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**Subsidiary Body for Implementation****Report of the Subsidiary Body for Implementation on its  
thirty-third session, held in Cancun from 30 November to  
4 December 2010**

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## I. Opening of the session

(Agenda item 1)

1. The thirty-third session of the Subsidiary Body for Implementation (SBI) was held at the Moon Palace Hotel, Cancun, Mexico, from 30 November to 4 December 2010.
2. The Chair of the SBI, Mr. Robert Owen-Jones (Australia), opened the session and welcomed all Parties and observers. He also welcomed Mr. Samuel Ortiz Basualdo (Argentina) as Vice-Chair and Mr. Kadio Ahossane (Côte d'Ivoire) as Rapporteur.

## II. Organizational matters

(Agenda item 2)

### A. Adoption of the agenda

(Agenda item 2 (a))

3. At its 1<sup>st</sup> meeting, on 30 November, the SBI considered a note by the Executive Secretary containing the provisional agenda and annotations (FCCC/SBI/2010/11). At the same meeting, the agenda was adopted as follows, with sub-item 4 (b) held in abeyance:

1. Opening of the session.
2. Organizational matters:
  - (a) Adoption of the agenda;
  - (b) Organization of the work of the session;
  - (c) Election of officers other than the Chair;
  - (d) Election of replacement officers.
3. National communications and greenhouse gas inventory data from Parties included in Annex I to the Convention:
  - (a) Reports on national greenhouse gas inventory data from Parties included in Annex I to the Convention for the period 1990–2007 and the period 1990–2008;
  - (b) Status of submission and review of fifth national communications;
  - (c) Date of submission of sixth national communications;
  - (d) Further implementation of Article 12, paragraph 5, of the Convention.
4. National communications from Parties not included in Annex I to the Convention:
  - (a) Work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention;
  - (b) Information contained in national communications from Parties not included in Annex I to the Convention (*agenda item held in abeyance*);<sup>1</sup>

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<sup>1</sup> As there was no consensus to include this sub-item on the agenda, it was held in abeyance. On a proposal by the Chair, the SBI decided to include this sub-item on the provisional agenda for its thirty-fourth session, with an appropriate footnote.

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- (c) Further implementation of Article 12, paragraph 5, of the Convention;
  - (d) Provision of financial and technical support.
5. Financial mechanism of the Convention:
    - (a) Fourth review of the financial mechanism;
    - (b) Report of the Global Environment Facility to the Conference of the Parties and guidance to the Global Environment Facility;
    - (c) Assessment of the Special Climate Change Fund;
    - (d) Least Developed Countries Fund.
  6. Article 6 of the Convention.
  7. Matters relating to Article 4, paragraphs 8 and 9, of the Convention:
    - (a) Progress on the implementation of decision 1/CP.10;
    - (b) Matters relating to the least developed countries.
  8. Development and transfer of technologies.
  9. Capacity-building under the Convention.
  10. Capacity-building under the Kyoto Protocol.
  11. Matters relating to Article 3, paragraph 14, of the Kyoto Protocol.
  12. Report of the administrator of the international transaction log under the Kyoto Protocol.
  13. Annual compilation and accounting reports for Annex B Parties under the Kyoto Protocol for 2009 and 2010.
  14. Amendment of the Kyoto Protocol in respect of procedures and mechanisms relating to compliance.
  15. Arrangements for intergovernmental meetings.
  16. Administrative, financial and institutional matters:
    - (a) Audited financial statements for the biennium 2008–2009;
    - (b) Budget performance for the biennium 2010–2011;
    - (c) Continuing review of the functions and operations of the secretariat;
    - (d) Privileges and immunities for individuals serving on constituted bodies established under the Kyoto Protocol.
  17. Other matters.
  18. Report on the session.
4. Also at the 1<sup>st</sup> meeting, statements were made by representatives of eight Parties, including one speaking on behalf of the Group of 77 and China, one on behalf of the Environmental Integrity Group, one on behalf of the Umbrella Group, one on behalf of the European Union and its member States, one on behalf of the Alliance of Small Island States (AOSIS), one on behalf of the members of the Central American Integration System,<sup>2</sup> one on behalf of the least developed countries (LDCs) and one on behalf of the African Group.

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<sup>2</sup> Belize, Costa Rica, Dominican Republic, El Salvador, Guatemala, Honduras, Nicaragua and Panama.

**B. Organization of the work of the session**

(Agenda item 2 (b))

5. The SBI considered this sub-item at its 1<sup>st</sup> meeting, on 30 November, at which the Chair drew attention to the proposed programme of work posted on the UNFCCC website. On a proposal by the Chair, the SBI agreed to proceed on the basis of that programme of work.

**C. Election of officers other than the Chair**

(Agenda item 2 (c))

6. The SBI considered this sub-item at its 1<sup>st</sup> and 3<sup>rd</sup> meetings, on 30 November and 4 December, respectively. At the 1<sup>st</sup> meeting, the Chair recalled rule 27 of the draft rules of procedure being applied, whereby the SBI is expected to elect its Vice-Chair and Rapporteur. The Chair informed the SBI that consultations on nominations were being undertaken and that he would keep delegates informed of progress. In the conduct of these consultations, Parties were invited to recall decision 36/CP.7 and give active consideration to the nomination of women for elective posts in the SBI.

7. At its 3<sup>rd</sup> meeting, as nominations for the posts of Vice-Chair and Rapporteur had not yet been received, the SBI invited the Conference of the Parties (COP), as an exception to rule 27, paragraph 5, of the draft rules of procedure being applied, to elect the officers of the Bureau of the SBI at the closing plenary of its sixteenth session, on 10 December. The COP elected Mr. Ortiz Basualdo as Vice-Chair and Mr. Petrus Muteyauli (Namibia) as Rapporteur. These officers will serve for the thirty-fourth and thirty-fifth sessions of the SBI, or until their successors are elected.

**D. Election of replacement officers**

(Agenda item 2 (d))

8. The elected Vice-Chair and Rapporteur represent Parties to both the Convention and its Kyoto Protocol. Consequently, no election of replacement officers was necessary.

**III. National communications and greenhouse gas inventory data from Parties included in Annex I to the Convention**

(Agenda item 3)

**A. Reports on national greenhouse gas inventory data from Parties included in Annex I to the Convention for the period 1990–2007 and the period 1990–2008**

(Agenda item 3 (a))

**1. Proceedings**

9. The SBI considered this sub-item at its 1<sup>st</sup> and 3<sup>rd</sup> meetings, on 30 November and 4 December, respectively. It had before it documents FCCC/SBI/2010/18 and FCCC/SBI/2009/12. Statements were made by representatives of two Parties on issues under agenda item 3.

10. At its 1<sup>st</sup> meeting, the SBI agreed to consider this sub-item together with sub-items 3 (b–d) in a contact group co-chaired by Ms. Anke Herold (Germany) and Mr. Eric

Kamoga Mugurusi (United Republic of Tanzania). At the 3<sup>rd</sup> meeting, Ms. Herold reported on the contact group's consultations.

11. Also at the 3<sup>rd</sup> meeting, one Party requested that this matter be included on the provisional agenda of the SBI.

12. At the same meeting, the SBI considered and adopted conclusions<sup>3</sup> proposed by the Chair.

## **2. Conclusions**

13. The SBI took note of the reports on national greenhouse gas (GHG) inventory data from Parties included in Annex I to the Convention (Annex I Parties) for the period 1990–2007<sup>4</sup> and the period 1990–2008.<sup>5</sup>

14. It noted that over the period 1990–2008 total aggregate GHG emissions excluding emissions/removals from land use, land-use change and forestry (LULUCF) for all Annex I Parties decreased by 6.1 per cent, and total GHG emissions/removals including LULUCF decreased by 10.4 per cent. For Annex I Parties with economies in transition, GHG emissions excluding and including LULUCF decreased by 36.8 per cent and 48.5 per cent, respectively. For Annex I Parties that do not have economies in transition, GHG emissions excluding LULUCF increased by 7.9 per cent and GHG emissions including LULUCF increased by 8.3 per cent.

## **B. Status of submission and review of fifth national communications**

(Agenda item 3 (b))

### **Date of submission of sixth national communications**

(Agenda item 3 (c))

### **Further implementation of Article 12, paragraph 5, of the Convention**

(Agenda item 3 (d))

## **1. Proceedings**

15. The SBI considered these sub-items at its 1<sup>st</sup> and 3<sup>rd</sup> meetings, on 30 November and 4 December, respectively (see para. 10 above). It had before it documents FCCC/SBI/2010/INF.8 and FCCC/SBI/2009/INF.9.

16. At its 3<sup>rd</sup> meeting, the SBI considered and adopted conclusions<sup>6</sup> proposed by the Chair.

## **2. Conclusions**

17. The SBI took note of the status of submission and review of fourth national communications and of reports demonstrating progress<sup>7</sup> and of the status of submission and review of fifth national communications.<sup>8</sup>

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<sup>3</sup> Adopted as document FCCC/SBI/2010/L.35.

<sup>4</sup> FCCC/SBI/2009/12.

<sup>5</sup> FCCC/SBI/2010/18.

<sup>6</sup> Adopted as document FCCC/SBI/2010/L.36.

<sup>7</sup> FCCC/SBI/2009/INF.9.

<sup>8</sup> FCCC/SBI/2010/INF.8.

18. It noted that 16 Annex I Parties submitted their fifth national communications before the due date of submission in accordance with decision 10/CP.13, 23 Parties<sup>9</sup> submitted after that date and two Parties had yet to submit their fifth national communications.

19. The SBI initiated its consideration of the further implementation of Article 12, paragraph 5, of the Convention and agreed to continue consideration of this matter at its thirty-fourth session.

20. The SBI decided to recommend a draft decision<sup>10</sup> on national communications of Annex I Parties for consideration and adoption by the COP at its sixteenth session (for the text of the decision, see FCCC/SBI/2010/L.36/Add.1) and a draft decision<sup>11</sup> on supplementary information incorporated in national communications submitted in accordance with Article 7, paragraph 2, of the Kyoto Protocol for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) at its sixth session (for the text of the decision, see FCCC/SBI/2010/L.36/Add.2).

## **IV. National communications from Parties not included in Annex I to the Convention**

(Agenda item 4)

### **A. Work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention**

(Agenda item 4 (a))

#### **1. Proceedings**

21. The SBI considered this sub-item at its 1<sup>st</sup> and 3<sup>rd</sup> meetings, on 30 November and 4 December, respectively. It had before it document FCCC/SBI/2010/21 and Add.1. Statements were made by representatives of seven Parties on issues under agenda item 4, including one speaking on behalf of the Group of 77 and China and one on behalf of AOSIS.

22. At the 1<sup>st</sup> meeting, the Chair invited Mr. Mugurusi, Chair of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention (CGE), to make a statement.

23. At the same meeting, the SBI agreed to consider this sub-item together with sub-items 4 (c) and (d) in a contact group co-chaired by Ms. Herold and Mr. Mugurusi. At the 3<sup>rd</sup> meeting, Mr. Mugurusi reported on the contact group's consultations.

24. Also at its 3<sup>rd</sup> meeting, the SBI considered and adopted conclusions<sup>12</sup> proposed by the Chair.

#### **2. Conclusions**

25. The SBI welcomed the progress report on the work of the CGE on its second meeting.<sup>13</sup>

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<sup>9</sup> Of these 23 Parties, 14 Parties submitted their fifth national communications within six weeks after the due date of submission and nine Parties submitted later than six weeks after that date.

<sup>10</sup> For the text as adopted, see decision 9/CP.16.

<sup>11</sup> For the text as adopted, see decision 10/CMP.6.

<sup>12</sup> Adopted as document FCCC/SBI/2010/L.33.



26. The SBI also welcomed the technical report of the CGE on technical problems and constraints affecting Parties not included in Annex I to the Convention (non-Annex I Parties) in undertaking the process and preparation of their national communications and the assessment of their capacity-building needs.<sup>14</sup> It encouraged the CGE to implement the recommendations outlined in the report, as appropriate.

27. The SBI noted the usefulness of the two surveys<sup>15</sup> conducted by the CGE under its work programme in accordance with its terms of reference<sup>16</sup> and urged those non-Annex I Parties that had not yet completed the surveys to provide the CGE with the required information as soon as possible.

28. It also noted the planned workshop of the CGE to be held in Antigua and Barbuda in March 2011, subject to the availability of resources, to exchange views on possible elements to be considered in a future revision of the UNFCCC “Guidelines for the preparation of national communications from Parties not included in Annex I to the Convention”, taking into account the difficulties encountered by non-Annex I Parties in the preparation of their most recent national communications.

29. The SBI encouraged the CGE to organize the workshop referred to in paragraph 28 above in such a way as to facilitate the maximum participation of representatives from non-Annex I Parties and to explore and use the most effective ways to deliver the content of the workshop to those representatives who cannot travel to the workshop, subject to the availability of resources.

30. In addition, the SBI called on the CGE to make all efforts to conduct the planned workshop on the development and long-term sustainability of processes, and the establishment and maintenance of national technical teams, for the preparation of national communications, including GHG inventories, on a continuous basis, in early 2011 as per its work programme.

31. The SBI noted the plan of the CGE to organize regional training workshops for the preparation of national communications in the second half of 2011, subject to the availability of resources.

32. The SBI highlighted the importance of the training activities to be organized by the CGE and requested the CGE to organize, to the extent possible, at least two training activities per region in the period 2011–2012, subject to the availability of resources.

33. The SBI invited the CGE, in implementing its work programme, to take into account the current and future needs of non-Annex I Parties and to take into consideration the provisions under the Convention and the relevant decisions of the COP.

34. The SBI expressed its gratitude to the Government of the Philippines for hosting the second meeting of the CGE. It also thanked the Government of the United States of America for providing financial and technical support to the work of the CGE and the Governments of Brazil and Chile and the United Nations Development Programme (UNDP) for their technical assistance in translating the surveys of the CGE into languages other than English.

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<sup>13</sup> FCCC/SBI/2010/21.

<sup>14</sup> FCCC/SBI/2010/21/Add.1.

<sup>15</sup> “Identification of technical problems and constraints affecting non-Annex I Parties in the process of preparation of their national communications and assessment of capacity-building needs” and “Collection of information on problems related to the sustainability of the national communication process and maintenance of national technical teams for the preparation of national communications, including GHG inventories, on a continuous basis”.

<sup>16</sup> Decision 5/CP.15, annex, paragraph 2 (a–c).

35. The SBI invited Parties included in Annex II to the Convention, and other Parties in a position to do so, to provide financial resources to enable the CGE to implement its planned activities for 2011. It encouraged bilateral, multilateral and international organizations to support the work of the CGE.

**B. Information contained in national communications from Parties not included in Annex I to the Convention**

(Agenda item 4 (b) *held in abeyance*)

**C. Further implementation of Article 12, paragraph 5, of the Convention**

(Agenda item 4 (c))

36. The SBI considered this sub-item at its 1<sup>st</sup> and 3<sup>rd</sup> meetings, on 30 November and 4 December, respectively (see para. 23 above).

37. At its 3<sup>rd</sup> meeting, the SBI agreed to continue consideration of this sub-item at its thirty-fourth session and, in accordance with rule 16 of the draft rules of procedure being applied, to include this sub-item on the provisional agenda for that session.

**D. Provision of financial and technical support**

(Agenda item 4 (d))

**1. Proceedings**

38. The SBI considered this sub-item at its 1<sup>st</sup> and 3<sup>rd</sup> meetings, on 30 November and 4 December, respectively (see para. 23 above). It had before it documents FCCC/CP/2010/5 and Add.1 and FCCC/SBI/2010/INF.10.

39. At the 1<sup>st</sup> meeting, the Chair invited the representative of the secretariat of the Global Environment Facility (GEF) to make a statement.

40. At its 3<sup>rd</sup> meeting, the SBI considered and adopted conclusions<sup>17</sup> proposed by the Chair.

**2. Conclusions**

41. The SBI took note of the information on financial support provided by the secretariat of the GEF for the preparation of national communications from non-Annex I Parties.<sup>18</sup>

42. The SBI welcomed the completion of the fifth replenishment of the GEF and took note of the changes implemented in relation to the financing of the preparation of national communications from non-Annex I Parties.

43. The SBI invited the GEF to provide detailed, accurate, timely and complete information on the most recent GEF Council related to the national communications of non-Annex I Parties, in accordance with Article 11 of the Convention, especially on modalities and procedures established by the GEF to ensure that financial resources are provided, in an efficient and timely manner, to meet the agreed full costs incurred by all developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention.

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<sup>17</sup> Adopted as document FCCC/SBI/2010/L.37.

<sup>18</sup> FCCC/SBI/2010/INF.10 and FCCC/CP/2010/5 and Add.1.

44. It further invited the GEF to continue to provide information, ensuring that it is detailed, accurate, timely and complete, on its activities relating to the preparation of national communications from non-Annex I Parties,<sup>19</sup> including information on the dates of approval of funding and the disbursement of funds. It also invited the GEF to provide information on the approximate date of completion of the draft national communications and an approximate date of submission of the national communications to the secretariat, for consideration by the SBI at its thirty-fourth session.

45. The SBI recalled the request to the GEF made by the COP in its decisions 7/CP.13 and 4/CP.14 to assist, as appropriate, non-Annex I Parties in formulating and developing project proposals identified in their national communications, in accordance with Article 12, paragraph 4, of the Convention and decision 5/CP.11, paragraph 2.

46. The SBI encouraged non-Annex I Parties to submit project proposals for the funding of their subsequent national communications before completion of their current national communications, in order to avoid a lack of continuity in project financing.

47. The SBI noted the concerns expressed by some Parties that the funding provided for national communications through the expedited procedures may not be adequate for some non-Annex I Parties to implement the activities that they are to undertake as part of the process of preparation of their national communications.

48. The SBI encouraged the GEF, in accordance with decision 4/CP.14, to continue to ensure that sufficient financial resources are made available to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention for the preparation of their third and, where appropriate, subsequent national communications.

49. The SBI recommended that the COP, at its sixteenth session, request the GEF to continue to provide funds for technical support for the preparation of national communications of non-Annex I Parties, similar to that provided by the National Communications Support Programme, recognizing that the costs of such technical support are not deducted from the funds provided to non-Annex I Parties for the preparation of their national communications.

50. It also recommended that the COP, at its sixteenth session, request the GEF to ensure that the expedited process under the operational procedures continues to provide a timely disbursement of funds to non-Annex I Parties for the preparation of their national communications.

51. The SBI further recommended that the COP, at its sixteenth session, request the GEF to work with its implementing agencies to further simplify its procedures and improve the effectiveness and efficiency of the process through which non-Annex I Parties receive funding to meet their obligations under Article 12, paragraph 1, of the Convention, with the aim of ensuring the timely disbursement of funds to meet the agreed full costs incurred by developing country Parties in complying with these obligations and to avoid gaps between enabling activities of current and subsequent national communications, recognizing that the process of preparation of national communications is a continuous cycle.

52. The SBI recommended that the COP, at its sixteenth session, request the GEF to finalize any remaining operational procedures to ensure the timely disbursement of funds for those Parties that decide to access resources for the preparation of their national communications through direct access.

53. It also recommended that the COP, at its sixteenth session, request the GEF to provide detailed information on funding for projects that have been identified in the

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<sup>19</sup> Decision 10/CP.2, paragraph 1 (b).

national communications of non-Annex I Parties in accordance with Article 12, paragraph 4, of the Convention and subsequently submitted and approved.

54. The SBI noted with appreciation that, as at 4 December 2010, 139 initial, 36 second, two third and one fourth national communications from non-Annex I Parties had been submitted. It also noted that 39 non-Annex I Parties are expected to submit their second national communications by the end of 2011.

## **V. Financial mechanism of the Convention**

(Agenda item 5)

### **A. Fourth review of the financial mechanism**

(Agenda item 5 (a))

#### **1. Proceedings**

55. The SBI considered this sub-item at its 1<sup>st</sup> and 3<sup>rd</sup> meetings, on 30 November and 4 December, respectively. It had before it documents FCCC/SBI/2010/INF.7 and FCCC/SBI/2009/MISC.10 and Add.1. Statements were made by representatives of nine Parties on issues under sub-items 5 (a–c), including one speaking on behalf of the European Union and its member States, one on behalf of AOSIS and one on behalf of the Group of 77 and China.

56. At its 1<sup>st</sup> meeting, the SBI agreed to consider this sub-item together with sub-items 5 (b) and (c) in a contact group co-chaired by Ms. Ana Fornells de Frutos (Spain) and Mr. Fernando Farias (Chile). At the 3<sup>rd</sup> meeting, Mr. Farias reported on the contact group's consultations.

57. Also at its 3<sup>rd</sup> meeting, the SBI considered and adopted conclusions<sup>20</sup> proposed by the Chair.

#### **2. Conclusions**

58. The SBI, at its thirty-third session, took note of the report<sup>21</sup> prepared by the secretariat which compiles and synthesizes the information provided by countries participating in the National Economic, Environment and Development Study (NEEDS) for climate change project.

59. The SBI invited Parties to submit to the secretariat, by 28 March 2011, their views on the synthesis report and requested the secretariat to compile the submissions into a miscellaneous document for consideration by the SBI at its thirty-fourth session. The SBI also decided to continue its consideration of the NEEDS report at its thirty-fourth session.

60. The SBI decided to recommend a draft decision<sup>22</sup> on this matter for adoption by the COP at its sixteenth session (for the text of the decision, see FCCC/SBI/2010/L.38/Add.1).

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<sup>20</sup> Adopted as document FCCC/SBI/2010/L.38.

<sup>21</sup> FCCC/SBI/2010/INF.7.

<sup>22</sup> For the text as adopted, see decision 2/CP.16.

## **B. Report of the Global Environment Facility to the Conference of the Parties and guidance to the Global Environment Facility**

(Agenda item 5 (b))

### **1. Proceedings**

61. The SBI considered this sub-item at its 1<sup>st</sup> and 3<sup>rd</sup> meetings, on 30 November and 4 December, respectively (see para. 56 above). It had before it documents FCCC/CP/2010/5 and Add.1, FCCC/CP/2009/9 and FCCC/SBI/2010/MISC.5.

62. At the 1<sup>st</sup> meeting, the Chair invited the representative of the GEF secretariat to introduce the GEF report.

63. At its 3<sup>rd</sup> meeting, the SBI considered and adopted conclusions<sup>23</sup> proposed by the Chair.

### **2. Conclusions**

64. The SBI, at its thirty-third session, took note of the reports<sup>24</sup> of the GEF submitted to the COP at its fifteenth and sixteenth sessions. In addition, it considered the views submitted by Parties on elements to be taken into account in developing guidance to the GEF.<sup>25</sup>

65. The SBI decided to recommend a draft decision<sup>26</sup> on this matter, as amended, for adoption by the COP at its sixteenth session (for the text of the decision, see FCCC/CP/2010/L.2).<sup>27</sup>

## **C. Assessment of the Special Climate Change Fund**

(Agenda item 5 (c))

### **1. Proceedings**

66. The SBI considered this sub-item at its 1<sup>st</sup> and 3<sup>rd</sup> meetings, on 30 November and 4 December, respectively (see para. 56 above).

67. At its 3<sup>rd</sup> meeting, the SBI considered and adopted conclusions<sup>28</sup> proposed by the Chair.

### **2. Conclusions**

68. The SBI, at its thirty-third session, concluded its consideration of this issue and decided to recommend a draft decision<sup>29</sup> on this matter, as amended, for adoption by the COP at its sixteenth session (for the text of the decision, see FCCC/CP/2010/L.3).<sup>30</sup>

<sup>23</sup> Adopted as document FCCC/SBI/2010/L.39.

<sup>24</sup> FCCC/CP/2009/9 and FCCC/CP/2010/5 and Add.1.

<sup>25</sup> FCCC/SBI/2010/MISC.5.

<sup>26</sup> For the text as adopted, see decision 3/CP.16.

<sup>27</sup> Adopted as document FCCC/SBI/2010/L.39/Add.1, as amended. The amended text is contained in document FCCC/CP/2010/L.2 (decision 3/CP.16).

<sup>28</sup> Adopted as document FCCC/SBI/2010/L.40.

<sup>29</sup> For the text as adopted, see decision 4/CP.16.

<sup>30</sup> Adopted as document FCCC/SBI/2010/L.40/Add.1, as amended. The amended text is contained in document FCCC/CP/2010/L.3 (decision 4/CP.16).

## **D. Least Developed Countries Fund**

(Agenda item 5 (d))

### **1. Proceedings**

69. The SBI considered this sub-item at its 1<sup>st</sup> and 3<sup>rd</sup> meetings, on 30 November and 4 December, respectively. It had before it documents FCCC/CP/2010/5 and Add.1, FCCC/SBI/2010/5, FCCC/SBI/2010/15, FCCC/SBI/2010/17, FCCC/SBI/2010/26, FCCC/SBI/2010/MISC.9 and FCCC/SBI/2009/MISC.10 and Add.1. Statements were made by representatives of nine Parties, including one speaking on behalf of the LDCs and one on behalf of the Group of 77 and China.

70. At its 1<sup>st</sup> meeting, the SBI agreed to consider this sub-item in a contact group co-chaired by Ms. Katherine Vaughn (Australia) and Mr. Rence Sore (Solomon Islands). At the 3<sup>rd</sup> meeting, Mr. Sore reported on the contact group's consultations.

71. Also at its 3<sup>rd</sup> meeting, the SBI considered and adopted conclusions<sup>31</sup> proposed by the Chair.

### **2. Conclusions**

72. The SBI welcomed the report on the eighteenth meeting of the Least Developed Countries Expert Group (LEG),<sup>32</sup> the report on the regional training workshops on the implementation of national adaptation programmes of action (NAPAs),<sup>33</sup> the synthesis report on the NAPA process, including operation of the Least Developed Countries Fund (LDCF),<sup>34</sup> and the information submitted by Parties and relevant organizations on the preparation and implementation of NAPAs, including on accessing funds from the LDCF.<sup>35</sup>

73. The SBI requested the LEG to discuss, with the GEF and its agencies, ways to further improve access to funds from the LDCF, the disbursement of funds, the design of implementation strategies for NAPAs using a programmatic approach, ways to best communicate co-financing requirements under the LDCF, and the remaining challenges faced by LDC Parties in working with GEF agencies, during the first meeting of the LEG in 2011. The SBI further requested the LEG to communicate the outcomes of these discussions to Parties through the report of the LEG to the SBI at its thirty-fourth session.

74. The SBI decided to recommend a draft decision<sup>36</sup> on this matter for adoption by the COP at its sixteenth session (for the text of the decision, see FCCC/SBI/2010/L.27/Add.1).

## **VI. Article 6 of the Convention**

(Agenda item 6)

### **1. Proceedings**

75. The SBI considered this item at its 1<sup>st</sup>, 2<sup>nd</sup> and 3<sup>rd</sup> meetings, on 30 November and 4 December, respectively. It had before it documents FCCC/SBI/2010/2, FCCC/SBI/2010/3, FCCC/SBI/2010/9, FCCC/SBI/2010/19, FCCC/SBI/2010/22, FCCC/SBI/2010/23, FCCC/SBI/2010/24 and FCCC/SBI/2010/MISC.7. Statements were made by

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<sup>31</sup> Adopted as document FCCC/SBI/2010/L.27.

<sup>32</sup> FCCC/SBI/2010/26.

<sup>33</sup> FCCC/SBI/2010/15.

<sup>34</sup> FCCC/SBI/2010/17.

<sup>35</sup> FCCC/SBI/2010/MISC.9.

<sup>36</sup> For the text as adopted, see decision 5/CP.16.

representatives of seven Parties, including one speaking on behalf of the Group of 77 and China.

76. At its 1<sup>st</sup> meeting, the SBI agreed to consider this sub-item in a contact group chaired by Mr. Pa Ousman Jarju (Gambia). At the 3<sup>rd</sup> meeting, Mr. Jarju reported on the contact group's consultations.

77. Also at its 3<sup>rd</sup> meeting, the SBI considered and adopted conclusions<sup>37</sup> proposed by the Chair.

## 2. Conclusions

78. The SBI, at its thirty-third session, decided to recommend a draft decision<sup>38</sup> on this matter for adoption by the COP at its sixteenth session (for the text of the decision, see FCCC/SBI/2010/L.26).

# VII. Matters relating to Article 4, paragraphs 8 and 9, of the Convention

(Agenda item 7)

## A. Progress on the implementation of decision 1/CP.10

(Agenda item 7 (a))

### 1. Proceedings

79. The SBI considered this sub-item at its 2<sup>nd</sup> and 3<sup>rd</sup> meetings, on 30 November and 4 December, respectively. Statements were made by representatives of nine Parties, including one speaking on behalf of AOSIS and one on behalf of the European Union and its member States.

80. At its 2<sup>nd</sup> meeting, the SBI agreed to consider this sub-item in a contact group chaired by Mr. Ortiz Basualdo. At the 3<sup>rd</sup> meeting, Mr. Ortiz Basualdo reported on the contact group's consultations.

81. Also at its 3<sup>rd</sup> meeting, the SBI considered and adopted conclusions<sup>39</sup> proposed by the Chair, as amended.

### 2. Conclusions

82. The SBI agreed to continue its consideration of this matter at its thirty-fourth session on the basis of the draft decision text annexed to the report on its thirty-second session,<sup>40</sup> taking into account any relevant decisions adopted by the COP at its sixteenth session, with a view to recommending a draft decision for adoption by the COP. It also agreed on the interim actions contained in paragraphs 83–90 below.

#### *Adverse effects of climate change*

83. The SBI underlined the importance of engaging a broad range of stakeholders in planning, preparing and implementing adaptation action, as appropriate, in particular those

<sup>37</sup> Adopted as document FCCC/SBI/2010/L.26.

<sup>38</sup> For the text as adopted, see decision 7/CP.16.

<sup>39</sup> Adopted as document FCCC/SBI/2010/L.34/Rev.1.

<sup>40</sup> FCCC/SBI/2010/10, annex IV.

responsible for investment and planning decisions across relevant sectors in developing countries, in particular in the LDCs and small island developing States.

84. The SBI requested the secretariat to enhance the web-based interface<sup>41</sup> with a view to improving the availability of information on accessing existing funds for adaptation, including for the implementation of NAPAs. It also requested the secretariat to develop, in collaboration with Parties and relevant organizations, user-friendly outputs and accessible forms of disseminating the relevant information, including the information contained on the web-based interface, with the aim of building capacity for the identification and preparation of project proposals and for project implementation, and reaching adaptation practitioners at the national and subnational levels, in particular in developing countries.

85. The SBI invited developed country Parties and relevant organizations to assist in efforts to deepen the understanding of policymakers in developing country Parties, inter alia, of the costs and benefits of adaptation options, with a view to enhancing the efforts to integrate adaptation into the planning process, drawing on existing literature, including the relevant documents developed under the Nairobi work programme on impacts, vulnerability and adaptation to climate change.

86. The SBI requested the secretariat to organize a workshop, subject to the availability of resources, to identify challenges and gaps in the implementation of risk management approaches to the adverse effects of climate change, building on the lessons learned and practical experience of international, regional and national organizations and the private sector. It further requested the secretariat to make the report on the workshop available for consideration by the SBI at its thirty-fifth session.

*Impact of the implementation of response measures*

87. The SBI also requested the secretariat to prepare a technical paper on how to enhance capacity for the development and use of modelling in the context of the specific needs and concerns of developing country Parties arising from the impact of the implementation of response measures, including by identifying organizations with relevant expertise and the scope of current activities in this regard.

88. The SBI encouraged Parties to continue to provide, to the extent possible, information on their experiences with and concerns arising from the impact of the implementation of response measures, including through their national communications and other relevant documents.

89. The SBI requested the secretariat to make available information on practical approaches to addressing economic diversification in the context of sustainable development and to report at the thirty fifth session of the SBI on the progress made.

90. The SBI requested the secretariat to organize a workshop, subject to the availability of resources, on promoting risk management approaches on the specific needs and concerns of developing country Parties arising from the impact of the implementation of response measures, building upon the practical experience of international, regional and national organizations and the private sector, including by disseminating information on best practices and lessons learned. The SBI further requested the secretariat to organize this workshop back to back, if possible, with other workshops relevant to the specific needs and concerns of developing country Parties arising from the impact of the implementation of response measures. The SBI requested the secretariat to report to it at its thirty-fifth session.

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<sup>41</sup> <<http://unfccc.int/4638.php>>.



## B. Matters relating to the least developed countries

(Agenda item 7 (b))

### 1. Proceedings

91. The SBI considered this sub-item at its 2<sup>nd</sup> and 3<sup>rd</sup> meetings, on 30 November and 4 December, respectively. It had before it documents FCCC/CP/2010/5 and Add.1, FCCC/SBI/2010/5, FCCC/SBI/2010/12, FCCC/SBI/2010/15, FCCC/SBI/2010/17, FCCC/SBI/2010/26, FCCC/SBI/2010/MISC.9 and FCCC/SBI/2010/MISC.10. Statements were made by representatives of 12 Parties, including one speaking on behalf of the Group of 77 and China and one on behalf of the LDCs.

92. At the 2<sup>nd</sup> meeting, the Chair invited Mr. Fred Machulu Onduri (Uganda), Chair of the LEG, to make a statement.

93. At the same meeting, the SBI agreed to consider this sub-item in a contact group co-chaired by Ms. Vaughn and Mr. Sore. At the 3<sup>rd</sup> meeting, Ms. Vaughn reported on the contact group's consultations.

94. Also at its 3<sup>rd</sup> meeting, the SBI considered and adopted conclusions<sup>42</sup> proposed by the Chair.

### 2. Conclusions

95. The SBI took note of the oral report by the Chair of the LEG and welcomed the report on the eighteenth meeting of the LEG,<sup>43</sup> the report on possible elements for a future mandate for the LEG,<sup>44</sup> the report on the regional training workshops on the implementation of NAPAs in 2009–2010<sup>45</sup> and the report on the NAPA process, including operation of the LDCF.<sup>46</sup>

96. The SBI expressed its gratitude to the following:

(a) The Government of Sao Tome and Principe for hosting the training workshop on the implementation of NAPAs for lusophone LDCs, held in Sao Tome from 4 to 8 September 2010;

(b) The Government of Nepal for hosting the eighteenth meeting of the LEG, held in Kathmandu from 12 to 15 October 2010;

(c) The Government of Samoa for hosting the training workshop on the implementation of NAPAs for the Pacific region, held in Apia from 3 to 6 November 2010.

97. It further expressed its gratitude to the Governments of Australia, Ireland and Romania for providing financial resources to support the work of the LEG.

98. The SBI welcomed the submission by Parties of 45 NAPAs to the secretariat as at 28 November 2010. It noted the important role played by the LEG in assisting LDC Parties with the preparation of their NAPAs.

99. The SBI expressed its appreciation to the LEG for the activities that it has carried out as part of its work programme in support of the preparation and implementation of NAPAs and welcomed the completion of the regional training workshops on the implementation of NAPAs conducted by the LEG during the period 2009–2010.

<sup>42</sup> Adopted as document FCCC/SBI/2010/L.28.

<sup>43</sup> FCCC/SBI/2010/26.

<sup>44</sup> FCCC/SBI/2010/12.

<sup>45</sup> FCCC/SBI/2010/15.

<sup>46</sup> FCCC/SBI/2010/17.

100. The SBI encouraged respective groups or regions, in nominating experts to the LEG, to consider the gender balance of the membership of the group, as well as the skills and expertise of the members.

101. The SBI requested the LEG to keep it informed of the efforts of the group in implementing its work programme over the period 2011–2015.

102. The SBI invited Parties in a position to do so to continue to provide resources in support of the implementation of the LEG work programme.

103. The SBI decided to recommend a draft decision<sup>47</sup> on this matter for adoption by the COP at its sixteenth session (for the text of the decision, see FCCC/SBI/2010/L.28/Add.1).

## VIII. Development and transfer of technologies

(Agenda item 8)

### 1. Proceedings

104. The SBI considered this item at its 2<sup>nd</sup> and 3<sup>rd</sup> meetings, on 30 November and 4 December, respectively. It had before it documents FCCC/SBI/2010/25 and FCCC/SB/2010/INF.4. Statements were made by representatives of five Parties, including one speaking on behalf of the European Union and its member States. In addition, representatives of business and industry non-governmental organizations (NGOs) and environmental NGOs made statements.

105. At the 2<sup>nd</sup> meeting, the Chair invited Mr. Nagmeldin Goutbi Elhassan (Sudan), Vice-Chair of the Expert Group on Technology Transfer (EGTT), to report on the group's activities. At the same meeting, the Chair invited the representative of the GEF secretariat to report on the progress made in carrying out the Poznan strategic programme on technology transfer.

106. Also at the 2<sup>nd</sup> meeting, the SBI agreed to consider this item with the Subsidiary Body for Scientific and Technological Advice (SBSTA) in a joint contact group co-chaired by Mr. Carlos Fuller (Belize) and Mr. Ronald Schillemans (Netherlands). At the 3<sup>rd</sup> meeting, Mr. Fuller reported on the joint contact group's consultations.

107. Also at its 3<sup>rd</sup> meeting, the SBI considered and adopted conclusions<sup>48</sup> proposed by the Chair.

### 2. Conclusions

108. The SBI and the SBSTA welcomed the report of the EGTT on the outcomes of the group's work, including its sixth regular meeting, held from 19 to 20 November 2010 in Bonn, Germany,<sup>49</sup> and noted the oral report by the Vice-Chair of the EGTT on the outcomes of the group's work. The SBI and the SBSTA noted that the EGTT has made considerable progress in implementing its programme of work for 2010–2011. The SBI and the SBSTA expressed their appreciation to Mr. Bruce Wilson (Australia), the Chair of the EGTT, and Mr. Elhassan, the Vice-Chair, for leading the EGTT in the advancement of its programme of work for 2010–2011.

109. The SBI and the SBSTA welcomed the updated handbook *Conducting Technology Needs Assessments for Climate Change* (hereinafter referred to as the TNA handbook),<sup>50</sup>

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<sup>47</sup> For the text as adopted, see decision 6/CP.16.

<sup>48</sup> Adopted as document FCCC/SBI/2010/L.25.

<sup>49</sup> FCCC/SB/2010/INF.4.

<sup>50</sup> UNDP. 2009. *Handbook for Conducting Technology Needs Assessments for Climate Change*.

jointly prepared by UNDP and the secretariat in collaboration with the EGTT and the Climate Technology Initiative. The SBI and the SBSTA encouraged non-Annex I Parties currently conducting or updating their technology needs assessments (TNAs) to use the updated TNA handbook.

110. The SBI noted the report of the GEF on the progress made in carrying out the Poznan strategic programme on technology transfer.<sup>51</sup> It also noted the progress made in providing technical and financial support to assist non-Annex I Parties in developing and/or updating their TNAs. The SBI further noted the progress made in providing financial support for technology transfer pilot projects that support the deployment, diffusion and transfer of technologies. It noted that the pilot projects proposed and/or being implemented under the Poznan strategic programme on technology transfer mainly address mitigation; therefore it welcomed the development of a Technology Transfer Program for Climate Adaptation as announced by the GEF in its report on the progress made in carrying out the Poznan strategic programme on technology transfer.<sup>52</sup>

111. In view of the ongoing discussions taking place under the Convention regarding the structure of the Technology Mechanism, the SBI noted that any activities proposed by the GEF should not prejudice the outcome of the negotiations under the Ad Hoc Working Group on Long-term Cooperative Action under the Convention. In particular, the SBI noted that the GEF should align its long-term programme on technology transfer following the outcome of the negotiations.

## **IX. Capacity-building under the Convention**

(Agenda item 9)

### **1. Proceedings**

112. The SBI considered this item at its 2<sup>nd</sup> and 3<sup>rd</sup> meetings, on 30 November and 4 December, respectively. It had before it documents FCCC/CP/2010/5 and Add.1, FCCC/CP/2009/9, FCCC/SBI/2010/20, FCCC/SBI/2010/MISC.6, FCCC/SBI/2009/10, FCCC/SBI/2009/MISC.1, FCCC/SBI/2009/MISC.2, FCCC/SBI/2009/MISC.8 and FCCC/SBI/2009/MISC.12/Rev.1.

113. At its 2<sup>nd</sup> meeting, the SBI agreed to consider this item in a contact group co-chaired by Ms. Marie Jaudet (France) and Mr. Philip Gwage (Uganda). At the 3<sup>rd</sup> meeting, Ms. Jaudet reported on the contact group's consultations.

114. Also at its 3<sup>rd</sup> meeting, the SBI considered and adopted conclusions<sup>53</sup> proposed by the Chair.

### **2. Conclusions**

115. The SBI, at its thirty-third session, decided to recommend a draft decision<sup>54</sup> on this matter for adoption by the COP at its sixteenth session (for the text of the decision, see FCCC/SBI/2010/L.29).

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Available at <[http://unfccc.int/ttclear/pdf/TNAHandbook\\_9-15-2009.pdf](http://unfccc.int/ttclear/pdf/TNAHandbook_9-15-2009.pdf)>.

<sup>51</sup> FCCC/SBI/2010/25.

<sup>52</sup> FCCC/SBI/2010/25, paragraph 48.

<sup>53</sup> Adopted as document FCCC/SBI/2010/L.29.

<sup>54</sup> For the text as adopted, see decision 10/CP.16.

## **X. Capacity-building under the Kyoto Protocol**

(Agenda item 10)

### **1. Proceedings**

116. The SBI considered this item at its 2<sup>nd</sup> and 4<sup>th</sup> meetings, on 30 November and 4 December, respectively. It had before it documents FCCC/KP/CMP/2010/10, FCCC/KP/CMP/2009/16, FCCC/SBI/2010/20, FCCC/SBI/2010/MISC.6, FCCC/SBI/2009/4, FCCC/SBI/2009/5, FCCC/SBI/2009/10, FCCC/SBI/2009/MISC.1, FCCC/SBI/2009/MISC.2, FCCC/SBI/2009/MISC.8 and FCCC/SBI/2009/MISC.12/Rev.1.

117. At its 2<sup>nd</sup> meeting, the SBI agreed to consider this item in a contact group co-chaired by Ms. Jaudet and Mr. Gwage. At the 3<sup>rd</sup> meeting, Ms. Jaudet reported on the contact group's consultations.

118. Also at its 3<sup>rd</sup> meeting, the SBI considered and adopted conclusions<sup>55</sup> proposed by the Chair.

### **2. Conclusions**

119. The SBI, at its thirty-third session, decided to recommend a draft decision<sup>56</sup> on this matter for adoption by the CMP at its sixth session (for the text of the decision, see FCCC/SBI/2010/L.30).

## **XI. Matters relating to Article 3, paragraph 14, of the Kyoto Protocol**

(Agenda item 11)

### **1. Proceedings**

120. The SBI considered this item at its 2<sup>nd</sup> and 3<sup>rd</sup> meetings, on 30 November and 4 December, respectively.

121. At its 2<sup>nd</sup> meeting, the SBI agreed to consider this agenda item together with the SBSTA agenda item "Matters relating to Article 2, paragraph 3, of the Kyoto Protocol" in a joint contact group co-chaired by Mr. Andrew Ure (Australia) and Mr. Eduardo Calvo (Peru). At the 3<sup>rd</sup> meeting, Mr. Ure reported on the joint contact group's consultations.

122. Also at its 3<sup>rd</sup> meeting, the SBI considered and adopted conclusions<sup>57</sup> proposed by the Chair.

### **2. Conclusions**

123. The SBI and the SBSTA established a joint contact group to discuss the SBI agenda item "Matters relating to Article 3, paragraph 14, of the Kyoto Protocol" and the SBSTA agenda item "Matters relating to Article 2, paragraph 3, of the Kyoto Protocol" during their thirty-third sessions. In the establishment of this joint contact group, the Chairs of the SBI and the SBSTA directed that equal time be allocated to the consideration of each item.

124. The SBI and the SBSTA requested the secretariat to organize a joint workshop to address matters relating to Article 3, paragraph 14, and Article 2, paragraph 3, of the

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<sup>55</sup> Adopted as document FCCC/SBI/2010/L.30.

<sup>56</sup> For the text as adopted, see decision 11/CMP.6.

<sup>57</sup> Adopted as document FCCC/SBI/2010/L.23.

Kyoto Protocol, subject to the availability of resources, with a view to maximizing participation, before their thirty-fifth sessions.

125. The SBI and the SBSTA invited Parties and relevant organizations to submit to the secretariat, by 21 February 2011, further information and views on issues that could be addressed at this joint workshop.

126. The SBI and the SBSTA requested the secretariat to compile the submissions referred to in paragraph 125 above into a miscellaneous document and to prepare a synthesis report based on these submissions for consideration at their thirty-fourth sessions.

127. The SBI and the SBSTA agreed to continue their discussions on these matters in a joint contact group to be established by the SBI and the SBSTA at their thirty-fourth sessions.

## **XII. Report of the administrator of the international transaction log under the Kyoto Protocol**

(Agenda item 12)

128. The SBI considered this item at its 2<sup>nd</sup> meeting, on 30 November. It had before it document FCCC/KP/CMP/2010/8. At the same meeting, the SBI took note of the information contained in the report.

## **XIII. Annual compilation and accounting reports for Annex B Parties under the Kyoto Protocol for 2009 and 2010**

(Agenda item 13)

### **1. Proceedings**

129. The SBI considered this item at its 1<sup>st</sup> and 3<sup>rd</sup> meetings, on 30 November and 4 December, respectively. It had before it documents FCCC/KP/CMP/2010/5 and Add.1 and FCCC/KP/CMP/2009/15 and Add.1.

130. At its 1<sup>st</sup> meeting, the SBI agreed to consider this agenda item in a contact group co-chaired by Ms. Herold and Mr. Mugurusi. At the 3<sup>rd</sup> meeting, Ms. Herold reported on the contact group's consultations.

131. Also at its 3<sup>rd</sup> meeting, the SBI considered and adopted conclusions<sup>58</sup> proposed by the Chair.

### **2. Conclusions**

132. The SBI, at its thirty-third session, agreed to recommend draft conclusions<sup>59</sup> on this matter for adoption by the CMP at its sixth session (for the text of the conclusions, see FCCC/SBI/2010/L.32).

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<sup>58</sup> Adopted as document FCCC/SBI/2010/L.32.

<sup>59</sup> For the text as adopted, see document FCCC/KP/CMP/2010/12, paragraph 86.

## **XIV. Amendment of the Kyoto Protocol in respect of procedures and mechanisms relating to compliance**

(Agenda item 14)

133. The SBI considered this item at its 2<sup>nd</sup> and 3<sup>rd</sup> meetings, on 30 November and 4 December, respectively. It had before it document FCCC/KP/CMP/2005/2.

134. At the 2<sup>nd</sup> meeting, the Chair announced that he would consult with interested Parties and report on the outcome of these consultations to the SBI at its closing plenary. At the 3<sup>rd</sup> meeting, the Chair reported that there was agreement to continue to discuss this matter further at the thirty fourth session of the SBI and to include this item on the provisional agenda for that session.

## **XV. Arrangements for intergovernmental meetings**

(Agenda item 15)

### **1. Proceedings**

135. The SBI considered this item at its 2<sup>nd</sup> and 3<sup>rd</sup> meetings, on 30 November and 4 December, respectively. It had before it documents FCCC/SBI/2010/16 and FCCC/SBI/2010/MISC.8. Statements were made by representatives of four Parties, including one speaking on behalf of the Group of 77 and China. In addition, representatives of business and industry NGOs, environmental NGOs, farmers, indigenous peoples organizations, local government and municipal authorities, trade union NGOs, women and gender, and youth NGOs made statements.

136. At its 2<sup>nd</sup> meeting, the SBI agreed to consider this item in a contact group chaired by Mr. Owen-Jones. At the 3<sup>rd</sup> meeting, Mr. Owen-Jones reported on the contact group's consultations.

137. Also at the 3<sup>rd</sup> meeting, the Chair informed Parties that he had been invited by the President of the COP to undertake informal consultations on the working arrangements for 2011.<sup>60</sup>

138. At the same meeting, the SBI considered and adopted conclusions<sup>61</sup> proposed by the Chair.

### **2. Conclusions**

139. The SBI considered the submissions from Parties and observer organizations<sup>62</sup> and the information provided in the synthesis report<sup>63</sup> prepared by the secretariat on ways to enhance the engagement of observer organizations. The SBI took note of the views of observer organizations expressed during the contact group on this issue as well as during consultations with the Chair of the SBI.

140. The SBI recalled the conclusions at its twentieth session recognizing the fundamental value of effective participation by observers, both in the intergovernmental

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<sup>60</sup> FCCC/CP/2010/7, paragraph 29.

<sup>61</sup> Adopted as document FCCC/SBI/2010/L.31.

<sup>62</sup> The submissions from Parties are contained in document FCCC/SBI/2010/MISC.8; the submissions from intergovernmental organizations are available at <[http://unfccc.int/parties\\_observers/igo/submissions/items/3714.php](http://unfccc.int/parties_observers/igo/submissions/items/3714.php)>; and the submissions from NGOs are available at <[http://unfccc.int/parties\\_observers/ngo/submissions/items/3689.php](http://unfccc.int/parties_observers/ngo/submissions/items/3689.php)>.

<sup>63</sup> FCCC/SBI/2010/16.

process and in responding to climate change, and the value of contributions from observer organizations to deliberations on substantive issues.<sup>64</sup>

141. The SBI recognized that the range and value of observer organization engagement is diverse, broad and rich, and that the role and contributions of observer organizations should be enhanced in the UNFCCC intergovernmental process.

142. The SBI recalled that there are currently nine observer constituencies under the UNFCCC process, consistent with the major groups defined as stakeholders in Agenda 21.<sup>65</sup>

143. The SBI recognized the important role and value of the participation of all stakeholders, both governmental and non-governmental, in the UNFCCC process in accordance with Article 7, paragraph 6, of the Convention.

144. The SBI noted that discussions under the framework of the preparatory meetings for the United Nations Conference on Sustainable Development in 2012 provide opportunities for broad stakeholder participation. It also noted that these discussions, including discussions between the Rio Conventions, may need to be considered under the UNFCCC process.

145. The SBI further noted the developments in recent years in practices for the engagement of observer organizations in the intergovernmental process and welcomed the recent initiatives of the secretariat to improve the dialogue process with observer organizations.

146. The SBI expressed its appreciation to the Government of Mexico for its efforts to engage in discussions with observer organizations in the preparations leading up to and during COP 16 and CMP 6.

147. The SBI noted that any steps taken to enhance the engagement of observer organizations should be undertaken in full consultation with observer organizations. It also noted that these steps would be subject to the availability of resources.

148. The SBI recalled its conclusions at its twentieth session that requests for submission of information and views could be extended to NGOs where appropriate and on the understanding that such submissions would not be issued as official documents, in order not to expand the volume of documentation, but would be made available on the UNFCCC website.<sup>66</sup> The SBI requested the secretariat to continue its efforts to make these submissions more accessible on the UNFCCC website.

149. The SBI recommended that, in order to enhance information sharing, chairs provide briefings for observer organizations at each session in order to keep them informed of the issues being discussed and of the progress being made in informal discussions.

150. The SBI agreed to continue to address further ways to enhance the existing means of participation for observer organizations, particularly ways to increase opportunities for interventions and other substantive inputs. Efforts should also be made to enhance the use of technical means for participation.

151. In addition, the SBI recognized the need to take into account best practices from other processes within the United Nations system. It requested the secretariat to keep under review good practices relating to observer organizations in the United Nations system, with a view to benefitting from the experiences of other forums to further enhance the

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<sup>64</sup> FCCC/SBI/2004/10, paragraphs 98 and 103.

<sup>65</sup> <[http://www.un.org/esa/dsd/agenda21/res\\_agenda21\\_00.shtml](http://www.un.org/esa/dsd/agenda21/res_agenda21_00.shtml)>.

<sup>66</sup> FCCC/SBI/2004/10, paragraph 104.

participation of observer organizations in the UNFCCC process. The SBI also requested the secretariat to report back to it on these practices at its thirty-fourth session.

152. The SBI agreed to convene an in-session workshop in 2011 to further develop ways to enhance the engagement of observers in accordance with Article 7, paragraph 6, of the Convention, including ideas for enhancing their existing means of participation, taking note of the discussions that took place during SBI 33, and requested that the report on the workshop be presented to it at its thirty-fourth session.

## **XVI. Administrative, financial and institutional matters**

(Agenda item 16)

### **A. Audited financial statements for the biennium 2008–2009**

(Agenda item 16 (a))

#### **Budget performance for the biennium 2010–2011**

(Agenda item 16 (b))

#### **Continuing review of the functions and operations of the secretariat**

(Agenda item 16 (c))

##### **1. Proceedings**

153. The SBI considered these sub-items at its 2<sup>nd</sup> and 3<sup>rd</sup> meetings, on 30 November and 4 December, respectively. It had before it documents FCCC/SBI/2010/13, FCCC/SBI/2010/14 and Add.1 and 2 and FCCC/SBI/2010/INF.9. A statement was made by a representative of one Party.

154. At its 3<sup>rd</sup> meeting, the SBI considered and adopted conclusions<sup>67</sup> proposed by the Chair.

##### **2. Conclusions**

155. The SBI took note of the audited financial statements for the biennium 2008–2009, the audit report of the United Nations Board of Auditors and the comments of the secretariat on the status of implementation of the recommendations made in the audit report.<sup>68</sup> It also took note of the information relating to income and budget performance for the biennium 2010–2011 as at 30 June 2010<sup>69</sup> and the status of contributions as at 15 November 2010.<sup>70</sup>

156. The SBI decided to recommend draft decisions<sup>71</sup> on these matters for adoption by the COP at its sixteenth session (for the text of the decision, see FCCC/SBI/2010/L.24/Add.1) and by the CMP at its sixth session (for the text of the decision, see FCCC/SBI/2010/L.24/Add.2).

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<sup>67</sup> Adopted as document FCCC/SBI/2010/L.24.

<sup>68</sup> FCCC/SBI/2010/14 and Add.1 and 2.

<sup>69</sup> FCCC/SBI/2010/13.

<sup>70</sup> FCCC/SBI/2010/INF.9.

<sup>71</sup> For the texts as adopted, see decision 11/CP.16 and decision 12/CMP.6.



## **B. Privileges and immunities for individuals serving on constituted bodies established under the Kyoto Protocol**

(Agenda item 16 (d))

157. The SBI considered this sub-item at its 2<sup>nd</sup> and 3<sup>rd</sup> meetings, on 30 November and 4 December, respectively. It agreed to include this sub-item on the provisional agenda for SBI 34, in accordance with rule 16 of the draft rules of procedure being applied, and that discussions would continue on the basis of the text contained in annex IX to the report of the SBI on its thirty-second session.<sup>72</sup>

## **XVII. Other matters**

(Agenda item 17)

158. The SBI considered this item at its 2<sup>nd</sup> meeting, on 30 November. A representative of Argentina made a statement on the Falkland Islands (Malvinas), the full text of which is contained in document FCCC/SBI/2010/MISC.11. A representative of the United Kingdom of Great Britain and Northern Ireland also made a statement on the Falkland Islands (Malvinas), the full text of which is contained in document FCCC/SBI/2010/MISC.12.

## **XVIII. Report on the session**

(Agenda item 18)

159. At its 3<sup>rd</sup> meeting, on 4 December, the SBI considered and adopted the draft report on its thirty-third session.<sup>73</sup> At the same meeting, on a proposal by the Chair, the SBI authorized the Rapporteur to complete the report on the session, with the assistance of the secretariat and under the guidance of the Chair.

## **XIX. Closure of the session**

160. At the 3<sup>rd</sup> meeting, on 4 December, the Deputy Executive Secretary provided a preliminary evaluation of the administrative and budgetary implications of conclusions adopted during the session. This follows decision 16/CP.9, paragraph 20, which requests the Executive Secretary to provide an indication of the administrative and budgetary implications of decisions<sup>74</sup> if these cannot be met from existing resources within the core budget.

161. The Deputy Executive Secretary informed Parties that most of the current and mandated work under the SBI had been anticipated and already covered by resources available in the programme budget for 2010–2011. New activities that would require additional resources included an estimated USD 300,000 under the implementation of decision 1/CP.10 to organize two workshops, report on their outcomes and prepare one technical paper, as well as additional funding to cover the extended five-year mandate and increased membership of the LEG. The Deputy Executive Secretary counted on Parties to continue to provide funding in a timely and predictable manner.

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<sup>72</sup> FCCC/SBI/2010/10.

<sup>73</sup> Adopted as document FCCC/SBI/2010/L.22.

<sup>74</sup> While decision 16/CP.9 refers to “decisions”, it also has implications for conclusions of the subsidiary bodies.

162. Also at the 3<sup>rd</sup> meeting, closing statements were made by representatives of seven Parties, including one speaking on behalf of the European Union and its member States, one on behalf of the Group of 77 and China, one on behalf of the Umbrella Group, one on behalf of the Environmental Integrity Group, one on behalf of the LDCs and one on behalf of AOSIS.

163. Before closing the session, the Chair thanked delegates and the chairs of contact groups for their contributions. He also thanked the secretariat, the interpreters and security for their support.

## Annex

### Documents before the Subsidiary Body for Implementation at its thirty-third session

#### Documents prepared for the session

FCCC/SBI/2010/11	Provisional agenda and annotations. Note by the Executive Secretary
FCCC/SBI/2010/12	Synthesis report on possible elements for a future mandate for the Least Developed Countries Expert Group. Note by the secretariat
FCCC/SBI/2010/13	Budget performance for the biennium 2010–2011 as at 30 June 2010. Note by the Executive Secretary
FCCC/SBI/2010/14	Report of the United Nations Board of Auditors. Note by the Executive Secretary
FCCC/SBI/2010/14/Add.1	Report of the United Nations Board of Auditors. Note by the Executive Secretary. Addendum. Comments by the secretariat
FCCC/SBI/2010/14/Add.2	Report of the United Nations Board of Auditors. Note by the Executive Secretary. Addendum. Audited financial statements for the biennium 2008–2009
FCCC/SBI/2010/15	Report on the regional training workshops on the implementation of national adaptation programmes of action in 2009–2010. Note by the secretariat
FCCC/SBI/2010/16	Synthesis report on ways to enhance the engagement of observer organizations. Note by the secretariat
FCCC/SBI/2010/17	Synthesis report on the national adaptation programme of action process, including operation of the Least Developed Countries Fund. Note by the secretariat
FCCC/SBI/2010/18	National greenhouse gas inventory data for the period 1990–2008. Note by the secretariat
FCCC/SBI/2010/19	Report on the regional workshop on the implementation of Article 6 of the Convention in Africa. Note by the secretariat
FCCC/SBI/2010/20	Synthesis report on the implementation of the framework for capacity-building in developing countries. Note by the secretariat

FCCC/SBI/2010/21	Progress report on the work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention. Note by the secretariat
FCCC/SBI/2010/21/Add.1	Progress report on the work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention. Note by the secretariat. Addendum. Technical problems and constraints affecting non-Annex I Parties in the process of and preparation of their national communications and the assessment of their capacity-building needs
FCCC/SBI/2010/22	Report on the regional workshop on the implementation of Article 6 of the Convention in small island developing States. Note by the secretariat
FCCC/SBI/2010/23	Report on essential needs for, potential gaps in, barriers to, and progress in the implementation of the amended New Delhi work programme. Note by the secretariat
FCCC/SBI/2010/24	Report on the full-scale implementation of CC:iNet. Note by the secretariat
FCCC/SBI/2010/25	Report of the Global Environment Facility on the progress made in carrying out the Poznan strategic programme on technology transfer. Note by the secretariat
FCCC/SBI/2010/26	Report on the eighteenth meeting of the Least Developed Countries Expert Group. Note by the secretariat
FCCC/SBI/2010/INF.7	Synthesis report on the National Economic, Environment and Development Study (NEEDS) for Climate Change Project. Note by the secretariat
FCCC/SBI/2010/INF.8	Status of submission and review of fifth national communications. Note by the secretariat
FCCC/SBI/2010/INF.9	Status of contributions as at 15 November 2010. Note by the secretariat
FCCC/SBI/2010/INF.10	Information on financial support provided by the Global Environment Facility for the preparation of national communications from Parties not included in Annex I to the Convention. Note by the secretariat
FCCC/SBI/2010/MISC.5	Views on elements to be taken into account in developing guidance to the Global Environment Facility. Submissions from Parties

FCCC/SBI/2010/MISC.6	Activities to implement the framework for capacity-building in developing countries under decision 2/CP.7. Submissions from Parties and relevant organizations
FCCC/SBI/2010/MISC.7	Activities to implement the amended New Delhi work programme under decision 9/CP.13. Submissions from Parties and relevant organizations
FCCC/SBI/2010/MISC.8	Ways to enhance the engagement of observer organizations. Submissions from Parties
FCCC/SBI/2010/MISC.9	Information on the preparation and implementation of national adaptation programmes of action, including on accessing funds from the Least Developed Countries Fund. Submissions from Parties and relevant organizations
FCCC/SBI/2010/MISC.10	Views on possible elements for a future mandate for the Least Developed Countries Expert Group, including its renewal and expansion. Submissions from Parties
FCCC/SBI/2010/MISC.11	Other matters. Submission from Argentina
FCCC/SBI/2010/MISC.12	Other matters. Submission from the United Kingdom of Great Britain and Northern Ireland
FCCC/SB/2010/INF.4	Report of the Expert Group on Technology Transfer. Note by the Chair of the Expert Group on Technology Transfer
FCCC/SBI/2010/L.22	Draft report of the Subsidiary Body for Implementation on its thirty-third session
FCCC/SBI/2010/L.23	Matters relating to Article 3, paragraph 14, of the Kyoto Protocol. Draft conclusions proposed by the Chair
FCCC/SBI/2010/L.24	Administrative, financial and institutional matters. Draft conclusions proposed by the Chair
FCCC/SBI/2010/L.24/Add.1	Administrative, financial and institutional matters. Draft conclusions proposed by the Chair. Addendum. Recommendation of the Subsidiary Body for Implementation
FCCC/SBI/2010/L.24/Add.2	Administrative, financial and institutional matters. Draft conclusions proposed by the Chair. Addendum. Recommendation of the Subsidiary Body for Implementation
FCCC/SBI/2010/L.25	Development and transfer of technologies. Draft conclusions proposed by the Chair
FCCC/SBI/2010/L.26	Article 6 of the Convention. Draft conclusions proposed by the Chair. Recommendation of the Subsidiary Body for Implementation

FCCC/SBI/2010/L.27	Least Developed Countries Fund. Draft conclusions proposed by the Chair
FCCC/SBI/2010/L.27/Add.1	Least Developed Countries Fund. Draft conclusions proposed by the Chair. Addendum. Recommendation of the Subsidiary Body for Implementation
FCCC/SBI/2010/L.28	Matters relating to the least developed countries. Draft conclusions proposed by the Chair
FCCC/SBI/2010/L.28/Add.1	Matters relating to the least developed countries. Draft conclusions proposed by the Chair. Addendum. Recommendation of the Subsidiary Body for Implementation
FCCC/SBI/2010/L.29	Capacity-building under the Convention. Draft conclusions proposed by the Chair. Recommendation of the Subsidiary Body for Implementation
FCCC/SBI/2010/L.30	Capacity-building under the Kyoto Protocol. Draft conclusions proposed by the Chair. Recommendation of the Subsidiary Body for Implementation
FCCC/SBI/2010/L.31	Arrangements for intergovernmental meetings. Draft conclusions proposed by the Chair
FCCC/SBI/2010/L.32	Annual compilation and accounting reports for Annex B Parties under the Kyoto Protocol for 2009 and 2010. Draft conclusions proposed by the Chair. Recommendation of the Subsidiary Body for Implementation
FCCC/SBI/2010/L.33	Progress report on the work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention. Draft conclusions proposed by the Chair
FCCC/SBI/2010/L.34/Rev.1	Matters relating to Article 4, paragraphs 8 and 9, of the Convention. Progress on the implementation of decision 1/CP.10. Revised draft conclusions proposed by the Chair
FCCC/SBI/2010/L.35	Reports on national greenhouse gas inventory data from Parties included in Annex I to the Convention for the period 1990–2007 and the period 1990–2008. Draft conclusions proposed by the Chair
FCCC/SBI/2010/L.36	National communications and greenhouse gas inventory data from Parties included in Annex I to the Convention. Draft conclusions proposed by the Chair

FCCC/SBI/2010/L.36/Add.1	National communications and greenhouse gas inventory data from Parties included in Annex I to the Convention. Draft conclusions proposed by the Chair. Addendum. Recommendation of the Subsidiary Body for Implementation
FCCC/SBI/2010/L.36/Add.2	National communications and greenhouse gas inventory data from Parties included in Annex I to the Convention. Draft conclusions proposed by the Chair. Addendum. Recommendation of the Subsidiary Body for Implementation
FCCC/SBI/2010/L.37	Provision of financial and technical support. Draft conclusions proposed by the Chair
FCCC/SBI/2010/L.38	Fourth review of the financial mechanism. Draft conclusions proposed by the Chair
FCCC/SBI/2010/L.38/Add.1	Fourth review of the financial mechanism. Draft conclusions proposed by the Chair. Addendum. Recommendation of the Subsidiary Body for Implementation
FCCC/SBI/2010/L.39	Report of the Global Environment Facility to the Conference of the Parties and guidance to the Global Environment Facility. Draft conclusions proposed by the Chair
FCCC/SBI/2010/L.39/Add.1	Report of the Global Environment Facility to the Conference of the Parties and guidance to the Global Environment Facility. Draft conclusions proposed by the Chair. Addendum. Recommendation of the Subsidiary Body for Implementation
FCCC/SBI/2010/L.40	Assessment of the Special Climate Change Fund. Draft conclusions proposed by the Chair
FCCC/SBI/2010/L.40/Add.1	Assessment of the Special Climate Change Fund. Draft conclusions proposed by the Chair. Addendum. Recommendation of the Subsidiary Body for Implementation

**Other documents before the session**

FCCC/CP/2010/5 and Add.1	Report of the Global Environment Facility to the Conference of the Parties. Note by the secretariat
FCCC/CP/2009/9	Report of the Global Environment Facility to the Conference of the Parties. Note by the secretariat
FCCC/KP/CMP/2010/5	Annual compilation and accounting report for Annex B Parties under the Kyoto Protocol for 2010. Note by the secretariat
FCCC/KP/CMP/2010/5/Add.1	Annual compilation and accounting report for Annex B Parties under the Kyoto Protocol for 2010. Note by the secretariat. Addendum. Compilation and accounting information by Party

FCCC/KP/CMP/2010/8	Annual report of the administrator of the international transaction log under the Kyoto Protocol. Note by the secretariat
FCCC/KP/CMP/2010/10	Annual report of the Executive Board of the clean development mechanism to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol
FCCC/KP/CMP/2009/15	Annual compilation and accounting report for Annex B Parties under the Kyoto Protocol. Note by the secretariat
FCCC/KP/CMP/2009/15/Add. 1	Annual compilation and accounting report for Annex B Parties under the Kyoto Protocol. Note by the secretariat. Addendum. Compilation and accounting information by Party
FCCC/KP/CMP/2009/16	Annual report of the Executive Board of the clean development mechanism to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol
FCCC/KP/CMP/2005/2	Proposal from Saudi Arabia to amend the Kyoto Protocol. Note by the secretariat
FCCC/SBI/2010/2	Report on the European regional workshop on Article 6 of the Convention. Note by the secretariat
FCCC/SBI/2010/3	Report on the regional workshop on the implementation of Article 6 in Asia and the Pacific. Note by the secretariat
FCCC/SBI/2010/5	Report on the seventeenth meeting of the Least Developed Countries Expert Group. Note by the secretariat
FCCC/SBI/2010/9	Report on the regional workshop on the implementation of Article 6 in Latin America and the Caribbean. Note by the secretariat
FCCC/SBI/2010/10 and Add.1	Report of the Subsidiary Body for Implementation on its thirty-second session, held in Bonn from 31 May to 9 June 2010
FCCC/SBI/2009/4	Analysis of progress made in, and the effectiveness of, the implementation of the framework for capacity-building in developing countries in support of the second comprehensive review of the capacity-building framework. Note by the secretariat
FCCC/SBI/2009/5	Synthesis of experiences and lessons learned in the use of performance indicators for monitoring and evaluating capacity-building at the national and global levels. Note by the secretariat
FCCC/SBI/2009/10	Synthesis report on the implementation of the framework for capacity-building in developing countries. Note by the secretariat



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FCCC/SBI/2009/12	National greenhouse gas inventory data for the period 1990–2007. Note by the secretariat
FCCC/SBI/2009/15	Report of the Subsidiary Body for Implementation on its thirty-first session, held in Copenhagen from 8 to 12 December 2009
FCCC/SBI/2009/INF.9	Status of submission and review of fourth national communications and of reports demonstrating progress. Note by the secretariat
FCCC/SBI/2009/MISC.1	Information on experiences and lessons learned in the use of performance indicators for monitoring and evaluating capacity-building at the national and global levels. Submissions from Parties and intergovernmental organizations
FCCC/SBI/2009/MISC.2	Additional or updated information and views relevant to the completion of the second comprehensive review of the capacity-building framework. Submissions from Parties
FCCC/SBI/2009/MISC.8	Activities to implement the framework for capacity-building in developing countries under decision 2/CP.7. Submissions from Parties
FCCC/SBI/2009/MISC.10 and Add.1	The operation of funds under the Global Environment Facility as an operating entity of the financial mechanism, as well as the Special Climate Change Fund and the Least Developed Countries Fund. Submissions from Parties
FCCC/SBI/2009/MISC.12/Rev.1	Submissions from the United Republic of Tanzania on behalf of the Group of 77 and China and from Sweden on behalf of the European Union and its Member States on the completion of the second comprehensive review of the capacity-building framework in developing countries under the Convention and the Kyoto Protocol
FCCC/SB/2007/INF.2	Relationship of various provisions of the Mauritius Strategy to the work of the Convention and its Kyoto Protocol. Note by the secretariat

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