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**SUBSIDIARY BODY FOR IMPLEMENTATION**

**Thirtieth session**

**Bonn, 1–10 June 2009**

**Item 2 (a) of the provisional agenda**

**Organizational matters**

**Adoption of the agenda**

**Provisional agenda and annotations**

**Note by the Executive Secretary**

**I. Provisional agenda**

1. Opening of the session.
2. Organizational matters:
  - (a) Adoption of the agenda;
  - (b) Organization of the work of the session.
3. National communications from Parties included in Annex I to the Convention: status report on the review of fourth national communications.
4. National communications from Parties not included in Annex I to the Convention:
  - (a) Work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention;
  - (b) Information contained in national communications from Parties not included in Annex I to the Convention;<sup>1</sup>

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<sup>1</sup> At the twenty-ninth session of the Subsidiary Body for Implementation (SBI), there was no consensus to include this item on the agenda. It was therefore held in abeyance. On a proposal by the Chair, the SBI decided to include this item on the provisional agenda of its thirtieth session.

- (c) Provision of financial and technical support.
- 5. Financial mechanism of the Convention: fourth review of the financial mechanism.
- 6. Matters relating to Article 4, paragraphs 8 and 9, of the Convention:
  - (a) Progress on the implementation of decision 1/CP.10;
  - (b) Matters relating to the least developed countries.
- 7. Development and transfer of technologies.
- 8. Capacity-building under the Convention.
- 9. Capacity-building under the Kyoto Protocol.
- 10. Reporting and review of information submitted by Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol.
- 11. Matters relating to Article 3, paragraph 14, of the Kyoto Protocol.
- 12. Amendment of the Kyoto Protocol in respect of procedures and mechanisms relating to compliance.
- 13. Arrangements for intergovernmental meetings:
  - (a) Fifteenth session of the Conference of the Parties;
  - (b) Fifth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol;
  - (c) Future sessional periods;
  - (d) Organization of the intergovernmental process;
  - (e) Observer organizations.
- 14. Administrative, financial and institutional matters:
  - (a) Budget performance for the biennium 2008–2009;
  - (b) Programme budget for the biennium 2010–2011;
  - (c) Implementation of the Headquarters Agreement;
  - (d) Privileges and immunities for individuals serving on constituted bodies established under the Kyoto Protocol.
- 15. Other matters.
- 16. Report on the session.

## II. Annotations to the provisional agenda

### 1. Opening of the session

1. The thirtieth session of the Subsidiary Body for Implementation (SBI) is scheduled to be opened by the Chair on Monday, 1 June 2009.

### 2. Organizational matters

(a) Adoption of the agenda

2. The provisional agenda for the session will be presented for adoption.

FCCC/SBI/2009/1	Provisional agenda and annotations. Note by the Executive Secretary
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(b) Organization of the work of the session

3. *Background:* The thirtieth session of the SBI will be held from Monday, 1 to Wednesday, 10 June 2009. A detailed schedule of the session will be posted on the UNFCCC website.

4. The SBI, at its twenty-fourth session,<sup>2</sup> recommended that meetings should normally end by 6 p.m. but may, in exceptional circumstances, continue to no later than 9 p.m. The session will be organized taking this into account. In order to enable delegations to participate fully in other processes meeting in parallel, the SBI will be invited to conduct its deliberations as efficiently as possible, including to optimize time used for plenary and for informal negotiations, and to finish its work within the time foreseen. Items that are not concluded at this session will be forwarded to the SBI for consideration at its thirty-first or thirty-second session.

5. Given that four bodies will be meeting during the sessional period, the time available for contact groups and informal consultations will be very limited. To maximize the use of meeting time, the Chairs of the subsidiary bodies may, where possible and appropriate, propose that the co-chairs of negotiating groups facilitate agreement by tabling initial draft conclusions at the first meeting of the negotiating groups, based on relevant submissions and statements made during plenary meetings, and taking into account any previous negotiations and/or conclusions. In accordance with the conclusions adopted by the SBI at its twenty-fourth session,<sup>3</sup> representatives of Parties and international organizations are requested to keep their oral statements as brief as possible. Those representatives wishing to make available a written statement should bring copies for distribution.

6. Parties are invited to refer to the overview of the session posted on the UNFCCC website and to consult the Daily Programme, published during the session, for a detailed and up-to-date schedule of the work of the SBI.

7. Parties are also invited to note that, in accordance with the recommendation<sup>4</sup> of the SBI at its twenty-ninth session, an interactive exercise will be conducted during SBI 30 demonstrating the functioning of the international transaction log (ITL) with other registry systems, including the clean development mechanism registry and representative national registries. In addition, this interactive exercise will demonstrate the capacity of the ITL to automatically perform the key checks defined in the technical standards for data exchange between registry systems.

8. When considering relevant agenda items, Parties are also invited to take into account information contained in document FCCC/SB/2007/INF.2, on the relationship of various provisions of the

<sup>2</sup> FCCC/SBI/2006/11, paragraph 102.

<sup>3</sup> As footnote 2 above.

<sup>4</sup> FCCC/KP/CMP/2008/11, paragraph 77.

Mauritius Strategy for the Further Implementation of the Programme of Action for the Sustainable Development of Small Island Developing States to the work of the Convention and its Kyoto Protocol.

9. *Action:* The SBI will be invited to agree on the organization of the work of the session.

<i>FCCC/SBI/2009/1</i>	<i>Provisional agenda and annotations. Note by the Executive Secretary</i>
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### **3. National communications from Parties included in Annex I to the Convention: status report on the review of fourth national communications**

10. *Background:* The Conference of the Parties (COP), by its decision 7/CP.11, requested the secretariat to organize centralized reviews of fourth national communications, submitted in accordance with decision 4/CP.8, and to conduct an in-country, in-depth review of the communications for those Parties that request one. The report on the status of submission and review of fourth national communications is contained in document FCCC/SBI/2009/INF.6.

11. *Action:* The SBI will be invited to take note of the status report.

<i>FCCC/SBI/2009/INF.6</i>	<i>Status of submission and review of fourth national communications and of reports demonstrating progress. Note by the secretariat</i>
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### **4. National communications from Parties not included in Annex I to the Convention**

- (a) Work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention

12. *Background:* The COP, by its decision 3/CP.8, decided to review the mandate and the revised terms of reference of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention (CGE) at its thirteenth session. At the twenty-seventh<sup>5</sup> and twenty-eighth<sup>6</sup> sessions of the SBI, Parties deliberated on the issue but were unable to reach conclusions. At SBI 29,<sup>7</sup> it was decided to continue consideration of the mandate and revised terms of reference of the CGE at the thirtieth session of the SBI.

13. *Action:* The SBI will be invited to consider the mandate and the terms of reference of the CGE, and to provide further guidance thereon.

<i>FCCC/SBI/2007/10/Add.1</i>	<i>Report on the activities of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention. Note by the Chair of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention. Addendum. Outcomes of the stocktaking exercise for the period 2003 to 2007</i>
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<i>FCCC/SBI/2007/MISC.7 and Add.1 and 2</i>	<i>Views on the mandate and terms of reference of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention. Submissions from Parties</i>
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<sup>5</sup> FCCC/SBI/2007/34, paragraph 25.

<sup>6</sup> FCCC/SBI/2008/8, annex I.

<sup>7</sup> FCCC/SBI/2008/19, paragraph 17.

(b) Information contained in national communications from Parties not included in Annex I to the Convention<sup>8</sup>

14. *Background:* At SBI 24,<sup>9</sup> Australia, on behalf of the Umbrella Group, the European Community and its member States, and Bosnia and Herzegovina, Romania, Serbia and Montenegro, and Switzerland, proposed that, in accordance with the requirements of Article 10, paragraph 2, of the Convention, the SBI should consider the information communicated by Parties not included in Annex I to the Convention (non-Annex I Parties) in all of their national communications, including their second and, where appropriate, subsequent national communications.

15. *Action:* The SBI will be invited to provide guidance on how to further develop the process for considering information contained in national communications from non-Annex I Parties, in accordance with the requirements of Article 10, paragraph 2, of the Convention.

(c) Provision of financial and technical support

16. *Background:* In accordance with decision 10/CP.2, paragraph 1 (b), the secretariat is requested to make available to the SBI, at each of its sessions, details of the financial support made available to non-Annex I Parties by the Global Environment Facility (GEF) for the preparation of their national communications. At SBI 29,<sup>10</sup> the GEF was invited to continue to provide information on its activities relating to the preparation of national communications by non-Annex I Parties, including information on the dates of approval of funding and disbursement of funds. This information will be presented to the SBI at its thirtieth session.

17. *Action:* The SBI will be invited to consider the information provided by the GEF, contained in the document below, and to make recommendations thereon.

*FCCC/SBI/2009/INF.5 Information on financial support provided by the Global Environment Facility for the preparation of national communications from Parties not included in Annex I to the Convention. Note by the secretariat*

**5. Financial mechanism of the Convention: fourth review of the financial mechanism**

18. *Background:* The COP, by its decision 3/CP.14, requested the SBI to recommend, in accordance with decision 2/CP.12, a draft decision on the fourth review of the financial mechanism for adoption by the COP at its fifteenth session. It also decided that the fourth review of the financial mechanism shall be conducted on the basis of the guidelines contained in the annex to decision 6/CP.13 and in the annex to decision 3/CP.4.

19. *Action:* The SBI will be invited to recommend a draft decision on the review for adoption by the COP at its fifteenth session.

**6. Matters relating to Article 4, paragraphs 8 and 9, of the Convention**(a) Progress on the implementation of decision 1/CP.10

20. *Background:* The SBI, at its twenty-ninth session,<sup>11</sup> could not reach agreement on how to proceed with the consideration of this issue and, in accordance with rule 16 of the draft rules of procedure being applied, agreed to forward the item to its thirtieth session.

<sup>8</sup> At the twenty-ninth session of the SBI, there was no consensus to include this item on the agenda. It was therefore held in abeyance. On a proposal by the Chair, the SBI decided to include this item on the provisional agenda of its thirtieth session.

<sup>9</sup> FCCC/SBI/2006/11, paragraph 32.

<sup>10</sup> FCCC/SBI/2008/19, paragraph 22.

<sup>11</sup> FCCC/SBI/2008/19, paragraph 47.

21. At the same session, in response to decision 1/CP.10, the SBI held a round table as part of the assessment of the status of implementation of Article 4, paragraph 8, of the Convention and decisions 5/CP.7 and 1/CP.10. Participants at the round table exchanged views on experiences, lessons learned and best practices identified in planning and implementing adaptation actions and activities to address the adverse effects of climate change and the impact of the implementation of response measures.

22. The SBI, at its twenty-eighth session,<sup>12</sup> agreed on a number of activities to be undertaken by the secretariat, organizations, institutions, experts and communities. These took into account the outcomes of three relevant regional workshops and an expert meeting for small island developing States<sup>13</sup> on adverse effects of climate change as well as the two pre-session expert meetings on response measures – one held in 2005 on modelling and financial risk management,<sup>14</sup> and the other held in 2006 on economic diversification.<sup>15</sup>

23. *Action:* The SBI will be invited to continue consideration of this matter at its thirtieth session.

(b) Matters relating to the least developed countries

24. *Background:* The COP, by its decision 8/CP.13, extended the mandate of the Least Developed Countries Expert Group (LEG) under the terms of reference in decision 29/CP.7.

25. In accordance with its mandate, the LEG is scheduled to conduct its fifteenth meeting in Cotonou, Benin, from 3 to 5 March 2009. At this meeting, the LEG will continue to implement its work programme 2008–2010 and plan specific activities for 2009 and 2010, taking into account further guidance provided on the Group's work programme at SBI 29,<sup>16</sup> as well as the SBI 29 conclusions<sup>17</sup> on the Least Developed Countries Fund and decision 5/CP.14.<sup>18</sup>

26. *Action:* The SBI will be invited to consider the report of the LEG below and provide guidance thereon.

FCCC/SBI/2009/6	<i>Report on the fifteenth meeting of the Least Developed Countries Expert Group. Note by the secretariat</i>
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## 7. Development and transfer of technologies

27. *Background:* The COP, by its decision 3/CP.13, requested the Expert Group on Technology Transfer (EGTT) to make available the following documents for consideration by the subsidiary bodies at their thirtieth sessions:

- (a) A report on future financing options (see document FCCC/SB/2009/2);<sup>19</sup>
- (b) A long-term strategy paper to facilitate the development, deployment, diffusion and transfer of technologies under the Convention (see document FCCC/SB/2009/3);<sup>20</sup>
- (c) A draft report with a set of performance indicators (see document FCCC/SB/2009/1).<sup>21</sup>

<sup>12</sup> FCCC/SBI/2008/8, paragraph 38.

<sup>13</sup> FCCC/SBI/2007/14.

<sup>14</sup> FCCC/SBI/2006/13.

<sup>15</sup> FCCC/SBI/2006/18.

<sup>16</sup> FCCC/SBI/2008/19, paragraphs 52–61.

<sup>17</sup> FCCC/SBI/2008/19, paragraphs 41–43.

<sup>18</sup> "Further guidance for the operation of the Least Developed Countries Fund".

<sup>19</sup> Decision 3/CP.13, annex II, paragraph 3 (h).

<sup>20</sup> Decision 3/CP.13, annex II, paragraph 3 (d) (ii).

<sup>21</sup> Decision 3/CP.13, annex II, paragraph 3 (c).

28. The SBI and the Subsidiary Body for Scientific and Technological Advice (SBSTA), at their twenty-ninth sessions, considered the interim reports on the three tasks referred to in paragraph 27 above. They then requested the EGTT<sup>22</sup> to make available, by 23 March 2009, advance reports<sup>23</sup> of its work on performance indicators, financing options and a long-term strategy paper as input to the fifth session of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention (AWG-LCA).

29. The COP, by its decision 2/CP.14, welcomed the Poznan strategic programme on technology transfer proposed by the GEF.<sup>24</sup> It requested the GEF to provide interim reports to the SBI at its thirtieth and thirty-first sessions on the progress made in carrying out the strategic programme, with a view to assessing its progress and future direction in order to help inform Parties in their consideration of long-term needs for implementation of the programme.

30. The SBI, at its twenty-ninth session,<sup>25</sup> agreed on the terms of reference<sup>26</sup> for the review and assessment of the effectiveness of the implementation of Article 4, paragraphs 1(c) and 5, of the Convention. The COP, by its decision 2/CP.14, invited Parties and relevant organizations to make submissions to the secretariat, by 16 February 2009, based on the areas of focus set out in section IV of the terms of reference.

31. As specified in the above-mentioned terms of reference for the review and assessment, the secretariat will prepare two reports for consideration by Parties at the thirtieth session of the SBI: (1) a synthesis report based on the submissions referred to in paragraph 30 above, and (2) a draft interim report covering the progress of the review to date, including initial findings and possible recommendations and comments by the EGTT.<sup>27</sup>

32. In line with the conclusions of the SBI at its twenty-eighth session,<sup>28</sup> the Chairs of the SBI and the SBSTA will propose ways for the subsidiary bodies to consider the issues of common interest under this agenda item in an efficient manner.

33. *Action:* The SBI will be invited to:

- (a) Consider the reports of the EGTT referred to in paragraph 27 above and determine any further actions arising from them;
- (b) Consider the interim report of the GEF below and determine any further actions arising from it;
- (c) Take note of the reports referred to in paragraph 31 above and determine any further actions arising from them.

FCCC/SBI/2009/3	<i>Interim report of the Global Environment Facility on the progress made in carrying out the Poznan strategic programme on technology transfer. Note by the secretariat</i>
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<sup>22</sup> FCCC/SBI/2008/19, paragraph 68, and FCCC/SBSTA/2008/13, paragraph 27.

<sup>23</sup> These advance reports (FCCC/SB/2009/INF.1, FCCC/SB/2009/INF.2 and FCCC/SB/2009/INF.3) will not be considered by the SBI.

<sup>24</sup> FCCC/SBI/2008/16.

<sup>25</sup> FCCC/SBI/2008/19, paragraph 74.

<sup>26</sup> FCCC/SBI/2008/19, annex I.

<sup>27</sup> FCCC/SBI/2008/19, annex I, paragraphs 10 and 12.

<sup>28</sup> FCCC/SBI/2008/8, paragraph 124.

<i>FCCC/SBI/2009/INF.1</i>	<i>Synthesis report on views on the areas of focus set out in section IV of the terms of reference for the review and assessment of the effectiveness of the implementation of Article 4, paragraphs 1(c) and 5, of the Convention agreed at the twenty-ninth session of the Subsidiary Body for Implementation. Note by the secretariat</i>
<i>FCCC/SBI/2009/INF.4</i>	<i>Draft interim report on progress of the review and assessment of the effectiveness of the implementation of Article 4, paragraphs 1(c) and 5, of the Convention. Note by the secretariat</i>
<i>FCCC/SBI/2009/MISC.4</i>	<i>Views on the areas of focus set out in section IV of the terms of reference for the review and assessment of the effectiveness of the implementation of Article 4, paragraphs 1(c) and 5, of the Convention agreed at the twenty-ninth session of the Subsidiary Body for Implementation. Submissions from Parties</i>
<i>FCCC/SB/2009/1</i>	<i>Performance indicators to monitor and evaluate the effectiveness of the implementation of the technology transfer framework. Draft report by the Chair of the Expert Group on Technology Transfer</i>
<i>FCCC/SB/2009/2</i>	<i>Recommendations on future financing options for enhancing the development, deployment, diffusion and transfer of technologies under the Convention. Report by the Chair of the Expert Group on Technology Transfer</i>
<i>FCCC/SB/2009/3</i>	<i>Strategy paper for the long-term perspective beyond 2012, including sectoral approaches, to facilitate the development, deployment, diffusion and transfer of technologies under the Convention. Report by the Chair of the Expert Group on Technology Transfer</i>

## 8. Capacity-building under the Convention

34. *Background:* The COP, by its decision 2/CP.10, decided to initiate a second comprehensive review of the implementation of the framework for capacity-building in developing countries adopted under decision 2/CP.7 (the capacity-building framework) at the twenty-eighth session of the SBI with a view to completing it at the fifteenth session of the COP.

35. The COP, by its decision 6/CP.14, requested the SBI to prepare, at its thirtieth session, in accordance with the terms of reference for the second comprehensive review of the implementation of the capacity-building framework,<sup>29</sup> a draft decision on the outcome of this review for adoption by the COP at its fifteenth session. By the same decision, the COP decided to take into account, in the second comprehensive review, recommendations made by the SBI at its thirtieth session on further steps to regularly monitor and evaluate capacity-building activities undertaken pursuant to decisions 2/CP.7 and 4/CP.12.

36. The SBI, at its twenty-eighth session,<sup>30</sup> requested the secretariat to prepare a report to support the comprehensive review, for consideration at its thirtieth session, in accordance with the terms of reference. At the same session,<sup>31</sup> the SBI invited Parties to submit to the secretariat, by 13 February 2009, any additional or updated information and views that may be relevant to the completion of the second comprehensive review.

37. At its twenty-ninth session,<sup>32</sup> the SBI invited Parties, intergovernmental organizations (IGOs) and non-governmental organizations (NGOs) to submit to the secretariat, by 16 February 2009, information

<sup>29</sup> FCCC/SBI/2008/8, annex IV.

<sup>30</sup> FCCC/SBI/2008/8, paragraph 73.

<sup>31</sup> FCCC/SBI/2008/8, paragraph 72.

<sup>32</sup> FCCC/SBI/2008/19, paragraph 88.



on their experiences and lessons learned on, in particular, the use of performance indicators for monitoring and evaluating capacity-building at the national and global levels. The SBI, at the same session,<sup>33</sup> requested the secretariat to prepare a synthesis report to advance the monitoring and evaluation of capacity-building at various levels, consistent with decision 2/CP.7 and including the possible use of performance indicators, for consideration at its thirtieth session.

38. *Action:* The SBI will be invited to consider the documents mentioned in paragraphs 36 and 37 above as listed below. The SBI will also be invited to prepare a draft decision on the outcome of the second comprehensive review for adoption by the COP at its fifteenth session.

<i>FCCC/SBI/2009/4</i>	<i>Analysis of progress made in, and the effectiveness of, the implementation of the framework for capacity-building in developing countries in support of the second comprehensive review of the capacity-building framework. Note by the secretariat</i>
<i>FCCC/SBI/2009/5</i>	<i>Synthesis of experiences and lessons learned in the use of performance indicators for monitoring and evaluating capacity-building at the national and global levels. Note by the secretariat</i>
<i>FCCC/SBI/2009/MISC.1</i>	<i>Information on experiences and lessons learned in the use of performance indicators for monitoring and evaluating capacity-building at the national and global levels. Submissions from Parties and intergovernmental organizations</i>
<i>FCCC/SBI/2009/MISC.2</i>	<i>Additional or updated information and views relevant to the completion of the second comprehensive review of the capacity-building framework. Submissions from Parties</i>

## 9. Capacity-building under the Kyoto Protocol

39. *Background:* The COP, by its decision 2/CP.10, decided to initiate a second comprehensive review of the implementation of the capacity-building framework at the twenty-eighth session of the SBI with a view to completing it at the fifteenth session of the COP.

40. The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP), by its decision 6/CMP.4, acknowledged that the comprehensive review of the implementation of the capacity-building framework under the Convention is also applicable for capacity-building relating to the implementation of the Kyoto Protocol. It requested the SBI to prepare, at its thirtieth session, in accordance with the terms of reference for the second comprehensive review of the implementation of the capacity-building framework,<sup>34</sup> a draft decision on the outcome of this review for adoption by the CMP at its fifth session. By the same decision, the CMP decided to take into account, in the second comprehensive review, recommendations made by the SBI at its thirtieth session on further steps to regularly monitor and evaluate capacity-building activities undertaken pursuant to decisions 2/CP.7, 29/CMP.1 and 6/CMP.2.

41. The SBI, at its twenty-eighth session,<sup>35</sup> requested the secretariat to prepare a report to support the comprehensive review, for consideration at its thirtieth session, in accordance with the terms of reference. It also invited Parties to submit to the secretariat, by 13 February 2009, any additional or updated information and views that may be relevant to the completion of the second comprehensive review.

<sup>33</sup> FCCC/SBI/2008/19, paragraph 90.

<sup>34</sup> As footnote 29 above.

<sup>35</sup> FCCC/SBI/2008/8, paragraphs 73 and 83.

42. At its twenty-ninth session,<sup>36</sup> the SBI invited Parties, IGOs and NGOs to submit to the secretariat, by 16 February 2009, information on their experiences and lessons learned on, in particular, the use of performance indicators for monitoring and evaluating capacity-building at the national and global levels. The SBI, at the same session,<sup>37</sup> requested the secretariat to prepare a synthesis report to advance the monitoring and evaluation of capacity-building at various levels, consistent with decision 2/CP.7 and including the possible use of performance indicators, for consideration at its thirtieth session.

43. *Action:* The SBI will be invited to consider the documents mentioned in paragraphs 41 and 42 above as listed below. The SBI will also be invited to prepare a draft decision on the outcome of the second comprehensive review for adoption by the CMP at its fifth session.

<i>FCCC/SBI/2009/4</i>	<i>Analysis of progress made in, and the effectiveness of, the implementation of the framework for capacity-building in developing countries in support of the second comprehensive review of the capacity-building framework. Note by the secretariat</i>
<i>FCCC/SBI/2009/5</i>	<i>Synthesis of experiences and lessons learned in the use of performance indicators for monitoring and evaluating capacity-building at the national and global levels. Note by the secretariat</i>
<i>FCCC/SBI/2009/MISC.1</i>	<i>Information on experiences and lessons learned in the use of performance indicators for monitoring and evaluating capacity-building at the national and global levels. Submissions from Parties and intergovernmental organizations</i>
<i>FCCC/SBI/2009/MISC.2</i>	<i>Additional or updated information and views relevant to the completion of the second comprehensive review of the capacity-building framework. Submissions from Parties</i>

#### **10. Reporting and review of information submitted by Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol**

44. *Background:* The CMP, by its decision 22/CMP.1, decided that each review prior to the first commitment period (known as the initial review)<sup>38</sup> shall be initiated upon receipt of the report under the Kyoto Protocol submitted by Parties included in Annex I to the Convention in accordance with decision 13/CMP.1 (known as the initial report).

45. The SBI, at its twenty-ninth session,<sup>39</sup> considered information on the status of the initial reviews and on updating and complementing the training programme under the Kyoto Protocol, and information on possible approaches to improve the effectiveness, efficiency and consistency of the review process under the “Guidelines for review under Article 8 of the Kyoto Protocol”. This included recommendations submitted by Parties in document FCCC/SBI/2008/MISC.7 for improvements to the review process.

46. At the same session, the SBI acknowledged that managing the review process, including the training of inventory review experts, planning and conducting the reviews and organizing the lead reviewer meetings, is placing considerable demand on the secretariat and requires considerable resources. The SBI requested the secretariat to prepare a note describing how the activities referred to above are planned and prioritized for 2010–2011 and identifying commensurate resource requirements, for its consideration at its thirtieth session.

<sup>36</sup> FCCC/SBI/2008/19, paragraph 101.

<sup>37</sup> FCCC/SBI/2008/19, paragraph 103.

<sup>38</sup> According to Article 8 of the Kyoto Protocol, information submitted by Parties included in Annex I to the Convention under Article 7 shall be subject to review.

<sup>39</sup> FCCC/SBI/2008/19, paragraphs 104–114.

47. The SBI further requested that Parties consider, at the thirtieth session of the SBI, what means should be used to strengthen the secretariat's capacity to further develop and deliver the training programme for inventory review experts under the Kyoto Protocol, taking into account the outcomes of the meeting of the lead reviewers scheduled to take place from 16 to 17 March 2009.

48. *Action:* The SBI will be invited to consider this agenda item with a view to providing guidance to the Parties and to the secretariat and to prepare a draft decision for consideration and adoption by the CMP at its fifth session, if appropriate.

*FCCC/SBI/2009/INF.2*      *Activities relating to the review of information submitted by Annex I Parties under the Kyoto Protocol on greenhouse gas inventories and other elements of annual reporting, including the training of review experts, planning and conduct of the reviews and organization of lead reviewers' meetings. Note by the secretariat*

### **11. Matters relating to Article 3, paragraph 14, of the Kyoto Protocol**

49. *Background:* The SBI, at its twenty-ninth session,<sup>40</sup> considered this agenda item with the SBSTA agenda item "Matters relating to Article 2, paragraph 3, of the Kyoto Protocol" in a joint contact group. The SBI and the SBSTA agreed to continue discussing these matters in a joint contact group at their thirtieth sessions.

50. *Action:* The SBI will be invited to consider this matter with a view to making recommendations to the CMP.

### **12. Amendment of the Kyoto Protocol in respect of procedures and mechanisms relating to compliance**

51. *Background:* The SBI, at its twenty-ninth session,<sup>41</sup> agreed to continue to discuss this issue further at its thirtieth session. The agenda item is based on a proposal from Saudi Arabia contained in document FCCC/KP/CMP/2005/2 and on decision 27/CMP.1.

52. *Action:* The SBI will be invited to continue consideration of this issue.

*FCCC/KP/CMP/2005/2*      *Proposal from Saudi Arabia to amend the Kyoto Protocol. Note by the secretariat*

### **13. Arrangements for intergovernmental meetings**

#### (a) Fifteenth session of the Conference of the Parties

53. *Background:* The COP, by its decision 14/CP.13, accepted with appreciation the offer by the Government of Denmark to host the fifteenth session of the COP and the fifth session of the CMP in Copenhagen. Subsequently, the COP, by its decision 9/CP.14, amended the dates of COP 15 and CMP 5 to 7 to 18 December 2009, expressing its gratitude to the Government of Denmark for its flexibility. In accordance with these decisions, the Executive Secretary has concluded a Host Country Agreement with the Government of Denmark, and preparations for the sessions are under way. Further information on preparations will be provided by the Government of Denmark and the secretariat.

54. The document shown below includes a list of possible elements for the provisional agenda of COP 15, as well as suggestions concerning the organization of work of the sessions of the COP, the CMP and the subsidiary bodies. It seeks guidance on when the AWG-LCA should report the results of its

<sup>40</sup> FCCC/SBI/2008/19, paragraphs 115–120.

<sup>41</sup> FCCC/SBI/2008/19, paragraph 125.

work to the COP, and how the COP might take action. The document also addresses possible arrangements for the participation of ministers and other heads of delegation in the high-level segment.

55. *Action:* The SBI will be invited to consider the possible elements for inclusion in the provisional agenda for COP 15 and provide advice to the secretariat thereon. The SBI may also wish to consider the proposals concerning the dates of the high-level segment and organization of the session, as contained in the document below, and provide further guidance.

FCCC/SBI/2009/7	<i>Arrangements for intergovernmental meetings. Note by the Executive Secretary</i>
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(b) Fifth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol

56. *Background:* In accordance with Article 13, paragraph 6, of the Kyoto Protocol, ordinary sessions of the CMP shall be held in conjunction with ordinary sessions of the COP, unless otherwise decided by the CMP.

57. The document shown below includes a list of possible elements for the provisional agenda of CMP 5. It also contains suggestions and options concerning the organization of the work of the sessions of the COP, the CMP and the subsidiary bodies. It seeks guidance on when the Ad Hoc Working Group on Further Commitments for Annex I Parties under the Kyoto Protocol should report the results of its work to the CMP, and how the CMP might take action. The document also addresses possible arrangements for the participation of ministers and other heads of delegation in the high-level segment.

58. *Action:* The SBI will be invited to consider the possible elements for inclusion in the provisional agenda for CMP 5 and provide advice to the secretariat thereon. The SBI may also wish to consider the proposals concerning the organization of the session contained in the document below and provide further guidance.

FCCC/SBI/2009/7	<i>Arrangements for intergovernmental meetings. Note by the Executive Secretary</i>
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(c) Future sessional periods

59. *Background:* Under this sub-item the SBI will consider:

- (a) Issues arising from the scheduling and organization of sessions for the second half of 2009;
- (b) The venue for the sixteenth session of the COP, which is scheduled to be held from 8 to 19 November 2010;
- (c) Dates for the two sessional periods in 2014.

60. In its decision 9/CP.14, the COP noted that in keeping with the principle of rotation among regional groups, and in the light of recent consultations among the groups, the President of COP 16 would come from the Group of Latin America and the Caribbean. The COP invited Parties to come forward with offers to host COP 16 and CMP 6 in 2010. It also accepted with appreciation the offer by the Government of South Africa to host COP 17 and CMP 7.

61. *Action:* The SBI may take note of any additional information on the scheduling of sessions in the second half of 2009. It may wish to consider any offers to host COP 16 and invite interested Parties to present offers as soon as possible. The COP, at its fifteenth session, will need to decide on the host country for its sixteenth session. The SBI may also wish to consider the dates for the two sessional periods in 2014 and recommend them to the COP at its fifteenth session.

FCCC/SBI/2009/7	<i>Arrangements for intergovernmental meetings. Note by the Executive Secretary</i>
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(d) Organization of the intergovernmental process

62. *Background:* The SBI, at its twenty-fourth session,<sup>42</sup> recalled its previous consideration of possible options for further improvements to the organization of the intergovernmental process, particularly those highlighted during the workshop convened in conjunction with SBI 21.<sup>43</sup> It agreed that progress on these measures would be reviewed at its thirtieth session.

63. In document FCCC/SBI/2009/7, a number of issues concerning the organization of future sessional periods are addressed, drawing on the discussion of the above-mentioned review.

64. *Action:* The SBI may wish to consider the issues raised in this document and provide guidance on arrangements to improve the intergovernmental climate change process.

FCCC/SBI/2009/7	<i>Arrangements for intergovernmental meetings. Note by the Executive Secretary</i>
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(e) Observer organizations

65. *Background:* The SBI, at its twenty-sixth session,<sup>44</sup> requested the secretariat to monitor and incorporate into its current practices any relevant development of good practices within the United Nations system to further enhance the participation of observer organizations and to report back to the SBI at the latest at its thirtieth session.

66. *Action:* The SBI will be invited to consider the report of the secretariat prepared in response to this request, as contained in the document below, and to provide further guidance on the participation of observer organizations in the Convention process, if required.

FCCC/SBI/2009/7	<i>Arrangements for intergovernmental meetings. Note by the Executive Secretary</i>
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#### 14. Administrative, financial and institutional matters

(a) Budget performance for the biennium 2008–2009

67. *Background:* In accordance with the financial procedures of the Convention, the interim financial statements as at 31 December 2008 and a separate report on the status of contributions as at 15 May 2009 have been prepared for this session.

68. *Action:* The SBI will be invited to take note of the information contained in the documents. It may wish to express its appreciation to the Parties that have made timely contributions to the core budget and to those that have made voluntary contributions to the trust funds. The SBI may also wish to urge Parties that have not made their contributions to do so in a timely manner.

FCCC/SBI/2009/INF.3	<i>Interim financial statements for the biennium 2008–2009 as at 31 December 2008. Note by the Executive Secretary</i>
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FCCC/SBI/2009/INF.7	<i>Status of contributions as at 15 May 2009. Note by the secretariat</i>
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<sup>42</sup> FCCC/SBI/2006/11, paragraphs 108 and 111.

<sup>43</sup> See document FCCC/SBI/2005/2.

<sup>44</sup> FCCC/SBI/2007/15, paragraph 135.

(b) Programme budget for the biennium 2010–2011

69. *Background:* The COP and the CMP, by their decisions 8/CP.14 and 8/CMP.4, requested the Executive Secretary to propose a programme budget for the biennium 2010–2011 for consideration by the SBI at its thirtieth session.

70. *Action:* The SBI will be invited to consider the programme budget proposed by the Executive Secretary for the biennium 2010–2011 and to recommend a draft decision for adoption by the COP at its fifteenth session and endorsement by the CMP at its fifth session.

<i>FCCC/SBI/2009/2</i>	<i>Proposed programme budget for the biennium 2010–2011. Note by the Executive Secretary</i>
<i>FCCC/SBI/2009/2/Add.1</i>	<i>Proposed programme budget for the biennium 2010–2011. Note by the Executive Secretary. Addendum. Work programme for the secretariat for the biennium 2010–2011</i>
<i>FCCC/SBI/2009/2/Add.2</i>	<i>Proposed programme budget for the biennium 2010–2011. Note by the Executive Secretary. Addendum. Activities to be funded from supplementary sources</i>
<i>FCCC/SBI/2009/2/Add.3</i>	<i>Proposed programme budget for the biennium 2010–2011. Note by the Executive Secretary. Addendum. Trust Fund for the International Transaction Log</i>
<i>FCCC/SBI/2009/MISC.3</i>	<i>Approach to be taken by the Executive Secretary with regard to the collection of fees for the international transaction log. Submissions from Parties</i>

(c) Implementation of the Headquarters Agreement

71. *Background:* The SBI, at its seventeenth session,<sup>45</sup> requested the Host Government and the Executive Secretary to report, once a year, on progress regarding the implementation of the Headquarters Agreement.

72. *Action:* A representative of the Host Government and the Executive Secretary will make oral presentations on progress made. The SBI will be invited to consider the statements and take any action deemed necessary.

(d) Privileges and immunities for individuals serving on constituted bodies established under the Kyoto Protocol

73. *Background:* The SBI, at its twenty-eighth session,<sup>46</sup> concluded that the issue of privileges and immunities for individuals serving on constituted bodies established under the Kyoto Protocol requires appropriate treaty arrangements, consistent with international law. The SBI further concluded that a long-term solution in the form of appropriate treaty arrangements should be considered by the CMP at its fourth session with a view to concluding such arrangements by CMP 5. The SBI invited Parties to submit to the secretariat, by 20 March 2009, for compilation into a miscellaneous document, views on appropriate treaty arrangements for consideration by the SBI at its thirtieth session.

74. *Action:* The SBI will be invited to consider the views submitted by Parties, with a view to elaborating draft treaty arrangements to be forwarded to the CMP at its fifth session and communicated by the secretariat to Parties and signatories to the Kyoto Protocol.

<sup>45</sup> FCCC/SBI/2002/17, paragraph 58.

<sup>46</sup> FCCC/SBI/2008/8, paragraph 109 (c).

*FCCC/SBI/2009/MISC.5*      *Appropriate treaty arrangements relating to privileges and immunities for individuals serving on constituted bodies established under the Kyoto Protocol. Submissions from Parties*

### **15. Other matters**

75. Any other matters arising during the session will be taken up under this item.

### **16. Report on the session**

76. *Background:* A draft report of the work of the session will be prepared for adoption by the SBI at the end of the session.

77. *Action:* The SBI will be invited to adopt the draft report and authorize the Rapporteur to complete the report after the session, under the guidance of the Chair and with the assistance of the secretariat.

Annex**Documents before the Subsidiary Body for Implementation  
at its thirtieth session****Documents prepared for the session**

FCCC/SBI/2009/1	Provisional agenda and annotations. Note by the Executive Secretary
FCCC/SBI/2009/2	Proposed programme budget for the biennium 2010–2011. Note by the Executive Secretary
FCCC/SBI/2009/2/Add.1	Proposed programme budget for the biennium 2010–2011. Note by the Executive Secretary. Addendum. Work programme for the secretariat for the biennium 2010–2011
FCCC/SBI/2009/2/Add.2	Proposed programme budget for the biennium 2010–2011. Note by the Executive Secretary. Addendum. Activities to be funded from supplementary sources
FCCC/SBI/2009/2/Add.3	Proposed programme budget for the biennium 2010–2011. Note by the Executive Secretary. Addendum. Trust Fund for the International Transaction Log
FCCC/SBI/2009/3	Interim report of the Global Environment Facility on the progress made in carrying out the Poznan strategic programme on technology transfer. Note by the secretariat
FCCC/SBI/2009/4	Analysis of progress made in, and the effectiveness of, the implementation of the framework for capacity-building in developing countries in support of the second comprehensive review of the capacity-building framework. Note by the secretariat
FCCC/SBI/2009/5	Synthesis of experiences and lessons learned in the use of performance indicators for monitoring and evaluating capacity-building at the national and global levels. Note by the secretariat
FCCC/SBI/2009/6	Report on the fifteenth meeting of the Least Developed Countries Expert Group. Note by the secretariat
FCCC/SBI/2009/7	Arrangements for intergovernmental meetings. Note by the Executive Secretary
FCCC/SBI/2009/INF.1	Synthesis report on views on the areas of focus set out in section IV of the terms of reference for the review and assessment of the effectiveness of the implementation of Article 4, paragraphs 1(c) and 5, of the Convention agreed at the twenty-ninth session of the Subsidiary Body for Implementation. Note by the secretariat
FCCC/SBI/2009/INF.2	Activities relating to the review of information submitted by Annex I Parties under the Kyoto Protocol on greenhouse gas inventories and other elements of annual reporting, including the training of review experts, planning and conduct of the reviews and organization of lead reviewers' meetings. Note by the secretariat



FCCC/SBI/2009/INF.3	Interim financial statements for the biennium 2008–2009 as at 31 December 2008. Note by the Executive Secretary
FCCC/SBI/2009/INF.4	Draft interim report on progress of the review and assessment of the effectiveness of the implementation of Article 4, paragraphs 1(c) and 5, of the Convention. Note by the secretariat
FCCC/SBI/2009/INF.5	Information on financial support provided by the Global Environment Facility for the preparation of national communications from Parties not included in Annex I to the Convention. Note by the secretariat
FCCC/SBI/2009/INF.6	Status of submission and review of fourth national communications and of reports demonstrating progress. Note by the secretariat
FCCC/SBI/2009/INF.7	Status of contributions as at 15 May 2009. Note by the secretariat
FCCC/SBI/2009/MISC.1	Information on experiences and lessons learned in the use of performance indicators for monitoring and evaluating capacity-building at the national and global levels. Submissions from Parties and intergovernmental organizations
FCCC/SBI/2009/MISC.2	Additional or updated information and views relevant to the completion of the second comprehensive review of the capacity-building framework. Submissions from Parties
FCCC/SBI/2009/MISC.3	Approach to be taken by the Executive Secretary with regard to the collection of fees for the international transaction log. Submissions from Parties
FCCC/SBI/2009/MISC.4	Views on the areas of focus set out in section IV of the terms of reference for the review and assessment of the effectiveness of the implementation of Article 4, paragraphs 1(c) and 5, of the Convention agreed at the twenty-ninth session of the Subsidiary Body for Implementation. Submissions from Parties
FCCC/SBI/2009/MISC.5	Appropriate treaty arrangements relating to privileges and immunities for individuals serving on constituted bodies established under the Kyoto Protocol. Submissions from Parties
FCCC/SB/2009/1	Performance indicators to monitor and evaluate the effectiveness of the implementation of the technology transfer framework. Draft report by the Chair of the Expert Group on Technology Transfer
FCCC/SB/2009/2	Recommendations on future financing options for enhancing the development, deployment, diffusion and transfer of technologies under the Convention. Report by the Chair of the Expert Group on Technology Transfer
FCCC/SB/2009/3	Strategy paper for the long-term perspective beyond 2012, including sectoral approaches, to facilitate the development, deployment, diffusion and transfer of technologies under the Convention. Report by the Chair of the Expert Group on Technology Transfer

**Other documents before the session**

- FCCC/KP/CMP/2005/2 Proposal from Saudi Arabia to amend the Kyoto Protocol. Note by the secretariat
- FCCC/SBI/2008/19 Report of the Subsidiary Body for Implementation on its twenty-ninth session, held in Poznan from 1 to 10 December 2008
- FCCC/SBI/2008/8 Report of the Subsidiary Body for Implementation on its twenty-eighth session, held in Bonn from 4 to 13 June 2008
- FCCC/SBI/2008/MISC.7 Experiences with and lessons learned from the review of initial reports under the Kyoto Protocol, including recommendations for improvements. Submissions from Parties
- FCCC/SBI/2007/10/Add.1 Report on the activities of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention. Note by the Chair of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention. Addendum. Outcomes of the stocktaking exercise for the period 2003 to 2007
- FCCC/SBI/2007/MISC.7 and Add.1 and 2 Views on the mandate and terms of reference of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention. Submissions from Parties
- FCCC/SB/2007/INF.2 Relationship of various provisions of the Mauritius Strategy to the work of the Convention and its Kyoto Protocol. Note by the secretariat

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