals with regard to the scheduling of the events.

⁴ FCCC/SBSTA/2008/6, paragraph 29.

(b) A workshop on the technical and scientific aspects of ecosystems with high-carbon reservoirs; 5

(c) A workshop on issues relating to agriculture;⁶

(d) A technical briefing on the work programme on clarification of the quantified economy-wide emission reduction targets of developed country Parties;⁷

(e) A meeting of the forum on the impact of the implementation of response measures, including a workshop on area $(b)^8$ of the related work programme;⁹

(f) A meeting of the structured expert dialogue on the 2013-2015 review.¹⁰

9. *Action*: The SBSTA will be invited to agree on the organization of the work of the session.

FCCC/SBSTA/2013/4 Provisional agenda and annotations. Note by the Executive Secretary

3. Nairobi work programme on impacts, vulnerability and adaptation to climate change

10. *Background*: COP 17 requested SBSTA 38 to reconsider the work areas of the NWP with a view to making recommendations to COP 19 on how best to support the objectives of the NWP. This process would further inform the organization of potential future areas of work that could also support the scientific and technical work under the Cancun Adaptation Framework.¹¹ COP 17 also requested SBSTA 39 to consider relevant information and advice on the scientific, technical and socioeconomic aspects of impacts, vulnerability and adaptation to climate change arising from the implementation of the NWP for consideration by other relevant bodies under the Convention.¹²

11. SBSTA 38 initiated its reconsideration of the work areas of the NWP in line with the mandate of decision 6/CP.17 and agreed to continue its consideration of this matter at SBSTA 39 based on, inter alia, the draft text contained in document FCCC/SBSTA/2013/3/Add.2.

12. SBSTA 38 requested the secretariat to prepare a technical paper, before SBSTA 39, on best practices and available tools for the use of indigenous and traditional knowledge and practices for adaptation, and the application of gender-sensitive approaches and tools for understanding and assessing impacts, vulnerability and adaptation to climate change.¹³

13. The SBSTA expressed its readiness to support the Adaptation Committee in the implementation of relevant activities of its workplan through the NWP. The SBSTA invited the Adaptation Committee to provide recommendations in its next report on how the work

- ¹¹ Decision 6/CP.17, paragraph 1.
- ¹² Decision 6/CP.17, paragraph 6.

⁵ FCCC/SBSTA/2012/5, paragraph 50.

⁶ FCCC/SBSTA/2013/3, paragraph 83.

⁷ Decision 1/CP.18, paragraph 8.

⁸ Cooperation on response strategies.

⁹ FCCC/SBSTA/2012/2, annex III.

¹⁰ See paragraph 104 below.

¹³ FCCC/SBSTA/2013/3, paragraph 17.

programme could provide such support.¹⁴ A background note on how the NWP could support the work of the Adaptation Committee has been prepared.¹⁵

14. SBSTA 38 invited Parties and relevant organizations to submit their views on ways to enhance the relevance of, and to support the objective of, the work programme.¹⁶

15. *Action*: The SBSTA will be invited to consider the information contained in the documents prepared for the session and in the submissions made available on the UNFCCC website,¹⁷ with a view to making recommendations to COP 19 on how best to support the objective of the NWP. The SBSTA will also consider relevant information and advice on the scientific, technical and socioeconomic aspects of impacts, vulnerability and adaptation to climate change arising from the implementation of the NWP for consideration by other relevant bodies under the Convention as mandated by COP 17.

FCCC/SBSTA/2013/INF.6	Progress made in implementing activities under the Nairobi work programme on impacts, vulnerability and adaptation to climate change. Note by the secretariat
FCCC/TP/2013/11	Best practices and available tools for the use of indigenous and traditional knowledge and practices for adaptation, and the application of gender-sensitive approaches and tools for understanding and assessing impacts, vulnerability and adaptation to climate change. Technical paper

4. Report of the Adaptation Committee

16. *Background*: COP 17 requested the Adaptation Committee to report annually to the COP, through the subsidiary bodies.¹⁸ COP 18 approved the draft three-year workplan¹⁹ of the Adaptation Committee and the COP expects to receive information, at COP 19, on progress made in the implementation of the workplan.²⁰

17. COP 18 requested the Adaptation Committee to further elaborate the work referred to in activities 7, 8, 11, 17 and 19 of the above-mentioned workplan.

18. *Action*: The SBSTA and the SBI will be invited to consider the report of the Adaptation Committee and to recommend draft conclusions or a decision for consideration and adoption at COP 19.

FCCC/SB/2013/2

Report of the Adaptation Committee

¹⁴ FCCC/SBSTA/2013/3, paragraph 18.

¹⁵ <http://unfccc.int/7720.php>.

¹⁶ FCCC/SBSTA/2013/3, paragraph 19.

¹⁷ <http://unfccc.int/5901.php> and <http://unfccc.int/7478.php>.

¹⁸ Decision 2/CP.17, paragraph 96.

¹⁹ Contained in annex II to document FCCC/SB/2012/3.

²⁰ Decision 11/CP.18, paragraph 1.

5. Methodological guidance for activities relating to reducing emissions from deforestation and forest degradation and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries

19. *Background*: SBSTA 38 completed its work on methodological guidance for the following issues and agreed to recommend draft decisions on these matters for consideration and adoption at COP 19:

(a) Modalities for national monitoring systems;

(b) The timing and the frequency of presentations of the summary of information on how all the safeguards referred to in decision 1/CP.16, appendix I, are being addressed and respected;

(c) Addressing the drivers of deforestation and forest degradation.²¹

20. SBSTA 38 also initiated consideration of methodological issues related to non-market-based approaches and non-carbon benefits.

21. SBSTA 38 agreed to continue its work on methodological guidance for measuring, reporting and verifying, as referred to in decision 1/CP.16, appendix II, paragraph (c), on the basis of the elements contained in document FCCC/SBSTA/2013/3/Add.2, with the aim to complete that work and prepare any recommendations for a draft decision on the matter for consideration and adoption at COP 19.

22. SBSTA 38 also agreed to continue its work on guidance for the technical assessment of the proposed forest reference emission levels and/or forest reference levels, as requested in decision 12/CP.17, paragraph 15, on the basis of the elements contained in document FCCC/SBSTA/2013/3/Add.2, with the aim of completing that work and preparing any recommendations for a draft decision on the matter for consideration and adoption at COP 19.

23. The SBSTA will continue its consideration of the following issues at its future sessions:

(a) The development of methodological guidance on non-market-based approaches, at SBSTA 40;²²

(b) Methodological issues related to non-carbon benefits, at SBSTA 40;²³

(c) The need for further guidance on the issues relating to providing information on how all of the safeguards are addressed and respected as referred to in decision 12/CP.17, paragraph 6, taking into consideration the submissions from Parties and admitted observer organizations,²⁴ at SBSTA 41.²⁵

24. Action: The SBSTA will be invited to:

(a) Complete its work on methodological guidance for measuring, reporting and verifying, as referred to in paragraph 21 above, and to prepare a draft decision on this matter for consideration and adoption at COP 19;

²¹ The three draft decisions are contained in document FCCC/SBSTA/2013/3/Add.1.

²² FCCC/SBSTA/2013/3, paragraphs 41 and 42.

²³ FCCC/SBSTA/2013/3, paragraph 49.

²⁴ FCCC/SBSTA/2013/3, paragraphs 29 and 30.

²⁵ FCCC/SBSTA/2013/3, paragraph 33.

(b) Complete its work on guidance for the technical assessment of the proposed forest reference emission levels and/or forest reference levels as referred to in paragraph 22 above and to prepare a draft decision on this matter for consideration and adoption at COP 19.

6. Coordination of support for the implementation of activities in relation to mitigation actions in the forest sector by developing countries, including institutional arrangements

25. *Background*: COP 18 recognized the need to improve the coordination of support for the implementation of the activities referred to in decision 1/CP.16, paragraph 70,²⁶ and to provide adequate and predictable support, including financial resources and technical and technological support, to developing country Parties for the implementation of those activities.²⁷

26. COP 18 requested the SBSTA and the SBI to jointly initiate a process to address these matters and to consider existing institutional arrangements or potential governance alternatives, including a body, a board or a committee, and to make recommendations on these matters at COP 19.²⁸

27. COP 18 invited Parties and admitted observer organizations to submit their views on these matters, including potential functions and modalities and procedures.²⁹ It also requested the secretariat to organize an in-session workshop during SBSTA 38 and SBI 38, taking into account the submissions and to prepare a workshop report for consideration at SBSTA 39 and SBI 39.³⁰ The workshop was held on 7 June 2013.³¹

28. *Action*: The SBSTA and the SBI will be invited to initiate consideration of this agenda item and to make recommendations on the matters outlined in paragraph 25 above at COP 19.

FCCC/SB/2013/INF.6	Report on the workshop on coordination of support for the implementation of activities in relation to mitigation actions in the forest sector by developing countries, including institutional arrangements. Note by the secretariat
FCCC/SB/2013/MISC.3 and Add.1	Views on the matters referred to in decision 1/CP.18, paragraphs 34 and 35. Submissions from Parties

7. Development and transfer of technologies and implementation of the Technology Mechanism

(a) Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network

²⁶ Reducing emissions from deforestation; reducing emissions from forest degradation; conservation of forest carbon stocks; sustainable management of forests and enhancement of forest carbon stocks.

²⁷ Decision 1/CP.18, paragraph 34.

²⁸ Decision 1/CP.18, paragraph 35.

²⁹ Decision 1/CP.18, paragraph 36.

³⁰ Decision 1/CP.18, paragraph 38.

³¹ See <http://unfccc.int/7672.php>.

29. *Background*: COP 16 decided that the Technology Executive Committee (TEC) and the Climate Technology Centre and Network (CTCN) shall report to the COP, through the subsidiary bodies, on their respective activities and the performance of their respective functions.³²

30. COP 18 requested the Climate Technology Centre to consult with the TEC on establishing procedures for preparing a joint annual report to be made available to the COP through the subsidiary bodies at their thirty-ninth sessions.³³

31. COP 18 encouraged the TEC to continue its consultations with relevant stakeholders on its proposed modalities on linkages with other relevant institutional arrangements under and outside of the Convention and to report on the outcomes of these consultations in its report on activities and performance for 2013.³⁴

32. The COP agreed to initiate, at COP 19, the elaboration and consideration of the relationship between the TEC and the CTCN, in order to ensure coherence and synergy within the Technology Mechanism, taking into account the recommendations of the TEC on its linkage modalities, and the modalities and procedures of the CTCN.³⁵

33. *Action*: The SBSTA and the SBI will be invited to consider the document prepared for the session and to recommend a draft decision for consideration and adoption at COP 19.

FCCC/SB/2013/1	Joint annual report of the Technology Executive Committee and the Climate Technology Centre and
	Network for 2013

(b) <u>Report on modalities and procedures of the Climate Technology Centre and Network and its Advisory Board</u>

34. *Background*: COP 17 requested the CTCN to elaborate its modalities and procedures based on its terms of reference³⁶ and to report to the COP, through the subsidiary bodies, with a view to making a decision at COP $19.^{37}$

35. SBSTA 38 requested the Advisory Board of the CTCN, in elaborating these modalities and procedures, to take into account decisions 1/CP.16, paragraphs 120 and 123, 2/CP.17, paragraph 135, and 2/CP.17, annex VII, and the coherence and synergy within the Technology Mechanism.³⁸

36. *Action*: The SBSTA and the SBI will be invited to consider the document prepared for the session and to recommend a draft decision for consideration and adoption at COP 19.

FCCC/SB/2013/INF.7	Report on modalities and procedures of the Climate Technology Centre and Network and its Advisory Board
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³² Decision 1/CP.16, paragraph 126.

³³ Decision 14/CP.18, paragraph 11.

³⁴ Decision 13/CP.18, paragraphs 6 and 7.

³⁵ Decision 1/CP.18, paragraph 59.

³⁶ Contained in document FCCC/CP/2011/9/Add.1, annex VII, and decision 1/CP.16, paragraph 123.

³⁷ Decision 2/CP.17, paragraph 135.

³⁸ FCCC/SBSTA/2013/3, paragraph 59.

(c) <u>Third synthesis report on technology needs identified by Parties not included in Annex I to</u> <u>the Convention</u>

37. *Background:* SBSTA 35 requested the secretariat to prepare an updated technology needs assessment (TNA) synthesis report for SBSTA 37, including TNAs conducted by Parties not included in Annex I to the Convention under the Poznan strategic programme on technology transfer.³⁹ In the light of the low number of new TNA reports available, the preparation of the third synthesis report was postponed until a representative number of TNAs became available.⁴⁰ As at 30 July 2013, a total number of 31 TNA reports were available and therefore synthesized for presentation at SBSTA 39.

38. *Action:* The SBSTA will be invited to consider the information contained in the synthesis report and to determine any further action arising from it.

FCCC/SBSTA/2013/INF.7	Third synthesis report on technology needs identified by Parties not included in Annex I to the Convention.
	Note by the secretariat

8. Research and systematic observation

39. *Background*: SBSTA 37 recalled the conclusions of SBI 24 and concluded that it would continue to focus its consideration on research during the first sessional period of a year and on systematic observation during the second sessional period of a year.⁴¹

40. SBSTA 33 invited the secretariat of the Global Climate Observing System (GCOS) to report on progress made in the implementation of the 2010 updated GCOS implementation plan on a regular basis, at subsequent sessions of the SBSTA.⁴² SBSTA 37 invited the World Meteorological Organization (WMO) to provide, at SBSTA 39, information on the outcome of the first session of the Intergovernmental Board on Climate Services, held in July 2013.⁴³ Information received by GCOS and WMO in response to those invitations will be made available on the UNFCCC website.⁴⁴

41. SBSTA 38 recalled the conclusions from SBSTA 37 regarding the organization of a workshop, to be held by SBSTA 39, to consider information on the technical and scientific aspects of ecosystems with high-carbon reservoirs not covered by other agenda items under the Convention, such as coastal marine ecosystems, in the context of wider mitigation and adaptation efforts.⁴⁵ The SBSTA took note of the views of Parties on the content of the workshop⁴⁶ and requested the secretariat, in organizing the workshop,⁴⁷ under the guidance of the Chair of the SBSTA, to take those views into account.⁴⁸

42. *Action*: The SBSTA will be invited to take note of the information received and to consider matters related to systematic observation and determine further action.

³⁹ FCCC/SBSTA/2011/5, paragraph 32.

⁴⁰ FCCC/SBSTA/2012/3, footnote 17.

⁴¹ FCCC/SBSTA/2012/5, paragraph 46.

⁴² FCCC/SBSTA/2010/13, paragraph 45.

⁴³ FCCC/SBSTA/2012/5, paragraph 45.

^{44 &}lt;http://unfccc.int/7482.php>.

⁴⁵ FCCC/SBSTA/2012/5, paragraph 50.

⁴⁶ FCCC/SBSTA/2013/MISC.6 and Add.1 and 2.

⁴⁷ Information on the date and venue of the workshop will be made available on the UNFCCC calendar at <<u>http://unfccc.int/2655.php</u>>.

⁴⁸ FCCC/SBSTA/2013/3, paragraph 70.

9. Impact of the implementation of response measures

(a) Forum and work programme

43. *Background*: COP 17 adopted a work programme⁴⁹ on the impact of the implementation of response measures under the subsidiary bodies. It established a forum to implement the work programme and to provide a platform allowing Parties to share information, experiences, case studies, best practices and views.⁵⁰

44. SBSTA 37 and SBI 37 agreed to consider all presentations, statements made by Parties, organizations and experts, and reports on meetings of the forum at SBSTA 39 and SBI 39 during the review of the work of the forum, with a view to providing recommendations to COP 19.⁵¹

45. At SBSTA 38 and SBI 38 workshops on areas (c),⁵² (d)⁵³ and (g)⁵⁴ and an expert meeting on area (e)⁵⁵ of the work programme took place. Further information on the forum is available on the UNFCCC website.⁵⁶

46. At SBSTA 39 and SBI 39 a workshop will be held on area $(b)^{57}$ of the work programme, based on views from Parties and relevant organizations.⁵⁸

47. *Action*: The SBSTA and the SBI will be invited to consider all presentations, statements, reports on the workshops and the expert meetings, and the summary of discussions that took place during 2012 and 2013, with a view to providing recommendations to COP 19 on the review of the work of the forum, including the need for its continuation.

FCCC/SB/2013/INF.8	Report on the in-forum workshop on area (c). Note by the Chairs of the subsidiary bodies
FCCC/SB/2013/INF.9	<i>Report on the in-forum workshop on area (d). Note by the Chairs of the subsidiary bodies</i>
FCCC/SB/2013/INF.10	<i>Report on the in-forum workshop on area (g). Note by the Chairs of the subsidiary bodies</i>
FCCC/SB/2013/INF.11	Report on the in-forum expert meeting on area (e). Note by the Chairs of the subsidiary bodies
FCCC/SB/2013/INF.2	Report on the in-forum workshop on area (a). Note by the Chairs of the subsidiary bodies
FCCC/SB/2013/INF.3	Report on the in-forum workshop on area (h). Note by the Chairs of the subsidiary bodies
FCCC/SB/2013/INF.4	Summary of the discussion by Parties on area (f). Note by

⁴⁹ As contained in FCCC/SBSTA 2012/2, annex III.

- ⁵⁵ Economic modelling and socioeconomic trends.
- ⁵⁶ See <http://unfccc.int/7418.php>.

⁵⁰ Decision 8/CP.17, paragraph 3.

⁵¹ FCCC/SBSTA/2012/5, paragraph 60.

⁵² Assessment and analysis of impacts.

⁵³ Exchanging experience and discussion of opportunities for economic diversification and transformation.

⁵⁴ Just transition of the workforce and the creation of decent work and quality jobs.

⁵⁷ Cooperation on response strategies.

⁵⁸ FCCC/SBSTA/2012/5, paragraph 62.

	the Chairs of the subsidiary bodies
FCCC/SB/2013/MISC.2	Views on the work programme on the impact of the implementation of response measures. Submissions from Parties and relevant organizations
FCCC/SB/2013/MISC.4	Views on the work programme on the impact of the implementation of response measures. Submissions from Parties and relevant organizations

(b) <u>Matters relating to Article 2, paragraph 3, of the Kyoto Protocol</u>

48. *Background*: SBSTA 38 agreed to consider this matter jointly with the SBSTA and SBI agenda item "Forum and work programme on the impact of the implementation of response measures" in a joint SBSTA/SBI forum.⁵⁹ The SBSTA agreed to continue, at SBSTA 39, consultations on how to take up this matter.

49. Action: The SBSTA will be invited to agree on how to take up this matter.

10. Issues relating to agriculture

50. *Background*: COP 17 requested SBSTA 36 to consider issues relating to agriculture.⁶⁰ SBSTA 37 and SBSTA 38 continued the exchange of views on this matter.

51. SBSTA 38 invited Parties and admitted observer organizations to submit their views on the current state of scientific knowledge on how to enhance the adaptation of agriculture to climate change impacts while promoting rural development, sustainable development and productivity of agricultural systems and food security in all countries, particularly in developing countries. This should take into account the diversity of the agricultural systems and the differences in scale as well as possible adaptation co-benefits.⁶¹

52. SBSTA 38 agreed that it will consider, at SBSTA 39, the submissions mentioned in paragraph 51 above. It further requested the secretariat to organize an in-session workshop under the guidance of the SBSTA Chair at SBSTA 39 on the issues mentioned in the same paragraph.⁶²

53. *Action*: The SBSTA will be invited to continue its consideration of issues relating to agriculture with a view to recommending a draft decision for consideration and adoption at COP 19.

FCCC/SBSTA/2013/MISC.17	Views on the current state of scientific knowledge on
	how to enhance the adaptation of agriculture to
	climate change impacts while promoting rural
	development, sustainable development and
	productivity of agricultural systems and food security
	in all countries, particularly in developing countries,
	and taking into account the diversity of the
	agricultural systems and the differences in scale as
	well as possible adaptation co-benefits. Submissions
	from Parties and admitted observer organizations
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⁵⁹ FCCC/SBSTA/2012/5, paragraph 64.

⁶⁰ Decision 2/CP.17 paragraph 75.

⁶¹ FCCC/SBSTA/2013/3, paragraph 81.

⁶² FCCC/SBSTA/2013/3, paragraph 83.

11. Methodological issues under the Convention

(a) <u>Work programme on the revision of the guidelines for the review of biennial reports and national communications, including national inventory reviews, for developed country Parties</u>

54. *Background*: SBSTA 37 agreed⁶³ on the work programme on the revision of the guidelines for the review of biennial reports and national communications, including national inventory reviews, for developed country Parties (hereinafter referred to as the review guidelines), in accordance with decision 2/CP.17, paragraph 28.

55. SBSTA 38 continued its discussion on the revision of the review guidelines, with a view to completing the revision of the review guidelines for national communications and biennial reports by COP 19.

56. SBSTA 38 concluded that the review of national communications should be conducted in conjunction with the review of biennial reports in the years when both reports are submitted. The SBSTA agreed that the same information submitted by Parties in national communications, biennial reports and national greenhouse gas (GHG) inventories would be reviewed only once, and noted that reviews of national communications and biennial reports could be conducted through a combination of in-country and centralized reviews, or only through in-country reviews.⁶⁴

57. SBSTA 38 identified two alternatives to detailing and structuring the work on the revision of the review guidelines:

(a) Alternative 1: the structure and key elements of the review guidelines would comprise the general approach to the review and specific requirements for the review of national communications, biennial reports and GHG inventories;

(b) Alternative 2: the review guidelines for national communications, biennial reports and GHG inventories should be three separate review guidelines.

58. SBSTA 38 concluded that the technical workshop to be held in accordance with the work programme⁶⁵ should focus first on mapping information requirements contained in the biennial report and national communication reporting guidelines to identify overlapping reporting requirements and unique information in the biennial reports and national communications.⁶⁶ The workshop will take place in Bonn, Germany, from 7 to 9 October 2013, under the guidance of the SBSTA Chair.

59. SBSTA 38 invited Parties to submit additional views on, inter alia, the scope, structure, timing, outline and publication of review reports, and specific views on key elements and content and proposed texts, of the review guidelines for national communications and biennial reports.⁶⁷ It requested the secretariat to prepare a synthesis report of Parties' views and a draft of the review guidelines based on the Parties' submissions as inputs to the discussions at the workshop.⁶⁸

60. SBSTA 38 also requested the secretariat to begin the development of new training materials and procedures before the review of the next submission of the national communications and the submission of the first biennial reports, and to present developed

⁶³ FCCC/SBSTA/2012/5, paragraphs 74–85.

⁶⁴ FCCC/SBSTA/2013/3, paragraph 92.

⁶⁵ FCCC/SBSTA/2012/5, annex I.

⁶⁶ FCCC/SBSTA/2013/3, paragraph 95.

⁶⁷ Submissions will be made available at http://unfccc.int/5901.php>.

⁶⁸ FCCC/SBSTA/2013/3, paragraph 96.

training materials and procedures at SBSTA 39 to consider the next steps in the development of the training materials.⁶⁹ The development of training materials should cover the reporting requirements and the procedures for the general aspects of the review process.⁷⁰

61. *Action:* The SBSTA will be invited to consider the information contained in the documents prepared for the session and to continue consideration of this item, in order to determine any further action and to forward a draft decision, including draft review guidelines for biennial reports and national communications for consideration and adoption at COP 19.

FCCC/SBSTA/2013/INF.5	Synthesis report on the submissions from Parties on the revision of review guidelines for developed country Parties. Note by the secretariat
FCCC/SBSTA/2013/INF.14	Report on the workshop on the revision of review guidelines for developed country Parties. Note by the secretariat

(b) <u>General guidelines for domestic measurement, reporting and verification of domestically</u> <u>supported nationally appropriate mitigation actions by developing country Parties</u>

62. *Background*: COP 17 requested the SBSTA to develop general guidelines for domestic measurement, reporting and verification of domestically supported nationally appropriate mitigation actions by developing country Parties.⁷¹

63. SBSTA 38 initiated the process of the development of the guidelines and agreed to continue this process at SBSTA 39 based on the elements of the general guidelines contained in document FCCC/SBSTA/2013/3/Add.2, without prejudging where these elements should be placed, in a draft decision or the draft guidelines. SBSTA 38 reiterated that it will forward draft guidelines to COP 19 for consideration and adoption.⁷²

64. *Action*: The SBSTA will be invited to complete the process of development of the draft guidelines and to forward a decision containing the draft guidelines to the COP for consideration and adoption.

(c) <u>Revision of the UNFCCC reporting guidelines on annual inventories for Parties included in</u> <u>Annex I to the Convention</u>

65. *Background*: SBSTA 30 agreed to launch a work programme in 2010 for the revision of the "Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part I: UNFCCC reporting guidelines on annual inventories".⁷³

66. SBSTA 38 advanced its work on draft UNFCCC inventory reporting guidelines for Parties included in Annex I to the Convention (Annex I Parties), including on the common reporting format (CRF) tables, as contained in document FCCC/SBSTA/2013/3/Add.2, and agreed to continue its discussions at SBSTA 39, with a view to forwarding a draft decision for consideration and adoption at COP 19.

⁶⁹ The training materials will be presented at a side event organized by the secretariat during SBSTA 39 and subsequently will be made available on the UNFCCC website.

⁷⁰ FCCC/SBSTA/2013/3, paragraph 97.

⁷¹ Decision 2/CP.17, paragraph 37.

⁷² FCCC/SBSTA/2013/3, paragraph 105.

⁷³ FCCC/SBSTA/2009/3, paragraph 101.

67. SBSTA 38 identified the need to further consider, at SBSTA 39, the reporting of carbon dioxide (CO₂) emissions related to ammonia production and urea application and the supplementary guidance on wetlands. It invited Parties to submit their views on these matters.⁷⁴

68. The SBSTA discussed the reporting of GHG emissions/removals from harvested wood products (HWPs) and noted that there may be differences in reporting by countries owing to the alternative approaches to estimating the contribution of HWPs to annual CO₂ emissions/removals. The SBSTA agreed to continue these discussions at SBSTA 39.⁷⁵

69. Action: The SBSTA will be invited to consider the issues identified in paragraphs 66 and 67 above and the submissions by Parties (para. 67 above), with a view to preparing a draft decision on this matter for consideration and adoption at COP 19, in line with the work programme agreed to at SBSTA $32.^{76}$

(d) Greenhouse gas data interface

70. *Background*: SBSTA 38 requested the secretariat to implement, by June 2015, any necessary technical changes to the GHG data interface, should the revised "Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part I: UNFCCC reporting guidelines on annual greenhouse gas inventories" be adopted at COP 19.⁷⁷

71. It agreed to consider, at SBSTA 39, matters relating to the further development of the GHG data interface, including the functionality of comparing data between Parties using different reporting guidelines, with a view to evaluating progress and determining further steps.⁷⁸

72. *Action*: The SBSTA will be invited to consider the matters referred to in paragraph 70 above, with a view to determining further action.

(e) <u>Annual report on the technical review of greenhouse gas inventories from Parties included</u> <u>in Annex I to the Convention</u>

73. *Background*: The COP requested⁷⁹ the secretariat to prepare an annual report on inventory review activities, including any recommendations resulting from the lead reviewers meetings, for consideration by the SBSTA. SBSTA 24 requested the secretariat to continue to prepare annual reports on inventory review activities, for consideration by the SBSTA, and to include in these reports information on the progress made in updating the roster of experts. This report contains information on the review activities in 2013, recommendations from the lead reviewers meeting, a summary of training activities for inventory review experts and updated information on the roster of experts. It also underlines issues relating to the availability of experts for conducting GHG inventory reviews for Annex I Parties.

74. *Action*: The SBSTA will be invited to take note of the report.

⁷⁴ Submissions will be made available at <http://unfccc.int/5901.php>.

⁷⁵ FCCC/SBSTA/2013/3, paragraph 114.

⁷⁶ FCCC/SBSTA/2010/6, paragraph 63 and annex II.

⁷⁷ FCCC/SBSTA/2013/3, paragraph 121.

⁷⁸ FCCC/SBSTA/2013/3, paragraph 122.

⁷⁹ Decision 12/CP.9.

FCCC/SBSTA/2013/INF.8 Annual report on the technical review of greenhouse gas inventories from Parties included in Annex I to the Convention. Note by the secretariat

(f) Emissions from fuel used for international aviation and maritime transport

75. *Background*: SBSTA 38 took note of the information received from and progress reported by the secretariats of the International Civil Aviation Organization (ICAO) and the International Maritime Organization (IMO) on their ongoing work on addressing emissions from fuel used for international aviation and maritime transport, and noted the views expressed by Parties on this information. The SBSTA invited the secretariats of ICAO and IMO to continue to report, at future sessions of the SBSTA, on relevant work on this issue.

76. *Action*: The SBSTA will be invited to take note of the information contained in the reports provided by the secretariats of ICAO and IMO.

FCCC/SBSTA/2013/MISC.20 Information relevant to emissions from fuel used for international aviation and maritime transport. Submissions from international organizations

12. Methodological issues under the Kyoto Protocol

(a) <u>Implications of the implementation of decisions 2/CMP.7 to 4/CMP.7 and 1/CMP.8 on the</u> previous decisions on methodological issues related to the Kyoto Protocol, including those relating to Articles 5, 7 and 8 of the Kyoto Protocol

77. *Background*: Responding to the request made by the eighth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP), SBSTA 38 continued its work on assessing and addressing the implications of the implementation of decisions 2/CMP.7 to 4/CMP.7, as well as those of decision 1/CMP.8, on the previous decisions on methodological issues related to the Kyoto Protocol, including those relating to Articles 5, 7 and 8 of the Kyoto Protocol.

78. In addition, CMP 8 requested the SBSTA to initiate consideration of any supplementary reporting tables required for the reporting of land use, land-use change and forestry (LULUCF) activities under Article 3, paragraphs 3 and 4, of the Kyoto Protocol for the second commitment period in parallel with the consideration of any supplementary methodological guidance resulting from the work of the Intergovernmental Panel on Climate Change (IPCC), with the aim of completing this work by CMP 9. CMP 8 also requested the secretariat to organize a second workshop on the implications referred to in paragraph 77 above. The workshop will take place in Bonn from 21 to 23 October 2013, under the guidance of the SBSTA Chair.

79. To facilitate progress under this agenda sub-item, SBSTA 38:

(a) Invited Parties to submit further information and views on the implications referred to in paragraph 77 above;

(b) Requested the secretariat to prepare draft CRF tables required for the reporting of LULUCF activities under Article 3, paragraphs 3 and 4, of the Kyoto Protocol for the second commitment period, on the basis of the submissions referred in paragraph 79(a) above;

(c) Requested the secretariat to update and extend the technical paper contained in document FCCC/TP/2012/6, in particular addressing:

(i) Any implications not yet covered in the discussions during SBSTA 38;

(ii) The options for the updating of relevant references to decisions of the CMP and to IPCC methodologies for estimating anthropogenic emissions by sources and removals by sinks;

(iii) Any other consequential changes related to references arising from decisions 2/CMP.7 to 4/CMP.7, 1/CMP.8 and 2/CMP.8.⁸⁰

80. *Action*: The SBSTA will be invited to consider the information contained in the documents prepared for the session,⁸¹ with a view of finalizing its consideration and preparing relevant draft decisions for consideration and adoption at CMP 9.

FCCC/SBSTA/2013/INF.3	Report on the workshop on the implications of the implementation of decisions 2/CMP.7 to 4/CMP.7 and 1/CMP.8 on the previous decisions on methodological issues related to the Kyoto Protocol, including those relating to Articles 5, 7 and 8 of the Kyoto Protocol. Note by the secretariat
FCCC/SBSTA/2013/INF.15	Report on the second workshop on the implications of the implementation of decisions 2/CMP.7 to 4/CMP.7 and 1/CMP.8 on the previous decisions on methodological issues related to the Kyoto Protocol, including those relating to Articles 5, 7 and 8 of the Kyoto Protocol. Note by the secretariat
FCCC/TP/2013/9	Implications of the implementation of decisions 2/CMP.7 to 4/CMP.7 and 1/CMP.8 on the previous decisions on methodological issues related to the Kyoto Protocol, including those relating to Articles 5, 7 and 8 of the Kyoto Protocol. Technical paper

(b) Land use, land-use change and forestry under Article 3, paragraphs 3 and 4, of the Kyoto Protocol and under the clean development mechanism

81. Background: SBSTA 38 continued its consideration of issues related to LULUCF.

82. SBSTA 38 agreed that it will continue, at SBSTA 39, its consideration of issues relating to more comprehensive accounting of anthropogenic emissions by sources and removals by sinks from LULUCF, including through a more inclusive activity-based approach or a land-based approach, with a view to reporting on the outcomes to CMP 9.

83. SBSTA also agreed to:

(a) Continue, at SBSTA 39, its consideration of issues relating to modalities and procedures for possible additional LULUCF activities under the clean development mechanism (CDM) and modalities and procedures for alternative approaches to addressing the risk of non-permanence under the CDM, with a view to forwarding draft decisions on these matters for consideration and adoption at CMP 9;

(b) Invite Parties and admitted observer organizations to submit to the secretariat their views on specific possible additional LULUCF activities and specific alternative

⁸⁰ FCCC/SBSTA/2013/3, paragraph 135(a-c).

⁸¹ In addition to the documents listed below, Parties submitted their views on the issues under discussion; these submissions are available at http://unfccc.int/5901.php>.

approaches to addressing the risk of non-permanence under the CDM, to be included in the consideration of modalities and procedures, and requested the secretariat to compile the submissions into a miscellaneous document for consideration at SBSTA 39;

(c) Request the secretariat to organize a workshop, to be held before or in conjunction with SBSTA 39, to address the possible additional LULUCF activities and alternative approaches to addressing the risk of non-permanence under the CDM, taking into consideration the submissions, with a view to informing Parties' consideration of the modalities and procedures.

84. The SBSTA further agreed to continue, at SBSTA 39, its consideration of issues relating to modalities and procedures for applying the concept of additionality, with a view to forwarding a draft decision for consideration and adoption at CMP 9. It invited Parties and admitted observer organizations to submit to the secretariat their views on these issues and requested the secretariat to compile the submissions into a miscellaneous document for consideration at SBSTA 39.⁸²

85. *Action*: The SBSTA will be invited to continue its consideration of issues related to LULUCF, including the following:

(a) Matters reflected in decision 2/CMP.7, paragraphs 5, and to report to CMP 9 on the outcomes of that consideration;

(b) Matters reflected in decision 2/CMP.7, paragraphs 6, 7 and 10, with a view to forwarding draft decisions on this matter for consideration and adoption at CMP 9.

FCCC/SBSTA/2013/MISC.18	Views on specific possible additional land use, land-use change and forestry activities and specific alternative approaches to addressing the risk of non-permanence under the clean development mechanism. Submissions from Parties and admitted observer organizations
FCCC/SBSTA/2013/MISC.19	View on issues relating to modalities and procedures for applying the concept of additionality. Submissions from Parties and admitted observer organizations

(c) <u>Implications of the establishment of new hydrochlorofluorocarbon-22 (HCFC-22) facilities</u> seeking to obtain certified emission reductions for the destruction of hydrofluorocarbon-23 (HFC-23)

86. *Background*: SBSTA 34 took note of the technical paper prepared by the secretariat, its previous conclusions under this agenda sub-item and the views expressed by Parties during the session. SBSTA 37 agreed to continue its consideration of the matter at SBSTA 39.

87. *Action*: The SBSTA will be invited to explore whether there are new ideas to move this agenda sub-item forward and, if not, to evaluate the merit of continuing its consideration.

(d) <u>Annual report on the technical review of greenhouse gas inventories and other information</u> reported by Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol under Article 7, paragraph 1, of the Kyoto Protocol

88. *Background*: In accordance with the annex to decision 22/CMP.1, the secretariat prepares annual reports for the SBSTA on the composition of the expert review teams,

⁸² FCCC/SBSTA/2013/3, paragraph 146.

including the selection of experts for the review teams and the selection of the lead reviewers. The report provides information on the review activities in 2013 under the Kyoto Protocol, including the following:

(a) Information on the selection of experts for the review teams;

(b) Recommendations from the lead reviewers on how to further improve the review process;

(c) Issues relating to the availability of experts for conducting GHG inventory reviews for Annex I Parties.

89. Action: The SBSTA will be invited to take note of the report.

FCCC/SBSTA/2013/INF.9	Annual report on the technical review of greenhouse gas
	inventories and other information reported by Parties
	included in Annex I to the Convention that are also Parties
	to the Kyoto Protocol under Article 7, paragraph 1, of the
	Kyoto Protocol. Note by the secretariat

13. Market and non-market mechanisms under the Convention

(a) Framework for various approaches

90. *Background*: SBSTA 38 initiated the work programme to elaborate a framework for various approaches in accordance with decision 1/CP.18, paragraphs 41–46,⁸³ and agreed to continue its consideration of this matter at SBSTA 39, with a view to recommending a draft decision for consideration and adoption at COP 19.

91. To further conduct its work programme, the SBSTA:

(a) Invited Parties and admitted observer organizations to submit their views on a number of questions as set out in document FCCC/SBSTA/2013/3, paragraph 158(a);⁸⁴

(b) Requested the secretariat to prepare a technical synthesis of the framework for various approaches and to make it available for the workshop referred to in paragraph 91(c) below and for consideration at SBSTA 39;

(c) Requested the secretariat to organize a workshop, prior to SBSTA 39, while ensuring broad participation of developing and developed countries, and to prepare a report on the workshop and make it available for consideration at SBSTA 39. The workshop will take place in Bonn from 7 to 9 October 2013, under the guidance of the SBSTA Chair.

92. *Action*: The SBSTA will be invited to consider the technical synthesis and the workshop report and to elaborate the framework for various approaches, while addressing its elements, including those set out in decision 1/CP.18, paragraph 46, with a view to recommending a draft decision for consideration and adoption at COP 19.

FCCC/SBSTA/2013/INF.11	Report of the workshop on the framework for various approaches. Note by the secretariat
FCCC/TP/2013/5	Technical synthesis on the framework for various approaches. Technical paper

⁸³ FCCC/SBSTA/2013/3, paragraph 155.

⁸⁴ Submissions from Parties will be made available at <http://unfccc.int/5901.php> and submissions from observer organizations will be made available at <http://unfccc.int/7478.php>.

(b) <u>Non-market-based approaches</u>

93. *Background*: SBSTA 38 initiated the work programme to elaborate non-marketbased approaches, in accordance with decision 1/CP.18, paragraph 47, with a view to recommending a draft decision for consideration and adoption at COP 19.

94. SBSTA 38 agreed to continue its consideration of this matter at SBSTA 39 with a view to recommending a draft decision on the scope and other elements of the non-marketbased approaches work programme for consideration and adoption at COP 19.

95. In order to facilitate further discussion, the SBSTA agreed to consider non-marketbased approaches proposed by Parties reflecting on, inter alia, the questions contained in document FCCC/SBSTA/2013/3, paragraph 165.

96. To further conduct its work programme, the SBSTA:

(a) Invited Parties and admitted observer organizations to submit further views on the elements of the work programme for non-market-based approaches and specific examples of non-market-based approaches;⁸⁵

(b) Requested the secretariat to organize a workshop, prior to SBSTA 39, while ensuring broad participation of developing and developed countries, with the aim of advancing the work of the SBSTA towards fulfilling the mandate provided in decision 1/CP.18, paragraph 47, and requested the secretariat to prepare a report on the outcome of the workshop and to make it available for consideration at SBSTA 39. The workshop will take place in Bonn from 7 to 9 October 2013, under the guidance of the SBSTA Chair.

97. *Action*: The SBSTA will be invited to consider the workshop report and to elaborate non-market-based approaches, including the scope and other elements of the work programme, with a view to recommending a draft decision for consideration and adoption at COP 19.

FCCC/SBSTA/2013/INF.12 Report of the workshop on non-market-basedapproaches. Note by the secretariat

(c) <u>New market-based mechanism</u>

98. *Background*: The SBSTA initiated the work programme in accordance with decision 1/CP.18, paragraphs 50–53, to fulfil its mandate of elaborating modalities and procedures for the new market-based mechanism, with a view to recommending a draft decision for consideration and adoption at COP 19.

99. The SBSTA agreed to continue its consideration of this matter at SBSTA 39, and agreed to invite discussion at that session on a number of questions as set out in document FCCC/SBSTA/2013/3, paragraphs 173 and 174.

100. To further conduct its work programme, the SBSTA:

(a) Invited Parties and admitted observer organizations to submit their views on these matters; 86

(b) Requested the secretariat to prepare a technical synthesis on the new marketbased mechanism and to make it available for the workshop referred to in paragraph 100(c) below and for consideration at SBSTA 39;

⁸⁵ As footnote 84 above.

⁸⁶ As footnote 84 above.

(c) Requested the secretariat to organize a workshop, prior to SBSTA 39, while ensuring broad participation of developing and developed countries, and to prepare a report on the workshop and make that report available for consideration at SBSTA 39. The workshop will take place in Bonn from 7 to 9 October 2013, under the guidance of the SBSTA Chair.

101. Action: The SBSTA will be invited to consider the technical synthesis and the workshop report, to discuss and further clarify the elements of the new market-based mechanism, including those set out in decision 1/CP.18 and to elaborate the modalities and procedures of the new market-based mechanism, with a view to recommending a draft decision for consideration and adoption at COP 19.

FCCC/SBSTA/2013/INF.13	<i>Report of the workshop on the new market-based-</i> <i>mechanism. Note by the secretariat</i>
FCCC/TP/2013/6	Technical synthesis on the new market-based- mechanism. Technical paper

14. The 2013–2015 review

102. *Background*: COP 17 decided to conduct the review with the assistance of the SBSTA and the SBI and requested them to report on their considerations and findings to the COP, which should address those considerations and provide any further guidance.⁸⁷

103. COP 18 invited the SBSTA and the SBI to establish a joint contact group to assist the COP in conducting the review. It established a structured expert dialogue to support the work of the joint contact group, to ensure the scientific integrity of the review and to consider the relevant inputs through scientific workshops and expert meetings.⁸⁸ It decided that the co-facilitators of the structured expert dialogue will report on work done under the dialogue to COP 19 and COP 20, through the subsidiary bodies.

104. During the thirty-eighth sessions of the subsidiary bodies, an in-session workshop under the structured expert dialogue took place on 5 June.⁸⁹ The 2nd meeting of the structured expert dialogue will take place in conjunction with SBSTA 39 and SBI 39, taking into account the views expressed by Parties at the June workshop. The co-facilitators of the structured expert dialogue will report on work done in 2013 to COP 19 through SBSTA 39 and SBI 39.

105. *Action*: The SBSTA and the SBI will be invited to consider this matter, take any further steps and report on their considerations and findings to the COP.

FCCC/SB/2013/INF.12Report of the structured expert dialogue of the 2013-
2015 review for 2013. Note by the co-facilitators of the
structured expert dialogue

⁸⁷ Decision 2/CP.17, paragraph 166.

⁸⁸ Decision 1/CP.18, paragraphs 80, 85 and 86(a).

⁸⁹ See <http://unfccc.int/science/7521.php>.

15. Work programme on clarification of quantified economy-wide emission reduction targets of developed country Parties

106. *Background*: SBSTA 38 initiated the work programme on clarification of quantified economy-wide emission reduction targets of developed country Parties.⁹⁰ The considerations of the SBSTA were informed by views submitted by Parties. The SBSTA agreed to continue its consideration of this matter at SBSTA 39, with a view to reporting on the progress of the work programme to COP 19.

107. *Action*: The SBSTA will be invited to consider the information contained in the documents prepared for the session and to continue consideration of this item, with a view to forwarding draft conclusions on this matter for consideration and adoption at COP 19.

FCCC/SBSTA/2013/INF.10	Report on the event on quantified economy-wide emission reduction targets of developed country Parties. Note by the chair
FCCC/TP/2013/7	Quantified economy-wide emission reduction targets by developed country Parties to the Convention: assumptions, conditions, commonalities and differences in approaches and comparison of the level of emission reduction efforts. Technical paper

16. Other matters

108. Any other matters referred to by the COP and the CMP will be taken up under this item.

17. Report on the session

109. *Background*: A draft report on the work of the session will be prepared for consideration and adoption by the SBSTA at the end of the session.

110. *Action*: The SBSTA will be invited to adopt the draft report and authorize the Rapporteur to complete the report after the session, under the guidance of the Chair and with the assistance of the secretariat.

⁹⁰ Decision 1/CP.18, paragraph 8.