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UNITED NATIONS FRAMEWORK CONVENTION ON CLIMATE CHANGE

**SUBSIDIARY BODY FOR IMPLEMENTATION**

**Thirty-first session**

**Copenhagen, 7–18 December 2009**

**Item 8 of the provisional agenda**

**Capacity-building under the Convention**

**Item 9 of the provisional agenda**

**Capacity-building under the Kyoto Protocol**

**Submissions from the United Republic of Tanzania on behalf of the Group of 77 and China and from Sweden on behalf of the European Union and its Member States on the completion of the second comprehensive review of the capacity-building framework in developing countries under the Convention and the Kyoto Protocol**

1. Two submissions were received in relation to items 8 and 9 of the agenda of the thirty-first session of the Subsidiary Body for Implementation.
2. In accordance with the procedure for miscellaneous documents, these submissions\* are reproduced in the language in which they were received and without formal editing.

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\* This submission is supported by Albania, Croatia, the Former Yugoslav Republic of Macedonia, Montenegro, Serbia and Turkey.

PAPER NO. 1: SWEDEN ON BEHALF OF THE EUROPEAN COMMUNITY  
AND ITS MEMBER STATES

**This submission is supported by Albania, Croatia, the Former Yugoslav Republic of Macedonia, Montenegro, Serbia and Turkey**

**Subject: Draft decision -/CP.15 on Capacity building under the Convention, SBI Agenda item 8: Proposal by the EU**

**Draft decision -/CP.15**

**Capacity-building under the Convention**

*The Conference of the Parties,*

*Recalling* decisions 2/CP.7, 2/CP.10, 4/CP.12 and 6/CP.14,

*Reaffirming* that decision 2/CP.7 should continue being the basis for and guide the implementation of capacity-building activities in developing countries, and that it remains effective,

*Further recalling* decision 2/CP.10 to initiate a second comprehensive review of the implementation of the framework for capacity-building in developing countries at the twenty-eighth session of the Subsidiary Body for Implementation with a view to completing this review at the fifteenth session of the Conference of the Parties,

*Reaffirming also that* capacity-building for developing countries is essential to enable them to participate fully in, and implement effectively their commitments under, the Convention,

*Recognising* that the scope of capacity-building needs identified in the framework for capacity-building in developing countries and the key factors identified in decision 2/CP.10 are still relevant,

*Noting* that, although a range of the priority issues identified in the framework for capacity-building in developing countries is being addressed by developed country parties and the Global Environment Facility as well as other multilateral and bilateral agencies, some gaps still remain,

*Noting also* that the provisions of information provided by Parties on capacity-building is critical,

*Acknowledging* that activities, projects and programmes implemented should continue to put emphasis on defining realistic results, identifying programme beneficiaries, monitoring the progress made towards expected results, identifying and managing risks and providing information on results achieved,

*Recognising also* that new capacity-building needs and priorities in developing countries emerging from the processes and initiatives launched after the completion of the first comprehensive review as well as from the negotiations under the Ad Hoc Working Group on Long-term Cooperative Action under the Convention require the necessary attention and support,

*Acknowledging also* that capacity-building is a country-driven, cooperative and learning-by-doing process that responds to the specific needs and priorities of the countries concerned,

*Recognizing further* that the purpose of regular monitoring and review should be to facilitate assessment of progress made, identification of gaps, and effectiveness of the implementation of the capacity-building framework and to support the comprehensive review;

*Acknowledging further* useful inputs into the second comprehensive review, such as national communications and submissions from Parties and multilateral organisations, analysis report contained in document FCCC/SBI/2009/4 and the reports of the two expert workshops on monitoring and evaluating capacity-building in developing countries and experiences in using performance indicators for the monitoring and evaluation of capacity-building at the national level,<sup>1</sup>

1. *Decides* that the scope of capacity-building needs identified in the framework for capacity-building in developing countries and the key factors identified in 2/CP.10 are still relevant;
2. *Decides* that the new capacity-building needs and priorities in developing countries emerging from the processes and initiatives launched after the completion of the first comprehensive review as well as from the negotiations under the Ad Hoc Working Group on Long-term Cooperative Action under the Convention will need to be taken into account in the further implementation of the capacity-building framework;
3. *Urges* Parties included in Annex II to the Convention and other Parties that are in a position to do so, multilateral, bilateral and international agencies and the private sector to continue providing financial resources to support capacity-building efforts in developing countries;
4. *Reiterates* its invitation to Parties, especially non-Annex I Parties, to make annual submissions to enable more comprehensive monitoring of the implementation of the capacity-building framework and the sharing of experiences of capacity-building activities;
5. *Requests* the secretariat to improve the process and timeliness for regularly gathering and disseminating information on capacity-building activities in developing countries, recognising the usefulness of information on capacity-building deriving from the compilation and synthesis of national communications, annual submissions by Parties and other relevant documents to this effort, in collaboration with the Global Environmental Facility and its agencies and bilateral and multilateral agencies, as appropriate;
6. *Decides* Parties should improve the regular monitoring and evaluation of the implementation of the capacity-building framework, through enhanced reporting on best-practices related to capacity-building in their national communications, submissions and other relevant documents with a view to furthering the learning and broadening the impact of capacity-building activities;
7. *Decides* that the further implementation of 2/CP.7 should be improved at the systemic, institutional and individual level, as appropriate, by:
  - (a) Ensuring consultations with stakeholders throughout the entire process, from the design of activities to their implementation;
  - (b) Enhancing integration of climate change issues and capacity-building needs into national development strategies, plans and budgets;
  - (c) Increased country-driven coordination of capacity-building activities;
  - (d) Strengthened networking and information sharing among developing countries, especially through south-south and triangular cooperation;
  - (e) Building on existing skills and capacities related to development and delivery of reporting, including national communications and inventories;
8. *Requests* the Subsidiary Body for Implementation to initiate a third comprehensive review of the implementation of the capacity-building framework at its thirty-eight session, with a view to completing it by the twentieth session of the Conference of the Parties.

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<sup>1</sup> FCCC/SBI/2007/33 and FCCC/SBI/2008/15.

PAPER NO. 2: UNITED REPUBLIC OF TANZANIA ON BEHALF OF  
THE GROUP OF 77 AND CHINA

ON INPUTS FOR THE COMPLETION OF THE SECOND COMPREHENSIVE REVIEW OF THE  
CAPACITY-BUILDING FRAMEWORK UNDER THE CONVENTION AND THE KYOTO  
PROTOCOL

In accordance with decision 2.CP 10 para 7 and para 9(d) which calls for the completion of the second comprehensive review by COP15, the United Republic of Tanzania submits the following views:-

1. The second comprehensive review should be completed at COP 15 consistent to decision 2.CP 10;
2. In order to enhance the implementation of the capacity Building Framework, the next and subsequent comprehensive reviews should be undertaken using the performance indicator related to monitoring and evaluation of the capacity-building framework, in particular with regards to the financial and technical support as agreed in 2/CP.7;
3. Furthermore, in order to support the implementation of the capacity-building framework and subsequent comprehensive reviews, A plan of action with a clear timeframe, financial resources and sources of funds should be prepared and adopted by the COP ;
4. Expert Groups have been useful in advancing the work programme/action in other areas of the Convention. In view of this, the G77 and China proposes establishment an expert group on Capacity Building that will, inter alia, support the secretariat in developing simple and effective performance indicators for future reviews; and develop and monitor the implementation of the plan of action, taking into account new and emerging needs, particularly those arising from the AWG-LCA process. The SBI will undertake a review of the progress of work of the established expert group on Capacity Building at its thirty-third session with a view to adopting the plan of action and related performance indicators at COP 16;
5. Provision of Financial and Technical supports which is an essential component of the Capacity building to support implementation of the Convention Consistent to Article 4.3, 4.4 and 4.7 is still lagging behind. The G77 and China calls a new financial and technical support to be availed by Annex II Parties and the GEF as well as relevant multilateral and bilateral organizations to enhance the implementation of the Convention. Such support should be consistent with the needs expressed by developing countries in various documents and submissions; and
6. In order to further enhance implementation of the Convention and the Protocol, the G77 and China requests the secretariat to prepare a comparative table showing the capacity needs within a given timeframe for the review against the previous needs, with a view to identifying gaps and additional needs using the agreed performance indicators.
7. Furthermore, in order to expedite the process and allow the COP to complete its second comprehensive review of the capacity building framework consistent to dec. 2CP 10, we have prepared a draft decision for the COP (attached) for consideration by SBI at its 31<sup>st</sup> session.

**Draft decision -/CP.15 on Capacity building under Convention and Protocol: Proposal by G77 & China**

**Draft decision -/CP.15**  
**Capacity-building under the Convention**

*The Conference of the Parties,*

*Being guided* by Articles 4.1, 4.3, 4.4, 4.5 and 4.7, in the context of Article 3, and Articles 5 and 6 of the Convention,

*Recalling* decisions 2/CP.7, 2/CP.10, 4/CP.12 and 6/CP.14,

*Further recalling* decision 2/CP.10 to initiate a second comprehensive review of the implementation of the framework for capacity-building in developing countries at the twenty-eighth session of the Subsidiary Body for Implementation with a view to completing this review at the fifteenth session of the Conference of the Parties,

*Reaffirming* that decision 2/CP.7 should continue to be the basis for and guide the implementation of capacity-building activities in developing countries, and that it remains effective,

*Reaffirming also* that capacity-building for developing countries is essential to enable them to participate fully in, and implement effectively their commitments under, the Convention,

*Recognising* that the scope of capacity-building needs identified in the framework for capacity-building in developing countries and the key factors identified in decision 2/CP.10 are still relevant,

*Noting* that although a range of the priority issues identified in the framework for capacity-building in developing countries is being addressed by developed country parties and the GEF huge gaps still remain and the availability of and access to financial resources is still an issue to be addressed,

*Acknowledging* that activities, projects and programmes implemented should continue to put emphasis on defining realistic results, identifying programme beneficiaries, monitoring the progress made towards expected results, identifying and managing risks and providing information on results achieved,

*Recognising also that* new capacity-building needs and priorities in developing countries emerging from the processes and initiatives launched after the completion of the first comprehensive review as well as from the negotiations under the Ad Hoc Working Group on Long-term Cooperative Action under the Convention require the necessary attention and support,

*Acknowledging* that capacity-building is a country-driven and a learning by doing process that responds to the specific needs and priorities of the countries concerned,

*Acknowledging* further the synthesis report on the experiences and lessons learnt in the use of performance indicators for monitoring and evaluating implementation of capacity building activities

*Recognizing further* that the purpose of regular monitoring should be to facilitate assessment of progress made, identification of gaps, and effectiveness of the implementation of the capacity-building framework and to support the comprehensive review;

The COP;

*Decides* that the next and subsequent comprehensive reviews will be undertaken using the performance indicator related to monitoring and evaluation of the capacity-building framework, in particular with regards to the financial and technical support as agreed in 2/CP.7;

*Decides* that, in order to support the implementation of the capacity-building framework and subsequent comprehensive reviews, a plan of action shall be prepared with a clear timeframe, financial resources and sources of funds;

*Decides* to establish an expert group that will, inter alia, support the secretariat in developing simple and effective performance indicators for future reviews; and develop and monitor the implementation of the plan of action, taking into account new and emerging needs, particularly those arising from the AWG-LCA process;

*Decides* that the SBI will undertake a review of the progress of work of the expert group at its thirty-third session with a view to adopting the plan of action and related performance indicators at COP 16;

*Decides* that new financial and technical support will be availed by Annex II Parties and the GEF as well as relevant multilateral and bilateral organizations. Such support should be consistent with the needs expressed by developing countries in various documents and submissions;

*Requests* the secretariat to prepare a comparative table showing the capacity needs within a given timeframe for the review against the previous needs, with a view to identifying gaps and additional needs using the agreed performance indicators.

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