



Framework Convention on Climate Change

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Ad Hoc Working Group on Long-term Cooperative Action under the Convention

Fourteenth session

Bangkok, 5–8 April 2011, and Bonn, 7–17 June 2011

Item 2(a) of the agenda

Organizational matters

Adoption of the agenda

Agenda and annotations

Note by the Executive Secretary*

I. Agenda

1. Opening of the session.
2. Organizational matters:
 - (a) Adoption of the agenda;
 - (b) Organization of the work of the session.
3. Preparation of a comprehensive and balanced outcome to be presented to the Conference of the Parties for adoption at its seventeenth session to enable the full, effective and sustained implementation of the Convention through long-term cooperative action now, up to and beyond 2012, pursuant to the results of the thirteenth and sixteenth sessions of the Conference of the Parties and recognizing that the work of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention includes both implementation tasks and issues that are still to be concluded:
 - 3.1. A shared vision for long-term cooperative action;
 - 3.2. Enhanced action on mitigation:
 - 3.2.1. Nationally appropriate mitigation commitments or actions by developed country Parties;
 - 3.2.2. Nationally appropriate mitigation actions by developing country Parties;

* This document was submitted after the due date owing to the short interval between the first part and the second part of the fourteenth session of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention.

- 3.2.3. Policy approaches and positive incentives on issues relating to reducing emissions from deforestation and forest degradation in developing countries; and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries;
- 3.2.4. Cooperative sectoral approaches and sector-specific actions, in order to enhance the implementation of Article 4, paragraph 1(c), of the Convention;
- 3.2.5. Various approaches, including opportunities for using markets, to enhance the cost-effectiveness of, and to promote, mitigation actions, bearing in mind different circumstances of developed and developing countries;
- 3.2.6. Economic and social consequences of response measures;
- 3.3. Enhanced action on adaptation;
- 3.4. Finance;
- 3.5. Technology development and transfer;
- 3.6. Capacity-building.
- 4. Review: further definition of its scope and development of its modalities.
- 5. Continued discussion of legal options with the aim of completing an agreed outcome based on decision 1/CP.13, the work done at the sixteenth session of the Conference of the Parties and proposals made by Parties under Article 17 of the Convention.
- 6. Other matters:
 - (a) Parties included in Annex I to the Convention undergoing the process of transition to a market economy;
 - (b) Parties included in Annex I to the Convention whose special circumstances are recognized by the Conference of the Parties.
- 7. Additional matters.
- 8. Report on the session.

II. Background

1. The Conference of the Parties (COP), by its decision 1/CP.13 (the Bali Action Plan), launched a comprehensive process, to be conducted under the Ad Hoc Working Group on Long-term Cooperative Action under the Convention (AWG-LCA), to enable the full, effective and sustained implementation of the Convention through long-term cooperative action now, up to and beyond 2012, in order to reach an agreed outcome and adopt a decision at its fifteenth session.¹
2. At its sixteenth session, the COP extended the AWG-LCA for one year in order for it to continue its work with a view to carrying out the undertakings contained in decision 1/CP.16 and to present the results to the COP for consideration at its seventeenth session; further, it requested the AWG-LCA to continue its work drawing on the documents under its consideration.²

¹ At its fifteenth session, the COP decided to extend the mandate of the AWG-LCA to enable it to continue its work with a view to presenting the outcome of its work to the COP for adoption at its sixteenth session (decision 1/CP.15, para. 1).

² Decision 1/CP.16, paragraphs 143 and 144.

III. Annotations to the agenda

1. Opening of the session

3. The first part of the fourteenth session of the AWG-LCA was opened by the Chair on Tuesday, 5 April 2011, at the United Nations Conference Centre at the Economic and Social Commission for Asia and the Pacific in Bangkok, Thailand. The second part of the fourteenth session will be opened on Tuesday, 7 June 2011, at the Maritim Hotel in Bonn, Germany.

2. Organizational matters

(a) Adoption of the agenda

4. The agenda for the AWG-LCA at its fourteenth session was adopted³ by the AWG-LCA at its second meeting on 8 April 2011.

FCCC/AWGLCA/2011/5	<i>Agenda and annotations. Note by the Executive Secretary</i>
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(b) Organization of the work of the session

5. *Background:* The AWG-LCA will resume its fourteenth session in a plenary meeting on Tuesday, 7 June 2011.

6. Delegates are invited to consult the note prepared by the Chair of the AWG-LCA on the scenario for the second part of the fourteenth session of the AWG-LCA (FCCC/AWGLCA/2011/6) for further information and proposals on the organization of the work of the session.⁴

7. Further, delegates are invited to refer to the overview of the session posted on the UNFCCC website and to consult the Daily Programme, published during the session, as well as the CCTV for a detailed and up-to-date schedule of the work of the AWG-LCA.

8. The second part of the fourteenth session of the AWG-LCA will be held in conjunction with the second part of the sixteenth session of the Ad Hoc Working Group on Further Commitments for Annex I Parties under the Kyoto Protocol and the thirty-fourth sessions of the Subsidiary Body for Implementation (SBI) and the Subsidiary Body for Scientific and Technological Advice. It will be organized in accordance with the recommendations made by the SBI at its thirty-second session.⁵

9. *Action:* The AWG-LCA will be invited to agree on the organization of the work of the session.

FCCC/AWGLCA/2011/5	<i>Agenda and annotations. Note by the Executive Secretary</i>
FCCC/AWGLCA/2011/6	<i>Scenario note on the second part of the fourteenth session. Note by the Chair</i>

³ Adopted as document FCCC/AWGLCA/2011/L.1.

⁴ To be issued shortly.

⁵ FCCC/SBI/2010/10, paragraphs 164 and 165.

3. Preparation of a comprehensive and balanced outcome to be presented to the Conference of the Parties for adoption at its seventeenth session to enable the full, effective and sustained implementation of the Convention through long-term cooperative action now, up to and beyond 2012, pursuant to the results of the thirteenth and sixteenth sessions of the Conference of the Parties and recognizing that the work of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention includes both implementation tasks and issues that are still to be concluded

3.1. A shared vision for long-term cooperative action

3.2. Enhanced action on mitigation

3.2.1. Nationally appropriate mitigation commitments or actions by developed country Parties

3.2.2. Nationally appropriate mitigation actions by developing country Parties

3.2.3. Policy approaches and positive incentives on issues relating to reducing emissions from deforestation and forest degradation in developing countries; and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries

3.2.4. Cooperative sectoral approaches and sector-specific actions, in order to enhance the implementation of Article 4, paragraph 1(c), of the Convention

3.2.5. Various approaches, including opportunities for using markets, to enhance the cost-effectiveness of, and to promote, mitigation actions, bearing in mind different circumstances of developed and developing countries

3.2.6. Economic and social consequences of response measures

3.3. Enhanced action on adaptation

3.4. Finance

3.5. Technology development and transfer

3.6. Capacity-building

10. *Background:* At its thirteenth session, the COP launched a comprehensive process to enable the full, effective and sustained implementation of the Convention through long-term cooperative action now, up to and beyond 2012, in order to reach an agreed outcome.⁶ At its sixteenth session, the COP requested the AWG-LCA to continue its work, with a view to carrying out the undertakings contained in decision 1/CP.16 and drawing on the documents under its consideration, and to present the results to the COP for consideration at its seventeenth session.⁷

11. In preparing a comprehensive and balanced outcome for adoption by the COP at its seventeenth session, the AWG-LCA will build on, and take forward, the results of the thirteenth and sixteenth sessions of the COP, recognizing that the work of the AWG-LCA includes both implementation tasks and issues that are still to be concluded.

12. *Action:* The AWG-LCA will be invited to consider agenda item 3 and its sub-items and to initiate the preparation of elements for a draft decision or draft decisions and/or to

⁶ Decision 1/CP.13, paragraphs 1 and 2.

⁷ Decision 1/CP.16, paragraphs 143 and 144.

determine any other appropriate action in order to prepare a comprehensive and balanced outcome for adoption by the COP at its seventeenth session.

3.2.1. Nationally appropriate mitigation commitments or actions by developed country Parties	
<i>FCCC/AWGLCA/2011/MISC.6 and Corr.1 and Add.1</i>	<i>Views on the items relating to a work programme for the development of modalities and guidelines listed in decision 1/CP.16, paragraph 46. Submissions from Parties</i>
<i>FCCC/AWGLCA/2011/7</i>	<i>Workshop on assumptions and conditions related to the attainment of quantified economy-wide emission reduction targets by developed country Parties, as requested by decision 1/CP.16, paragraph 38. Report by the Co-Chairs of the workshop</i>
<i>FCCC/TP/2011/1</i>	<i>Quantified economy-wide emission reduction targets by developed country Parties to the Convention: assumptions, conditions and comparison of the level of emission reduction efforts</i>
3.2.2. Nationally appropriate mitigation actions by developing country Parties	
<i>FCCC/AWGLCA/2011/MISC.7 and Add.1</i>	<i>Views on the items relating to a work programme for the development of modalities and guidelines listed in decision 1/CP.16, paragraph 66. Submissions from Parties</i>
<i>FCCC/AWGLCA/2011/8</i>	<i>Workshop on nationally appropriate mitigation actions submitted by developing country Parties, underlying assumptions, and any support needed for implementation of these actions, as requested by decision 1/CP.16, paragraph 51. Report by the co-chairs of the workshop</i>
3.2.5. Various approaches, including opportunities for using markets, to enhance the cost-effectiveness of, and to promote, mitigation actions, bearing in mind different circumstances of developed and developing countries	
<i>FCCC/AWGLCA/2011/4</i>	<i>Synthesis report on information on various approaches in enhancing the cost-effectiveness of, and promoting, mitigation actions. Note by the secretariat</i>
<i>FCCC/AWGLCA/2011/MISC.2 and Add.1 and 2</i>	<i>Views on the elaboration of market-based mechanisms. Submissions from Parties</i>
<i>FCCC/AWGLCA/2011/MISC.3 and Add.1 and 2</i>	<i>Views on the elaboration of non-market-based mechanisms. Submissions from Parties</i>
<i>FCCC/AWGLCA/2011/MISC.4 and Add.1</i>	<i>Views on the evaluation of various approaches in enhancing the cost-effectiveness of, and promoting, mitigation actions. Submissions from Parties</i>
<i>FCCC/AWGLCA/2011/MISC.5</i>	<i>Views on the elaboration of market-based and non-market-based mechanisms and the evaluation of various approaches in enhancing the cost-effectiveness of, and promoting, mitigation actions. Submissions from admitted observer organizations</i>

3.3. Enhanced action on adaptation	
<i>FCCC/AWGLCA/2011/3</i>	<i>Synthesis report on the composition of, and modalities and procedures for, the Adaptation Committee, including linkages with other relevant institutional arrangements. Note by the secretariat</i>
<i>FCCC/AWGLCA/2011/MISC.1 and Add.1</i>	<i>Views on the composition of, and modalities and procedures for, the Adaptation Committee, including linkages with other relevant institutional arrangements. Submissions from Parties</i>
3.4. Finance	
<i>FCCC/AWGLCA/2011/INF.3</i>	<i>Information from developed country Parties on the resources provided to fulfil the commitment referred to in decision 1/CP.16, paragraph 95</i>
3.5. Technology development and transfer	
<i>FCCC/AWGLCA/2011/INF.2</i>	<i>Expert workshop on the Technology Mechanism in conjunction with the fourteenth session of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention. Report by the Chair of the workshop</i>

4. Review: further definition of its scope and development of its modalities

13. *Background:* The COP, at its sixteenth session, decided to periodically review the adequacy of the long-term global goal,⁸ in the light of the ultimate objective of the Convention, and overall progress towards achieving it, and requested the AWG-LCA to further define the scope of this review and to develop its modalities, including the required inputs, with a view to their adoption by the COP at its seventeenth session.⁹

14. *Action:* The AWG-LCA will be invited to initiate the preparation of a draft decision that further defines the scope of the review and its modalities, with a view to its adoption by the COP at its seventeenth session.

5. Continued discussion of legal options with the aim of completing an agreed outcome based on decision 1/CP.13, the work done at the sixteenth session of the Conference of the Parties and proposals made by Parties under Article 17 of the Convention

15. *Background:* The COP, at its sixteenth session, requested the AWG-LCA to continue discussing legal options with the aim of completing an agreed outcome based on decision 1/CP.13 (the Bali Action Plan), the work undertaken at the sixteenth session of the COP and proposals made by Parties¹⁰ under Article 17 of the Convention.¹¹

16. *Action:* The AWG-LCA will be invited to continue discussing legal options with the aim of completing an agreed outcome.

⁸ See decision 1/CP.16, paragraph 4.

⁹ Decision 1/CP.16, paragraphs 138–140.

¹⁰ The proposals can be found in documents FCCC/CP/2009/3, FCCC/CP/2009/4, FCCC/CP/2009/5, FCCC/CP/2009/6, FCCC/CP/2009/7 and FCCC/CP/2010/3.

¹¹ Decision 1/CP.16, paragraph 145.

6. Other matters

- (a) Parties included in Annex I to the Convention undergoing the process of transition to a market economy
- (b) Parties included in Annex I to the Convention whose special circumstances are recognized by the Conference of the Parties

17. *Background:* The COP, at its sixteenth session, requested the AWG-LCA to continue consideration of issues concerning Parties included in Annex I to the Convention undergoing the process of transition to a market economy, with a view to promoting access by these Parties to technology, capacity-building and finance in order to enhance their ability to develop low-emission economies.¹²

18. Further, the COP requested the AWG-LCA to continue consideration of issues relating to Turkey,¹³ with a view to promoting access by Turkey to finance, technology and capacity-building in order to enhance its ability to better implement the Convention.¹⁴

19. *Action:* The AWG-LCA will be invited to continue consideration of the issues referred to in paragraphs 17 and 18 above with a view to promoting access by the Parties concerned to technology, capacity-building and finance as outlined in those paragraphs.

7. Additional matters

20. Any additional matters arising during the session will be taken up under this item.

8. Report on the session

21. *Background:* A draft report on the work of the fourteenth session will be prepared for adoption by the AWG-LCA.

22. *Action:* The AWG-LCA will be invited to adopt the draft report and to authorize the Rapporteur to complete the report, under the guidance of the Chair and with the assistance of the secretariat.

¹² Decision 1/CP.16, paragraph 141.

¹³ Recalling, in this context, decision 26/CP.7, which invited Parties to recognize the special circumstances of Turkey and which places Turkey in a situation different from that of other Parties included in Annex I to the Convention.

¹⁴ Decision 1/CP.16, paragraph 142.

Annex

Documents prepared for the Ad Hoc Working Group on Long-term Cooperative Action under the Convention at its fourteenth session

Documents prepared for the second part of the session

FCCC/AWGLCA/2011/5	Agenda and annotations. Note by the Executive Secretary
FCCC/AWGLCA/2011/6	Scenario note on the second part of the fourteenth session. Note by the Chair
FCCC/AWGLCA/2011/3	Synthesis report on the composition of, and modalities and procedures for, the Adaptation Committee, including linkages with other relevant institutional arrangements. Note by the secretariat
FCCC/AWGLCA/2011/4	Synthesis report on information on various approaches in enhancing the cost-effectiveness of, and promoting, mitigation actions. Note by the secretariat
FCCC/AWGLCA/2011/7	Workshop on assumptions and conditions related to the attainment of quantified economy-wide emission reduction targets by developed country Parties, as requested by decision 1/CP.16, paragraph 38. Report by the Co-Chairs of the workshop
FCCC/AWGLCA/2011/8	Workshop on nationally appropriate mitigation actions submitted by developing country Parties, underlying assumptions, and any support needed for implementation of these actions, as requested by decision 1/CP.16, paragraph 51. Report by the co-chairs of the workshop
FCCC/AWGLCA/2011/INF.2	Expert workshop on the Technology Mechanism in conjunction with the fourteenth session of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention. Report by the Chair of the workshop
FCCC/AWGLCA/2011/INF.3	Information from developed country Parties on the resources provided to fulfil the commitment referred to in decision 1/CP.16, paragraph 95
FCCC/TP/2011/1	Quantified economy wide emission reduction targets by developed country Parties to the Convention: assumptions, conditions and comparison of the level of emission reduction efforts
FCCC/AWGLCA/2011/MISC.1 and Add.1	Views on the composition of, and modalities and procedures for, the Adaptation Committee, including linkages with other relevant institutional arrangements. Submissions from Parties

FCCC/AWGLCA/2011/MISC.2 and Add.1 and 2	Views on the elaboration of market-based mechanisms. Submissions from Parties
FCCC/AWGLCA/2011/MISC.3 and Add.1 and 2	Views on the elaboration of non-market-based mechanisms. Submissions from Parties
FCCC/AWGLCA/2011/MISC.4 and Add.1	Views on the evaluation of various approaches in enhancing the cost-effectiveness of, and promoting, mitigation actions. Submissions from Parties
FCCC/AWGLCA/2011/MISC.5	Views on the elaboration of market-based and non-market-based mechanisms and the evaluation of various approaches in enhancing the cost-effectiveness of, and promoting, mitigation actions. Submissions from admitted observer organizations
FCCC/AWGLCA/2011/MISC.6 and Corr.1 and Add.1	Views on the items relating to a work programme for the development of modalities and guidelines listed in decision 1/CP.16, paragraph 46. Submissions from Parties
FCCC/AWGLCA/2011/MISC.7 and Add.1	Views on the items relating to a work programme for the development of modalities and guidelines listed in decision 1/CP.16, paragraph 66. Submissions from Parties

Other documents before the session

FCCC/AWGLCA/2011/1 and Add.1–5	Provisional agenda and annotations. Note by the Executive Secretary
FCCC/AWGLCA/2011/2	Scenario note on the fourteenth session. Note by the Chair
FCCC/AWGLCA/2010/18	Report of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention on its thirteenth session, held in Cancun from 29 November to 10 December 2010
FCCC/AWGLCA/2010/14	Negotiating text. Note by the secretariat
FCCC/AWGLCA/2010/INF.1	In-session draft texts and notes by the facilitators prepared at the twelfth session of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention. Note by the secretariat
FCCC/AWGLCA/2010/MISC.8	Preparation of an outcome to be presented to the Conference of the Parties for adoption at its sixteenth session to enable the full, effective and sustained implementation of the Convention through long-term cooperative action now, up to and beyond 2012. Submissions from Parties
FCCC/AWGLCA/2010/MISC.6/Add.2	Preparation of an outcome to be presented to the Conference of the Parties for adoption at its

	sixteenth session to enable the full, effective and sustained implementation of the Convention through long-term cooperative action now, up to and beyond 2012. Submissions from Parties. Addendum
FCCC/CP/2010/7 and Corr.1 and Add.1	Report of the Conference of the Parties on its sixteenth session, held in Cancun from 29 November to 10 December 2010
FCCC/CP/2010/3	Proposed protocol to the Convention submitted by Grenada for adoption at the sixteenth session of the Conference of the Parties. Note by the secretariat
FCCC/CP/2009/11 and Add.1	Report of the Conference of the Parties on its fifteenth session, held in Copenhagen from 7 to 19 December 2009
FCCC/CP/2009/7	Draft implementing agreement under the Convention prepared by the Government of the United States of America for adoption at the fifteenth session of the Conference of the Parties. Note by the secretariat
FCCC/CP/2009/6	Draft protocol to the Convention prepared by the Government of Costa Rica to be adopted at the fifteenth session of the Conference of the Parties. Note by the secretariat
FCCC/CP/2009/5	Draft protocol to the Convention prepared by the Government of Australia for adoption at the fifteenth session of the Conference of the Parties. Note by the secretariat
FCCC/CP/2009/4	Draft protocol to the Convention presented by the Government of Tuvalu under Article 17 of the Convention. Note by the secretariat
FCCC/CP/2009/3	Draft protocol to the Convention prepared by the Government of Japan for adoption at the fifteenth session of the Conference of the Parties. Note by the secretariat
FCCC/CP/2007/6 and Add.1	Report of the Conference of the Parties on its thirteenth session, held in Bali from 3 to 15 December 2007
