



**AD HOC WORKING GROUP ON LONG-TERM COOPERATIVE ACTION  
UNDER THE CONVENTION**

**Report of the Ad Hoc Working Group on Long-term Cooperative Action  
under the Convention on its sixth session,  
held in Bonn from 1 to 12 June 2009**

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## **I. Opening of the session**

(Agenda item 1)

1. The sixth session of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention (AWG-LCA) was held at the Maritim Hotel, Bonn, Germany, from 1 to 12 June 2009.
2. The Chair of the AWG-LCA, Mr. Michael Zammit Cutajar (Malta), opened the session and welcomed all Parties and observers. He also welcomed Mr. Luiz Alberto Figueiredo Machado (Brazil) as Vice-Chair of the AWG-LCA and Ms. Lilian Portillo (Paraguay) as Rapporteur.
3. The Chair noted that the AWG-LCA completed its series of mandated workshops at its fifth session and was now ready to shift into full negotiating mode, as agreed by the AWG-LCA at its third session<sup>1</sup> and welcomed by the Conference of the Parties at its fourteenth session.<sup>2</sup> The Chair invited Parties to address the content and form of the agreed outcome, emphasizing that focusing on substantive content could lead to real progress during the sixth session of the AWG-LCA.

## **II. Organizational matters**

(Agenda item 2)

### **A. Adoption of the agenda**

(Agenda item 2 (a))

4. At its 1<sup>st</sup> meeting, on 1 June, the AWG-LCA considered a note by the Executive Secretary containing the provisional agenda and annotations (FCCC/AWGLCA/2009/6).
5. At the same meeting, the agenda was adopted as follows:
  1. Opening of the session.
  2. Organizational matters:
    - (a) Adoption of the agenda;
    - (b) Organization of the work of the session.
  3. Enabling the full, effective and sustained implementation of the Convention through long-term cooperative action now, up to and beyond 2012, by addressing, inter alia:
    - (a) A shared vision for long-term cooperative action;
    - (b) Enhanced national/international action on mitigation of climate change;
    - (c) Enhanced action on adaptation;
    - (d) Enhanced action on technology development and transfer to support action on mitigation and adaptation;
    - (e) Enhanced action on the provision of financial resources and investment to support action on mitigation and adaptation and technology cooperation.
  4. Other matters.
  5. Report on the session.

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<sup>1</sup> FCCC/AWGLCA/2008/12, paragraph 33.

<sup>2</sup> Decision 1/CP.14.

## **B. Organization of the work of the session**

(Agenda item 2 (b))

6. At the opening of the session, the Chair proposed that the AWG-LCA begin by taking up item 3 of the agenda followed by items 2 (b), 4 and 5. The AWG-LCA agreed to the proposed organization of work.
7. The AWG-LCA considered sub-item 2 (b) at its 1<sup>st</sup> meeting, on 1 June. The Chair drew delegates' attention to the scenario note prepared for the session, contained in document FCCC/AWGLCA/2009/7.
8. At the same meeting, the Chair invited the AWG-LCA to undertake a first reading of the negotiating text contained in document FCCC/AWGLCA/2009/8 in informal plenary meetings, which would be provided with interpretation and open to observer organizations in order to facilitate the balanced consideration of Parties' ideas and proposals relating to all aspects of the Bali Action Plan (decision 1/CP.13) and permit an overview of their inter-relationships.
9. The Chair further proposed that after completion of the first reading, work on the text would move into a second phase in which the specific elements of the Bali Action Plan would be considered in detail. The AWG-LCA agreed to the proposed organization of work.
10. At the same meeting, the AWG-LCA agreed to convene informal consultations facilitated by the Chair in order to enable Parties to exchange views on the legal form or forms that the agreed outcome could take, bearing in mind the political commitment undertaken by all Parties in the Bali Action Plan to enable the full, effective and sustained implementation of the Convention through long-term cooperative action, now, up to and beyond 2012.
11. The AWG-LCA also agreed to consider the organization of further work, including the organization of work at the informal meeting on 10–14 August 2009 and the first part of the seventh session of the AWG-LCA, in informal consultations facilitated by the Chair and the Vice-Chair.

## **III. Enabling the full, effective and sustained implementation of the Convention through long-term cooperative action now, up to and beyond 2012, by addressing, inter alia:**

(Agenda item 3)

### **A shared vision for long-term cooperative action**

(Agenda item 3 (a))

### **Enhanced national/international action on mitigation of climate change**

(Agenda item 3 (b))

### **Enhanced action on adaptation**

(Agenda item 3 (c))

### **Enhanced action on technology development and transfer to support action on mitigation and adaptation**

(Agenda item 3 (d))

**Enhanced action on the provision of financial resources and investment to support action on mitigation and adaptation and technology cooperation**

(Agenda item 3 (e))

12. The AWG-LCA considered these sub-items together at its 1<sup>st</sup> and 2<sup>nd</sup> meetings, on 1 and 12 June, respectively. It had before it documents FCCC/AWGLCA/2009/7, FCCC/AWGLCA/2009/8, FCCC/AWGLCA/2009/9, FCCC/AWGLCA/2009/MISC.4 (Parts I and II) and Add.1 and 2, and FCCC/AWGLCA/2009/MISC.5.
13. At the 1<sup>st</sup> meeting, the Chair recalled that the AWG-LCA, at its fourth session, invited its Chair to prepare, under his own responsibility, a negotiating text for consideration at its sixth session, building upon the ideas and proposals of Parties and document FCCC/AWGLCA/2008/16/Rev.1 and taking account of the proceedings of the AWG-LCA at its fifth session and further submissions received from Parties by 24 April 2009.<sup>3</sup> The Chair presented this negotiating text (referred to in para. 8 above) to delegates.
14. At the same meeting, statements were made by 32 Parties, including one speaking on behalf of the Group of 77 and China, one on behalf of the least developed countries, one on behalf of the Alliance of Small Island States (AOSIS), one on behalf of the African Group, one on behalf of the European Community and its member States, one on behalf of the Environmental Integrity Group and one on behalf of the Umbrella Group. Statements were also made on behalf of the business and industry non-governmental organizations, the environmental non-governmental organizations, indigenous peoples organizations and the trade union non-governmental organizations.
15. As agreed under agenda item 2 (b), the AWG-LCA held 12 informal plenary meetings to undertake a first reading of the negotiating text and to continue the work on the text in more detail during a second reading. During these informal plenary meetings, Parties provided general comments on structure and content, stated reservations about and objections to elements of the text, and proposed additions and modifications facilitated by electronic submission of inputs to the text during the session.
16. At the 2<sup>nd</sup> meeting, the Chair informed Parties that the secretariat will make available a revised negotiating text containing all input to the Chair's text received from Parties during the session.<sup>4</sup>
17. At the same meeting, the Chair reported that the informal consultations referred to in paragraph 10 above on the legal form or forms of the agreed outcome envisaged by the Bali Action Plan had resulted in a useful exchange of views, and provided an opportunity for clarification of procedural aspects.
18. Also at the 2<sup>nd</sup> meeting, the Chair reported on the results of the informal consultations referred to in paragraph 11 above on the organization of further work, facilitated by the Vice-Chair. The Chair summarized his understanding of the conclusions reached during these consultations as follows:
- (a) After the first and second readings of the negotiating text at this session, the AWG-LCA will move into the third phase of its work on the negotiating text at its meeting in August;
  - (b) This third phase will be characterized by work in informal groups, encompassing all aspects of the Bali Action Plan. These groups will consider the revised negotiating text and aim to modify it in the direction of consolidation and convergence. A maximum of two groups may meet at any one time. These meetings will be open to

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<sup>3</sup> FCCC/AWGLCA/2008/17, paragraphs 26 and 27.

<sup>4</sup> This revised negotiating text has been published as document FCCC/AWGLCA/2009/INF.1.

all Parties, as well as to observer organizations in accordance with established practice. The scheduling of the informal groups will take account of the interlinkages between them and ensure, to the extent possible, that groups dealing with closely related topics do not meet at the same time;

- (c) The work will be allocated to five informal groups on adaptation, mitigation, technology development and transfer (with capacity-building), financing and a shared vision. While mitigation will be considered in an integrated manner, work on some elements of paragraph 1 (b) of the Bali Action Plan will be assigned to open-ended sub-groups. In particular the issues contained in paragraph 1 (b) (iii–vi) of the Bali Action Plan would lend themselves to work in separate groups. The organization of the work of these mitigation sub-groups will follow the same modalities as outlined in subparagraph (b) above. The Chair will call upon the assistance of the Vice-Chair and other delegates to facilitate the work of these groups;
- (d) The work of these informal groups will be framed by three informal plenary meetings, namely a brief opening meeting, a mid-week meeting to take stock and a closing meeting. The mid-week meeting could also be used for considering overall structural or ‘architectural’ questions relating to the text as a whole, and cross-cutting features such as institutional arrangements. Following the mid-week meeting, the Chair will be ready to reconvene an informal consultation on the legal form of the agreed outcome and other issues that may be identified;
- (e) Interpretation will be provided to the informal plenary meetings and, to the extent possible, to meetings of informal groups;
- (f) The Chair will present further proposals for the structure, schedule and mode of work of the August meeting in advance through an informal scenario note to be made available on the UNFCCC website. This will aid delegations in planning their participation in the work of the meeting. The proposed schedule will take account of the normal 6 p.m. time limit. Any extension of this limit will be made only after due consultation with Parties;
- (g) It is understood that the Parties taking part in the informal meeting may request the Chair to undertake further work in preparation for the first part of the seventh session of the AWG-LCA. The Chair will consult Parties during the informal meeting on the preparations for and the organization of the work of the session;
- (h) Further, the following understandings are reiterated:
  - (i) The organization of texts and of work thereon is without prejudice to the form of the agreed outcome of the work of the AWG-LCA;
  - (ii) The negotiating process will remain open to new input up to its conclusion;
  - (i) Finally, the shift of the work of the AWG-LCA into full negotiating mode has rendered unnecessary the preparation of the Chair’s summaries of views expressed during sessions of the AWG-LCA. Consequently, such summaries will not be prepared for this and subsequent sessions of the AWG-LCA.

19. At the same meeting, the Chair of the Subsidiary Body for Scientific and Technological Advice (SBSTA), Ms. Helen Plume (New Zealand), provided an oral report to the AWG-LCA, as requested by the SBSTA at its twenty-ninth session, on progress made on its work under the agenda item “Reducing

emissions from deforestation in developing countries: approaches to stimulate action”.<sup>5</sup> The Chair also reported that the SBSTA had invited the AWG-LCA to note the importance of research and systematic observation in underpinning the implementation of the Convention. Lastly, the SBSTA Chair, also speaking on behalf of Ms. Liana Bratasida (Indonesia), Chair of the Subsidiary Body for Implementation (SBI), informed delegates of progress made by the SBSTA and the SBI on development and transfer of technology and referred to their invitation to the AWG-LCA to consider, as appropriate, information contained in recent reports by the Expert Group on Technology Transfer.

20. At the same meeting, statements were made by four Parties, including one speaking on behalf of the Group of 77 and China. A statement was also made by a representative of the International Maritime Organization.

21. During the session, the secretariat was notified that the new Government of El Salvador, which took office on 1 June 2009, was revoking the latest and previous submissions from El Salvador related to the Bali Action Plan<sup>6</sup> and endorsing the submissions from Nicaragua on behalf of Guatemala, Dominican Republic, Honduras, Panama and Nicaragua containing the current criteria and position of these Parties regarding the issues addressed under paragraph 1 (a–e) of the Bali Action Plan.<sup>7</sup>

#### **IV. Other matters**

(Agenda item 4)

22. No other matters were raised or considered.

#### **V. Report on the session**

(Agenda item 5)

23. At its 2<sup>nd</sup> meeting, on 12 June, the AWG-LCA considered and adopted the draft report on its sixth session.<sup>8</sup> At the same meeting, on a proposal by the Chair, the AWG-LCA authorized the Rapporteur to complete the report on the session, with the assistance of the secretariat and under the guidance of the Chair.

#### **VI. Closure of the session**

24. At the 2<sup>nd</sup> meeting, on 12 June, the Chair thanked the Vice-Chair, the Rapporteur and the secretariat for their work. The Chair also thanked delegates for their contributions. Statements were made by representatives of 10 Parties, including one speaking on behalf of the Group of 77 and China, one on behalf of AOSIS, one on behalf of the least developed countries, one on behalf of the African Group, one on behalf of the European Community and its member States and one on behalf of the Umbrella Group.

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<sup>5</sup> FCCC/SBSTA/2008/13, paragraph 48.

<sup>6</sup> The submissions are contained in documents FCCC/AWGLCA/2008/MISC.5, FCCC/AWGLCA/2008/MISC.5/Add.2 (Part I), FCCC/AWGLCA/2009/MISC.1 and FCCC/AWGLCA/2009/MISC.4 (Part II).

<sup>7</sup> The submissions are contained in document FCCC/AWGLCA/2009/MISC.4 (Part II).

<sup>8</sup> FCCC/AWGLCA/2009/L.3.

Annex

**Documents before the Ad Hoc Working Group on Long-term  
Cooperative Action under the Convention  
at its sixth session**

**Documents prepared for the session**

|   |   |
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| FCCC/AWGLCA/2009/6                                      | Provisional agenda and annotations. Note by the Executive Secretary   |
| FCCC/AWGLCA/2009/7                                      | Scenario note on the sixth session. Note by the Chair   |
| FCCC/AWGLCA/2009/8                                      | Negotiating text. Note by the Chair   |
| FCCC/AWGLCA/2009/9                                      | Summary of views expressed during the fifth session of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention. Note by the Chair |
| FCCC/AWGLCA/2009/MISC.4<br>(Parts I and II) and Add.1–3 | Ideas and proposals on the elements contained in paragraph 1 of the Bali Action Plan. Submissions from Parties  |
| FCCC/AWGLCA/2009/MISC.5<br>and Add.1                    | Ideas and proposals on the elements contained in paragraph 1 of the Bali Action Plan. Submissions from intergovernmental organizations                  |
| FCCC/AWGLCA/2009/INF.1                                  | Revised negotiating text. Note by the secretariat   |
| FCCC/AWGLCA/2009/L.3                                    | Draft report of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention on its sixth session                                      |

**Other documents before the session**

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| FCCC/AWGLCA/2009/4<br>(Parts I and II) | Fulfilment of the Bali Action Plan and components of the agreed outcome. Note by the Chair   |
| FCCC/AWGLCA/2009/5                     | Report of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention on its fifth session, held in Bonn from 29 March to 8 April 2009 |
| FCCC/AWGLCA/2008/16/Rev.1              | Ideas and proposals on paragraph 1 of the Bali Action Plan. Revised note by the Chair  |

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