



**AD HOC WORKING GROUP ON LONG-TERM COOPERATIVE ACTION
UNDER THE CONVENTION**

Seventh session

Bangkok, 28 September to 9 October 2009, and Barcelona, 2–6 November 2009

Item 3 (a–e) of the provisional agenda

Enabling the full, effective and sustained implementation of the Convention through long-term cooperative action now, up to and beyond 2012, by addressing, inter alia:

A shared vision for long-term cooperative action

Enhanced national/international action on mitigation of climate change

Enhanced action on adaptation

Enhanced action on technology development and transfer to support action on mitigation and adaptation

Enhanced action on the provision of financial resources and investment to support action on mitigation and adaptation and technology cooperation

Reordering and consolidation of text in the revised negotiating text

Note by the secretariat

Addendum

1. At its informal meeting held in Bonn, Germany, on 10–14 August 2009, the Ad Hoc Working Group on Long-term Cooperative Action under the Convention considered a revised negotiating text contained in document FCCC/AWGLCA/2009/INF.1. Sections of the text were considered by informal groups with facilitators, with the goal of revising the text further and modifying it in the direction of consolidation and convergence. Document FCCC/AWGLCA/2009/INF.2 presents a suggested reordering and consolidation of elements of the revised negotiating text as prepared by the facilitators. This addendum is intended to serve as a guide to those elements, indicating the sources of the revised text and providing information on the approach to the reordering and consolidation.

2. This document contains six annexes, corresponding to annexes I–VI to document FCCC/AWGLCA/2009/INF.2. Each annex contains one or more tables, which provide the following information: (1) the paragraph numbers of the consolidated and/or reordered text; (2) the Parties or groups of Parties that contributed the consolidated and/or reordered material; (3) the corresponding paragraph numbers of the original text contained in document FCCC/AWGLCA/2009/INF.1; and (4) the approach taken to the consolidation and/or reordering of the paragraphs and the rationale behind it.¹

¹ In addition, tables 16, 18 and 20 identify common elements and points of convergence and divergence on issues contained in the sections on provisional financial resources and investment, technology development and transfer and capacity-building, respectively, in document FCCC/AWGLCA/2009/INF.1.

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Annex I**A shared vision for long-term cooperative action**

This table provides background information on annex I to document FCCC/AWGLCA/2009/INF.2.

Table 1. A shared vision for long-term cooperative action

New paragraph	Contributors	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Rationale for consolidation and/or reordering
PP.1 ^a	Argentina, Chile, Colombia, Costa Rica, Panama, Peru, Uruguay	Proposal 2 (p.5); proposal 3 (p.5), proposal 4 (p.6)	Paragraphs combined: texts are similar
PP.2	Argentina, Chile, Colombia, Costa Rica, Panama, Peru, Uruguay	Proposal 2 (p.5); proposal 3 (p.5), proposal 4 (p.6)	Paragraphs combined: texts are similar
PP.3	Argentina, Colombia, Costa Rica, Panama, Peru, Uruguay	Proposal 2 (p.5); proposal 4 (p.6), proposal 6 (p.7)	Paragraphs combined: texts are similar
PP.4	Argentina, Chile, Colombia, Costa Rica, Panama, Peru, Uruguay	Proposal 2 (p.5); proposal 3 (p.5), proposal 4 (p.6)	Paragraphs combined: texts are similar
PP.5	Argentina, Chile, Colombia, Costa Rica, Panama, Peru, Uruguay	Proposal 2 (p.5); proposal 3 (p.5), proposal 4 (p.6)	Paragraphs combined: texts are similar
PP.6	Argentina, Chile, Colombia, Costa Rica, Panama, Peru, Uruguay	Proposal 2 (p.5); proposal 3 (p.5), proposal 4 (p.6)	Paragraphs combined: texts are similar
PP.7	Argentina, Chile, Colombia, Costa Rica, Panama, Peru, Uruguay	Proposal 2 (p.5); proposal 3 (p.5), proposal 4 (p.6)	Paragraphs combined: texts are similar
PP.8	Colombia, Costa Rica, Panama, Peru, Uruguay	Proposal 4 (p.6); proposal 6 (p.7)	Paragraphs combined: texts are similar
PP.9	Colombia, Costa Rica, Panama, Peru, Uruguay	Proposal 4 (p.6); proposal 6 (p.7)	Paragraphs combined: texts are similar
PP.10	Colombia, Costa Rica, Panama, Peru, Uruguay	Proposal 4 (p.6); proposal 6 (p.7)	Paragraphs combined: texts are similar
PP.11	Colombia, Costa Rica, Panama, Peru, Uruguay	Proposal 4 (p.6); proposal 6 (p.7)	Paragraphs combined: texts are similar
PP.12	Colombia, Costa Rica, Panama, Peru, Uruguay	Proposal 4 (p.6); proposal 6 (p.7)	Paragraphs combined: texts are similar
PP.13	Colombia, Costa Rica, Panama, Peru, Uruguay	Proposal 4 (p.6); proposal 6 (p.7)	Paragraphs combined: texts are similar
PP.14	Saudi Arabia	Proposal 5 (p.7)	No consolidation/reordering
PP.15	Saudi Arabia	Proposal 5 (p.7)	No consolidation/reordering

Table 1 (continued)

New para-graph	Contributors	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Rationale for consolidation and/or reordering
1	India, least developed countries (LDCs), Pakistan	Para. 1 (p.7); alternative to para. 1 (p.8)	Paragraphs combined: similar content
2	China, LDCs, Pakistan	Para. 1 (p.7); alternative to para. 1 (p.8)	Paragraphs combined: similar content
3	China, Colombia, Costa Rica, Dominican Republic, European Community, Guatemala, Honduras, India, Indonesia, Nicaragua, Panama, Peru, Uruguay	Para. 1 (p.7); para. 2 (p.8)	Paragraphs combined: similar content
4	LDCs, Pakistan	Alternative to para. 1 (p.8)	No consolidation/reordering
5	Chile, China, Colombia, Costa Rica, India, LDCs, Pakistan, Panama, Peru, Uruguay, United States of America	Para. 2 (p.8); para. 2.1 (p.8); alternative to para. 2 (p.8)	Paragraphs combined: similar content
6	LDCs	Para. 2 (p.8)	No consolidation/reordering
7	New Zealand, Switzerland	Para. 2.2 (p.9); para. 9.5 (p.14)	Paragraphs combined: similar content
8	African Group, Algeria, Chile, China, Colombia, Costa Rica, European Community, India, Indonesia, Iran (Islamic Republic of), Kuwait, LDCs, Libyan Arab Jamahiriya, Pakistan, Panama, Peru, Qatar, Saudi Arabia, United Arab Emirates, Uruguay	Para. 3 (p.9)	No consolidation/reordering
9	Argentina	Para. 9.6 (p.14)	No consolidation/reordering
10	African Group, Chile, Colombia, Costa Rica, Dominican Republic, Guatemala, Japan, Pakistan, Peru, United States	Para. 4 (p.9)	No consolidation/reordering
Alternative to para. 10	Republic of Korea	Alternative to para. 4 (p.9)	No consolidation/reordering
11	United States	Para. 4.1 (p.9)	No consolidation/reordering
Alternative to paras. 1–11	Australia	Alternative to paras. 1–4 (p.9)	No consolidation/reordering
12	Australia, Japan, United States	Para. 5 (p.9); alternative 3 to para. 5 (p.10)	Paragraphs combined: similar content
13	China, LDCs, Pakistan	Alternatives 5–6 to para. 5 (p.10–11)	Paragraphs combined: similar content

Table 1 (continued)

New paragraph	Contributors	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Rationale for consolidation and/or reordering
14	African Group, Argentina, Australia, Canada, China, Colombia, Costa Rica, European Community, India, Indonesia, Japan, Panama, Peru, Singapore, Uruguay	Para. 5 (p.9); alternatives 1, 2 and 4 to para. 5 (p.10–11); para. 5.2 (p.11)	Paragraphs combined: similar content
15	Pakistan	Alternative 6 to para. 5 (p.11)	No consolidation/reordering
16	China, Colombia, Costa Rica, Dominican Republic, Guatemala, LDCs, Pakistan, Peru,	Alternatives 5–6 to para. 5 (p.10–11); para. 7.2 (p.12)	Paragraphs combined: similar content
17	Australia, United States	Para. 5 (p.9); alternative 3 to para. 5 (p.10)	Paragraphs combined: similar content
18	African Group, Algeria, Argentina, Australia, Chile, China, Colombia, Costa Rica, Dominican Republic, Guatemala, Honduras, India, Indonesia, Iran (Islamic Republic of), Kuwait, LDCs, Libyan Arab Jamahiriya, Marshall Island, Nicaragua, Pakistan, Palau, Panama, Papua New Guinea, Peru, Philippines, Qatar, Saudi Arabia, Solomon Islands, Sri Lanka, Thailand, Timor Leste, United Arab Emirates, United States, Uruguay, Venezuela (Bolivarian Republic of), Viet Nam	Para. 6 (p.11); alternatives 1–3 to para. 6 (p.11–12); paras. 6.1–6.3 (p.12)	Paragraphs combined: similar content
19	Argentina, Canada, China, Colombia, Costa Rica, Dominican Republic, European Community, Guatemala, India, Indonesia, LDCs, Pakistan, Panama, Peru, South Africa, Uruguay	Para. 7 (p.12); para. 7.1 (p.2)	Paragraphs combined: similar content
20	Pakistan	Para. 7.3 (p.12)	No consolidation/reordering

Table 1 (continued)

New paragraph	Contributors	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Rationale for consolidation and/or reordering
21	African Group, Algeria, China, Costa Rica, Dominican Republic, Egypt, European Community, Guatemala, Honduras, India, Indonesia, Iran (Islamic Republic of), Kuwait, LDCs, Libyan Arab Jamahiriya, Nicaragua, Pakistan, Panama, Qatar, Saudi Arabia, Singapore, United Arab Emirates, United States	Para. 8 (p.12); para. 5.1 (p.11)	Paragraphs combined: similar content
22	Algeria, European Community, India, Iran (Islamic Republic of), Kuwait, Libyan Arab Jamahiriya, Qatar, Saudi Arabia, United Arab Emirates	Para. 9 (p.13)	No consolidation/reordering
Alternative to paras. 21–22	Colombia, Costa Rica, Panama, Peru, Uruguay	Alternative to paras. 8–9 (p.13)	No consolidation/reordering
Alternative 1 to paras. 1–22	Group of 77 and China	Alternative to paras. 1–9 (p.13)	No consolidation/reordering
Alternative 2 to paras. 1–22	El Salvador	Paras. 9.1–9.3 (p.14)	Paragraphs combined: One single comprehensive alternative
Alternative 3 to paras. to 1–22	Tuvalu	Para. 9.4 (p.14)	No consolidation/reordering
Alternative 4 to paras. 1–22	China	Para. x.1 (p.7)	No consolidation/reordering
23	China	Added during the informal meeting in August	No consolidation
24	African Group	Para. 10 (p.15)	No consolidation/reordering
25	Colombia, Costa Rica, Panama, Peru, Uruguay	Para. 10.2 (p.15)	No consolidation/reordering

Table 1 (continued)

New paragraph	Contributors	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Rationale for consolidation and/or reordering
26	Alliance of Small Island States (AOSIS), Canada, Chile, Colombia, Costa Rica, El Salvador, European Community, India, Japan, Norway, Panama, Peru, United States, Uruguay	Para. 11 (p.15)	No consolidation/reordering
27, chapeau	China, European Community, Pakistan, South Africa	Para. 12, chapeau (p.16)	No consolidation/reordering
27, option 1	Argentina, Chile, El Salvador, European Community, Indonesia, Japan, New Zealand	Para. 12, option 1 (p.16); para. 12, alternatives 1–3 to option 1 (p.16); alternative 2 to para. 12 (p.17)	Paragraphs combined: similar content
27, option 2	Chile, Colombia, Costa Rica, Panama, Peru, Uruguay	Para. 12, options 2 and 5.6 (p.16)	Paragraphs combined: similar content
27, options 3–4		Para. 12, options 3–4 (p.16)	No consolidation/reordering
27, option 5.1–5.2, 5.4–5.7	Chile, China, Colombia	Para. 12, option 5.1–5.2, 5.4 and 5.7–5.9 (p.16–17)	No consolidation
27, option 5.3	India	Para. 12, option 5.3 and 5.5 (p.16–17)	Options combined: similar content
27, option 6	South Africa	Para. 12 chapeau (p.16)	No consolidation
Alternative to paras. 26–27	Australia	Alternative to para. 11–12 (p.17)	No consolidation/reordering
28	El Salvador, Japan, Pakistan	Para. 13 (p.17)	No consolidation/reordering
Alternative to paras. 27–28	Japan	Para. 13.1 (p.18)	No consolidation
29	Pakistan	Para. 13.3 (p.18)	No consolidation
30	China, LDCs	Para. 13.2 and 13.4 (p.18)	Paragraphs combined: similar content
31	Chile, El Salvador, European Community, Indonesia Singapore	Para. 14 (p.18)	No consolidation

Table 1 (continued)

New para-graph	Contributors	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Rationale for consolidation and/or reordering
Alternative to para. 31 (b)	European Community	Alternative to para. 14 (b) (p.18)	No consolidation
32	China, LDCs	Para. 14.1 and 14.2 (p.18)	Paragraphs combined: similar content
33	China, LDCs, Pakistan	Para. 14.3–14.5 (p.19)	Paragraphs combined: similar content
34	Chile, Colombia, Costa Rica, Panama, Peru, Uruguay	Para. 15 (p.19)	No consolidation/reordering
Alternative 1 to para. 34	European Community	Alternative to para. 15 (p.19)	No consolidation/reordering
Alternative 2 to para. 34	India	Para. 15.1 (p.19)	No consolidation/reordering
Para. 35, option 1	Canada, Chile, China, Indonesia, Japan, LDCs	Para 16, option 1 (p.19)	No consolidation/reordering
Para. 35, option 2	Colombia, Costa Rica, India, Panama, Peru, Uruguay	Para. 17, option 2 (p.20)	No consolidation/reordering
Alternative to para. 35, option 2	AOSIS	Alternative to para. 17 (p.20)	No consolidation/reordering
Para. 35, option 3	Japan	Para. x.1–x.2 (p.20)	No consolidation/reordering
36	El Salvador	Para. 17.1 (p.20)	No consolidation/reordering
37	El Salvador	Para. 17.2 (p.20)	No consolidation/reordering
38	El Salvador	Para. 17.3 (p.21)	No consolidation/reordering
Alternative to paras. 1–38	United States	Alternative 1 to para. 12 (p.17)	No consolidation

^a PP = preambular paragraph.

Annex II**Enhanced action on adaptation and its means of implementation**

Tables 2–8 provide background information on annex II to document FCCC/AWGLCA/2009/INF.2.

Table 2. Enhanced action on adaptation and its means of implementation, preambular section

New paragraph	Contributors	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
PP.1 ^a	Alliance of Small Island States (AOSIS)	Para. 2 of preambular proposal 2 (p.22)	None	
PP.2	AOSIS	Para. 4 of preambular proposal 2 (p.22)	None	
PP.3	AOSIS, United States of America	Para. 3 of preambular proposal 2; Para. 1 of preambular proposal 3 (p.22)	Combined	Both refer to the provision of the Convention
PP.4	AOSIS	Para. 5 of preambular proposal 2 (p.22)	None	
PP.5	AOSIS	Para. 7 of preambular proposal 2 (p.22)	None	
PP.6	Thailand	Preambular proposal 6 (p.23)	None	
PP.7	United States	Para. 3 of preambular proposal 3 (p.22)	None	
PP.8	AOSIS	Para. 6 of preambular proposal 2 (p.22)	None	
PP.9	Least developed countries (LDCs)	First line of para. 1 of preambular proposal 4 (p.23)	None	
PP.10	AOSIS	Para. 10 of preambular proposal 2 (p.22)	None	
PP.11	AOSIS	Para. 8 of preambular proposal 2 (p.22)	None	
PP.12	AOSIS, LDCs	Second and third lines of para. 9 of preambular proposal 2 (p.22); first line of para. 2 of preambular proposal 4 (p.23)	Combined	Both refer to urgency of climate change issues
PP.13	Costa Rica et al. ^b	Para. 3 of preambular proposal 1 (p.22)	None	
PP.14	AOSIS	First line of para. 9 of preambular proposal 2 (p.22)	None	
PP.15	Costa Rica et al.	Para. 4 of preambular proposal 1 (p.22)	None	
PP.16	Thailand	Lines 3–5 of preambular proposal 6 (p.23)		
PP.17	United States	Para. 2 of preambular proposal 3 (p.22)	None	
PP.18	United States	Para. 4 of preambular proposal 3 (p.22)	None	
PP.19	Pakistan	Alternative 4 of para. 22 (p.37)	None	

Table 2 (continued)

New paragraph	Contributors	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
PP.20	Costa Rica et al., LDCs	Para. 1 of preambular proposal 1 (p.22); second and third lines of para.1 of preambular proposal 4 (p.23)	Combined	Both relate to groups of countries that are particularly vulnerable to adverse affects of climate change
PP.21	Saudi Arabia, Costa Rica et al.	Preambular proposal 5 (p.23); Para. 2 of preambular proposal 1 (p.22)	Combined	Both refer to giving full consideration to certain groups of countries
PP.22	United States	Second and third lines of para. 5 of preambular proposal 3 (p.22)	None	

^a PP = preambular paragraph.

^b Costa Rica et al. = Costa Rica, Colombia, Panama, Peru and Uruguay.

Table 3. Enhanced action on adaptation and its means of implementation, section A: Objectives, scope and guiding principles

New paragraph	Contributors	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
1	Canada	18.1.1 (p.25)	None	
2	European Community	x.4 (p.23)	None	
3	African Group, Indonesia	First sentence of alternative 5 to para 18 (p.24); first sentence of para 18.4 (p.26)	Combined	All refer to the nature of international cooperation on adaptation
4	United States of America	Para 6 (a) and chapeau of preambular proposal 3 (p.23)	None	
5 (a-d)	Alliance of Small Island States (AOSIS)	18.2 (a-d) (p.26)	None	
6	Indonesia	18.5 (p.26)	None	
7, option 1	NT, ^a Costa Rica et al., ^b Indonesia, Australia	20, option 1; alternatives 1 and 2 to para. 20 (p.30- 31); first sentence of x.4 (p.38)	Combined	All refer to the scope of adaptation
7, option 2	NT, Iran (Islamic Republic of) et al., ^c India, Iran (Islamic Republic of)	21, option 2; alternative to para. 21; alternatives 1 and 2 to paras. 20-21 (p.31)	Combined	All refer to the scope of adaptation
8	European Community	x.3 (p.23)	None	
9 (a-d)	Canada	18.1.4 (a-d) (p.25)	None	
10 chapeau	NT, Australia, United States, Colombia et al., ^d European Community	First line of para. 18 (p.23); chapeau of alternative 1 to para. 18 (p.24); alternative 3 to para. 18 (p.24)	Combined	All provide a chapeau for introducing the objectives of international adaptation action/cooperation

Table 3 (continued)

New paragraph	Contributors	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
10 (a)	Central American Group, ^e Australia	Alternative 6 to para. 18, (p.25); second part of x.5 (p.23)	Combined	All refer to the objective of minimizing adverse effects
10 (b)	NT, Australia, India, Iran (Islamic Republic of) et al., United States, Colombia et al., European Community	Lines 1–4 of para. 18 (p.23); alternative 1 to para. 18 (a–b) (p.24); alternative 3 to para. 18 (p.24)	Combined	All refer to the objective of facilitating action on adaptation
10 (c)	AOSIS	Alternative 2 to para. 18 (p.24)	None	
11	NT, Australia, Chile, Mexico, United States, Iran (Islamic Republic of) et al., Egypt, Colombia et al., African Group, Indonesia	Second sentence of para. 18 (p.23); alternative 1 (c) to para. 18 (p.24); alternative 5 to para. 18 (except first sentence) (p.24); second sentence of 18.4 (p.26); alternative 3 to para. 18 (p. 24)	Combined	All refer to enabling/supporting cooperation on adaptation
12 chapeau	United States, China, Bolivia (Plurinational State of) et al. ^f , Colombia et al., NT, Brazil, Iran (Islamic Republic of) et al., Canada, African Group, AOSIS, European Community, Norway, Indonesia	Paragraph 6 (b) of preambular proposal 3 (p.23); first line of alternative 4 to para. 18 (p.24); first sentence of alternative 7 to para. 18 (p.25); 18.6 (p.26); first 2 lines of para. 19 (p.26); alternative for the chapeau of para. 19 (p.26); first sentence of alternative to para. 18 and chapeau of para. 19 (p.27); first sentence of alternative 2 to para. 19 (p.28); chapeau of alternative 3 to para. 19 (p.28); first line of chapeau of alternative 4 to para. 19 (p.29); first line of chapeau of alternative 5 to para. 19 (p.30); first two lines of chapeau of alternative 6 to para. 19 (p.30); first part of 19.2 (p.30)	Combined	All refer to setting up a certain framework/ programme etc. and provide a chapeau for introducing the objectives of such a framework/programme
12 (a)	United States, Canada	x.1 (a–b) (p.23); second part of 18.1 (p.25)	Combined	All refer to the objective of catalysing, enhancing or galvanizing action
12 (b)	NT, United States, Iceland, Norway, Bolivia (Plurinational State of) et al.	Lines 5–9 of para.19 (p.26); first two sentences of alternative 5 to para. 19 (p.30); second part of first sentence of alternative 6 to para. 19 (p.30)	Combined	All refer to the objective of enabling/guiding adaptation

Table 3 (continued)

New paragraph	Contributors	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
12 (c)	China, Bolivia (Plurinational State of) et al., Pakistan	Second part of alternative 4 to para. 18 (p.24); second sentence of alternative 7 to para. 18 (p.25); second sentence of alternative to para. 18 and chapeau of para. 19 (p.27)	Combined	All refer to the objective of supporting the implementation of adaptation
12 (d)	European Community	Chapeau of alternative 4 to para. 19 (p.29)	None	
12 (e)	United States	x.1 (c) (p.23)	None	
12 (f)	Australia	Second sentence of x.4 (p.38)	None	
12 (g)	United States, least developed countries (LDCs)	x.1 (d) (p.23), 18.3 (p.26)	Combined	Both refer to the objective of climate-resilient development
12 (h)	LDCs, Bangladesh	25 (e).4 (p.45)	None	
13 chapeau	Canada, European Community, NT, Costa Rica et al., African Group, Iran (Islamic Republic of) et al., Australia, Pakistan, Bolivia (Plurinational State of) et al., AOSIS, Norway	18.1.2 (a) (p.25); first parts of alternative 4 (h) and (i) to para. 19 (p.29); chapeau of 22 (p.31); first line of alternative 1 to chapeau of para. 22 (p.31); first part of alternative 3 to subpara. 22 (a) (p.32); first part of subpara. 22 (j); alternatives 1–3 to chapeau of subpara. 22 (j) (p.34); first part of alternative 1 to subpara. 22 (j) (i) (p.35); first part of alternative 1(b) to para. 22 (p.37); chapeau of alternative 3 (f) to para. 22 (p.37)	Combined	All refer to who should be prioritized in the implementation of the framework and provide a chapeau for a listing
13 (a)	African Group, Iran (Islamic Republic of) et al., United States, NT, Bolivia (Plurinational State of) et al., Costa Rica et al., Colombia	Second and fourth parts of subpara. 22 (j) (p.34); 22 (j) (i) (p.34); 22 (j) (i) second bullet (p.34); alternatives 3 and 4 to subpara. 22 (j) (i) (p.35)	Combined	All refer to and provide a chapeau for a listing of vulnerable countries
13 (a) (i)	European Community, NT, United States, African Group, AOSIS	Second part of alternative 4 (h) to para. 19 (p.29); 22 (j) (i) first bullet (p.34); third line of alternative 1 to subpara. 22 (j) (i) (p.35); second part of alternative 1 (b) to para. 22 (p.37)	Combined	All refer to vulnerable countries listed in BAP

Table 3 (continued)

New paragraph	Contributors	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
13 (a) (ii)	Costa Rica et al., NT, African Group, LDCs, Pakistan, Iran (Islamic Republic of) et al., Colombia, Bolivia (Plurinational State of) et al., India, Indonesia	Third part of subpara. 22 (j); 22 (j) (i) bullets 3 and 5 (p.34); alternatives 2–5 to subpara. 22 (j) (i) (p.35); alternative 3 to subpara. 22 (j) (iii) (p.35)	Combined	All refer to a wider listing of vulnerable countries
13 (b)	European Community, African Group, NT, India, Turkey, Argentina, Bolivia (Plurinational State of) et al., Norway	Alternative 4 (i) to para. 19 (p.29); second part of alternative 3 to subpara. 22 (a) (p.32); 22 (j) (ii) (p.35); first part of alternative 1 to subpara. 22 (j) (iii) (p.35); second part of alternative 2 to subpara. 22 (j) (iii) (p.35); alternative 3 (f) (i) to para. 22 (p.37)	Combined	All refer to a listing of vulnerable groups
13 (c)	NT, Costa Rica et al., Argentina, Bolivia (Plurinational State of) et al., Norway	22 (j) (iii) (p.35); second part of alternative 1 to subpara. 22 (j) (iii) (p.35); first part of alternative 2 to subpara. 22 (j) (iii) (p.35); alternative 3 (f) (ii) to para. 22 (p.37)	Combined	All refer to a listing of vulnerable ecosystems
14 chapeau	Norway, Australia, NT, AOSIS, Canada	Third sentence of alternative 5 to para. 19 (p.30); alternative 3 to chapeau of para. 22 (p.31); 22 chapeau and (a) (p.31, 32); chapeau of alternative 1 to para. 22 (p.37); chapeau of alternative 2 to para. 22 (p.37); chapeau of 18.1.2 (p.25); chapeau of 18.1.5 (p.26)	Combined	All refer to principles guiding the implementation of the framework/ programme etc. and provide a chapeau for the listing contained in a–z below
14 (a)	Canada, European Community, Norway, African Group, AOSIS	18.1.2 (b) (p.25); alternative 4, first part (a), to para. 19 (p.29); alternative 5(a) to para. 19 (p.30); alternative 2 to subpara. 22 (a) (p.32); alternative 1 (a) to para. 22 (p.37)	Combined	All refer to “country-drivenness”
14 (b)	Canada, Bolivia (Plurinational State of) et al., NT, Chile, Bolivia (Plurinational State of) et al., Norway	18.1.5 (b) (p.26); parts of para. 45(d)* (p.61); 22 (a) (i); alternatives 1 and 2 to subpara. 22 (a) (i) (p.32); alternative 2 (a) to para. 22 (p.37)	Combined	All refer to implementation at various levels and to subsidiarity

Table 3 (continued)

New paragraph	Contributors	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
14 (c)	European Community, NT, Australia, Mexico, Bolivia (Plurinational State of) et al., Norway	Alternative 4, first part (c), to para. 19 (p.29); 22 (h); alternative to subpara. 22 (h) (p.33); alternative 3 (d) to para. 22 (p.37)	Combined	All refer to the need for integration, coordination, consistency etc.
14 (d)	Bangladesh, Thailand	22 (m).2 (p.36); 22 (m).7 (p.36)	Combined	
14 (e)	Norway, Canada, Bolivia (Plurinational State of) et al., NT, United States, Pakistan, Norway, United States	Alternative 2 (b), first part, to para. 22 (p.37); parts of para. 45 (e)* (p.61); 18.1.5 (a) (p.26); 22 (g) and alternative to subpara. 22 (g) (p.33); alternative 3 (b) to para. 22 (p.37); 22 (f).1 (p.33)	Combined	
14 (f)	NT, Australia, Switzerland, Norway	22 (e) (p.33); alternative 3(c) to para. 22 (p.37)	Combined	
14 (g)	Thailand	First part of 22 (m).6 (p.36)	None	
14 (h)	European Community, NT, Mexico, Costa Rica et al., African Group, Iceland, Canada	Alternative 4, first part (b), to para. 19 (p.29); 22 (a) (iii) (p.32); 18.1.2 (e) (p.25)	Combined	All refer to resilience
14 (i)	Thailand	22 (m).4 (p.36)	None	
14 (j)	Bolivia (Plurinational State of) et al., Canada	Parts of para. 45 (c)* (p.61); 18.1.2 (d) (p.25)	Combined	Both refer to institutional arrangements, enabling environments
14 (k)	Indonesia	25 (e).5 (p.45)	None	
14 (l)	European Community, NT, Australia, Iceland, United States, Norway	Alternative 4, first part (d) to para. 19 (p.29); 22 (d) (p.33); alternative 3 (a) to para. 22 (p.37)	Combined	All refer to flexibility, the bottom-up approach and involvement of stakeholders
14 (m)	Thailand, Australia, Canada, Bolivia (Plurinational State of) et al.	22 (m).3 (p.36); 22 (m).8 (p.36); 18.1.5 (c) (p.26); parts of para. 45(f) (p.61)*	Combined	

Table 3 (continued)

New paragraph	Contributors	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
14 (n)	Canada, NT, Norway, European Community, African Group, Iran (Islamic Republic of)	18.1.2 (c) (p.25); 22 (k) (p.36); alternative 3 (e) to para. 22 (p.37); alternative 4, first part (e), to para. 19 (p.29); alternative 4 to subpara. 22 (a) (p.32); 22 (m).1 (p.36)	Combined	All refer to knowledge, information, learning
14 (o)	Norway, NT, Bolivia (Plurinational State of) et al., Thailand	Alternative 5 (c) to para. 19 (p.30); 22 (m); alternatives 1 and 2 to subpara. 22 (m) (p.36); second part of 22 (m).6 (p.36)	Combined	
14 (p)	European Community, China, NT, Costa Rica et al., India, Pakistan, Thailand, African Group	Alternative 4, first part (f) and (g), to para. 19 (p.29); 22 (a).2 (p.32); 22 (c); alternatives 1 and 2 to subpara. 22 (c) (p.33); 22 (a) (i).1 (p.32)	Combined	All refer to support
14 (q)	Costa Rica et al., Thailand	22 (a) (iii).1 (p.32); 22 (m).5 (p.36)	Combined	All refer to the precautionary principle
14 (r)	India, NT, Costa Rica et al., AOSIS	22 (a) (ii) (p.32); alternative 1 (c) to para. 22 (p.37)	Combined	All refer to the polluter pays principle
14 (s)	Bolivia (Plurinational State of) et al., Norway	Parts of para. 45 (a)* (p.61); alternative 2 (c) to para. 22 (p.37)	Combined	All refer to effectiveness and efficiency
14 (t)	Colombia; Bolivia (Plurinational State of) et al., African Group, China	First part of para. 19.1 (p.30); alternative 4 to chapeau of para. 22 (p.32); alternative 1 to subpara. 22 (a) (p.32); 22 (a).1 (p.32)	Combined	All refer to consistency with or guidance by the Convention
14 (u)	Colombia	Third part of para. 19.1 (p.30)	None	
14 (v)	NT, Costa Rica et al., Mexico, China	22 (b) (p.33); alternative to subpara. 22 (b) (p.33)	Combined	All refer to “legally binding”
14 (w)	NT, Mexico, Norway, Colombia	22 (l) (p.36); alternative 3 (b), second part, to para. 22 (p.37); second part of para. 19.1 (p.30)	Combined	All refer to learning from other relevant actions, processes etc.
14 (x)	Egypt	22 (h).1 and 2 (p.33)	Combined	Both refer to regional and shared perspective

Table 3 (continued)

New paragraph	Contributors	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
14 (y)	NT, African Group, Iceland, Australia, Bolivia (Plurinational State of) et al., Pakistan	22 (i) (p.33, 34); alternatives 1 and 2 to subpara. 22 (i) (p.34); alternative for subparas. 22 (f), (g), (h) and (i), chapeau and (iii) (p.34)	Combined	All refer to other agreements
14 (z)	Thailand	22 (a) (iii).4 (p.32)	None	
15 chapeau	African Group, AOSIS, NT, China, Australia, LDCs, European Community, Costa Rica et al.	Alternative 1 to subpara. 22 (j) (i) (p.35); alternative 3 (a) (iii) to para. 19 (p.28); last line of chapeau of para. 19; second sentence of alternative to chapeau of para. 19 (p.26); last line of chapeau of x.3 (p.38); first part of alternative 1 to para. 19 (p.27); chapeau of second part of alternative 4 to para. 19 (p.29); second sentence of alternative 2 to para. 19 (p.28); chapeau of alternative 3 to para. 19 (p.28)	Combined	All refer to elements of the framework/programme, etc. and provide a chapeau for the listing contained in a–o below
15 (a)	European Community	Alternative 4, second part (c) to para. 19 (p.29)	None	
15 (b)	AOSIS	Alternative 3 (c) to para. 19 (p.28);	None	
15 (c)	NT, Costa Rica et al., China, African Group, Australia	19 (a) (p.26); 19 (a) (ii).2 (p.27); alternative 2 (b) to para. 19 (p.28); x.3 (a) (p.38)	Combined	All refer to the implementation of adaptation action
15 (c) (i)	NT, Mexico, Costa Rica et al., United States, AOSIS, European Community, Bolivia (Plurinational State of) et al., Indonesia, Australia	19 (a) (i) (p.27); alternative 3 (a) (ii) to para. 19 (p.28); alternative 4, second part (d), to para. 19 (p.29); alternative 6 (a) (i) to para. 19 (p.30); third part of 19.2 (p.30); x.3 (a) (i) (p.38)	Combined	All refer to the implementation of enabling activities
15 (c) (ii)	LDCs	Second part of alternative 1 to para. 19 (p.27)	None	

Table 3 (continued)

New paragraph	Contributors	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
15 (c) (iii)	NT, Mexico, Costa Rica et al., Iran (Islamic Republic of) et al., AOSIS, Bolivia (Plurinational State of) et al., Australia	19 (a) (ii) (p.27); alternatives 1–3 to subpara 19 (a) (ii) (p.27); alternative 3 (a) (i), (j) to para. 19 (p.28); alternative 6 (a) (ii) to para. 19 (p.30); x.3 (a) (ii) (p.38)	Combined	All refer to the implementation of specific adaptation action
15 (c) (iv)	AOSIS	Alternative 3 (h) to para 19 (p.28)	None	
15 (c) (v)	United States	19 (a) (ii).1 (p.27)	None	
15 (d)	NT, India, Costa Rica et al., Bolivia (Plurinational State of) et al., Indonesia, African Group, European Community, Australia	19 (b) (p.27); alternative to subpara. 19 (b) (p.27); alternative 6 (b) to para. 19 (p.30); first part of 19.2 (p.30); alternative 2 (a) to para. 19 (p.28); alternative 4, second part (f), to para. 19 (p.29); x.3 (b) (p.38)	Combined	All refer to the provision of means of implementation
15 (e)	AOSIS	Alternative 3 (d) and (e) to para. 19 (p.28)	Combined	
15 (f)	Costa Rica et al., European Community	Second part of alternative 2 to subpara. 19 (a) (ii) (p.27); alternative 4, second part (a), to para. 19 (p.29)	Combined	
15 (g)	AOSIS	Alternative 3 (g) to para. 19 (p.28)	None	
15 (h)	AOSIS, European Community	Alternative 3 (i) to para. 19 (p.28); alternative 4, second part (b), to para. 19 (p.29)	Combined	All refer to knowledge
15 (i)	NT, Costa Rica et al., Philippines, AOSIS, Bolivia (Plurinational State of) et al.	19 (c) (p.27); alternative 3 (f) to para. 19 (p.28); alternative 6 (c) to para. 19 (p.30)	Combined	All refer to risk management, risk reduction and risk sharing
15 (j)	Norway, European Community	Alternative 5 (d) to para. 19 (p.30); alternative 4, second part (e), to para. 19 (p.29)	Combined	All refer to the Hyogo Framework for Action
15 (k)	NT, AOSIS, Bolivia (Plurinational State of) et al., Australia	19 (d) (p.27); alternative 3 (b) to para. 19 (p.28); alternative 6 (d) to para. 19 (p.30); x.3 (c) (p.38)	Combined	All refer to institutional arrangements

Table 3 (continued)

New paragraph	Contributors	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
15 (l)	African Group, Norway, NT, Pakistan	Alternative 2 (c) to para. 19 (p.28); alternative 5 (b) to para. 19 (p.30); 22 (f) (p.33); alternative for subpara. 22 (f), (g), (h) and (i), chapeau, (i) and (ii) (p.34)	Combined	All refer to facilitating linkages
15 (m)	NT, European Community, Bolivia (Plurinational State of) et.al, Australia	19 (e) (p.27); alternative 4, second part (g), to para. 19 (p.29); alternative 6 (e) to para. 19 (p.30); x.3 (d) (p.38)	Combined	All refer to monitoring and review
15 (n)	Iran (Islamic Republic of) et al.	19 (d).1 (p.27)	None	
15 (o)	Central American Group	19 (e).1 (p.27)	None	

^a NT = Negotiating text as contained in document FCCC/AWGLCA/2009/8.

^b Costa Rica et al. = Costa Rica, Colombia, Panama, Peru and Uruguay.

^c Iran (Islamic Republic of) et al. = Iran (Islamic Republic of), Algeria, Kuwait, Libyan Arab Jamahiriya, Nigeria, Qatar and Saudi Arabia.

^d Colombia et al. = Colombia, Costa Rica, Panama, Peru and Uruguay.

^e Central American Group = Belize, Costa Rica, El Salvador, Guatemala, Honduras, Mexico, Nicaragua and Panama.

^f Bolivia (Plurinational State of) et al. = Bolivia (Plurinational State of), Ecuador, El Salvador and Venezuela (Bolivarian Republic of).

* The proposal of Bolivia (Plurinational State of) et al. was intended for section E of the adaptation chapter, but as it referred to the adaptation framework it has been moved to section A.

Table 4. Enhanced action on adaptation and its means of implementation, section B: Implementation [of adaptation action]

New paragraph	Contributor	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
16 chapeau	United States of America, European Community	x.2 chapeau (p.38); alternative 1 to para. 23 chapeau (p.40)	Combined	Both refer to all Parties and relate to planning
16 (a)	United States	x.2 (b) (p.38)	None	
16 (b)	Norway	Alternative 2 (d) to paras. 23–24 (p.44)	None	
16 (c)	European Community	Alternative 1 (e) to para. 23 (p.40)	None	

Table 4 (continued)

New paragraph	Contributor	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
16 (d)	European Community	Alternative 1 (b) to para. 23 (p.40)	None	
17	United States, NT, ^a Japan, Canada, Costa Rica et al., ^b Bolivia (Plurinational State of) et al., ^c China, African Group, Argentina, Alliance of Small Island States (AOSIS)	x.1 (d) (p.38); 23, alternatives 1, 2, second part of 3 and 4 to the chapeau of para. 23 (p.39); first part of alternative 2 to para. 23 (p.40); x.4 (p.43); x.1 of alternative 1 to paras. 23–24 (p.43)	Combined	All proposals relate to the wider issue of national adaptation plans
18	United States, AOSIS, African Group	x.5 (p.43); alternative 3 to chapeau of 23 (p.39); x.1 (e) (p.38)	Combined	All proposals relate to the wider issue of supporting the preparation and implementation of national adaptation plans
19 chapeau	NT, Australia, LDCs	23, last sentence (p.39); first part of first sentence of alternative 2 to chapeau of para. 22 (p.31)	Combined	Both provide a chapeau for a list of objectives of national adaptation plans
19 (a)	LDCs	Second part of first sentence of alternative 2 to chapeau of para. 22 (p.31)	None	
19 (b)	LDCs	Second sentence of alternative 2 to chapeau of para. 22 (p.31)	None	
19 (c)	NT, United States, Costa Rica et al., India, Norway	23 (a) (p.39), alternative 2 to paras. 23–24 (b) (p.44)	Combined	All refer to catalyzing action
19 (d)	African group	23 (a).1 (p.39)	None	
19 (e)	NT	23 (b) (p.39)	None	
19 (f)	United States	23 (c) (p.39)	None	
19 (g)	NT	23 (d) (p.40)	None	
19 (h)	NT, Switzerland, Brazil, Norway	23 (e), alternative to subpara. 23 (e) and (f) (p.40); alternative 2 (a) to paras. 23–24 (p.44)	Combined	All refer to synergy between adaptation and mitigation
19 (i)	NT, Costa Rica, et al., Argentina	23 (f), alternative to subpara. 23 (e) (p.40)	Combined	All refer to the wider issue of natural systems
19 (j)	African Group, Egypt	23 (f).1 (p.40)	None	
19 (k)	Iceland	23 (f).2 (p.40)	None	
19 (l)	African group	23 (f).3 (p.40)	None	
19 (m)	Turkey	23 (f).4 (p.40)	None	

Table 4 (continued)

New paragraph	Contributor	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
20	Bolivia (Plurinational State of) et al., AOSIS	Alternative 2 to para. 23 (p.41), chapeau of para. x.3 of alternative 1 to paras. 23–24 (p.43)	Combined	All refer to elements of the national adaptation plans
20 (a–j)	Bolivia (Plurinational State of) et al., Pakistan, AOSIS	Alternative 2 to subparas. 23 (a–g) (p.41); alternative to subparas. 23 (a–j) (p.41); para. x.3 (a–j) of alternative 1 to paras. 23–24 (p.43)	Combined	Similar proposed elements of national adaptation plans
21	AOSIS	x.2 of alternative 1 to paras. 23–24 (p.43)	None	
22	NT, Canada, Iran (Islamic Republic of) et al., African Group, Canada, China, Norway	26, alternatives 1–4 to para. 26 (p.46)	Combined	All refer to the wider issue of reporting on national adaptation plans
23 chapeau	European Community, Costa Rica et al., China, Bolivia et al., China	Alternative 1 (a) to para. 23 (p.40); chapeau of para. 24; alternatives 2–4 to chapeau of para. 24 (p.41), chapeau of para. 24.1 (p.44)	Combined	All refer to the wider issue of enabling activities/ environments
23 (a)	United States, European Community, NT, African Group, Australia, Bolivia (Plurinational State of) et al., China	x.1 (c); x.2 (c) (p.38); 24 (a) (p.41); alternative 1 (a) to para. 23 (p.40); alternative to subpara. 24 (a); 24.1 (a) (p.44)	Combined	All refer to the wider issue of integration of adaptation
23 (b)	Iran (Islamic Republic of) et al.	24 (a).1 (p.44)	None	
23 (c)	Costa Rica et al., Iceland, United States, Norway, China	24 (b) (p.42); 24 (g).1 (p.43); alternative 2 (g) to paras. 23–24 (p.44); 24.1 (b) (p.44); 42 (l) (p.59)	Combined	All refer to incentives/ disincentives
23 (d)	United States	24 (b).1 (p.42)	None	
23 (e)	United States, NT, African Group, Egypt, Norway, China	x.2 (a); x.2 (d) (p.38), 24 (d) (p.42); alternative 2 (f) to paras. 23–24 (p.44); 24.1 (d) (p.44)	Combined	All refer to impact, vulnerability and adaptation assessments
23 (f)	European Community, NT, Costa Rica et al., China	Alternative 1 (c) to para. 23 (p.40); 24 (c); 24 (c) (ii).4 (p.42); 24.1 (c) (p.44)	Combined	All refer to capacity-building

Table 4 (continued)

New paragraph	Contributor	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
23 (f) (i)	NT, Switzerland	24 (c) (i) (p.42); 24 (c) (i).1 (p.42)	Combined	All refer to adaptation planning/implementation
23 (f) (ii)	NT, United States	24 (c) (ii) (p.42)	None	
23 (f) (iii)	United States	24 (c) (ii).2 (p.42)	None	
23 (f) (iv)	China	24 (c) (ii).4 (p.42)	None	
23 (f) (v)	United States	24 (c) (ii).1 (p.42)	None	
23 (f) (vi)	China	24 (c) (ii).3 (p.42)	None	
23(g)	NT, African Group, China, Iran (Islamic Republic of), Norway	24 (f) (p.42); alternative 2 (e) to paras. 23–24 (p.44)	Combined	All refer to education and training
23 (h)	European Community, NT, United States, Norway, China	Alternative 1 (f) to para. 23 (p.40); 24 (e) (p.42); alternative 2 (c) to paras. 23–24; 24.1 (e) (p.44)	Combined	All refer to knowledge sharing
23 (i)	European Community	Alternative 1 (d) to para. 23 (p.40)	None	
23 (j)	United States	x.2 (f) (p.38)	None	
23 (k)	United States	x.2 (g) (p.38)	None	
23 (l)	Iran (Islamic Republic of) et al.	25 (e).1 (p.45)	None	
23 (m)	Iran (Islamic Republic of) et al.	25 (e).2 (p.45)	None	
23 (n)	Iran (Islamic Republic of) et al.	25 (e).3 (p.45)	None	
23 (o)	United States	24 (f).1 (p.45)	None	
23 (p)	NT, Turkey, Australia, African Group, Canada, Philippines, Bolivia et al.	24 (g), alternative 1–4 to subpara. 24 (g) (p.42-43)	Combined	All refer to insurance pilot projects
23 (q)	African Group	Second part of alternative 1 to subpara. 24 (g) (p.42)	None	
23 (r)	NT, Mexico	24 (h) (p.43)	None	
23 (s)	NT, Costa Rica et al., Colombia	24 (i) (p.43)	None	
24 chapeau	United States, AOSIS	Chapeau of x.1 (p.37 and 38); para. x.1 of alternative 1 to para. 25 (p.45)	Combined	Both refer to adaptation action undertaken by Parties

Table 4 (continued)

New paragraph	Contributor	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
24 (a–b)	United States	x.1 (a–b) (p.37 and 38)	None	
25	NT, Australia, Canada, African Group, Switzerland, Costa Rica et al.	25, alternative for the chapeau of para. 25 (p.44)	Combined	All refer to supporting the implementation of adaptation
26 (a)	Australia, Iceland, African Group, China, AOSIS	x.4 (a), 25 (a) (p.44); alternative to subpara. 25 (a) (p.44–45); 25 (b).1 (p.45); x.2 (a) and (b) of alternative 1 to para. 25 (p.45–46)	Combined	All refer to specific adaptation actions
26 (b)	Australia, African Group, Bolivia (Plurinational State of) et al.	x.4 (b) (p.38); 25 (b) (p.45)	Combined	All refer to risk-related activities
26 (c)	Australia, Bolivia (Plurinational State of) et al., AOSIS	x.4 (c) (p.38); 25 (c) (p.45); x.2 (c) of alternative 1 to para. 25 (p.45)	Combined	All refer to building resilience
26 (d)	Iran (Islamic Republic of) et al.	25 (c).1 (p.45)	None	
26 (e)	Australia, Canada, United States, Iran (Islamic Republic of) et al.	x.4 (d) (p.38); 25 (d) (p.45)	Combined	All refer to technologies for adaptation
26 (f)	India, Central American Group, ^d Canada, LDCs, Bangladesh, Bolivia (Plurinational State of) et al., AOSIS	25 (e), alternatives 1–3 to subpara. 25 (e) (p.45); x.2(d) of alternative 1 to para. 25 (p.46)	Combined	All refer to the wider issue of climate-related migration

Table 4 (continued)

New paragraph	Contributors	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
27	United States, European Community, Norway, Iran (Islamic Republic of) et al., India, Bolivia (Plurinational State of) et al., Egypt	x.2 (e) (p.38); alternative 1 to para. 23 (g); alternative 2 to para. 25, 27 (p.46)	Combined	All refer to engagement of stakeholders

^a NT = Negotiating text as contained in document FCCC/AWGLCA/2009/8.

^b Costa Rica et al. = Costa Rica, Colombia, Panama, Peru and Uruguay.

^c Bolivia (Plurinational State of) et al. = Bolivia (Plurinational State of), Ecuador, El Salvador and
Venezuela (Bolivarian Republic of).

^d Central American Group = Belize, Costa Rica, El Salvador, Guatemala, Honduras, Mexico, Nicaragua and Panama.

**Table 5. Enhanced action on adaptation and its means of implementation,
section C: Means of implementation**

New paragraph	Contributors	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
28	African Group, NT, ^a Iran (Islamic Republic of) et al., ^b Costa Rica et al., ^c China, Bolivia (Plurinational State of) et al., ^d Indonesia	First part of chapeau to x.4 and second part of x.4 (a) (p.47); first sentence of para. 29 (p.50); first sentences of alternatives 1, 2, 5 and 8 to para. 29 (p.50– 51); first two sentences of alternative 7 (p.51)	Combined	All refer to the rationale for provision of support

Table 5 (continued)

New paragraph	Contributors	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
29	African Group, Egypt, Norway, NT, India, Costa Rica et al., China, Indonesia, least developed countries (LDCs), Bangladesh, European Community, Bolivia (Plurinational State of) et al., European Community, Iran (Islamic Republic of) et al.	First part of alternative 1 to para. 24 (p.41); second part of chapeau to x.4 and x.4 (b) (p.47); first sentence of x.5 (p.47); alternative 2 to para. 28 (p.49); second sentence of para. 29 (p.50); second sentences of alternatives 1, 2, 5 and 8 to para. 29 (p.50–51); alternative 3 to para. 29 (p.50); first sentence of alternative 4 to para. 29 (p.50); third sentence of alternative 7 to para. 29 (p.51); alternative (a) to para. 24 (p.43); third sentence of para. 26 (p.46)	Combined	All refer to general principles surrounding the provision of support
30	African Group, LDCs, Bangladesh	First part of x.4 (a), (d) (p.47); x.1 (p.47); x.2 (p.47)	Combined	All refer to the commitment of providing support
31	European Community, Norway, NT, African Group, Costa Rica et al., Thailand, Bolivia (Plurinational State of) et al.	Second sentence of alternative 4 to para. 29 (p.50); alternative 6 to para. 29 (p.51); 34 (p.54); alternatives 1–4 to para. 34 (p.54)	Combined	All refer to general modalities surrounding the provision of support
32	Egypt, NT, Canada, Iran (Islamic Republic of) et al., Bolivia (Plurinational State of) et al.	Para. 1 and 3 of 28.2 (p.50); 35 (p.54); alternatives 1–2 to para. 35 (p.54);	Combined	All refer to coherence in providing support
33 chapeau	African Group, Egypt, Thailand, Bolivia (Plurinational State of) et al.	x.4 (c) (p.47); second sentence of x.5 (p.47); chapeau of first para. of 28.2 (p.50); first sentences of alternatives 5 and 6 to para. 36 (p.55)	Combined	All refer to the volume and sufficiency of financial support and provide a chapeau for listing sufficiency criteria in (a–f) below
33 (a–f)	Egypt	28.2 (a–f) (p.49)	None	

Table 5 (continued)

New paragraph	Contributors	Corresponding para. (page#) in FCCL/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
34 chapeau	Norway, NT, African Group, Costa Rica et al., India, China, Turkey, Bolivia (Plurinational State of) et al.	Chapeau of x.6 (p.47); chapeau of para. 28 (p.48); alternatives 1–6 to chapeau of para. 28 (p.48)	Combined	All refer to provision of support to developing countries for general activities
34 (a)	NT, Norway	28 (a) (p.48); x.6 (a) (p.47)	Combined	Both refer to supporting the implementation of adaptation
34 (b)	NT, Switzerland	28 (b) (p.48)	None	
34 (c)	Norway	x.6 (b) (p.47)	None	
34 (d)	Norway	x.6 (c) (p.47)	None	
34 (e)	Norway	x.6 (d) (p.47)	None	
35 chapeau	China, NT, India, Iran (Islamic Republic of) et al., African Group, Costa Rica et al., LDCs, Bangladesh, China, Bolivia (Plurinational State of) et al.	28.1 chapeau (p.49); chapeau of para. 30 (p.51); alternatives 1–5 to the chapeau of para. 30 (p.51)	Combined	All provide chapeau for listing specific activities to be supported
35 (a)	African Group	Alternative 1 to the chapeau of para. 24 (p.41)	None	
35 (b)	China, Bolivia (Plurinational State of) et al., Norway	28.1 (a) (p.49); 30 (a).1 (p.51); alternative to para. 30, (b), and (b) (i) (p.52)	Combined	All refer to enhancing enabling activities
35 (b) (i)	Norway	Alternative to para. 30, (b) (ii) (p.52)	None	
35 (b) (ii)	Norway	Alternative to para. 30, (b) (iii) (p.52)	None	
35 (c)	China	28.1 (b) (p.49)	None	
35 (d)	China, NT, Norway	28.1 (c) (p.49); second part of para. 30(a) (p.51); alternative 2 to subpara. 30 (a) (p.51); alternative to para. 30, (a) (p.52)	Combined	All refer to the implementation of adaptation actions, projects etc.
35 (e)	China, Norway	28.1 (d) (p.49); alternative to para. 30, (c) (p.52)	Combined	All refer to risk-related activities
35 (f)	China, Norway	28.1 (e) (p.49); alternative to para. 30, (d) (p.52)	Combined	All refer to resilience building

Table 5 (continued)

New paragraph	Contributors	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
35 (g)	NT, Iceland, Chile, Iran (Islamic Republic of) et al., Bolivia (Plurinational State of) et al.	30 (d) (p.52); alternative to subpara. 30 (d) (p.52)	Combined	All refer to resilience-related activities
35 (h)	China	28.1 (f) (p.49)	None	
35 (i)	China, NT, Norway	First part of para. 28.1 (g) (p.50); first part of para. 30 (a) (p.51); alternative 1 to subpara. 30 (a) (p.51); alternative to para. 30, (e) (p.52)	Combined	All refer to technologies for adaptation
35 (j)	China	Second part of para. 28.1 (g) (p.50)	None	
35 (k)	China	Third part of para. 28.1 (g) (p.50)	None	
35 (l)	NT, Pakistan, Japan, Costa Rica et al., Switzerland, Bolivia (Plurinational State of) et al., Iran (Islamic Republic of) et al., LDCs, Bangladesh	30 (b) and (c) (p.51); alternative to subpara. 30 (c) (p.51)	Combined	All refer to national adaptation plans
35 (m-p)	Iran (Islamic Republic of) et al.	30 (d).1-(d).4 (p.52)	None	
35 (q)	Norway	Alternative to para. 30, (f) (p.52)	None	
36	LDCs, Alliance of Small Island States (AOSIS), Egypt	Last sentence of para. 33 (p.53); x.6 of alternative 1 to paras. 23-24 (p.43); last three paras. of 28.2 (p.50)	Combined	All refer to access
37 chapeau and (a-d)	AOSIS	x.3 (a-d) of alternative 1 to para. 25 (p.46)	None	
38	NT	Chapeau of para. 31 (p.52)	None	
38 (a)	Canada	Alternative to paras. 31-33 (p.54)	None	
38 (b)	NT, Senegal, Costa Rica et al.	31 (a) (p.52)	None	

Table 5 (continued)

New paragraph	Contributors	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
38 (c)	NT, Bolivia (Plurinational State of) et al., Pakistan	31 (b) (p.52); alternative to 31 (b) (p.52); alternative to 30 (b) (ii) (p.52)	Combined	All refer to specific developing countries, which should be prioritized, and provide a chapeau for the listing contained in options 1 and 2
38 (c) option 1 (i)	NT	31 (b) (i) (p.52)	None	
38 (c) option 1 (ii)	NT, Jordan, Lebanon, Sri Lanka	31 (b) (ii) (p.52)	None	
38 (c) option 1 (iii)	NT, LDCs	31 (b) (iii) (p.53)	None	
38 (c) option 1 (iv)	NT, Pakistan	31 (b) (iv) (p.53)	None	
38 (c) option 2 (i–ix)	India	Alternative to 31 (b) (i–iv), and (i–ix) (p.53)	Combined	All refer to vulnerable countries
38 (d)	NT, Mexico	31(c) (p.53); 31 (c).1 (p.53); 31 (c).2 (p.53)	Combined	All refer to other vulnerable groups, systems etc.
39	NT, Pakistan, United States of America	33 (p.53)	None	
40	NT, Bolivia (Plurinational State of) et al., African Group, India, Argentina, Costa Rica et al.	32 (p.53); alternative 1 to para. 32 (p.53)	Combined	Both refer to priority being given to ecosystems
41 chapeau and (a–i)	LDCs, Egypt, NT, India, Pakistan, Russian Federation, African Group, Costa Rica et al., Singapore, China, Thailand, Bolivia (Plurinational State of) et al.	x.3 (p.47); para. 3 of para. 28.2 (p.50); para. 36 (p.54); alternatives 1–6 to para. 36 (p.55)	Combined	All relate to sources of financial support
42 chapeau and (a–d)	United States	x.7	None	

^a NT = Negotiating text as contained in document FCCC/AWGLCA/2009/8.

^b Iran (Islamic Republic of) et al. = Iran (Islamic Republic of), Algeria, Kuwait, Libyan Arab Jamahiriya, Nigeria, Qatar and Saudi Arabia.

^c Costa Rica et al. = Costa Rica, Colombia, Panama, Peru and Uruguay.

^d Bolivia (Plurinational State of) et al. = Bolivia (Plurinational State of), Ecuador, El Salvador and Venezuela (Bolivarian Republic of).

Table 6. Enhanced action on adaptation and its means of implementation, section D: [Risk reduction, management and sharing] [Risk management and risk reduction strategies, including risk sharing and transfer mechanisms such as insurance] [Risk reduction and management]

New paragraph	Contributors	Corresponding para.(page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
43	United States of America, Indonesia	Alternative to para. 42 (p.59); para. 24 (f).2 (p.42); 37 (b).1 (p.56)	Combined	All are overarching concept for the section
44 chapeau	NT, ^a Egypt, Colombia	First and second sentences of para. 38; chapeau of para. 37 (p.56)	Combined	All refer to the connection between adaptation and other disciplines
44 (a)	NT, Bolivia (Plurinational State of) et al., ^b Argentina, Colombia, Costa Rica et al. ^c	37 (a); alternative to subpara. 37 (a) (p.56)	Combined	Both refer to supporting country-driven projects/programmes
44 (b)	NT, Colombia	37 (b) (p.56)	None	Relocated to be grouped together with other activities
44 (c)	Africa Group	Last sentence of 39 (p.56)	None	
44 (d)	Argentina, Costa Rica et al.	Last sentence of 38 (p.56)	None	
45	NT, Australia	40 (p.57)	None	
46 chapeau	NT, Switzerland, Costa Rica et al.	First part of first line and aspects from fourth and fifth lines of 39 (p.56)	None	
46 (a)	NT, Argentina, Norway, Costa Rica et al.	Aspects of first and second sentences of para. 39 (p.56)	Combined	All refers to the preparation and implementation of plans
46 (a) (i)	Costa Rica et al.	39 (a) (p.56)	None	
46 (a) (ii)	Costa Rica et al., NT	39 (b); aspects of fourth line of para. 39 (p.56)	Combined	Both refer to early warning systems
46 (a) (iii)	Costa Rica et al., Indonesia, Mexico	39 (c); aspects of third sentence of para. 39 (p.56)	Combined	Both refer to contingency plans
46 (a) (iv)	Costa Rica et al.	39 (d) (p.56)	None	
46 (a) (v)	Costa Rica et al., Mexico	39 (e); aspects of third and fourth sentences of para. 39 (p.56)	Combined	Both refer to risk transfer mechanisms
46 (a) (vi)	Costa Rica et al.	39 (f) (p.56)	None	
46 (b-1)	United States	Alternative to para. 42 (a-k) (p.59)	None	Relocated to be grouped together with other activities

Table 6 (continued)

New paragraph	Contributors	Corresponding para.(page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
47 chapeau	NT, Pakistan, Mexico, Alliance of Small Island States (AOSIS), African group, Bolivia (Plurinational State of) et al., United States, Switzerland	41 (a); alternative to subpara. 41 (a) (p.57); alternative to para. 41 and 42 (a) (p.57-8); chapeau of para. 41 (p.57)	Combined	All refer to objectives of mechanisms and the need for enhancement
47 (a)	NT	41 (b) (p.57)	None	Grouped as guiding principles of mechanisms
47 (b)	NT	41 (c) (p.57)	None	
47 (c)	Bolivia (Plurinational State of) et al.	41 (c).1 (p.57)	None	
47 (d)	AOSIS	Alternative to para. 41 and 42 (i) (p.59)	None	
48 chapeau	NT, Philippines, AOSIS, Norway, Argentina	Chapeau of para. 42 (p.57); chapeau of option 1 of para. 42, options 2 and 3 of para. 42 (p.57); aspects of the first line and second sentence of alternative to para. 41 and 42 (d); aspects of alternative to paras. 42 (e) (p.58)	Combined	All are descriptions of mechanisms
48 (a)	NT, Mexico, AOSIS	First line of option 1 (a) of para. 42 (p.57); first and second lines of alternative to paras. 41 and 42 (e) (i) (p.58)	Combined	All refer to a risk-related component
48 (a) (i)	NT, Costa Rica et al., AOSIS	Aspects of first to third lines of option 1 (a) of para. 42 (p.57); alternative to para. 41 and 42 (e) (i) (p.57)	Combined	
48 (a) (ii)	NT, AOSIS	Third and fourth lines of option 1 (a) of para. 42 (p.57); seventh and eighth lines of alternative to para. 41 and 42 (e) (i) (p.58)	Combined	
48 (b)	NT, AOSIS	Aspects of first line of option 1 (b) of para. 42 (p.57); alternative to para. 41 and 42 (e) (ii) (p.58)	Combined	All refer to an insurance component

Table 6 (continued)

New paragraph	Contributors	Corresponding para.(page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
48 (b) (i)	NT, Bolivia (Plurinational State of) et al., Costa Rica et al.	Option 1 (b) of para. 42 (p.57)	Combined	
48 (b) (ii)	AOSIS	Alternative to para. 41 and 42 (e) (ii) and (j) (p.58)	None	
48 (c)	NT, Bolivia (Plurinational State of) et al., AOSIS	Option 1 (c) of para. 42 (p.57); alternative to para. 41 and 42 (e) (iii) (p.58)	Combined	Both refer to a rehabilitation/compensatory component
48 (d)	Costa Rica et al.	Option 1 (c.1) of para. 42 (p.57)	None	
49	NT, Central American Group, ^d Egypt	43 (p.59)	None	

^a NT = Negotiating text as contained in document FCCC/AWGLCA/2009/8.

^b Bolivia (Plurinational State of) et al. = Bolivia (Plurinational State of), Ecuador, El Salvador, Venezuela (Bolivarian Republic of).

^c Costa Rica et al. = Costa Rica, Colombia, Panama, Peru and Uruguay

^d Central American Group = Belice, Costa Rica, El Salvador, Guatemala, Honduras, Mexico Nicaragua and Panama.

Table 7. Enhanced action on adaptation and its means of implementation, section E: Institutional arrangements

New paragraph	Contributors	Corresponding para.(page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
50	NT, ^a European Community, Pakistan, Costa Rica et al., ^b African Group, Canada, United States of America, China, Norway, Australia	44 (p.60); first sentence of para. 18.1.3 (p.25); first part of the fifth sentence of preambular proposal 3 (p.22); alternative 2 to para. 44 (p.61); alternative 2 to para. 32 (a) and (c) (p.53); first sentence of para. 21.1 (p.31).	Combined	All refer to catalytic role of the Convention/Conference of the Parties
51	NT, European Community, Costa Rica et al., Bolivia (Plurinational State of) et al., ^c Australia, Norway	Second sentence of para. 44 (p.60); alternative 1 to para. 44 (p.61); second sentence of para. 21.1 (p.31); alternative 2 to para. 32 (b) (p.53)	Combined	All refer to the role of the Convention/ Copenhagen agreement in facilitating information sharing
52	Canada	Second sentence of para. 18.1.3 (p.25)	None	
53	Iran (Islamic Republic of)	Last sentence of para. 44 (p.60)	None	

Table 7 (continued)

New para-graph	Contributors	Corresponding para.(page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
54	NT, European Community, Australia, Bolivia (Plurinational State of) et al.	50 (p.65); alternatives 1 and 2 to para. 50 (p.66)	Combined	All refer to the potential of the Nairobi Work Programme on impacts, vulnerability and adaptation to climate change as a hub for knowledge sharing
55 chapeau	NT, European Community, Bolivia (Plurinational State of) et al., Argentina	Second sentence of para. 45 (p.61); first sentence of alternative to the chapeau of para. 45 (p.61); chapeau of x.3 (p.60)	Combined	All present a chapeau for guiding principles of institutional arrangements
55 (a)	NT	45 (a) (p.61)	None	All refer to guiding principles of various mechanisms/institutional arrangements
55 (b)	NT, Argentina	45 (b) (p.61); x.3 (b) (p.60)	Combined	
55 (c)	Argentina	x.3 (a) (p.60)	None	
55 (d)	Argentina	x.3 (c) (p.60)	None	
55 (e)	Argentina	x.3 (e) (p.60)	None	
55 (f)	Australia, AOSIS	45 (f)2 (p.61); aspects of first to third lines of alternative to option 1 (g) of para. 46 (p.63)	Combined	
55 (g)	Argentina	x. 2 (p. 60)	None	
55 (h)	United States, Egypt	45 (c)1 (p.61); 45 (d)1 (p.61)	Combined	
55 (i)	NT, European Community, United States, Australia	45 (c) (p.61); 45 (c)2 (p.61); 45 (f)1 (p.61)	Combined	
56	NT, European Community, United States	Aspects of first and second lines of the chapeau of para. 45 (p.61); aspects of first and second lines of the chapeau of para. 46 (p.61)	Combined	All present a chapeau for objectives/purpose/ activities of institutional arrangements
56 (a)	European Community, NT, India, Iceland, China, Australia	Aspects of the first sentence of para. 45 chapeau (p.61); 45 (d) (p.61); aspects of options 1, 2, 5 and 7 to para. 46 (p.62-3).	Combined	All refer to strengthening the implementation of adaptation
56 (b)	NT, Bolivia (Plurinational State of) et al., Australia	Last sentence of option 2, option 3, second to fourth lines of option 7 of para. 46 (p.63)	Combined	Both refer to developing guidance for adaptation strategies
56 (c)	NT, European Community, United States, African Group	45 (f) (p.61); eighth and ninth lines of option 1 to para. 46 (p.62)	None	Objectives/purpose/ activities of institutional arrangements are grouped
56 (d)	AOSIS	Alternative to option 1 to para. 46 (f) (p.63)	None	
56 (e)	AOSIS	Alternative to option 1 to para. 46 (b), (b) (i) and (ii) (p.62)	None	
56 (f)	AOSIS	Third to fifth lines of alternative to option 1 of para. 46 (g) (p.63)	None	

Table 7 (continued)

New para-graph	Contributors	Corresponding para.(page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
56 (g)	United States, NT, European Community, Indonesia	45 (c).3 (p.61); 45 (e) (p.61)	Combined	All refer to the integration of adaptation into development planning
56 (h)	NT	Aspects of fourth and fifth lines of option 1 to para. 46 (p.62)	None	Objectives/purpose/ activities of institutional arrangements are grouped
56 (i)	NT	Option 4 to para. 46 (p.63)	None	
56 (j)	Indonesia	45 (f).3 (p.61)	None	
56 (k)	NT, Turkey	Option 6 to para. 46 (p.63)	None	
56 (l)	Argentina	x.4 (a) (p.60)	None	
56 (m)	NT, Colombia	Fifth and sixth lines of option 1 of para. 46 (p.62)	None	
56 (n)	Argentina	x.4 (c) (p.60)	None	
56 (o)	Argentina	x.4 (d) (p.60)	None	
56 (p)	Argentina	x.3 (f) (p.60)	None	
56 (q)	NT, China	Aspects of fourth and fifth lines of options 2, second line of option 5 of para. 46 (p.63)	Combined	
56 (r)	Argentina	x.3 (d) (p.60)	None	
56 (s)	China	Last sentence of option 1 of para. 46 (p.62)	None	
56 (t)	NT	Sixth and seventh lines of option 1 of para. 46 (p.62)	None	
56 (u)	AOSIS	Alternative to option 1 of para. 46 (c) (p.62)	None	
56 (v)	NT, China, Pakistan	Fifth and sixth lines of option 2 of para. 46, third to sixth lines of option 5 of para. 46 (p.63)	Combined	
56 (w)	Argentina	x. 4 (b) (p.60)	None	
56 (x)	Argentina	x.1 (p.59)	Combined	
57 chapeau	NT, Bolivia (Plurinational State of) et al., AOSIS, Argentina	Aspects of second and third lines of para. 46 (p.61); aspects of the first sentences in options 1 and 2 of para. 46, aspects of alternative to option 1 of para. 46 (a) (p.62); Aspects of the chapeau of x.5 and x.5 (a) (p.60).	Combined	All refer to establishing/creating new institutional arrangements
57 (a)	NT, Bolivia (Plurinational State of) et al., Costa Rica et al., AOSIS	First line of alternative to option 1 of para. 46; aspects of the first part of the first sentence of para. 46; alternative to option 1 of para. 46 (d) (p.62)	Combined	All refer to adaptation committee
57 (a) (i-iv)	AOSIS	Alternative to option 1 of para. 46 (d) (i-iii) (p.62); alternative to option 1 of para. 46 (e) (p.63)	None	Structure of the adaptation committee proposed in para. 57 (a)
57 (a) (v-viii)	AOSIS	Alternative 1 to para. 28 (a-d) (p.49)	None	Moved from section C to section E because they deal with institutional arrangements

Table 7 (continued)

New para-graph	Contributors	Corresponding para.(page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
57 (b)	China	Third line of option 1 of para. 46 (p.62)	None	Various forms of institutional arrangements have been grouped
57 (c)	NT, Bolivia (Plurinational State of) et al.	First and second lines of option 2 of para. 46 (p.63)	None	
57 (d)	NT, Australia	Aspects of options 3 and 7 of para. 46 (p.63)	Combined	
57 (e)	NT	First line of options 4, 5 and 6 of para. 46 (p.63)	Combined	
57 (f)	Argentina	x. 5 (a-g) (p.60)	None	
57 (g)	AOSIS	Alternative to paras. 41 and 42, first sentence (p.57); and (b), (c), (f), (g) and (h) (p.58)	None	
58	NT, Egypt, African Group, Iceland	47 (p.63)	None	
59	China, AOSIS, European Community	Alternative 1 and 2 to para. 47 (p.64); alternative 1 to para. 23 (h) (p.40)	Combined	All refer to focal points
60	United States, Bolivia (Plurinational State of) et al., AOSIS, Australia, NT, Japan, African Group, Mexico, Colombia, Costa Rica et al.	Alternative 1 to para. 48 (p.64); first and second lines of alternative 2 to para. 48 (p.65); alternative to paras. 48 and 49 x1 and x.2 (i) (p.65); aspects of option 6 of para. 48 (p.64); 48 (p.64)	Combined	All refer to enhancing/strengthening the capacity of national/regional/international institution
60 (a)	NT, Chile	Option 1 and first part of option 5 of para. 48 (p.64)	Combined	Both refer to national level institution
60 (b)	NT, Mexico, Chile, Australia, China	Options 2, 3 and aspects of options 5 and 6 of para. 48 (p.64); 49 (a)1 (p.65).	Combined	All refer to regional level institution
60 (c)	NT, Chile	Option 4 and last part of option 5 of para. 48 (p.64)	Combined	All refer to international level institution
61	NT	49 (p.65)	None	
61 (a)	NT, Japan, African Group, Bolivia (Plurinational State of) et al., United States, AOSIS	49 (a) (p.65); aspects of alternative to paras. 48 and 49 x.2 chapeau (p.65)	Combined	All refer to assisting/facilitating the implementation of national adaptation plans/actions
61 (b)	China	49 (a)1 (p.65)	None	All concern the purpose/functions/objectives of the institutions mentioned in para. 72
61 (c)	NT, Costa Rica et al., Colombia, Egypt, Iceland	49 (b) (p.65)	None	
61 (d)	NT	49 (d) (p.65)	None	

Table 7 (continued)

New para-graph	Contributors	Corresponding para.(page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
61 (e)	Indonesia	49 (d).1 (p.65)	None	
61 (f)	Bolivia (Plurinational State of) et al.	Second and third lines of the sentence of alternative 2 to para. 48 (p.65)	None	
61 (g)	NT, Bolivia (Plurinational State of) et al., India	49 (c) (p.65)	None	
61 (h-o)	Iran (Islamic Republic of) et al., Saudi Arabia	Alternative to para. 48 (a-h) (p.64)	None	
61 (p-r)	AOSIS	Alternative to para. 48 and 49 x.2 (ii-iv) (p.65)	None	

^a NT = Negotiating text as contained in document FCCC/AWGLCA/2009/8.

^b Costa Rica et al. = Costa Rica, Colombia, Panama, Peru and Uruguay.

^c Bolivia (Plurinational State of) et al. = Bolivia (Plurinational State of), Ecuador, El Salvador and Venezuela (Bolivarian Republic of).

Table 8. Enhanced action on adaptation and its means of implementation, section F: [Monitoring and review of adaptation action and support] [Monitoring and review of enabled and supported adaptation action]

New Para-graph	Contributors	Corresponding para.(page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
62	European Community, Alliance of Small Island States (AOSIS)	Alternative 2 to para. 51 (p.66); alternative to para. 54 (p.67)	Combined	All refer to reporting
63	NT, ^a United States of America, Costa Rica et al., ^b Colombia, Saudi Arabia, Australia, India, Iceland, Bolivia (Plurinational State of) et al., ^c China	51 (p.66); alternatives 1 and 3 to para. 51 (p.66)	Combined	All refer to the need for the progress to be reviewed/monitored/evaluated
64	NT, Bolivia (Plurinational State of) et al., Pakistan, United States	53, option 1 and 2 of para. 53, first sentence of option 3 of para. 53, alternative to option 1 or para. 53 (p.67)	Combined	All refer to establishing a mechanism/system for monitoring

Table 8 (continued)

New Para-graph	Contributors	Corresponding para.(page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
65 cha-peau	NT, China	Chapeau of para. 52 (p.66)	None	
65 (a–b)	NT, India	52 (a) and (b) (p.66)	None	Components/what needs to be monitored/evaluated are grouped
65 (c)	NT, Mexico, United States	52 (c), alternative to subpara. 52 (c) (p.67)	Combined	
65 (d–f)	NT	52 (d–f) (p.67)	None	
65 (g)	Costa Rica et al.	52 (f).1 (p.67)	None	
65 (h)	Pakistan, NT, Costa Rica et al.	Second sentence of option 3 of para. 53 (p.67); 54 (p.67)	Combined	

^a NT = Negotiating text as contained in document FCCC/AWGLCA/2009/8.

^b Costa Rica et al. = Costa Rica, Colombia, Panama, Peru and Uruguay.

^c Bolivia (Plurinational State of) et al. = Bolivia (Plurinational State of), Ecuador, El Salvador and Venezuela (Bolivarian Republic of).

Annex III**Enhanced action on mitigation**

This table provides background information on the first part of annex III to document FCCC/AWGLCA/2009/INF.2.

Table 9. Enhanced action on mitigation, first part

New para-graph	Contributors	Corresponding para. (page #) in FCCC/AWGLCA/2009/INF.1	Rationale for consolidation and/or reordering
1-5	China	Proposal 1 (p.68)	Paragraphs grouped together: address introductory and framing concepts, such as objectives, scope and guiding principles
6	Indonesia	Proposal 2 (p.69)	
7	African Group	Addition 3 (p.73)	
8	Singapore	Para x.1 (p.69)	
9	Australia	Addition 2, second paragraph (p.70)	
10-11	Colombia, Costa Rica	Proposal 5, first two paragraphs (p.69) Proposal 3 (p.69)	Paragraphs combined and grouped together: text is identical, addresses relationship between mitigation and adaptation
12-13	AOSIS	Proposal 4, two first paragraphs (p. 69)	Paragraphs grouped together: address impacts on most vulnerable
14	AOSIS	Proposal 4, 3d paragraph (p.69)	Paragraph moved: addresses global long-term goal
15	Colombia, Costa Rica	Proposal 5, 3d paragraph (p.69) Addition 2, (p.73)	Paragraphs combined: text is identical, addresses global long-term goal
16-18	Norway	Addition 1 (p.72)	Paragraphs grouped together: address global long-term goal
19	Singapore	Para. x.2 (p.69)	
20	Australia	Addition 2, first paragraph (p.70)	
21-23	United States of America	Addition 3 (p.70)	Paragraphs grouped together: address proposal on mitigation common to all Parties
24	Australia	Addition 4 (p.70)	
25-31	Australia	Para. x.1-x.7 (p.71)	Paragraphs grouped together: address proposal on schedules
32-33	Canada	Addition 5. Para. x.3-x.5 (p.72)	
34	Australia	Addition 4 (p.71)	Paragraph addresses low-carbon development strategies
35-37	Canada	Para. x.3 - x.5 (p.72)	Paragraphs address measurement reporting and verification

Annex III A**Enhanced action on mitigation****Mitigation [commitments] by [developed country Parties]**

This table provides background information on annex III A to document FCCC/AWGLCA/2009/INF.2.

Without prejudging the final terminology, the term ‘developed country Parties’ used in paragraph 1 (b) (i) of the Bali Action Plan has been used here. This term is presented in brackets because some Parties indicated their wish to use a different term in this context, such as ‘Annex I Parties’, or ‘developed country Parties and other Parties included in Annex I’, and some other terms (see paras. 55, 59–63, 65, 66 and 68 of the revised negotiating text). The terminology will need to be finalized by Parties.

For the purpose of the consolidation exercise and without prejudgment on the final form of the text:

(a) The issues covered in paragraphs 55 and 60–64 are clustered into two categories based on the subject and content of the proposals: “[mitigation commitments or actions]”, and “[achieving quantified emission limitation and reduction objectives]”. These titles are provisional and they can be changed or deleted later, should Parties so decide;

(b) The text relating to comparability (paras. 56–59 and 65) has been moved to the very beginning of section III A 1. The sequence of the consolidated subsections in section III A 1 would then be as follows:

- National circumstances and comparability of efforts
- [Mitigation commitments or actions]
- [Achieving quantified emission limitation and reduction objectives]

Paragraph 65 under “approaches to achieve quantified emission limitation and reduction [objectives] [commitments]” is being discussed as a part of the “comparability of efforts”. Accordingly, this heading is not used anymore for paragraph 65. All paragraph numbers in columns 2–5 refer to the numbers used in the revised negotiating text (FCCC/AWGLCA/2009/INF.1).

Table 10. Mitigation [commitments] by [developed country Parties]

New para-graph	Contributors	Corresponding para.(page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
1	Japan	x.1 (p.73)	No consolidation has been undertaken; Parties may wish to consider whether the text within the proposed additional section on policies and measures by all Parties (paras. 1–3) should be considered as part of the section on 1 (b) (i) or elsewhere in the document	
2	Japan	x.2 (p.73)		
3	Japan	x.3 (p.74)		
4	Group of 77 and China	x.1 (p.74); x.1, x.2 and x.3 (p.75)	Insert the text of para x.1 on page 74 (starting with “To enhance mitigation commitments...”) at the beginning of paras x.1, x.2 and x.3	The sentences in x.1, x.2 and x.3 are grammatically incomplete; the text of para x.1 on page 74 seems to be a common chapeau for these paragraphs

Table 10 (continued)

New para-graph	Contributors	Corresponding para.(page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
5 (a–f)	Least developed countries (LDCs), Bolivia (Plurinational State of) et al., ^a Iran (Islamic Republic of) et al., ^b Argentina, China, Colombia, Pakistan, Saudi Arabia	56 (a–f)	(1) Retain current text except 56 (f); move 56 (f) to para 59 as option 2 (new para 10, option 2); (2) Add one new bullet (“The extent to which they address their emissions debt”) as 2 (f)	(1) Bullet 56 (f) is a proposal to establish a technical panel on comparability. A similar proposal is made in para 59; (2) The new bullet was not covered in INF.1 for technical reasons
Alternative 1 to para. 5	China	Alternative 1 to para. 56		
Alternative 2 to para. 5	AOSIS	Alternative 2 to para. 56		
Alternative 3 to para. 5	Co-facilitator	Alternative 1 and 2 to para. 56	The similar elements in alternatives 1 and 2 have been combined into a new ‘alternative 3’ suggested by the co-facilitator	Alternatives 1 and 2 seem to contain similar elements
6	Costa Rica	56.1		
7	LDCs, Bolivia (Plurinational State of) et al., Iran (Islamic Republic of) et al., Argentina, Colombia, Japan, Pakistan, Saudi Arabia, Singapore, Turkey	57 (a–m)	Add three new subparas., (n–p), from the submission of Bolivia (Plurinational State of) et al.	
Alternative 1 to para. 7	Canada	Alternative 1 to para. 57		

Table 10 (continued)

New para-graph	Contributors	Corresponding para.(page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
Alternative 2 to para. 7	European Community	Alternative 2 to para. 57		
Alternative 3 to para. 7	AOSIS	Alternative 3 to para. 57		
8 and its sub-heading	Iceland	Para. x.1 and its sub-heading		
9	FCCC/AWGLCA/2009/INF.1	Para. 58		
10, option 1	African Group; Nicaragua et al., ^c Pakistan, Turkey	Para. 59		
10, option 2	Iran (Islamic Republic of) et al., Saudi Arabia	Para. 56 (f)	Bullet 56 (f) can be moved to this para and made option 2	Bullet 56 (f) is a proposal to establish a technical panel on comparability. A similar proposal is made in para 59
Alternative to para. 10	Costa Rica	Alternative to para. 59		
11, options 1, 2, 3	African Group, AOSIS, European Community, LDCs, Bolivia (Plurinational State of) et al., Iran (Islamic Republic of) et al., Canada, Colombia, Costa Rica, Pakistan, Saudi Arabia, Singapore, Switzerland	Para. 65 and alternatives 1 and 2 to para. 65	Reformulate as three options: (a) reductions only domestically; (b) reductions primarily domestically; possible limit on offsets (bracketed); and (c) reductions both domestically and internationally; possible limit on offsets (bracketed)	All proposals and alternatives seem to outline only three distinctly different approaches

Table 10 (continued)

New paragraph	Contributors	Corresponding para.(page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
12	European Community	Para. 65.1		
13	Group of 77 and China	New para. before section 1: x.1 (p.74); para. x.1, x.2 (p.78)	Consolidate and move to section on “mitigation commitments or actions”	Para. x.1 on page 74 appears to be a chapeau for paras x.1 and x.2 (page 78)
14	AOSIS	New para. before section 1: x.2 (p.74)	Move to the beginning of the section on “mitigation commitments or actions”	The proposal relates to discussions on a mid-term target
15	India	x.5 (p.78)	Move to the beginning of the section on “mitigation commitments or actions”	The proposal relates to discussions on a target
16	Japan	x.1 (p.74)		
17	African Group; Iran (Islamic Republic of) et al., Chile, Costa Rica, European Community, India, Indonesia, Pakistan, Republic of Korea, Saudi Arabia, Singapore	55 (p.74)	Cluster within the section “mitigation commitments or actions”	Similar ideas/proposals on the nature/type/form of the mitigation commitments or actions. Minor editing changes have been made (such as using the term ‘[developed country Parties]’
Alternative 1 to para. 17	Argentina	Alternative 1 to para. 55 (p.74)		
Alternative 2 to para. 17	Turkey	Alternative 2 to para. 55 (p.75)		
Alternative 3 to para. 17	Cuba	Alternative 4 to para. 55 (p.75)		
Alternative 4 to para. 17	Colombia	Alternative 5 to para. 55 (p.75)		

Table 10 (continued)

New para-graph	Contributors	Corresponding para.(page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
18	African Group	Para. 60.1 (p.78)		
–	Group of 77 and China	Para. x.3 (p.78)	Merge into relevant text of the section on compliance (section III A 3)	The subject is covered by the consolidated text in the section on compliance, see para. 68
–	Group of 77 and China	Para. x.4 (p.78)	Merge into relevant text of the section on MRV	The subject is covered by the consolidated text in the section on MRV, see para 66
19	Group of 77 and China	Para. 55.1 (p.75)	Move to section on “achieving quantified emission limitation and reduction objectives”	Clustering of similar ideas/proposals; minor editing (such as using the term ‘[developed country Parties]’)
20	Australia	Para. 55.2 (p.75)		
21	Australia	Alternative 3 to para. 55 (p.75)		
22	Tuvalu	Para. 55.3 (p.75)		
23	Nicaragua et al.	Para. 55.4 (p.75)		
24, option 1	African Group; Iran (Islamic Republic of) et al., Saudi Arabia, Singapore, Turkey	Para. 60, option 1 (p.78)		
24, option 1.1	Iceland	Para. 60, option 1.1 (p.78)		
25	African Group	Para. 60.2 (p.79)		
26	Japan; Pakistan, Singapore, Switzerland, Turkey	Para. 61 (p.79)		
27	Tuvalu	Para. 61.1 (p.79)		
28	African Group, Pakistan, Singapore	Para. 62 (p.79)		
Alternative to para. 28	United States of America	Alternative to para. 62 (p.80)		
29	NT	Para. 63 (p.80)		

Table 10 (continued)

New paragraph	Contributors	Corresponding para.(page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
30	No change compared to INF.1	64 (p.80)		
31	European Community; LDCs; Singapore	66	1) Use “mitigation commitments or actions” as in BAP 2) retain [should] [shall] 3) clean/shorten the language by deleting repetitions	The readability of the paragraph is hindered by a large number of alternative terms and repetitions
Alternative to para 31	China	Alternative to para. 66		
32	Iran (Islamic Republic of) with Algeria, Libyan Arab Jamahiriya, Kuwait, Qatar, Saudi Arabia and United Arab Emirates; Saudi Arabia	66.1		
–	Iran (Islamic Republic of) with Algeria, Libyan Arab Jamahiriya, Kuwait, Qatar, Saudi Arabia and United Arab Emirates; Saudi Arabia	66.2	Move to the section on compliance (section III.A.3)	The text deals with compliance issues; such or similar issues are dealt with in the section on compliance
33	Iran (Islamic Republic of) with Algeria, Libyan Arab Jamahiriya, Kuwait, Qatar, Saudi Arabia and UAE; Saudi Arabia	66.3		
–	Tuvalu	66.4	Merge with para 66	By substance, the text of 66.4 appears to be close to para 66
34	FCCC/AWGLCA/2009/INF.1	67		

Table 10 (continued)

New paragraph	Contributors	Corresponding para.(page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
35	Iran (Islamic Republic of) with Algeria, Libyan Arab Jamahiriya, Kuwait, Qatar, Saudi Arabia and United Arab Emirates; Saudi Arabia	66.2	The paragraph is moved from the section on MRV	The text deals with compliance issues; such or similar issues are dealt with in the section on compliance.
36	Group of 77 and China	x.1		
37, options 1–2	African Group; Bolivia (Plurinational State of) with Paraguay and Venezuela (Bolivarian Republic of); Australia; Canada; Colombia; Singapore	68, options 1–3	One can separate the options relating to compliance in general from the options relating to non-compliance; the new para 38 would contain options relating to non-compliance.	The separation of options for non-compliance can improve readability and possibly make the further work on the text easier.
38, options 1–3	African Group; Bolivia (Plurinational State of) with Paraguay and Venezuela (Bolivarian Republic of); Costa Rica; Japan; Pakistan	68, options 1–3		
Alternative to para. 37 and/or 38	AOSIS	Alternative to para. 68		
39	Tuvalu	68.1		
40	Tuvalu	68.2		
41	FCCC/AWGLCA/2009/INF.1	69		
Proposed new subsection on joint fulfillment (para. 42–46)	Japan	Proposed new subsection on joint fulfillment (para. x.1–x.5)		

Table 10 (continued)

New para-graph	Contributors	Corresponding para.(page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale
Proposed new subsection on LULUCF (para. 47-49)	Japan	Proposed new subsection on LULUCF (para. x.1-x.3)		
Proposed Annexes	See relevant textual proposals	Proposed annexes		

^a Bolivia (Plurinational State of) et al. = Bolivia (Plurinational State of), Paraguay and Venezuela (Bolivarian Republic of).

^b Iran (Islamic Republic of) et al. = Algeria, Iran (Islamic Republic of), Kuwait, Libyan Arab Jamahiriya, Qatar, Saudi Arabia and United Arab Emirates.

^c Nicaragua et al. = Dominican Republic, Guatemala, Honduras, Nicaragua and Panama.

Annex III B**Enhanced action on mitigation****Nationally appropriate mitigation actions by developing countries**

This table provides background information on annex III B to document FCCC/AWGLCA/2009/INF.2. The questions in the fourth column were used for reordering the text.

Table 11. Nationally appropriate mitigation actions by developing countries

New para-graph	Contributors	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Rationale for reordering
1	Group of 77 and China	Para. x.1 (p.84)	What is the objective of nationally appropriate mitigation actions by developing countries? This proposal outlines the objective of nationally appropriate mitigation actions by developing countries
2–4	Group of 77 and China	Para. x.2 (b–d) (p.84–85)	What should be the guiding principles for nationally appropriate mitigation actions by developing countries? These proposals refer to principles in the Convention that will guide the implementation of nationally appropriate mitigation actions
5	Pakistan	Alternative 2 to para. 71, lines 6 and 7 (p.88)	
6	South Africa	Alternative 3 to para. 71, second sentence (p.88)	
7	African Group	Para. 77, second para., last sentence (p.94)	
8	Pakistan	Para. 77, third para., second sentence (p.94)	
9–10	Group of 77 and China	Para. x.2 (f) and (h) (p.85)	
11		Para. 74, option 2 (p.90)	
12	AOSIS	Alternative 2 to para. 74 (p.93)	
13	Singapore	Para. 74.1 (p.93)	
14	Japan	Option 6 (p.92)	
15	United States of America	Alternative to option 3.2 (p.91)	
16	Singapore	Alternative 1 to para. 74, option 2 (p.93)	
17	Group of 77 and China	Para. x.2 (a) (p.84)	
18		Para. 74, option 1, first para. (p.90)	
19	Singapore	Alternative 1 to para. 74, option 1 (p.93)	
20	Colombia	Option 6.1 (p.92)	
21	Australia	Para. x.2, first sentence (p.86)	

Table 11 (continued)

New para-graph	Contributors	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Rationale for reordering
22	European Community, least developed countries (LDCs), Pakistan	Para. 70 (p.86)	What constitutes mitigation actions by developing countries? These proposals provide the context to which nationally appropriate mitigation actions by developing countries are implemented
23	Chile, Costa Rica	Para. 71, from line 10 to end of the para. (p.87)	
24	India	Alternative 1 to para. 70 (p.86)	
25	Singapore	Alternative 2 to para. 70 (p.86)	
26	Tuvalu	Alternative 4 to para. 70 (p.87)	
27	South Africa	Alternative 5 to para. 70 (p.87)	
28	United States	Para. 70.2 (p.87)	
29	Pakistan	Alternative 3 to para. 76 (p.94)	
30	African Group and LDCs	Para. 71, line 1–9 (p.87)	
31	Dominican Republic, Guatemala, Honduras, Nicaragua, Panama	Para. 72 (p.88)	
32		Para. 77, first para. on page 95 (p.95)	
33	Argentina	Para. 74, option 1, second para. (p.90)	
34	Turkey	Alternative 1 to para. 72 (p.88)	
35	Pakistan	Alternative 3 to para. 72 (p.89)	
36	AOSIS	Alternative 3 to para. 73 (p.90)	
37	Singapore	Alternative 1 to para. 74, option 3, lines 1–3 (p.93)	
38		Option 4, lines 1–4 (p.91)	
39	Colombia	Option 6.2 (p.92)	
40	Singapore	Para. 70.1 (p.87)	
41–46	Tuvalu	Alternative 2 to para. 72, lines 1–9 (p.88); alternative 2 to para. 72, lines 10–11 (p.88); alternative 4 to para. 73, lines 5 to 7 (p.90); alternative 4 to para. 73, lines 8 to 9 (p.90); alternative 4 to para. 73, lines 10 to 13 (p.90); alternative 2 to para. 72, fourth para. on page 89 (p.89)	

Table 11 (continued)

New para-graph	Contributors	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Rationale for reordering	
47 chapeau		Para. 73 chapeau (p.89)	(continued)	
a)	Japan	Para. 73 x.a (p.89)		
b)		Para. 73 (a) (p.89)		
c)		Para. 73 (b) (p.89)		
d)	Iceland	Para. 73 (b.1) (p.89)		
e)	Colombia	Para. 73 (c) (p.89)		
f)	European Community	Para. 73 (d) (p.89)		
g)		Para. 73 (e) (p.89)		
h)		Para. 73 (f) (p.89)		
i)	African Group and South Africa	Para. 73 (f.1) (p.89)		
j)	LDCs	Para. 73 (f.2) (p.89)		
k)	LDCs	Para. 73 (f.3) (p.89)		
l)	Costa Rica	Para. 73 (f.4) (p.89)		
m)	Costa Rica	Para. 73 (f.5) (p.89)		
n)	Chile	Para. 73 (f.6) (p.89)		
o)	Chile	Para. 73 (f.7) (p.89)		
48	Singapore	Alternative 2 to para. 73 (p.90)		
49–50	Tuvalu	Alternative 4 to para. 73, first para. (p.90); alternative 4 to para. 73, second para. (p.90)		
51	Pakistan	Alternative 2 to para. 71, lines 1–4 (p.88)		<p>What support should be provided to nationally appropriate mitigation actions by developing countries?</p> <p>The proposals contained in this cluster classify the types of support to be provided for nationally appropriate mitigation actions by developing countries, including sources for support</p>
52	African Group	Para. 77, fifth para. on page 95 (p.95)		
53	Indonesia	Para. 86 (p.99)		
54	European Community	Alternative 1 to para. 71 (p.88)		
55	Pakistan	Para. 77, second para. on page 95, first sentence (p.94–95)		
56		Para. 77, fourth para. on page 95, first sentence (p.94–95)		
57	AOSIS	Alternative 3 to para. 70, last para. (p.87)		
58	African Group	Alternative 1 to para. 75 (p.93)		
59	South Africa	Alternative to para. 83 (p.99)		
60	Indonesia	Alternative 1 to the chapeau of para. 83 (p.99)		

Table 11 (continued)

New para-graph	Contributors	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Rationale for reordering
61	African Group, Algeria, Dominican Republic, European Community, Guatemala, Honduras, Iran (Islamic Republic of), Kuwait, Libyan Arab Jamahiriya, Nicaragua, Pakistan, Panama, Qatar, Saudi Arabia, United Arab Emirates	Para. 83 (p.98)	(continued)
62	Tuvalu	Alternative 2 to para. 72, first para.on page 89 (p.89)	
63	Group of 77 and China	Para. x.2 (g) (p.84–85)	What institutional arrangements will be needed to support the implementation of nationally appropriate mitigation actions by developing countries?
64–69	Argentina	para. x.5 (p.109); para. x.6 (p.109); para. x.4 (p.109); para. x.2 (p.109); para. x.3 (p.109); para. x.1 (p.109)	
70	Tuvalu	Alternative 2 to para. 72, second para. on page 89 (p.89)	These proposals pertain to the establishment of bodies to support the implementation of nationally appropriate mitigation actions by developing countries and the provision of resources
71	India	Para. x.3 (p.100)	
72	African Group, Indonesia and United States	Para. 104, lines 1–7 (p.108)	
73	Argentina	Para. 73.1 (p.90)	What enabling activities relating to nationally appropriate mitigation actions will be supported?
74	European Community	Proposal 2, para. x.3 (p.85–86)	
75	AOSIS	Alternative 3 to para. 70, line 16 on page 87(p.87)	These cluster of proposals outline the types of enabling activities to be supported and the sources of support
76	African Group, South Africa	Para. 82 (a).1, second sentence (p.97)	
77	African Group, South Africa	Para. 104, lines 7 to the end of the para. (p.108)	
78	Colombia, India, Indonesia, United States	Para. 93, first sentence (p.103)	
79	African Group, South Africa	Para. 97.1 (p.104)	
80 chapeau	LDCs	Alternative 2 to the chapeau of para. 83, chapeau(p.99);	
a)	Colombia, European Community	Alternative 2 (a) to the chapeau of para. 83 (p.99)	
b)	African Group, LDCs	Alternative 2 (b) to the chapeau of para. 83 (p.99)	
c)		Alternative 2 (c) to the chapeau of para. 83 (p.99)	
d)	LDCs	Alternative 2 (c).1 to the chapeau of para. 83 (p.99)	
e)		Alternative 2 (d) to the chapeau of para. 83 (p.99)	

Table 11 (continued)

New para-graph	Contributors	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Rationale for reordering	
f)		Alternative 2 (e) to the chapeau of para. 83 (p.99)	(continued)	
g)	European Community	Alternative 2 (f) to the chapeau of para. 83 (p.99)		
81	Algeria, Iran (Islamic Republic of), Kuwait, Libyan Arab Jamahiriya, Qatar, Saudi Arabia and United Arab Emirates	Para. x.2, third sentence (p.86)		
82	African Group, LDCs	Para. 71, line 10 (p.87)		
83–85	European Community	Proposal 2, para. x.1 (p.85); proposal 2, para. x.2 (p.85); proposal 2, para. x.4 (p.85)		
86–87	AOSIS	Alternative 3 to para. 70, first para. (p.86) Alternative 3 to para. 70, second para. (p.86–87)	These proposals contain information on what to be included in plans and strategies and how these plans and strategies will be prepared	
88 chapeau		Option 3 (p.91)		
a)		Option 3.1 (p.91)		
b)	Costa Rica	Option 3.2 (p.91)		
89	United States	Alternative to option 3.2, second para. to the end of this option (p.91)		
90		Option 4, first para., second sentence (p.91)		
91	Singapore	Alternative 1 to para. 74, option 3, second sentence (p.93)		
92–93	Japan	Option 4, second para. (p.91) Option 4, third para. including annex C (p.91–92)		
94	African Group, Pakistan, South Africa	Para. 77, first para. (p.94)		What is the mechanism?
95	Singapore	Alternative 1 to para. 77, lines 1–4 (p.95)		These proposals pertain to description, objectives, and functions of the mechanism(s)
96		Para. 78, first para. (p.95)		
97 a)–d)		Para. 79, (a–d) (p.96)		
Alternative to b)	Colombia	Para 79, alternative to subpara. (b) (p.96)		
98		Para. 80, except last para. (p.96)		
99	European Community	Alternative to para. 80, lines 1–11 (p.97)		

Table 11 (continued)

New paragraph	Contributors	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Rationale for reordering
100		Para. 81 (p.97)	(continued)
101	India	Alternative to para. 89, second para., first sentence (p.101)	
102	South Africa	Alternative 3 to para. 91, second para., first sentence (p.103)	What is to be registered?
103	LDCs	Alternative 2 to para. 75 (p.93)	These proposals relate to the types of activities and/or information (including support) to be registered in the mechanism(s)
104	Pakistan	Alternative 3 to para. 75 (p.93)	
105 chapeau		Para. 76 (p.93)	
a)	Colombia	Para. 76, option 1 (p.93)	
b)	Algeria, Iran (Islamic Republic of), Kuwait, LDCs, Libyan Arab Jamahiriya, Qatar, Saudi Arabia and United Arab Emirates	Para. 76, option 2 (p.93-94)	
106	AOSIS	Alternative 1 to para. 76, lines 1-5 (p.94)	
107	Singapore	Alternative 2 to para. 76 (p.94)	
108		Para. 78, first para.on page 96 (p.96)	
109		Para. 80, last para. (p.96)	
110-111	European Community	Alternative to para. 80, lines 12-17 (p.97); alternative to para. 80, lines 18-19 (p.97)	
112	South Africa	Alternative 3 to para. 91, first para., lines 2-5 (p.103)	
113	Australia, European Community	Para. 75 (p.93)	
114	LDCs	Para. 77, second para., first sentence (p.94)	
115	Pakistan	Para. 77, third para., first sentence (p.94)	
116		Para. 78, second para. on page 96 (p.96)	
117	Singapore	Alternative 1 to para. 77, lines 4-10 (p.95)	
118	Tuvalu	Alternative 2 to para. 77, first para. (p.95)	
119	Canada	Para. 83.1 (p.99)	
120	South Africa	Alternative 2 to para. 82 (p.98)	
121	China	Para. 75.1 (p.93)	

Table 11 (continued)

New para-graph	Contributors	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Rationale for reordering	
122		Para. 85 (p.99)	(continued)	
123	China	Alternative 1 to para. 76, lines 6–7 (p.94)		
124 chapeau		Para. 82 chapeau (p.97)		
a)		Para. 82 (a) (p.97)		
i)		Para. 82, option 1 (p.98)		
ii)	China	Para. 82, option 2 (p.98)		
b)	African group and South Africa	Para. 82 (a).2 (p.98)		
c)	African group and South Africa	Para. 82 (a).3 (p.98)		
d)		Para. 82 (b) (p.98)		
e)		Para. 82 (c) (p.98)		
f)	China	Para. 82 (d) (p.98)		
125	AOSIS	Alternative 1 to para. 82 (p.98)		
126	African Group, Algeria, European Community, Iran (Islamic Republic of), Kuwait, LDCs, Libyan Arab Jamahiriya, Qatar, Saudi Arabia, South Africa and United Arab Emirates	Para. 84 (p.99)		How does the mechanism function? These proposals contain the operational details of how actions and support are registered, including issues relating to the governance of the mechanism(s)
127	Pakistan	Alternative to para. 84 (p.99)		
128	African Group, Colombia, LDCs, South Africa	Para. 77, third para. on page 95 (p.95)		
129		Para. 77, fourth para. on page 95, lines 3–5 (p.95)		
130	South Africa	Alternative 3 to para. 91, second para., second sentence (p.103)		
131	Tuvalu	Alternative 2 to para. 77, second para. (p.95)		
132–133	European Community	Alternative to para. 80, lines 20 to 21 (p.97) Alternative to para. 80, lines 22 to 23 (p.97)		
134–135	Pakistan	Para. 77, second para., second sentence (p.94) Para. 77, third para., third sentence (p.94)		
136–138		Option 3, lines 11–19 (p.96)		

Table 11 (continued)

New paragraph	Contributors	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Rationale for reordering
139	United States	Para. 102 (p.108)	(continued)
140	India	Alternative to para. 89, lines 4–10 (p.101)	
141	Pakistan	Alternative 2 to para. 71, lines 5–6 (p.88)	<p data-bbox="900 510 1283 568">What should be measured, reported and verified?</p> <p data-bbox="900 607 1262 696">This cluster of proposals contains information on the activities to be measured, reported and verified</p>
142	South Africa	Alternative 3 to para .71, first sentence (p.88)	
143		Para. 77, fourth para. on page 95, second sentence (p.95)	
144	Group of 77 and China	Proposal 1, para x.2 (e) (p.85)	
145	Algeria, Iran (Islamic Republic of), Kuwait, Libyan Arab Jamahiriya, Qatar, Saudi Arabia and United Arab Emirates	Para. x.2, second sentence (p.86)	
146	African Group and LDCs	Para. 71, line 9 (p.87)	
147–148	India	Para. x.1 (p.100) Para. x.2 (p.100)	
149 chapeau		Para. 88 chapeau (p.100)	
a)	India	Para. 88, option 1 (p.100)	
b)		Para. 88, option 2 (p.100)	
c)	Canada	Para. 88, alternative 1 to option 2 (p.100)	
d)	Japan	Para. 88, alternative 2 to option 2 (p.100)	
150		Chapeau of para. 90 (p.101)	
151	New Zealand	Alternative to chapeau of para. 90, second para., option 1–3 (p.101)	
152	African Group and South Africa	Para. 82 (a).1, first sentence (p.97)	
153	South Africa	Alternative 3 to para. 91, first para., first sentence (p.103)	
154		Para. 92 (p.103)	

Table 11 (continued)

New para-graph	Contributors	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Rationale for reordering
155	European Community	Alternative 1 to para. 88, lines 1 to 8 (<i>p.100</i>)	How to measure and report nationally appropriate mitigation actions by developing countries? These proposals relate to the process of measurement, reporting and verification of nationally appropriate mitigation actions by developing countries including a proposal on reporting template
156		Para. 105 (<i>p.108</i>)	
157–158	AOSIS	Alternative 2 to para. 88 (<i>p.100</i>)	When to submit national greenhouse gas inventories? These proposals concern the frequency of submissions of national greenhouse gas inventories
159		Para. 89 (<i>p.101</i>)	
160	Tuvalu	Alternative 2 to para. 72, third para. on page 89 (<i>p.89</i>)	
161	New Zealand	Alternative to chapeau of para. 90, first para. (<i>p.101</i>)	
162	LDCs	Para. 91 (<i>p.102</i>)	Who will, and how to, verify nationally appropriate mitigation actions by developing countries? These proposals identify institutions or bodies that will verify nationally appropriate mitigation actions by developing countries. The proposals also outlined the process for verifying information
163	Singapore	Alternative 1 to para. 91 (<i>p.102</i>)	
164	European Community	Alternative 2 to para. 91 (<i>p.102</i>)	
165	AOSIS	Alternative 2 to para. 88, first para. on page 100 (<i>p.100–101</i>)	
166	Japan	Para. x.1 (<i>p.103</i>)	
167 chapeau	Japan and United States	Para. 94 chapeau(<i>p.103</i>)	
a)	Japan	Para. 94, option 1 (<i>p.103</i>)	
b)		Para. 94, option 2 (<i>p.103</i>)	
c)	Japan	Para. 94, option 3 (<i>p.103</i>)	
d)	United States	Para. 94, option 4 (<i>p.103</i>)	
168	Singapore	Alternative to para. 94 (<i>p.103</i>)	
169	China, European Community, Indonesia	Para. 95 (<i>p.103</i>)	
170	LDCs	Para. 96 (<i>p.103</i>)	
171	European Community	Alternative to para. 96 (<i>p.104</i>)	
172	European Community	Alternative 1 to para. 88 (c) (<i>p.100</i>)	
173	India	Alternative to para. 89, first para. (<i>p.101</i>)	

Table 11 (continued)

New para-graph	Contributors	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Rationale for reordering
174	Colombia, United States	Para. 103 (p.108)	<p data-bbox="895 367 1310 501">How to measure, report and verify support provided for nationally appropriate mitigation actions by developing countries?</p> <p data-bbox="895 524 1310 725">These are set of proposals that outline the measuring, reporting and verification process for support provided to developing countries in implementing nationally appropriate mitigation actions</p>
175 chapeau		Para. 98 (p.104)	
a)			
b)	Algeria, Iran (Islamic Republic of), Kuwait, Libyan Arab Jamahiriya, Qatar, Saudi Arabia and United Arab Emirates		
c)			
d)			
176	European Community	Alternative to para. 98 (p.104)	
177	United States	Para. 99 (p.104)	
178	European Community	Alternative 1 to para. 99 (p.104)	
179	Pakistan	Alternative 2 to para. 99 (p.104)	
180	India, Turkey	Para. 100 (p.104)	
181	Switzerland	Alternative 1 to para. 100 (p.105)	
182	African Group, South Africa	Alternative 2 to para. 100 (p.105)	
183	Pakistan	Alternative 3 to para. 100 (p.105)	
184	Pakistan	Para. 77, second para. on page 95, second and third sentence (p.95)	
185	South Africa	Para. 93, lines 4–6 (p.103)	
186–187	Australia	Para. x.1 (p.86); option 5 (p.92)	<p data-bbox="895 1274 1310 1677">The proposal on national schedules pertains to mitigation by both developed and developing country Parties. Some elements of this proposal are contained in sub-chapter III A of the revised negotiating text.^a Parties may wish to deliberate on whether the text of this cluster should be considered as part of this section (III B of the revised negotiating text) or elsewhere</p>
188–210	Japan	Para. x.1 on page 105 to para. x.22 on page 108 (p.105–108)	<p data-bbox="895 1677 1310 1957">This proposal encompasses measurement, reporting and verification of actions pertaining to both developed and developing country Parties. Parties may wish to deliberate on whether the text of this cluster should be considered as part of this section (III B of the revised negotiating text) or elsewhere</p>

^a FCCC/AWGLCA/2009/INF.1.

Annex III C**Enhanced action on mitigation****Policy approaches and positive incentives on issues relating to reducing emissions from deforestation and forest degradation in developing countries; and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries**

This table provides background information on annex III C to document FCCC/AWGLCA/2009/INF.2.

This table is a guide to the new consolidated paragraphs in subsections 1 (“objectives, scope and guiding principles”), 2 (“means of implementation”, para. 113 only) and 3 (“measurement, reporting and verification of actions”). A reference to the original paragraphs in the respective subsections of chapter III, section C, in document FCCC/AWGLCA/2009/INF.1 is provided in the third column. The paragraph numbering for the new paragraphs corresponds with that of the original paragraphs. The “rationale for consolidation” is provided in the fourth column.

As part of the consolidation exercise for subsections 1 and 3 of annex III C (“objectives, scope and guiding principles” and “measurement, reporting and verification of actions”), all alternatives that were the same or similar have been included in the revised (new) paragraphs as a means to narrow the options or alternatives proposed. Alternatives or additions that are different are included in the new consolidated paragraphs within brackets. Alternatives or additions proposed by Parties that could not be consolidated are presented unchanged; these are noted as separate proposals or additions without change under the “Rationale for consolidation” column. The sources of input to the alternatives and/or additions in the new paragraphs correspond to those found in the notes on sources for FCCC/AWGLCA/2009/INF.1 (where the sources of input provided by Parties are identified; this material can be found at <http://unfccc.int/files/kyoto_protocol/application/pdf/part2rntsourcescorrected.pdf>).

The consolidation of paragraph 113 was carried out through informal consultations among Parties, who proposed the new paragraph and options reflected in the table.

Table 12. Policy approaches and positive incentives on issues relating to reducing emissions from deforestation and forest degradation in developing countries; and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries

New paragraph	Contributors	Corresponding para. in FCCC/AWGLCA/2009/INF.1	Rationale for consolidation
Objectives and scope 106	Australia, Brazil, Canada, China, European Community, India, Mexico, New Zealand, Papua New Guinea et al., ^a Peru, Switzerland, Turkey, United States of America	Para. 106; alternative 1, 2, 3 and 4 to para. 106; para. 106.2; para. 106.3; para. 106.4	All alternatives relating to scope/activities are included in the revised paragraph. Elements that were consolidated: (a) Conservation and conserving carbon reservoirs, which have been included in text on maintaining existing carbon stocks; (b) Restoration of forests and enhancing removals, increase in forest cover. Reference to forest governance is covered in para 108 and is not reflected again here. The two lists in footnote 2 on page 2 of the paper “Work on the AWG-LCA Revised NT, paragraphs 106–128” have also been incorporated into the new para. 106
106.1	Norway	Para. 106.1 (alternative 5 to para. 106)	No change. This is a separate proposal/ alternative that remains as is
Alternative/ Additional1	Canada	Para. 106.5, 106.6 and 106.7	No change. Moved towards the end of section 1
Principles 107	Bolivia (Plurinational State of) et al., ^b Papua New Guinea et al., China, Colombia, United States, Tuvalu	Para. 107; alternative 1, 2 and 3 to para. 107	Consolidated paragraph 107 and guiding principles in the three alternatives proposed.
Alternative 2	New Zealand	Alternative to para. 106 and 107	No change. Moved towards the end of section 1
108	Australia, Bolivia (Plurinational State of) et al., China, Colombia, Mexico, Norway, Peru, Papua New Guinea et al., Switzerland, United States	Para. 108; alternatives 1, 2, 3, and 4 (options 1 and 2) to para. 108; para. 108.1	Relates to principles and co-benefits. No reference to national level. Maximizing participation is equivalent to broad participation Includes key elements of proposals made by Parties
109	Australia, Bolivia (Plurinational State of) et al., Canada, Colombia, European Community, Norway, Tuvalu	Para. 109; alternatives 1 and 2 to para. 109; para. 109.1; para. 109.2	Relates to engagement of indigenous peoples and local communities. All key elements in the alternatives have been included in revised paragraph

Table 12 (continued)

New paragraph	Contributors	Corresponding para. in FCCC/AWGLCA/2009/INF.1	Rationale for consolidation
110	Bolivia (Plurinational State of) et al., European Community, Indonesia, Mexico, Peru, Papua New Guinea et al., Switzerland, United States	Para 110; alternative to subpara. 110 (b); alternatives 1, 2 and 3 to subpara. (c) of para. 110; subpara. 110 (c), c.1, c.2 and c.3; alternatives 1, 2 and 3 to para. 110	All relate to guidance and requirements for the implementation of REDD-plus Proposals have been preserved in the revised paragraph
Alternative 3	Australia	Alternative 2 to para. 110	No change. Moved towards the end of section 1
111 option 1	European Community, India, Indonesia, Mexico, Peru, Papua New Guinea et al..	Para. 111; alternatives 1–4 to para. 111	All alternatives/additions proposed have been reflected in the revised paragraph
112 option 2	China, Colombia, Peru	Para. 112; alternatives 1, 2 and 3 to para. 111; para. 112.1	This option relates to national/subnational accounting of emission reductions from deforestation. Proposals that relate more to scope are taken up in para. 106. Proposals for modalities of accounting have been taken up in paragraph 128
112.1, 112.2, 112.3, 112.4, 112.5	Tuvalu	Para. 112.2; para. 112.3; para. 112.4; para. 112.5; para. 112.6	No change
<i>Proposals that can be taken as alternatives or additions to paragraphs above</i>			
Alternative/Addition 1	Canada	Para. 106.5, 106.6 and 106.7	No change. This is a separate proposal/addition and remains as is
Alternative 2	New Zealand	Alternative to para. 106 and 107	No change. This is a separate proposal/addition and remains as is
Alternative 3	Australia	Alternative 2 to para. 110	This is a separate proposal; could be seen as a partial alternative to the section on scope, principles and requirements. Proposal remains as it is
113 options 1–3 113.1	Bolivia (Plurinational State of) et al., Canada, China, Colombia, European Community, Indonesia, Peru, Papua New Guinea et al., Turkey, Tuvalu	Para. 113, option 1; alternatives 1–4 to option 1 of para. 113; options 2–5 of para. 113; alternatives 1–5 to para. 113	The consolidation of this paragraph was facilitated by Canada and Indonesia. After consulting Parties, they proposed this shorter version of the paragraph with consolidated options that capture what readiness financing is for, what institutional arrangements are needed and what is the source of financing for readiness

Table 12 (continued)

New paragraph	Contributors	Corresponding para. in FCCC/AWGLCA/2009/INF.1	Rationale for consolidation
115-116. option 1-4	Australia, Bolivia (Plurinational State of) et al., China, Indonesia, Mexico, Papua New Guinea et al., Tuvalu.	Para. 115, option 1; para. 116, option 2	Both paragraphs 115 and 116 need to be seen together. The paragraphs relate to modalities for REDD-plus and there are four main options. The four options can be presented as alternatives to be further elaborated later. Option 4 is former para. 116, simplified to remove redundancy and repetition. No substantive changes have been made
117 117.1 117.2	Bolivia (Plurinational State of) et al., China, Colombia, European Community, India, Norway, Peru, Papua New Guinea et al..	Para. 117, 117.1 and 117.2	The paragraph refers to the establishment of reference levels. A reference to the engagement of indigenous peoples and local communities is included. The additions presented as paras. 117.1 and 117.2 are kept in relation to a global reference level
118 Alternative to para. 118	China, Colombia, European Community, India, Mexico, Peru, Papua New Guinea et al..	Para. 118; alternative to para. 118, which includes additions to subpara. (b), (b).1, (b).2 and (b).3	This paragraph refers to measuring and reporting of the necessary information. Options inserted into para 118, (a) and (b) should remain. But additional bullets (c) and (d) should be added to cover early actions and to include countries with low rates of deforestation and forest degradation. Proposal b.1 and b.2 have been merged as bullet (c)
119	Australia, Bolivia (Plurinational State of) et al., China, European Community, United States	Para. 119	This paragraph refers to the establishment of robust national monitoring systems. The options include: (a) What elements need to be monitored; (b) Which body should provide guidance; (c) Relevant or recent Intergovernmental Panel on Climate Change (IPCC) guidelines The reference to carbon stocks is more a methodological issue than a measurement, reporting and verification issue. If IPCC guidelines are followed, the relevant pools would be taken into consideration. Hence, the associated text may not be required in this paragraph

Table 12 (continued)

New paragraph	Contributors	Corresponding para. in FCCC/AWGLCA/2009/INF.1	Rationale for consolidation
120 120.1	China, European Community	Para. 120	<p>This paragraph refers to measurement, reporting and verification that shall be carried out according to:</p> <ul style="list-style-type: none"> (a) Guidance provided by a body; (b) Eligibility criteria; (c) Outputs from the work of the Subsidiary Body for Scientific and Technological Advice (SBSTA). <p>There is not much that can be streamlined in the paragraph, but the following needs to be decided:</p> <ul style="list-style-type: none"> (a) Which body would give guidance; (b) Whether it should take into consideration methodological guidance by the SBSTA; (c) If higher levels of measurement, reporting and verification would be required for market-based eligibility (this is linked to means of implementation/financing) <p>Addition to this paragraph is to address the relevance of other international conventions.</p>
121 121.1	Bolivia (Plurinational State of) et al., Canada, China, Colombia, Lesotho, Mexico, Peru, Papua New Guinea et al.	Para. 121	<p>This paragraph relates to who would carry out verification - what form a team would take - and if would be under guidance of the Conference of the Parties.</p> <p>All bracketed options in the original paragraph have been retained. The streamlined paragraph has options realigned to the extent possible to make it clearer.</p> <p>The addition paragraph 121.1 refers more to the modalities and the accounting of credits and could be left out for now or placed elsewhere. This paragraph focuses on the institutional form of verification.</p>

^a Papua New Guinea et al. = Belize, Burundi, Cambodia, Cameroon, Central African Republic, Costa Rica, Dominican Republic, Democratic Republic of Congo, Ecuador, Equatorial Guinea, Honduras, Gabon, Ghana, Guatemala, Guyana, Liberia, Malaysia, Panama, Papua New Guinea, Rwanda, Suriname, Solomon Islands, Thailand and Uganda.

^b Bolivia (Plurinational State of) et al. = Cuba, El Salvador, Paraguay and Venezuela (Bolivarian Republic of).

Annex III D**Enhanced action on mitigation****Cooperative sectoral approaches and sector-specific actions**

This table provides background information on annex III D to document FCCC/AWGLCA/2009/INF.2.

The approach to the reordering emerged from the informal exchange among Parties in response to five questions posed by the facilitator, and was presented to the informal sub-group:

- 1) What should CSA do?
- 2) What should CSA do for all Parties?
- 3) What should CSA do for Parties included in Annex I to the Convention?
- 4) What should CSA do for Parties not included in Annex I to the Convention?
- 5) What should CSA not do?

Table 13. Cooperative sectoral approaches and sector-specific actions

New paragraph	Contributors	Corresponding para. in FCCC/AWGLCA/2009/INF.1	Rationale for consolidation/reordering
1	Group of 77 and China	Para. x.1 (prior to para. 129)	No change. This paragraph is as submitted. This paragraph groups text that indicates what CSA should do (objectives)
2	China	Para. 129.1	No change. This paragraph is as submitted
3	African Group, United States of America, European Community	Para. 129; para. 129 (a–c); para. 129 (a).2	This paragraph groups together text that indicates what cooperative sectoral approaches and sector-specific actions (CSA) should do (scope)
4	European Community, African Group, Turkey, United States	Para. 129(d); para. 130; para. 132.	This paragraph indicates what CSA should do for all Parties (guiding principles)
5	Group of 77 and China	Para. 130(a).	This paragraph indicates what CSA should do for developed country Parties
6	European Community, Group of 77 and China	Para. 129 (–f); para. 130(b).	This paragraph indicates what CSA should do for developing country Parties
7 Alternative to para. 7	African Group	Para. 133, alternative to para. 133	This paragraph indicates what CSA should do for developing country Parties
8	African Group, United States	Para. 129 (a); 129 (a).1; para. 132; alternative to para. 133	This paragraph indicates what CSA should not do

Annex III E**Enhanced action on mitigation****Economic and social consequences of response measures**

This table provides background information on annex III E to document FCCC/AWGLCA/2009/INF.2.

Table 14. Economic and social consequences of response measures

New para-graph	Contributors	Corresponding para. (page #) in FCCC/AWGLCA/2009/INF.1	Rationale for reordering
<i>[Context]</i>			
1	Saudi Arabia	Proposals for preambular paragraphs and framing statements (p.142)	No change
2	Saudi Arabia	Added during the informal meeting in August	New proposal
3	European Community	Proposals for preambular paragraphs and framing statements (p. 142–143)	No change
4	European Community	159.3 (split) (p.143–144)	Framing statement
5	Argentina	Proposals for preambular paragraphs and framing statements (p.143)	No change
6	AOSIS	Proposals for preambular paragraphs and framing statements (p.143)	No change
7	India	Added during the informal meeting in August	New proposal
8, alternatives 2 and 3	European Community; Canada	Para. 159, alternatives 2 and 3 (p.143)	Proposal by a Party to move to the preamble
<i>[Differentiated commitments/different capacities to address economic and social consequences of response measures]</i>			
8	African Group; Turkey; Australia; Singapore; Saudi Arabia	Para. 159 (p.143)	Refer to commitments/different capacities and address implementing policies and measures
8, alternative 1	Iran (Islamic Republic of) et al., ^a Saudi Arabia	Para. 159, alternative 1 (p.143)	
8, alternative 2	European Community	Para. 159, alternative 2 (p.143)	
8, alternative 3	Canada	Para. 159, alternative 3 (p.143)	
8, alternative 4	Tuvalu	Para. 159, alternative 4 (p.143)	
8, alternative 5	European Community; Iran (Islamic Republic of) et al.; Saudi Arabia; African Group	Para. 161 (b) (p.144)	Refers to commitments and addresses avoiding negative and positive spillover effects
9	European Community	Para. 159.3 (split) (p.144)	Refers to commitments and addresses cooperation to enhance understanding
10	European Community	Para. 162 (p.145)	Refers to commitments and addresses the issue of not hindering progress in dealing with climate change

Table 14 (continued)

New para-graph	Contributors	Corresponding para. (page #) in FCCC/AWGLCA/2009/INF.1	Rationale for reordering
11 (a)	European Community	Para. 160, alternative 2 (p.144)	Refers to commitments/different capacities and addresses enhancing understanding
11 (b)	Group of 77 and China	Para. 163, alternative (split) (p.145)	Refers to commitments and addresses promoting understanding of the nature and magnitude
11 (c)	Group of 77 and China	Para. 159.1 (p.143)	Refers to commitments and addresses promotion and cooperation to further understanding
11 (d)	Group of 77 and China	Para. 159.2 (p.143)	Refers to commitments and addresses exchange of information
11 (e), alternative 1	NT ^b	Para. 161 (c) (p.144)	Refers to commitments and addresses the preparation of national communications
11 (e), alternative 2	European Community	Para. 161 (c) (p.144)	
11 (e), alternative 3	Canada	Para. 161 (c) (p.144)	
11 (f)	European Community; Iran (Islamic Republic of) et al.; Saudi Arabia; African Group	Para. 161 (a) (p.144)	Refers to commitments and addresses assessing/ considering effects that result from mitigation strategies
11 (g)	Iran (Islamic Republic of) et al.; Saudi Arabia; Singapore	Para. 161 (d) (p.144–145)	Refers to commitments and addresses undertaking a study or studies
12	Tuvalu	Para. 159.4 (p.144)	Refers to commitments and addresses avoiding deforestation and forest degradation
13	Tuvalu	Para. 159.5 (p.144)	Refers to commitments and addresses initiating forest law enforcement
14	Tuvalu	Para. 159.6 (p.144)	Refers to commitments and addresses avoiding emissions from deforestation and forest degradation
<i>[Financial resources and transfer of technology]</i>			
15	Turkey	Para. 163 (p.145)	Refers to support and addresses support provided to developing countries
15, alternative	Group of 77 and China	Para. 163, alternative (split) (p.145)	Refers to support and addresses the provision of financial resources
16	Turkey	Para. 160 (p.144)	Refers to support and addresses possible action

Table 14 (continued)

New para-graph	Contributors	Corresponding para. (page #) in FCCC/AWGLCA/2009/INF.1	Rationale for reordering
16, alternative	Iran (Islamic Republic of) et al.; Saudi Arabia	Para. 160, alternative 1 (<i>p.144</i>)	Refers to support and addresses various means
17	Singapore; New Zealand	Chapeau of para. 161 (<i>p.144</i>)	Ubpparas. refer to support
17 (a)	LDCs	Para. 161 (d.1) (<i>p.145</i>)	Refers to support and addresses compensation for LDCs
17 (b)	African Group	Para. 161 (d).2 (<i>p.145</i>)	Refers to support and addresses compensation for Africa
<i>[Institutional arrangements]</i>			
18	Iran (Islamic Republic of) et al.; Saudi Arabia; Colombia	Para. 164 (<i>p.145</i>)	Refers to institutional arrangements and addresses the establishment of a forum
19	Iran (Islamic Republic of) et al.; Saudi Arabia; Turkey; LDCs	Para. 165 (<i>p.145–146</i>)	Refers to institutional arrangements and addresses the work programme of a forum

^a Iran (Islamic Republic of) et al. = Iran (Islamic Republic of) on behalf of Algeria, Kuwait, Libyan Arab Jamahiriya, Qatar, Saudi Arabia and United Arab Emirates.

^b NT = Negotiating text as contained in document FCCC/AWGLCA/2009/8.

Annex IV**Enhanced action on the provision of financial resources and investment**

Tables 15 and 16 provide background information on annex IV to document FCCC/AWGLCA/2009/INF.2.

Table 15. Enhanced action on the provision of financial resources and investment: reordering and consolidation

New para-graph	Contributors	Corresponding paragraph in FCCC/AWGLCA/2009/INF.1	Reordering and consolidation^a	Rationale
<i>Preamble</i>				
1	Group of 77 and China	Para. x.4, second sentence (<i>p.147</i>)	Moved up	Preambular language
2	Colombia, least developed countries (LDCs)	Para. x.6 (<i>p.147</i>); para. x.8 (<i>p.147</i>); para. x.10 (<i>p.148</i>); para. x.11 (<i>p.148</i>); para. x.18 (<i>p.148</i>); para. 166 (i).10 (<i>p.152</i>)	Consolidated and moved up	Preambular language
3	Colombia	Para. x.15 (<i>p.148</i>)	Moved up	Preambular language
4	Alliance of Small Island States (AOSIS), Colombia, Group of 77 and China, LDCs	Para. x.4 (<i>p.147</i>); para. x.5 (<i>p.147</i>); para. x.7, first sentence (<i>p.147</i>); para. x.12 (<i>p.148</i>); para. x.13 (<i>p.148</i>)	Consolidated and moved up	Preambular language
5	Group of 77 and China, Indonesia	Preambular proposals 1 (second para.) and 2 (<i>p.147</i>)	Moved down	Preambular language
<i>Objective and scope</i>				
6	AOSIS, China	Para. x.1, second sentence (<i>p.147</i>); para. x.7, second sentence (<i>p.147</i>)	Consolidated	Similar concepts
7	China, Group of 77 and China	Para. x.1, first sentence (<i>p.147</i>); para. x.3 (<i>p.147</i>)	Consolidated	Similar concepts
8	Group of 77 and China, India, Indonesia, LDCs	Para. x.2 (<i>p.147</i>); para. x.16 (<i>p.148</i>); para. x.17 (<i>p.148</i>); para. x.18 (<i>p.148</i>)	Consolidated	Similar concepts
9	European Community, Group of 77 and China, Indonesia, Switzerland, United States of America	Para. 168.3 (<i>p.153</i>); para. 169, option 1 (<i>p.153</i>); para. 170, option 2 (<i>p.153</i>); para. 170.1 (<i>p.153</i>)	Consolidated and moved up	Alternatives present different options for the same concept
10	Colombia, United States	Para. x.9 (<i>p.148</i>); para. 166.1 (<i>p.153</i>)	Consolidated as alternatives and moved up	Alternatives present different options for the same concept

Table 15 (continued)

New para-graph	Contributors	Corresponding paragraph in FCCC/AWGLCA/2009/INF.1	Reordering and consolidation	Rationale
<i>Principles</i>				
11 chapeau	Australia, Canada, Colombia, European Community, Japan, Pakistan	Para. 166 chapeau (<i>p.148</i>); alternatives 1–4 to chapeau of para. 166 (<i>p.148</i>)	Consolidated as alternatives to concept of para. 166	All paragraphs provide an overarching introduction to principles of the financial architecture
11 (a)	Group of 77 and China, Indonesia, Iran (Islamic Republic of), Japan	Para. 166 (a) (<i>p.148</i>); para. 166 (d) 1 (<i>p.150</i>); para. 166 (i).7 (<i>p.152</i>)	Consolidated as alternatives to concept of para. 166 (a)	All paragraphs provide an explanation of the criteria for the governance of financial resources
11 (b)	China, Bolivia (Plurinational State of), Group of 77 and China, Pakistan	Para. 166 (a).1 (<i>p.148</i>); para. 166 (a).2 (<i>p.149</i>); para. 166 (a).3 (<i>p.149</i>); para. 166 (i).27 (<i>p.152</i>); para. 172.1 (c) (<i>p.155</i>); para. x.13 (<i>p.148</i>)	Consolidated around concept of commitments by Parties	Principles of the Convention related to commitments
11 (c)	European Community, Group of 77 and China, India, Indonesia, Japan, Switzerland	Para. 166 (b) (<i>p.149</i>); alternative to subpara. 166 (b) (<i>p.149</i>); para. 166 (c).1 (<i>p.149</i>); para. 166 (i).26 (<i>p.152</i>)	Consolidated around concept of para. 166 (b)	All paragraphs relate to the functionality of the financial mechanism and its relationship with the Conference of the Parties
11 (d)	Canada, European Community, Group of 77 and China, Japan, Pakistan	Para. 166 (c), alternatives 1 and 2 (<i>p.149</i>); para. 166 (i).21 (<i>p.152</i>); para. 166 (i).22 (<i>p.152</i>); para. 166 (i) 17 (<i>p.152</i>)	Consolidated around concept of para. 166 (c)	All paragraphs are related to ensuring coherence and coordination of financial resources
11 (e)	China	Para. 166 (a).4, first sentence (<i>p.149</i>); para. 166 (i).25 (<i>p.152</i>)	Consolidated	Similar concepts
11 (f)	AOSIS, Australia, Canada, China, Colombia, European Community, Indonesia, LDCs, Japan, Switzerland, Turkey	Para. 166 (d) (<i>p.149</i>); alternatives 1 and 2 to subpara. 166 (d) (<i>pp.149–150</i>); para. 166 (d).2 (<i>p.150</i>); para. 166 (d).3 (<i>p.150</i>); para. 166 (d).4 (<i>p.150</i>); para. 166 (i).10 (<i>p.152</i>); para. 166 (a).4, second sentence (<i>p.149</i>); alternative to options 1, 2 and 3 of para. 175 (<i>p.162</i>); para. x.8 (<i>p.163</i>)	Consolidated around concept of para. 166 (d)	All paragraphs address access-related issues
11 (g)	Japan, LDCs, Turkey	Para. 166 (i).11 (<i>p.152</i>); para. 166 (i).12 (<i>p.152</i>); para. 166 (i).18 (<i>p.152</i>); para. x.14 (<i>p.148</i>)	Consolidated	Alternatives to the same concept
11 (h)	Australia, European Community, Group of 77 and China, LDCs	Para. 166 (e) (<i>p.150</i>); alternative to subpara. 166 (e) (<i>p.150</i>); para. 166 (e).1 and (i).13 (<i>pp.150–151</i>); para. 166 (i).19 (<i>p.152</i>)	Consolidated around concept of para. 166 (e)	Similar concepts

Table 15 (continued)

New para-graph	Contributors	Corresponding paragraph in FCCC/AWGLCA/2009/INF.1	Reordering and consolidation	Rationale
11 (i)	African Group, Canada, Group of 77 and China, Pakistan, Turkey	Para. 166 (f) (except last sentence) (<i>p.150</i>); alternatives 1 and 2 to subpara. 166 (f) (<i>p.151</i>)	Consolidated around concept of para. 166 (f)	Similar concepts
11 (j)	Canada, Group of 77 and China, Japan, Turkey	Para. 166 (f), last sentence (<i>p.150</i>); para. 166 (f).1 (<i>p.151</i>); para. 166 (i).9 (<i>p.152</i>); para. 166 (i).3 (<i>p.151</i>); para. 166 (i).20 (<i>p.152</i>)	Consolidated	Similar concepts
11 (k)	African Group, Group of 77 and China, LDCs, Japan	Para. 166 (g) (<i>p.151</i>); alternative to subpara. 166 (g) (<i>p.151</i>); para. 166 (g).1 (<i>p.151</i>)	Consolidated around concept of para. 166 (g)	Similar concepts
11 (l)	Canada, European Community, Indonesia, LDCs	Para. 166 (h) (<i>p.151</i>); alternatives 1–3 to subpara. 166 (h) (<i>p.151</i>); para. 170.2 (b) (<i>p.154</i>)	Consolidated around concept of para. 166 (h)	Similar concepts
11 (m)	European Community, Group of 77 and China	Para 166 (h).1 (<i>p.151</i>); para. 166 (i).23 and (i).24 (<i>p.152</i>)	Moved up	Similar concepts
11 (n)	Canada, European Community	Para. 166 (i) (<i>p.151</i>); para. 166 (i).16 (<i>p.152</i>)	Consolidated	Similar concepts
11 (o)	European Community	Para. 166 (i).15 (<i>p.152</i>)	New Concept	
Generation of financial resources				
12	Australia, Canada, United States	Para. x.1 (<i>p.154</i>); para. 171, last part of first sentence (<i>p.154</i>); first sentence of alternative to para. 171 (<i>p.154</i>); para. 173.1 (<i>p.158</i>)	Consolidated under proposal for additional subheading: “Actions by all Parties” (<i>p.158</i>)	Similar concept on action by all Parties
13	African Group, Canada, European Community, LDCs, South Africa, Switzerland	First sentence of option 1 of para. 167 (<i>p.153</i>); para. 168, option 2 (<i>p.153</i>); para. 168.2 (<i>p.153</i>); para. 172, second sentence (<i>p.154</i>); para. 166 (i).1 (<i>p.151</i>); para. 168.1 (<i>p.153</i>); para. 166 (i).6 (<i>p.152</i>)	Consolidated around concept of para. 167, option 1	All paragraphs refer to the role of the public and private sectors as financial resources
14	African Group, Canada, China, Colombia, European Community, Group of 77 and China, Iran (Islamic Republic of), Singapore	Aspects of para. 166 (a) (<i>p.148</i>); para. 170.2 (a) (<i>p.154</i>); para. 171 (except last sentence) (<i>p.154</i>); second sentence of alternative to para. 171 (<i>p.154</i>); para. 171.1 (<i>p.154</i>); para. 172.1, chapeau and subpara. (a) (<i>p.155</i>); para. 173.2 (c) (<i>p.158</i>)	Consolidated around concept of para. 171 (<i>p.154</i>)	All paragraphs refer to contributions by developed country Parties

Table 15 (continued)

New para-graph	Contributors	Corresponding paragraph in FCCC/AWGLCA/2009/INF.1	Reordering and consolidation	Rationale
15	Australia, Canada, Colombia, European Community, Group of 77 and China, Indonesia, Iran (Islamic Republic of), Japan, Tuvalu	Para. 172, first sentence (<i>p.154</i>); alternative 1 to para. 172 (<i>p.155</i>); first part of chapeau of alternative 2 to para. 172 (<i>p.155</i>); second part of subpara. (a) of alternative 3 to para. 172 (<i>p.155</i>)	Consolidated around concept of para. 172, first sentence (<i>p.154</i>)	All paragraphs relate to principles and criteria for generation of financial resources
16	Colombia, European Community, Tuvalu	Second part of chapeau of alternative 2 to para. 172 (<i>p.155</i>); chapeau of alternative 3 to para. 172 (<i>p.155</i>); para. 173 (<i>p.156</i>); para. 166 (i).4 (<i>p.151</i>)	Consolidated around concept of para. 173 (<i>p.156</i>)	All are chapeau statements to introduce options for financial resources
16, option 1	AOSIS, African Group, Bolivia (Plurinational State of), China, Colombia, European Community, Indonesia, Iran (Islamic Republic of), Singapore, South Africa, Tuvalu	Para. 170.2 (c) (<i>p.154</i>); subparas. (a) and (b) of alternative 2 to para. 172 (<i>p.155</i>); first part of subpara. (a) of alternative 3 to para. 172 (<i>p.155</i>); para. 172.1 (b) (<i>p.155</i>); para. 173, option 1 (<i>p.156</i>); alternatives 1 and 2 to option 1.1 of para. 173 (<i>p.156</i>); para. 173, option 1.2 (<i>p.156</i>); second part of chapeau of “alternative to paragraph 173 including options” (<i>p.158</i>); para. x.7 (a) of alternative to options 1, 2 and 3 of para. 175 (<i>p.163</i>)	Consolidated around concept of para. 173, option 1 (<i>p.156</i>)	All refer to assessed contribution, as an option for generation of resources
16, option 2	African Group, Colombia, European Community, Indonesia, Norway, Pakistan, South Africa	Subpara. (c) of alternative 2 to para. 172 (<i>p.155</i>); para. 173, option 2 (<i>pp.156–157</i>); subpara. (a) of “alternative to paragraph 173 including options” (<i>p.158</i>)	Consolidated around concept of para. 173, option 2 (<i>p.156</i>)	All relate to auctioning of assigned amount units
16, option 3	Switzerland	Para. 173, option 3 (<i>p.157</i>)	None	Relates to global levies on fossil fuels
16, option 4	African Group, European Community, Indonesia, Tuvalu, Singapore	Para. 173, option 4 (<i>p.157</i>); alternative to options 4 and 5 of para. 173 (<i>p.157</i>)	Consolidated around concept of para. 173, option 4 (<i>p.157</i>)	All relate to generating revenue from regulation of international bunkers

Table 15 (continued)

New para-graph	Contributors	Corresponding paragraph in FCCC/AWGLCA/2009/INF.1	Reordering and consolidation	Rationale
16, option 5	AOSIS, LDCs, Tuvalu, Singapore	Subpara. (b) of alternative 3 to para. 172 (p.155); para. 173, option 5 (p.157); alternative to options 4 and 5 of para. 173 (p.157); alternative to option 5 of para. 173 (p.157); para. 174.1 (p.161); para. x.7 (c) of alternative to options 1, 2 and 3 of para. 175 (p.163)	Consolidated around concept of para. 173, option 5 (p.157)	All relate to levies on international bunkers
16, option 6	African Group, Colombia, India, Tuvalu	Para. 166 (i).14 (p.152); para. 167, option 1, second sentence (p.153); subpara. (d) of alternative 2 to para. 172 (p.155); subpara. (c) of alternative 3 to para. 172 (p.155); para. 173, option 6 (p.157); para. x.7 (b) of alternative to options 1, 2 and 3 of para. 175 (p.163)	Consolidated around concept of para. 173, option 6 (p.157)	All relate to levies on existing and potential flexible mechanisms
16, option 7	India	Para. 173, option 7 (p.157)	None	Relates to levies on international financial markets
16, option 8	Colombia, India, Pakistan	Para. 173, option 8 (p.157); subpara. (e) of alternative 2 to para. 172 (p.155)	Grouped and consolidated around concept of para. 173, option 8 (p.156)	All relate to fines and penalties
16, option 9	AOSIS, Canada, Indonesia, Norway, South Africa, Tuvalu	Subparas. (d), (e) and (f) of alternative 3 to para. 172 (p.155); para. 173, option 8.1 (p.157); para. 173, option 8.2 (p.157); para. 173, option 8.3 (p.155); subpara. (b) of alternative to paragraph 173 including options (p.158); para. x.7 (d) and (e) of alternative to options 1, 2 and 3 of para. 175 (p.163)	Grouped and consolidated around concept of para. 173, option 8.2 (p.157)	All relate to other instruments that can be used to mobilize resources
17	China, Group of 77 and China	Para. 166 (i).2 (p.151); para. 172.2 (p.155); para. 173.2 (a), (b) and (d) (p.158)	Grouped and consolidated around proposal for additional subheading: "Funding Activities" (p.158)	All relate to potential uses of resources
<i>Institutional structure</i>				
18-19	European Community, Indonesia	Para. x.15 (p.160); para. x.16 (p.160)	None (new concepts)	Paragraphs address functions to be performed by institutional arrangements
20	Group of 77 and China	Para. 174 (p.160); para. x.1, first sentence (p.158); alternative to chapeau of para. 174 (p.160)	Consolidated	Proposals address similar concepts

Table 15 (continued)

New para-graph	Contributors	Corresponding paragraph in FCCC/AWGLCA/2009/INF.1	Reordering and consolidation	Rationale
21–45, option 1	AOSIS, China, India, Iran (Islamic Republic of), Pakistan, South Africa	Paras. x.1 (second and third sentences) to x.14 (<i>pp.158–159</i>); para. 170.2 (d) (<i>p.154</i>); subparas. (a–e) of option 1 of para. 174, along with their alternatives (<i>pp.160–161</i>); para. 174.2–174.4 (<i>pp.161–162</i>); para. 175, options 1–6 (<i>pp.162–164</i>); para. 175, options 7.1 and 7.2 (<i>p.164</i>); para. 177 (<i>p.167</i>); para. 177.1 (<i>p.168</i>)	Consolidated	Parties have elaborated on specific funding windows/funds which are aligned with the proposal presented in option 1
46–55, option 2	Argentina	Alternative to paras. 174 and 175 (<i>pp.164–167</i>)	Moved up	Similar concepts
56–65, option 3	Tuvalu	Alternative 2 to para. 175 (<i>p.167</i>)	Moved up	Similar concepts
66–71, option 4	Mexico	Para. 174, option 2 (<i>p.161</i>); para. 175, option 7 (<i>p.164</i>)	Consolidated	Different elements of the same proposal
72, option 5	European Community, Switzerland	Para. 174, option 3 (<i>p.161</i>); chapeau of para. 175 (<i>p.162</i>); alternative 1 to para. 175 (<i>p.167</i>)	Consolidated	Similar concepts on the use of existing institutions
73, option 6	United States	Para. 174, option 4 (<i>p.161</i>)	Moved down	
74		Para. 176 (<i>p.167</i>)	Issues to be elaborated by Parties	
75–76	Colombia, Turkey, Tuvalu	Para. 171, last sentence (<i>p.154</i>); para. 178 (<i>p.168</i>); alternative to para. 178 (<i>pp.168–169</i>)	Consolidated	Similar concepts

^a Where the Party views differ, the concepts have been consolidated into alternatives for the same concept.

Table 16 identifies common elements and points of divergence among the proposals contained in the chapter on enhanced action on the provision of financial resources and investment from the revised negotiating text (FCCC/AWGLCA/2009/INF.1).

Common elements are perceived where Parties have proposed similar ideas. Divergence is perceived where Parties have explicitly stated an objection, or where there are significant alternatives introducing opposing ideas.

The content of the table follows the existing structure of document FCCC/AWGLCA/2009/INF.1. Parties may have other perceptions and the table may not reflect all common elements and areas of divergence.

The text in italics represents repetition of elements between the different sections of the finance chapter.

Table 16. Enhanced action on the provision of financial resources: common elements and divergence

1. Objective, scope and guiding principles (pages 147–154)

Common elements	Divergence
<p>Overarching principles:</p> <ul style="list-style-type: none"> • Provision of financial resources to further enhance the full, effective and sustained implementation of the Convention and fulfilment of the Bali Action Plan • Substantial gap between the financial resources required for enhanced action on adaptation and mitigation in developing countries and the level of financial resources currently available • Commitments entailed in Article 4 and Article 12.1 of the Convention 	<ul style="list-style-type: none"> • Alignment of the text with principles and provisions of the Convention • Commitments of developed country Parties to provide financial resources to meet agreed full incremental cost and agreed full costs • Action by all Parties to foster investment and financial flows for the climate change response
<p>Principles to guide generation of resources</p> <ul style="list-style-type: none"> • Need to provide scaled-up, new, additional, predictable and sustainable financial resources; • Financing to be derived from multiple sources; • Principle of equity and common but differentiated responsibilities. 	<ul style="list-style-type: none"> • Scale of adaptation financing provided is linked to mitigation commitments and actions by developed country Parties; • Additional to ODA; • Roles of the public and private funding; • Respective capabilities, polluter pays and historical responsibility.
<p>Principles to guide governance of institutional arrangements</p> <ul style="list-style-type: none"> • Full transparency, efficiency, effectiveness, equitable and balanced representation of all Parties • Financial mechanism to function under the guidance and be accountable to the COP • Coherence and coordination between financing under the COP and various delivery mechanisms and individual funds in order to reduce fragmentation in the implementation of the Convention and promote access to the variety of available funding 	<ul style="list-style-type: none"> • Appropriate representation of all Parties • Financial mechanism, in accordance with Article 11.1 and 11.2 of the Convention, shall function under the authority of the COP • Coherence and coordination through programme priorities and eligibility criteria decided by the COP • Governance of financial resources provided through bilateral, regional, and other multilateral channels

Table 16 (continued)

Common elements	Divergence
<p>Principles to guide delivery of financial resources, including access and disbursement:</p> <ul style="list-style-type: none"> • Simplified and improved access to financial resources; Delivery of financial resources to follow a programmatic approach, using a project approach when appropriate • Country driven • Eligibility of all developing countries to funding, with special consideration for the needs of vulnerable countries • Allocation of financial resources to adaptation, mitigation, technology transfer and capacity-building, in a balanced manner • Provision of financial resources in from of grants and/or concessional basis 	<ul style="list-style-type: none"> • Financial resources provided through bilateral, regional, and other multilateral channels or other institutions outside the Convention shall/shall not be regarded as fulfilment of commitments by developed country Parties • Direct access by recipient countries • Criteria to define eligibility and vulnerability • Financial allocation for enhanced action to be based on decided ratios • Form of support provided for adaptation and mitigation • Mutual accountability, consistent with the principles of aid effectiveness as set out in the Paris Declaration on Aid Effectiveness • Delivery of financial resources to leverage private sector financing

2. Generation / provision of financial resources (pages 154–158)

Common elements	Divergence
<ul style="list-style-type: none"> • <i>Need to provide scaled-up financial resources</i> • Right to develop of developing country Parties • Assessed contribution as a source of finance 	<ul style="list-style-type: none"> • Options of sources other than assessed contribution • All countries contribute except LDCs or only Annex I countries contribute • Burden sharing criteria/requirements • All Parties report on policies to create enabling environments for the mobilization of public and private financial resources
<ul style="list-style-type: none"> • <i>Resources need to be new, additional, sustained, predictable, adequate and stabl.</i> 	<ul style="list-style-type: none"> • Reliability of market based instruments • What constitutes adequate financial resources • <i>Additional to ODA</i>
<ul style="list-style-type: none"> • <i>Financing would be derived from multiple sources</i> 	<ul style="list-style-type: none"> • <i>Roles of the public and private sectors in generating financial resources to support enhanced action</i> • Scale of contribution from public resources; • Penalties imposed by COP as a sources for resource generation • The use of: levy on international bunkers, auction of AAUs, debt relief, extension of the levy on flexible mechanism, global tax on fossil fuels emissions, etc.

Table 16 (continued)

3. Institutional arrangements, including funds/institutional structure (pages 158–169)

<ul style="list-style-type: none"> • <i>Financial mechanism to function under the guidance and be accountable to the COP</i> 	<ul style="list-style-type: none"> • <i>Financial mechanism shall function under the authority of the COP</i>
<ul style="list-style-type: none"> • <i>Full transparency, efficiency, effectiveness, equitable and balanced representation of all Parties</i> 	
<ul style="list-style-type: none"> • Establishing institutional arrangements for provision of financial resources 	<p>Three main options proposed are:</p> <ol style="list-style-type: none"> 1. An Executive Body with the supporting structures to operationalize the financial mechanism under the full authority and guidance of the COP. Modalities of determining the role of existing funds and entities for the operation of the mechanism shall be developed 2. Establishment of a Board, comprising participant countries (contributors and beneficiaries grouped in a balanced and practical way), that will report to the COP 3. Make effective and efficient use of the existing institutions and periodically assessing the fulfillment of key functions. The role of the COP will be to provide advice and strategic guidance
<p>Key functions of the financial architecture:</p> <ul style="list-style-type: none"> • Measurement, reporting and verification of financial resources • Effective use of resources 	<p>Key function of the financial architecture:</p> <ul style="list-style-type: none"> • Executive body for management of the financial mechanism • Approval of guidelines and procedure for use of funds • Coordination with existing funds and entities • Certification and registry of financial contributions • Assessment of the needs for financial resources • Measurement, reporting and verification for the use of resources

Annex V**Enhanced action on development and transfer of technology**

Table 17 and table 18 provide background information on annex V to document FCCC/AWGLCA/2009/INF.2.

Table 17. Enhanced action on development and transfer of technology: consolidation and reordering

New paragraph	Contributors	Corresponding para.(page#) in FCCC/AWGLCA/2009/INF.1	Rationale for consolidation and/or reordering
1-3	Indonesia, Japan, least developed countries (LDCs)	Para. x.1 under "objective, scope and guiding principles" (p.170); para. 4 of proposal 3 (p.170); preambular proposal (p.170)	Text is formulated as preambular paragraphs; similar concepts have been consolidated
4-9	Australia, LDCs	Preambular proposals 1 and 3 (p.170)	They are not competing proposals or alternatives and were simply reordered
10	Group of 77 and China, Norway	Preambular proposal 2 (p.170); preambular para x.1 (p.170)	Text is not formulated as preambular paragraphs, which was consolidated and reordered
Chapeau of para. 16	Australia, Canada, Colombia, Indonesia, Japan, Norway, Pakistan, Switzerland, United States of America	Alternatives 1 and 2 to chapeau of para. 180 (p.171); chapeaus of alternatives 1 (p.173), 2 (p.173), 3 (p.174), 4 (p.175) and 5 (p.175) to para. 180	Consolidated as all the alternative chapeaus are derived from same original text
16 (b)	Canada, European Community, Indonesia, Iran (Islamic Republic of) et al., ^a Mexico, Pakistan, Switzerland, United States	Subpara. (a) (p.171); alt.2.(a) ^b (p.173); alt.3.(a) (p.174); alt.4.(a) (p.175); alt.5.(a) (p.175); para. 180 (i).9 (p.173)	Consolidated as all these subparas. are derived from original subpara. (a)
Alternative 2 to para. 16 (b)	Australia, Norway	Alt.1.(a) (p.173); alt.1.(b) (p.173); alt.5.(b) (p.175)	Consolidated as language in each subpara. is similar
16 (c)	Australia, LDCs, Malaysia, Mexico, Norway, Pakistan, United States	Para. 180 (b) (p.171); alt.(b) ^c (p.171); alt.1 (c) (p.173); alt. 2 (b) (p.174); alt. 3 (b) (p.174); alt. 5.(f) (p.175)	
Alternative to 16 (c)	Indonesia	Alt. 4 (b) (p.175)	Reordered to group similar concepts together
16 (e)	Australia, Indonesia, Norway, United States	Para. 180 (c) (p.171); alt. 1 (d) (p.173); alt. 2 (c) (p.174); alt. 4 (c) (p.175); alt. 5 (g) (p.175)	Consolidated as similar language in each subparagraph
16 (g)	Australia, Canada, Group of 77 and China, Indonesia, Iran (Islamic Republic of) et al., Norway, Pakistan	Para. 180 (d) (p.172); alt. 1 (e) (p.173); alt. 2 (d) (p.174); alt. 3 (c) (p.174); alt. 4 (d) (p.175); alt. 5 (e) (p.175); para. 180 (i).10 (p.175)	

Table 17 (continued)

New paragraph	Contributors	Corresponding para.(page#) in FCCC/AWGLCA/2009/INF.1	Rationale for consolidation and/or reordering
16 (h)	Australia, Indonesia, LDCs, Pakistan, Switzerland	Para. 180 (e) (p.172); alt. 1.(g) (p.173); alt.2.(e) (p.173); alt. 3 (d) (p.173); alt. 4 (e) (p.175)	Consolidated as language in each subpara. is similar
16 (i)	Australia, European Community, Indonesia, LDCs, Pakistan, Switzerland, United States	Para. 180 (f) (p.172); alt. 1 (h) (p.173); alt. 2 (f) (p.174); alt. 3 (e) (p.174); alt. 4 (f) (p.175)	
Alternative to 16 (i)	Norway	Alt. 5 (d) (p.175)	Reordered to group similar concepts together
16 (j)	European Community, Indonesia, Pakistan	Para. 180 (g) (p.172); alt. 3 (f) (p.174); alt. 4 (g) (p.175)	Consolidated as language in each subpara. is similar
Alternative to 16 (j)	United States	Alt. 2 (g) (p.174)	Reordered to group similar concepts together
16 (k)	Alliance of small Island States (AOSIS), Australia, Colombia, Indonesia, LDCs, Pakistan, Mexico, Norway, United States	Para. 180 (h) (p.172); alt. (h) and (i) (p.172); alt. 1 (i) (p.173); alt. 2 (h) (p.174); alt. 3 (g) (p.174); alt. 4 (h) (p.175); alt. 5(h) (p.175)	Consolidated as language in each subpara. is similar
16 (l)	AOSIS, Canada, Norway, Pakistan, Turkey	Para. 180 (i) (p.172); alt. (h) and (i) (p.172); alt. 2 (i) (p.174); alt. 3 (h) (p.175); alt.5 (i) (p.175)	
16 (m–v)	AOSIS, Australia, Ecuador, Egypt, Iran (Islamic Republic of) et al., Norway	Para. 180 (i).1–8 (p.172–173); para 180 (i).11 (p.172–173); alt. 1 (j) (p.173)	Reordered and renumbered as they are all new subpara. additions proposed in the alternatives
16 (w)	United States	Alt. 2 (j) (p.174); alt. 2 (k) (p.174); alt. 2 (l) (p.174)	Consolidated and reordered as they are similar topic
16 (x)	Australia, Norway, United States	Alt. 1 (f) (p.175); alt. 2 (m) (p.174); alt. 5 (c) (p.175)	
16 (y)	Bolivia (Plurinational State of)	Para. 189.1.(f) (p.186)	Reordered as it is a broad principle
16 (z)	European Community	Para. 186.(b).1 (p.183)	Reordered as it is a proposed function of the technology mechanism/framework for technology
16 (aa)	Tuvalu	Seventh para. of alternative 2 to para. 187 (p.184)	Reordered as it is a general principle
17 and 18	United States	Para x.1 on national actions (p.176); para. x.2 on cooperative actions (p.177)	Only renumbered, as this text is associated with structural proposal 4
19	European Community, Group of 77 and China, Iran (Islamic Republic of) et al., Mexico, Pakistan, Singapore, Turkey	Para. 181 (p.177); alternative 1 to para. 181 (p.178)	Consolidated as they address the same topic

Table 17 (continued)

New paragraph	Contributors	Corresponding para.(page#) in FCCC/AWGLCA/2009/INF.1	Rationale for consolidation and/or reordering
20	European Community, Indonesia	Para.182 (p.178); first para. of alternative 2 to para. 181 (p.178)	Consolidated as similar text on the technology cycle
22	Indonesia	Second para. of alternative 2 to para. 181 (p.178)	Text reorder and “[Identification of]” inserted at the beginning of subpara. (b), as the current formulation has the opposite meaning to that intended
23.(b)	Australia, European Community	Para. x.1 (b) (p.179); para. 184 (d).2 (p.180)	Consolidate as they are same topic
24.		Chapeau of para. 184 (p.179)	Reordered as there are two distinct options within the text. One would see technology needs assessments (TNAs) as separate processes, the other links them or combines them with other national planning processes
25	Canada, Pakistan	Para. 184 (a)	Reordered as it is about the TNAs as stand alone activities
Alternative to para. 25	Australia, European Community	Alternative to subpara. 184.(a) (p.179); subpara. (a) of alternative 1 to para. 184 (p.180)	Consolidated and reordered as they concern integration of TNAs into other national planning processes
Sub para (a) of alternative to para. 25	Norway	Subpara. (a) of alternative 2 to para. 184 (p.180)	
Sub para (b) of alternative to para. 25	Australia, Norway	Subpara. (a) of alternative 1 to para. 184 (p.180); subpara. (b) of alternative 2 to para. 184 (p.180)	
Sub para (c) of alternative to para. 25	Norway	Subpara. (c) of alternative 2 to para. 184 (p.180)	
38	Canada	Para. 184 (d).1 (p.180)	Consolidated and reordered as they are similar concept
26	AOSIS, Canada, European Community, Iran (Islamic Republic of) et al., Mexico	Para. 184 (b) (p.179); subpara. 184.2 (d)	Consolidated and reordered as they both concern an enabling environment
27	Australia, Canada, European Community, Iran (Islamic Republic of) et al.	Para. 184 (c) (p.179); subpara. (c) of alternative 1 to para. 184 (p.180)	Consolidated and reordered as they concern general principles of capacity-building
28	Australia, Canada, Japan, Switzerland	Para. 184 (d) (p.180); subparas. (d) and (e) of alternative 1 to para. 184 (p.180)	Consolidated and reordered as they all concern capacity-building
29	Norway	Para. 184.2 (p.181)	
30	India	Para. 184.1 (p.180)	
31 (a)	Group of 77 and China, Saudi Arabia	Para. 185 (a) (p.181); alternative to subpara. (a) (p.181)	Consolidated as the alternatives contain similar language

Table 17 (continued)

New paragraph	Contributors	Corresponding para.(page#) in FCCC/AWGLCA/2009/INF.1	Rationale for consolidation and/or reordering
31 (b)	Group of 77 and China, Saudi Arabia	Para. 185 (b) (p.181); alternative to subpara. 185 (b) (p.182)	
31 chapeau	AOSIS, Colombia, Group of 77 and China, Japan, Pakistan	Para. 185 chapeau, (c) and (e).2 (p.181)	Minor editorial changes made
32 chapeau	Canada, European Community, Norway, Singapore, Turkey, United States	Chapeau of para. 186 (p.182); chapeaux of alternative 1 and 2 to para. 186 (p.183)	Consolidated as there is language within the options, which is similar, and the alternative paragraphs are only slight modifications of the original bracketed text
32 (a)	AOSIS, Norway, Singapore, Switzerland, United States	Subpara. 186 (a) (p.182), subpara. (a) of alternative 1 and 2 to para. 186 (p.183)	
32 (b)	Canada, Colombia, Japan, Singapore	Alternative to the chapeau of para. 186 (p.182), subpara. 186 (b) (p.182); alternative to subpara. (b) (p.182); subpara. (b) of alternative 1 and 2 to para. 186 (p.183)	
16 (i)	Norway	First para. of alternative 2 to para. 186	Consolidated as they contain similar language
47	Norway	Fourth para. of alternative 2 to para. 197 (p.183)	Reordered and consolidated as the paragraph is directly related to the section on technology innovation centres
41	Norway	Third and fifth paras. of alternative 2 to para. 186 (p.183–184)	Consolidated as the text is directly related to the section on voluntary agreements
16 (z)	European Community	Para. 186 (b).1 (p.183)	Reordered as the subpara. is a proposed function of the technology mechanism/framework for technology
47 (a)	India	Sixth para. of alternative 2 to para. 186 (p.184)	Reordered as the para. is directly related to the section on technology innovation centres
16 (aa)	Tuvalu	Seventh para. of alternative 2 to para. 186 (p.184)	Reordered as it is a general principle
Chapeau of para.34	Pakistan, Turkey, Bolivia (Plurinational State of)	Chapeau of para. 188 (p.184); chapeau of para. 189.1 (p.185)	Consolidated as they involve the same concepts
34 (a)	Bolivia (Plurinational State of), Group of 77 and China, Pakistan, Philippines, Saudi Arabia	Para. 188 (a) (p.184); alternative to subpara. 188 (a) (p.184); subpara. (a) of alternative to para. 188 (p.185); para.188.1 (p.185); subpara. 188 (c).7 (p.185); subpara. 189.1 (b) and (c) (p.186)	Consolidated as they involve the same concept of compulsory licensing or patent exemptions

Table 17 (continued)

New paragraph	Contributors	Corresponding para.(page#) in FCCC/AWGLCA/2009/INF.1	Rationale for consolidation and/or reordering
34 (b)	Bolivia (Plurinational State of), Group of 77 and China, Pakistan, Philippines	Para. 188 (b) (p.184); alternative to subpara. 188 (b) (p.184); subparas. (c) (ii) and (iii) of alternative to para. 188 (p.185); para. 188 (c).5 (p.185); para. 189.1.(d) (p.186)	Consolidated as they involve the same concepts of patent pools, pooling and sharing
34 (c)	Philippines	Para. 188 (c) (p.184); subpara. (c) (i) of alternative to para. 188 (p.185)	Consolidated as they involve similar concept
34 (d)	Bolivia (Plurinational State of)	Subpara. (a) of para.189.1 (p.185)	Reordered
34 (e)	Philippines	Subpara. (c) (iv) of alternative to para. 188 (p.185)	
34 (f)	Pakistan, Saudi Arabia	Para. 188 (c).1 (p.184); para. (c).4 (p.185)	Consolidated as they involve similar concepts
34 (i)	Pakistan	Para. 188 (c).6 (p.185)	Reordered
34 (j)	Pakistan, Philippines	Subpara. (b) of alternative to para. 188 (p.185); para.189 (p.185)	Consolidated as they involve similar concepts
48	Bolivia (Plurinational State of)	Para.189.1 (e) (p.186)	Consolidated as they are directly related to the financing of technology innovation centres
16 (y)	Bolivia (Plurinational State of)	Para.189.1 (f) (p.186)	Reordered as it is a broad principle
36	Ecuador	Para.189.2 (p.186)	Reordered as this is a distinct and separate option 4
46 (Option 6)	Australia	x.1 (p.186)	Reordered as the proposed text concerns institutional arrangement
37	Pakistan	Alternative to para. 190 (p.186)	Reordered as it may be better expressed as a separate paragraph than an alternative to para. 190
38	AOSIS, Canada, Japan, LDC, Saudi Arabia, Switzerland	Para. 190 (p.186); para. 184 (d).1 (p.180)	Consolidated as they are similar concept on mechanism
39 chapeau	AOSIS, Saudi Arabia	Chapeaux of para. 191 (p.186) and its alternative (p.187)	Consolidated as these have very similar concepts and language
39 (b)	Saudi Arabia	Para. 191 (a) (p.186); subpara. (a) of alternative to para. 191 (p.187)	
39 (c)	Saudi Arabia	Para. 191 (b) (p.186); subpara. (b) of alternative to para. 191 (p.187)	
39 (h)	Saudi Arabia	Subpara. (c) of alternative to para. 191 (p.187)	Reordered it is not competing with others
39 (i)	Saudi Arabia	Subpara. (d) of alternative to para. 191 (p.187)	
41	Canada, Norway, Switzerland	Para. 192 (p.187); third and fifth para. of alternative 2 to para. 186 (p.183, p.184)	Reordered and consolidated as these paragraphs are directly related to the section on voluntary agreements
42		Para. 193 (p.187)	Reordered as the paragraph is primarily concerned with technology information

Table 17 (continued)

New paragraph	Contributors	Corresponding para.(page#) in FCCC/AWGLCA/2009/INF.1	Rationale for consolidation and/or reordering
43	European Community, Japan, Pakistan, Switzerland	Para. 194 (p.187); alternative to para. 194 (p.188)	Consolidated as the alternative text can be accommodated within the paragraph
43		Para. 194 (p.187)	Editorial change made to maintain consistent language throughout the text
46, option 1	AOSIS, Australia, Japan, LDCs, Mexico, Norway, Switzerland, United States	Options 1 and 3 of para. 196 (p.188–190)	Consolidated as they share many similar features and have no contradictory elements
46, option 2, subpara. (c)	Turkey	Subpara. (c) of option 2 of para 196 (p.189) and its alternative (p.189)	Consolidated they involve similar concepts
46, option 2, subparas. (e).5–7		Subparas. (a–c) of option 3 of para. 196 (p.190)	Consolidated and reordered as option 3 is similar to other options in proposing a subsidiary body with a subset of functions
46, options 1 and 2		Option 3 of para 196 (p.190)	
Alternative to 46, option 2	Group of 77 and China	Alternative 1 to para.196 (p.191)	Reordered as alternative 1 is an elaboration of option 2, and is now labelled as an alternative to option 2
46, options 3–5	European Community, Japan, Mexico	Option 4 (p.190), 5 (p.190) and 6 (p.191) of para. 196	Reordered to reflect changes in the numbering of options due to the merging of one option into another
46, option 6	Australia	Para. x.1 prior to para. 190 (p.186)	Reordered as the proposal concerns institutional arrangement
46, option 7	Tuvalu	Alternative 2 to para. 196 (p.193)	Reordered as alternative 2 is a unique option, and is therefore labelled as option 7
47 chapeau	AOSIS, European Community, Mexico, Switzerland, Tuvalu	Chapeau of para. 197 (p.193); the first para. of alternative to 197 (p.193)	Consolidated as they involve common concepts with similar functions
47 (a)	India	Sixth para. of alternative 2 to para. 186 (p.184)	Reordered as the text is directly related to technology innovation centres
47 (b)	Singapore, United States	Para. 197 (a) (p.193) and its alternative (p.194)	Consolidated as these subparas. have common elements
47 (g) and (h)	Tuvalu	Second and third paras. of alternative to 197 (p.194)	Reordered as the text concerns the function of the centres
48	AOSIS, Bolivia (Plurinational State of), Tuvalu	Para. 198 (p.194), the fourth para. of alternative to para. 197 (p.194); para. 189.1 (e) (p.186)	Consolidated as the text is directly related to financing of technology innovation centres
47	Norway	Fourth para. of alternative 2 to para. 186 (p.183)	Absorbed as the paragraph is directly related to the section on technology innovation centres

^a Iran (Islamic Republic of) et al. = Iran (Islamic Republic of) on behalf of Algeria, Iran (Islamic Republic of) Libya, Kuwait, Qatar, Saudi Arabia and United Arab Emirates.

^b In this table, the construction “Alt.1.(a)” means subparagraph (a) of alternative 1 to paragraph 180.

^c In this table the construction “Alt.(b)” means alternative to subparagraph (b).

Table 18 identifies points of convergence and divergence on the issues in the chapter on enhanced action on development and transfer of technology from the revised negotiating text (FCCC/AWGLCA/2009/INF.1)

Convergence is perceived where there are no opposing alternatives or options and where brackets have not been used to record objections to elements of the text. Divergence is perceived where Parties have explicitly stated an objection, or where there are significant alternatives or use of brackets that indicate that Parties object to elements of the text.

The content of the table follows the existing structure of the text and is only indicative. Parties may have other perceptions and the table may not reflect all convergence and divergence.

Table 18. Enhanced action on development and transfer of technology: convergence and divergence

Issues	Convergence	Divergence
Objective, scope and guiding principles (para. 181)	<ul style="list-style-type: none"> • Reference to the provision of the Convention and relevant decisions of the Conference of the Parties • That enhanced action on technology development and transfer is needed • That enhanced action is required at all stages of the technology development cycle • That elements of the existing enhanced framework on technology transfer should be included in a future arrangement • That an internationally coordinated approach to technology development and transfer is needed 	<ul style="list-style-type: none"> • The different characteristics of a technology mechanism versus a framework for technology versus a set of actions. • The scale and role of public and private financing (including who the beneficiaries of financing would be and who should provide financial support) • The nature of national actions (the scope of actions that should be undertaken, whether they would be prerequisites for financing and whether they would be binding or non-binding in nature)
Technology action plan (paras. 181–183)	<ul style="list-style-type: none"> • The concept of technology action plan as a planning instrument for implementation • The concept of efficient national technology planning instruments linked with national technology road maps (para. x.1, alternative 2) 	<ul style="list-style-type: none"> • The concept of an international technology action plan to be elaborated by a new constituted body on technology which would oversee implementation (alternative 1 to para. 188) • The concept of international or national action plans and road maps (para. x.1, alternative 2) • The scope of the international technology action plan • Whether the technology action plan should be linked to a financing scheme • Who should be the beneficiaries and who should provide financial resources for implementation of the plan

Table 18 (continued)

Issues	Convergence	Divergence
<p>Technology needs assessments (TNAs), capacity-building and enabling environments (para. 184)</p>	<ul style="list-style-type: none"> • That efforts on TNAs should be enhanced and potentially integrated into the preparation of national action plans/strategies, nationally appropriate mitigation actions and national adaptation programmes of action (para. 184 (a) alternative to (a), (a) and (b) of alternative 1 to para. 184, and (a) and (b) of alternative 2) • That enabling environments and capacity-building are key elements to be addressed to prompt technology development and transfer (para. 184 (b) and (c)) 	<ul style="list-style-type: none"> • Whether TNAs should be a stand-alone instrument or integrated into a national plan or strategy • Whether completing a TNA should be a condition for access to financing • The nature of national policies and measures for enabling environments (the scope of actions that should be undertaken, whether they are prerequisites for financing or whether they should be binding or non-binding in nature)
<p>Technology road maps (para. 185)</p>	<ul style="list-style-type: none"> • That there is a link between technology road maps and technology needs assessment 	<ul style="list-style-type: none"> • Which technologies are priorities for technology road maps (e.g. carbon dioxide capture and storage, clean fossil fuel, non-energy use of fossil fuel technologies)
<p>Cooperative research and development (R&D) (para. 186)</p>	<ul style="list-style-type: none"> • The national efforts should be scaled up (chapeau) • That South–South, North–South and triangular cooperation should be strengthened (para. 186 (a)) • That joint RD&D opportunities should be provided for developing countries (para. 186 (b)) 	<ul style="list-style-type: none"> • Whether all Parties or just developed country Parties should strengthen their efforts on national R&D (chapeau to para. 186) • Whether financing support should be provided for developing country participation in international R&D, and where financial support should come from
<p>Intellectual property rights (IPRs) (paras. 187–189)</p>	<ul style="list-style-type: none"> • That incentive for innovation should be protected 	<ul style="list-style-type: none"> • Whether protection of intellectual property should be enhanced to enhance innovation • Options for flexibility to address IPRs (paras. 187–189), including: compulsory licensing; patent pooling; preferential/differential pricing; IPR sharing for collaborative R&D; review of all IPR regulations; revoking patent rights; limited time patents; a proposed declaration on IPRs and environmentally sound technologies; exemption of least developed countries/vulnerable countries from patent protection for climate-related technologies; institutional arrangements to address IPR issues

Table 18 (continued)

Issues	Convergence	Divergence
Incentive mechanism (paras. 190–191)	<ul style="list-style-type: none"> • The concept of incentive mechanism and technology leveraging service to prompt financing for technology development and transfer 	<ul style="list-style-type: none"> • Whether existing mechanisms or new mechanisms should be used • Whether the incentive mechanism should be linked to achievement of quantified emission limitation and reduction commitments • Whether the incentive mechanism should be a crediting mechanism
Technology information (paras. 194–195)	<ul style="list-style-type: none"> • That a technology information platform should be developed 	<ul style="list-style-type: none"> • Whether information on IPRs and licensing should be included in the platform
Institutional arrangements (para. 196)	<ul style="list-style-type: none"> • That there is a need for a body to oversee the overall implementation of future action 	<ul style="list-style-type: none"> • The functions of the institutional arrangement: facilitative and advisory or decision making • The form of the constituted institutional arrangements: <ul style="list-style-type: none"> • An enhanced Expert Group on Technology Transfer or advisory group (para. 196, option 1 and 6) • A subsidiary body on technology (alternative 1 to para. 196)
Technology innovation centres (paras. 197–198)	<ul style="list-style-type: none"> • Establishment and networking innovation centres (para. 197) 	<ul style="list-style-type: none"> • The financing of the networks/centres (para. 198) • Whether the function of these networks would be linked to sharing IPRs (para. 197 (e))
Financing technology (paras. 175 and 198)	<ul style="list-style-type: none"> • That the private sector has an important role to play in scaling up financing for technology development and transfer 	<ul style="list-style-type: none"> • A specialized technology fund under the Convention (alternative to para. 198)

Annex VI**Capacity-building**

Table 19 and table 20 provide background information on annex VI to document FCCC/AWGLCA/2009/INF.2.

Table 19. Capacity-building: consolidation and reordering

New para-graph	Contributors	Corresponding para. (page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale for consolidation
1. Principles				
1	European Community Least developed countries (LDCs) Switzerland	Paras. x.1, x.2 and x.3 (p.196)	Three paragraphs are combined to create one paragraph	These paragraphs describe guiding principles for capacity-building support and closely relate to each other
		Para. x.2 (p.196)	Second sentence of the paragraph is used as a chapeau for a combined paragraph on principles	The text refers to principles for capacity-building support
		Para. x.1 (p.196)	The text is included in the list of guiding principles	The paragraph refers to a principle for capacity-building support to LDCs
		Para. x.3 (p.196)	The text could be included in the list of guiding principles	The paragraph lists general guiding principles for capacity-building support
		Para. x.2 (p.196)	The first sentence of the paragraph is included in the list of principles	The text refers to a general guiding principle for capacity-building support
		Para. 199 (x.a) (p.196)	The text is moved from the paragraph about the scope of capacity-building support to the paragraph on the guiding principles	The text refers to a general guiding principle for capacity-building support

Table 19 (continued)

New paragraph	Contributors	Corresponding para (page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale for consolidation
2. Scope of capacity-building support				
2	European Community India LDCs New Zealand Saudi Arabia Switzerland	Para. 199 (x.a) (p.196)	The text is moved from the paragraph on the scope of capacity-building support to the paragraph on the guiding principles	The text refers to a general guiding principle for capacity-building support
		Para. 199 (a) (p.196)	Minor editorial change to correct grammar	
	Indonesia, Colombia Marshall Islands, Palau, Papua New Guinea, Philippines, Solomon Islands, Sri Lanka, Thailand, Timor-Leste, United States of America, Venezuela (Bolivarian Republic of), Viet Nam	Alternative to subpara. 199 (a) (p.196)	Merged with the original para. 199 (a) highlighting the difference in language (strengthening versus creation) with brackets	The text of the alternative is similar to the original text
		Para. 199 (b) (p.196)	Minor editorial changes to correct grammar	
		Alternative to subpara. 199 (b) (p.196)	Merged with the original para. 199 (b) highlighting the difference in language (reference to national coordination processes) with brackets	The text of the alternative is similar to the original text
	Alternative to subpara. 199(c) (p.196)	New subpara. 2 (d) is created. Minor editorial changes to maintain the style of the original para. 199	The text of this alternative sub-paragraph is not similar in substance to the text of the original sub-paragraph and therefore cannot be merged	
	Para. 199 (d) (p.196)	Renumbered as 2 (e) owing to the creation of a new subpara. 2 (d)		
	Alternative to subpara. 199(d) (p.197)	Merged with the original 199 (d), highlighting the difference in language with brackets. Minor editorial changes to maintain the language style of the original para. 199	Both original and alternative sub-paragraphs relate to monitoring and reporting	
	Para. 199 (e) (p.197)	Renumbered as 2 (f) owing to the creation of a new sub-paragraph 2 (d)		
Para. 199 (e).1 (p.197)	Renumbered as 2 (g) to increase readability of the text			

Table 19 (continued)

New paragraph	Contributors	Corresponding para (page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale for consolidation
2		Para. 199 (f) (p.197)	Renumbered as 2 (h) due to the creation of new subparas. 2 (d) and 2 (g). Minor editorial changes to maintain the style of the original para. 199	
2		Para. 199 (f).1 (p.197)	Renumbered as 2 (i) to increase readability of the text. Minor editorial changes to maintain the style of the original para. 199	
		Para. 199 (g) (p.197)	Renumbered as 2 (j) owing to the creation of new subparas.	
		Para. 199 (g).1 (p.197)	Renumbered as 2 (k) to increase readability of the text. Minor editorial changes to maintain the style of the original para. 199	
		Para. 199 (g).2 and 199(g).3 (p.197)	Could be combined to create a new subpara. 2 (l)	Both sub-paragraphs refer to capacity-building for various aspects of technology transfer cycle
		Para. 199.1 (p.198)	Merged in the original paragraph 199 to create a new subpara. 2 (m)	The text relates to the scope of capacity-building support
3	Group of 77 and China LDCs	Alternative to para. 199 (p.197); para. 199.3 (p.198)	Last part of the alternative to para. 199 and first sentence of para. 199.3 are combined to create a new para. 3 in the sub-section on the scope of capacity-building support	The texts have identical language and both relate to the scope of capacity-building support

Table 19 (continued)

New para-graph	Contributors	Corresponding para (page#) in FCCC/AWGLCA/2009/INF.1	Consolidation and/or reordering	Rationale for consolidation
3. Institutional arrangements				
4	Group of 77 and China LDCs	Alternative to para. 199 (p. 197-198); para. 199.2 (p.198); para. 201.3 (p.199)	Three paragraphs are combined to create a new para. 4 with differences in language highlighted with brackets	These three paragraphs describe a new mechanism for capacity-building support and have similar language
	Algeria, Iran (Islamic Republic of), Libyan Arab Jamahiriya, Kuwait, Qatar, Saudi Arabia and United Arab Emirates	Alternative to para. 199 (p. 197-198); para. 199.2 (p.198); para. 201.3 (p.199)	Minor editorial changes to correct grammar	
5	Argentina, Peru	Para. 201.2 (p.199)	Moved to the sub-section on institutional arrangements and renumbered as para. 5	The paragraph relates to institutional arrangements for capacity-building support
4. Measurement of capacity-building support and action				
6	European Community Group of 77 and China LDCs	Alternative to para. 199 (p. 197-198); para. 199.3 (p.198); para. 200 (p.198)	The last sentence of alternative to para. 199 and paras. 199.3 and 200 are combined to create an option 1 in a new para. 6. Differences in language are highlighted with brackets	The last sentence of the alternative to para. 199 is identical to para. 199.3. Both are similar in substance to the original para. 200 and refer to the measurement of capacity-building support
		Alternative to para. 200 (p.198)	The paragraph is used as option 2 in a new para. 6	The paragraph relates to the measurement of capacity-building support and action
5. Provision of financial resources for capacity-building				
7	Indonesia LDCs	Para. 201.1 (p.199)	Combined with the original para. 201. Minor editorial changes to correct grammar	This paragraph lists principles for the provision of financial resources and makes reference to Article of the Convention. It is better placed before the text on a financial mechanism for capacity-building
		Alternative to para. 201 (p.199)	Combined with the original para. 201. Differences between the original para. 201 and the alternative para. 201 are presented as option 1 and option 2 in a new para. 7	Identical language. The only difference is a type of a new financial mechanism

Table 20 identifies points of convergence and divergence on the issues in the chapter on capacity building of the revised negotiating text (FCCC/AWGLCA/2009/INF.1).

Convergence is perceived where there are no opposing alternatives or options and where brackets have not been used to record objections to elements of the text. Divergence is perceived where Parties have explicitly stated an objection, or where there are significant alternatives or use of brackets that indicate that Parties object to elements of the text.

The content of the table follows the existing structure of the text and is only indicative. Parties may have other perceptions and the table may not reflect all convergence and divergence.

Table 20. Capacity-building: convergence and divergence

Issue	Convergence	Divergence
Principles of capacity-building (paras. x.1, x.2, x.3, 199 (x.a) and 201)	<ul style="list-style-type: none"> • Principles corresponding to those outlined in the framework for capacity-building in developing countries (decision 2/CP.7): that capacity-building should be a continuous, progressive, iterative and country-driven process consistent with national priorities • That the special circumstances of least developed countries (LDCs) should be taken into account, as stipulated in Article 4.9 of the Convention • That capacity-building is an integral part of enhanced action on mitigation and adaptation 	<ul style="list-style-type: none"> • Integration of capacity-building support in development planning and implementation versus implementation of stand-alone capacity-building projects/programmes • Whether provision of capacity-building support to developing country Parties, along with delivery of financial support and technology transfer, should be a legally binding obligation of developed country Parties, with consequences for non-compliance
Scope of capacity-building support (paras. 199 and 199.1)	<ul style="list-style-type: none"> • Institutional strengthening • Enabling environments and policy/regulatory frameworks • Establishment or strengthening of national coordination bodies/processes and national focal points • Building of capacity to develop and implement mitigation and adaptation actions/plans/programmes • Capacity-building for monitoring and reporting • Education, training and public awareness with focus on youth, women and indigenous peoples • Readiness to access financial resources 	

Table 20 (continued)

Issues	Convergence	Divergence
Institutional arrangements for capacity-building support (alternative to para. 199 and paras. 199.2, 201.2, 201.3)		<ul style="list-style-type: none"> • Establishment of a specific capacity development and support mechanism focusing on capacity-building needs of LDCs, small island developing States (SIDS) and African countries versus the establishment of such a mechanism without making reference to specific needs of LDCs, SIDS and African countries • Whether technical panels on capacity-building for adaptation and for mitigation should be established
Measurement of capacity-building support (alternatives to paras. 199 and 200 and paras. 199.3 and 200)	<ul style="list-style-type: none"> • That reporting is needed on capacity-building activities and support provided 	<ul style="list-style-type: none"> • Establishment of specific performance indicators for measuring and verifying capacity-building support to developing countries versus an approach whereby all Parties report on progress made in enhancing the capacity to address climate change, and of support provided or received
Provision of financial resources for capacity-building support (paras. 201 and 201.1, and alternative to para. 201)		<ul style="list-style-type: none"> • Establishment of a dedicated multilateral fund for capacity-building versus a new financial mechanism to support mitigation, adaptation, technology transfer and capacity-building action. • Whether financial resources for capacity-building should be provided under the overall guidance of the Conference of the Parties
