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**SUBSIDIARY BODY FOR IMPLEMENTATION**

**Report of the Subsidiary Body for Implementation  
on its twenty-seventh session,  
held in Bali from 3 to 11 December 2007**

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## I. Opening of the session

(Agenda item 1)

1. The twenty-seventh session of the Subsidiary Body for Implementation (SBI) was held at the Bali International Conference Centre, Bali, Indonesia, from 3 to 11 December 2007.
2. The Chair of the SBI, Mr. Bagher Asadi (Islamic Republic of Iran), opened the session and welcomed all Parties and observers. He also welcomed Mr. József Feiler (Hungary) as Vice-Chair of the SBI and Ms. Margaret Sangarwe (Zimbabwe) as Rapporteur.

## II. Organizational matters

(Agenda item 2)

### A. Adoption of the agenda

(Agenda item 2 (a))

3. At its 1<sup>st</sup> and 2<sup>nd</sup> meetings, on 3 and 4 December, respectively, the SBI considered a note by the Executive Secretary containing the provisional agenda and annotations (FCCC/SBI/2007/16). Statements were made by representatives of 18 Parties, including one speaking on behalf of the Group of 77 and China, one on behalf of the Umbrella Group, one on behalf of the Alliance of Small Island States (AOSIS), one on behalf of the European Community and its member States,<sup>1</sup> and one on behalf of the least developed countries (LDCs).
4. At the 2<sup>nd</sup> meeting, the SBI adopted the agenda as amended<sup>2</sup> with sub-item 4 (b) held in abeyance:
  1. Opening of the session.
  2. Organizational matters:
    - (a) Adoption of the agenda;
    - (b) Organization of the work of the session;
    - (c) Election of officers other than the Chair;
    - (d) Election of replacement officers.
  3. National communications and greenhouse gas inventory data from Parties included in Annex I to the Convention:
    - (a) Compilation and synthesis of fourth national communications;
    - (b) Report on national greenhouse gas inventory data from Parties included in Annex I to the Convention for the period 1990–2005;
    - (c) Status report on the review of fourth national communications.
  4. National communications from Parties not included in Annex I to the Convention:
    - (a) Work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention;

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<sup>1</sup> This position was supported by Albania, Bosnia and Herzegovina, Croatia, The former Yugoslav Republic of Macedonia, Serbia, Montenegro and Ukraine.

<sup>2</sup> The Conference of the Parties referred item 6 (c) of its agenda on the development and transfer of technologies to the SBI for the consideration of implementation aspects. In accordance with Rule 27.7 of the draft rules of procedure being applied, this item was included in the agenda for the SBI at its twenty-seventh session as item 16.

- (b) *Agenda item held in abeyance;*
  - (c) Provision of financial and technical support.
5. Financial mechanism of the Convention:
    - (a) Fourth review of the financial mechanism;
    - (b) Report of the Global Environment Facility to the Conference of the Parties and guidance to the Global Environment Facility.
  6. Article 6 of the Convention.
  7. Implementation of Article 4, paragraphs 8 and 9, of the Convention:
    - (a) Progress on the implementation of decision 1/CP.10;
    - (b) Matters relating to the least developed countries.
  8. Capacity-building under the Convention:
    - (a) Capacity-building for developing countries;
    - (b) Capacity-building for countries with economies in transition.
  9. Reporting and review of information submitted by Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol:
    - (a) Compilation and synthesis of supplementary information incorporated in fourth national communications submitted in accordance with Article 7, paragraph 2, of the Kyoto Protocol;
    - (b) Review of initial reports and supplementary information incorporated in fourth national communications submitted in accordance with Article 7, paragraph 2, of the Kyoto Protocol.
  10. Adaptation Fund.
  11. Capacity-building under the Kyoto Protocol:
    - (a) Capacity-building for developing countries;
    - (b) Capacity-building for countries with economies in transition.
  12. Matters relating to Article 3, paragraph 14, of the Kyoto Protocol.
  13. Report of the administrator of the international transaction log under the Kyoto Protocol.
  14. Amendment of the Kyoto Protocol in respect of procedures and mechanisms relating to compliance.
  15. Administrative, financial and institutional matters:
    - (a) Budget performance for the biennium 2006–2007;
    - (b) Continuing review of the functions and operations of the secretariat;
    - (c) Privileges and immunities for individuals serving on constituted bodies established under the Kyoto Protocol.
  16. Development and transfer of technologies.
  17. Other matters.
  18. Report on the session.

## **B. Organization of the work of the session**

(Agenda item 2 (b))

5. The SBI considered this sub-item at its 2<sup>nd</sup> meeting, on 4 December, at which the Chair drew attention to the proposed programme of work posted on the UNFCCC website. On a proposal by the Chair, the SBI agreed to proceed on the basis of that programme of work. The Chair invited Parties to take into account information contained in document FCCC/SB/2007/INF.2, on the relationship of various provisions of the Mauritius Strategy to the work of the Convention and its Kyoto Protocol, when considering relevant agenda items.

## **C. Election of officers other than the Chair**

(Agenda item 2 (c))

6. The SBI considered this sub-item at its 2<sup>nd</sup> and 5<sup>th</sup> meetings, on 4 and 11 December, respectively. At the 2<sup>nd</sup> meeting, the Chair recalled rule 27 of the draft rules of procedure being applied, whereby the SBI is expected to elect its Vice-Chair and Rapporteur. Such an election was last held at the twenty-fifth session. The Chair informed the SBI that consultations on nominations were being undertaken and that he would keep delegates informed of progress. In the conduct of these consultations, Parties were invited to recall decision 36/CP.7 and to give active consideration to the nomination of women for elective posts in the SBI.

7. At the 5<sup>th</sup> meeting, the Chair informed the SBI that agreement had been reached among the regional groups on the post of Vice-Chair and proposed the nominee for election. The SBI elected Ms. Nataliya Stranadko (Ukraine) as its Vice-Chair. The Chair informed the meeting that the Vice-Chair will serve for an initial term of one year, which may be renewed for another year, and noted that the Vice-Chair will serve for the twenty-eighth and twenty-ninth sessions, or until her successor is elected. He thanked the current SBI Bureau for its work.

8. The nomination for the post of Rapporteur was still pending at the close of the twenty-seventh session. The nominee will be elected at the opening of the twenty-eighth session of the SBI.

## **D. Election of replacement officers**

(Agenda item 2 (d))

9. The elected Vice-Chair represents a Party to both the Convention and its Kyoto Protocol. Consequently, no election of a replacement officer was necessary.

## **III. National communications and greenhouse gas inventory data from Parties included in Annex I to the Convention**

(Agenda item 3)

### **A. Compilation and synthesis of fourth national communications**

(Agenda item 3 (a))

#### **1. Proceedings**

10. The SBI considered this sub-item at its 3<sup>rd</sup> and 5<sup>th</sup> meetings, on 4 and 11 December, respectively. It had before it documents FCCC/SBI/2007/INF.6 and Add.1 and 2.

11. At its 3<sup>rd</sup> meeting, the SBI agreed to consider this sub-item in a contact group co-chaired by Ms. Sushma Gera (Canada) and Mr. Hongwei Yang (China). At the 5<sup>th</sup> meeting, Ms. Gera reported on the contact group's consultations.

12. At its 5<sup>th</sup> meeting, the SBI considered and adopted conclusions<sup>3</sup> proposed by the Chair.

## 2. Conclusions

13. The SBI took note of the compilation and synthesis of fourth national communications from Parties included in Annex I to the Convention (Annex I Parties).<sup>4</sup>

14. The SBI agreed to recommend a draft decision<sup>5</sup> on this subject for adoption by the Conference of the Parties (COP) at its thirteenth session (for the text of the decision, see FCCC/SBI/2007/L.26/Add.1).

### **B. Report on national greenhouse gas inventory data from Parties included in Annex I to the Convention for the period 1990–2005**

(Agenda item 3 (b))

15. The SBI considered this sub-item at its 3<sup>rd</sup> meeting, on 4 December. It had before it and took note of document FCCC/SBI/2007/30.

### **C. Status report on the review of fourth national communications**

(Agenda item 3 (c))

16. The SBI considered this sub-item at its 3<sup>rd</sup> meeting, on 4 December. It had before it and took note of document FCCC/SBI/2007/INF.8.

## **IV. National communications from Parties not included in Annex I to the Convention**

(Agenda item 4)

### **A. Work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention**

(Agenda item 4 (a))

#### 1. Proceedings

17. The SBI considered this sub-item at its 3<sup>rd</sup> and 5<sup>th</sup> meetings, on 4 and 11 December, respectively. It had before it documents FCCC/SBI/2007/10/Add.1, FCCC/SBI/2007/20, FCCC/SBI/2007/27, FCCC/SBI/2007/28 and FCCC/SBI/2007/MISC.7 and Add.1 and 2. Statements were made by representatives of 16 Parties, including one speaking on behalf of the Group of 77 and China, one on behalf of the African Group, one on behalf of AOSIS and one on behalf of the European Community and its member States.<sup>6</sup>

18. At its 3<sup>rd</sup> meeting, after consideration of sub-item 4 (c), the SBI agreed to consider both sub-items in a contact group co-chaired by Ms. Kristin Tilley (Australia) and Mr. Arthur Rolle (Bahamas). At the 5<sup>th</sup> meeting, Mr. Rolle reported on the contact group's consultations.

19. At the 3<sup>rd</sup> meeting, the Chair invited Ms. Lilian Portillo (Paraguay), Chair of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention (CGE), to report on the activities of the group. The Chair also invited the representative of the National Communications Support Programme of the Global Environment Facility (GEF), the

<sup>3</sup> Adopted as document FCCC/SBI/2007/L.26.

<sup>4</sup> FCCC/SBI/2007/INF.6 and Add.1 and 2.

<sup>5</sup> For the text as adopted, see document FCCC/CP/2007/6/Add.1, decision 10/CP.13.

<sup>6</sup> This position was supported by Albania, Bosnia and Herzegovina, Croatia, The former Yugoslav Republic of Macedonia, Serbia, Montenegro and Ukraine.

United Nations Development Programme and the United Nations Environment Programme (UNEP) to report on the programme's activities.

20. A submission was received from the United States of America; this can be found in document FCCC/SBI/2007/CRP.2.

21. At its 5<sup>th</sup> meeting, the SBI considered and adopted conclusions<sup>7</sup> proposed by the Chair.

## 2. Conclusions

22. The SBI took note of the oral report of the Chair of the CGE on the outcome of the group's ninth meeting held in Cairo, Egypt, from 24 to 25 September 2007, and welcomed the progress report on the work of the CGE prepared by the secretariat.<sup>8</sup>

23. The SBI also noted the outcomes of the workshop on exchange of experiences and good practices among Parties not included in Annex I to the Convention (non-Annex I Parties) in preparing national communications and on cross-cutting issues held in Cairo, Egypt, from 20 to 22 September 2007, and expressed its appreciation to the Government of Egypt for hosting the workshop and the ninth meeting of the CGE. It also expressed its gratitude to the Governments of Canada, Norway, Sweden and the United States of America for their financial contributions to the workshop.

24. The SBI welcomed the reports of the CGE on the outcomes of its examination of national communications from non-Annex I Parties<sup>9</sup> and the integration of information contained in national adaptation programmes of action (NAPAs) into second and subsequent national communications.<sup>10</sup>

25. The SBI was unable to reach conclusions on the mandate and revised terms of reference of the CGE in accordance with decision 3/CP.8, and agreed to continue its deliberations on this matter at its twenty-eighth session with a view to recommending a decision for adoption by the COP at its fourteenth session.

### **B. Agenda item held in abeyance** (Agenda item 4 (b) held in abeyance)

### **C. Provision of financial and technical support** (Agenda item 4 (c))

#### 1. Proceedings

26. The SBI considered this sub-item at its 3<sup>rd</sup> and 5<sup>th</sup> meetings, on 4 and 11 December, respectively (see para. 18 above). It had before it documents FCCC/SBI/2007/INF.9 and FCCC/SBI/2007/MISC.13 and Add.1. Statements were made by representatives of four Parties, including one speaking on behalf of the Group of 77 and China, one on behalf of the European Community and its member States,<sup>11</sup> and one on behalf of AOSIS.

27. At the 3<sup>rd</sup> meeting, the Chair invited the representative of the GEF secretariat to make a statement.

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<sup>7</sup> Adopted as document FCCC/SBI/2007/L.33.

<sup>8</sup> FCCC/SBI/2007/28.

<sup>9</sup> FCCC/SBI/2007/20.

<sup>10</sup> FCCC/SBI/2007/27.

<sup>11</sup> This position was supported by Albania, Bosnia and Herzegovina, Croatia, The former Yugoslav Republic of Macedonia, Serbia, Montenegro and Ukraine.



28. A submission was received from the Group of 77 and China; this can be found in document FCCC/SBI/2007/CRP.1.

29. At its 5<sup>th</sup> meeting, the SBI considered and adopted conclusions<sup>12</sup> proposed by the Chair.

## 2. Conclusions

30. The SBI took note of the information provided by the secretariat of the GEF on the financial support provided for the preparation of initial and subsequent national communications from non-Annex I Parties.<sup>13</sup>

31. The SBI invited the GEF to continue to provide information on its activities relating to the preparation of national communications by non-Annex I Parties, including information on the dates of approval of funding and disbursement of funds, for consideration by the SBI at its twenty-eighth session.

32. The SBI urged those non-Annex I Parties that have not yet submitted their initial national communications to do so as soon as possible. Parties that are LDCs may submit their initial national communications at their discretion.

33. The SBI took note of the information provided by the GEF, as contained in its report to the COP at its thirteenth session,<sup>14</sup> in particular the information contained in annex 6 of the report.

34. The SBI reiterated that non-Annex I Parties may request assistance from the GEF in formulating and developing project proposals identified in their national communications,<sup>15</sup> in accordance with Article 12, paragraph 4, of the Convention.

35. The SBI further recommended that the COP, at its thirteenth session, request the GEF to:

- (a) Continue to ensure that financial resources are provided to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention;
- (b) Take into consideration the request contained in paragraph 35 (a) above in its planned mid-term review in 2008;
- (c) Work with its implementing agencies to continue to simplify its procedures and improve the effectiveness and efficiency of the process through which non-Annex I Parties receive funding to meet their obligations under Article 12, paragraph 1, of the Convention, with the aim of ensuring the timely disbursement of funds to meet the agreed full costs incurred by developing country Parties in complying with these obligations;
- (d) Refine, as appropriate, operational procedures to ensure the timely disbursement of funds to meet the agreed full costs incurred by those non-Annex I Parties that are in the process of preparing their third and, where appropriate, fourth national communications, in the light of paragraph 35 (a) to (c) above;
- (e) Assist, as appropriate, non-Annex I Parties in formulating and developing project proposals identified in their national communications in accordance with Article 12, paragraph 4, of the Convention and decision 5/CP.11, paragraph 2;

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<sup>12</sup> Adopted as document FCCC/SBI/2007/L.32.

<sup>13</sup> FCCC/SBI/2007/INF.9.

<sup>14</sup> FCCC/CP/2007/3 and Corr.1.

<sup>15</sup> Decision 5/CP.11, paragraph 2.

- (f) Ensure, together with its implementing agencies, that the analysis of project proposals for the financing of second and subsequent national communications is consistent with the guidelines for the preparation of national communications from Parties not included in Annex I to the Convention.<sup>16</sup>

36. The SBI further recommended that the COP, at its thirteenth session, invite the GEF to:

- (a) Continue to provide information on funding for projects identified in the national communications of non-Annex I Parties<sup>17</sup> in accordance with Article 12, paragraph 4, of the Convention and subsequently submitted and approved;
- (b) Consider the views of, and any concerns expressed by, Parties regarding their current experiences with the GEF and its implementing agencies in relation to the provision of financial support for the preparation of national communications from non-Annex I Parties, as contained in documents FCCC/SBI/2007/MISC.13 and Add.1.

## **V. Financial mechanism of the Convention**

(Agenda item 5)

### **A. Fourth review of the financial mechanism**

(Agenda item 5 (a))

#### 1. Proceedings

37. The SBI considered this sub-item at its 3<sup>rd</sup> and 5<sup>th</sup> meetings, on 4 and 11 December, respectively. It had before it documents FCCC/SBI/2007/21 and FCCC/TP/2007/4 and Corr.1. Statements were made by representatives of nine Parties, including one speaking on behalf of the Group of 77 and China, and one on behalf of the European Community and its member States.<sup>18</sup>

38. At its 3<sup>rd</sup> meeting, after consideration of sub-item 5 (b), the SBI agreed to consider both sub-items in a contact group co-chaired by Ms. Tina Guthrie (Canada) and Mr. Bubu Jallow (Gambia). At the 5<sup>th</sup> meeting, Ms. Guthrie reported on the contact group's consultations.

39. At its 5<sup>th</sup> meeting, the SBI considered and adopted conclusions<sup>19</sup> proposed by the Chair.

#### 2. Conclusions

40. The SBI decided to recommend a draft decision<sup>20</sup> on the fourth review of the financial mechanism for adoption by the COP at its thirteenth session (for the text of the decision, see FCCC/SBI/2007/L.34/Add.1).

41. The SBI agreed to continue deliberating on the fourth review of the financial mechanism at its twenty-eighth session.

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<sup>16</sup> The current guidelines are contained in decision 17/CP.8.

<sup>17</sup> Decision 5/CP.11, paragraph 2.

<sup>18</sup> This position was supported by Albania, Bosnia and Herzegovina, Croatia, The former Yugoslav Republic of Macedonia, Serbia, Montenegro and Ukraine.

<sup>19</sup> Adopted as document FCCC/SBI/2007/L.34.

<sup>20</sup> For the text as adopted, see document FCCC/CP/2007/6/Add.1, decision 6/CP.13.

## **B. Report of the Global Environment Facility to the Conference of the Parties and guidance to the Global Environment Facility**

(Agenda item 5 (b))

### 1. Proceedings

42. The SBI considered this sub-item at its 3<sup>rd</sup> and 5<sup>th</sup> meetings, on 4 and 11 December, respectively (see para. 38 above). It had before it documents FCCC/CP/2007/3 and Corr.1, and FCCC/SBI/2007/MISC.11 and Add.1. Statements were made by representatives of 13 Parties, including one speaking on behalf of the Group of 77 and China, and one on behalf of the European Community and its member States.<sup>21</sup>

43. At the 3<sup>rd</sup> meeting, the Chair invited Ms. Monique Barbut, Chief Executive Officer and Chairperson of the GEF secretariat, to make a statement.

44. At its 5<sup>th</sup> meeting, the SBI considered and adopted conclusions<sup>22</sup> proposed by the Chair.

### 2. Conclusions

45. The SBI decided to recommend a draft decision<sup>23</sup> for adoption by the COP at its thirteenth session (for the text of the decision, see FCCC/SBI/2007/L.35).

## **VI. Article 6 of the Convention**

(Agenda item 6)

### 1. Proceedings

46. The SBI considered this item at its 3<sup>rd</sup> and 5<sup>th</sup> meetings, on 4 and 11 December, respectively. It had before it documents FCCC/SBI/2007/17, FCCC/SBI/2007/22, FCCC/SBI/2007/26, FCCC/SBI/2007/29, FCCC/SBI/2007/MISC.10 and FCCC/SBI/2007/MISC.12. Statements were made by representatives of 13 Parties, including one speaking on behalf of the European Community and its member States,<sup>24</sup> and one on behalf of AOSIS.

47. At its 3<sup>rd</sup> meeting, the SBI agreed to consider this item in a contact group co-chaired by Ms. Qingchen Chao (China) and Ms. Marie Jaudet (France). At the 5<sup>th</sup> meeting, the co-chairs reported on the contact group's consultations.

48. At the 3<sup>rd</sup> meeting, the Chair invited the representative of UNEP to make a statement. At the 5<sup>th</sup> meeting, the Chair invited the representative of the United Nations Children's Fund to make a statement.

49. At its 5<sup>th</sup> meeting, the SBI considered and adopted conclusions<sup>25</sup> proposed by the Chair.

### 2. Conclusions

50. The SBI took note of the information provided in documents FCCC/SBI/2007/17, FCCC/SBI/2007/22, FCCC/SBI/2007/29 and FCCC/SBI/2007/MISC.10, prepared to support the review

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<sup>21</sup> This position was supported by Albania, Bosnia and Herzegovina, Croatia, The former Yugoslav Republic of Macedonia, Serbia, Montenegro and Ukraine.

<sup>22</sup> Adopted as document FCCC/SBI/2007/L.35.

<sup>23</sup> For the text as adopted, see document FCCC/CP/2007/6/Add.1, decision 7/CP.13.

<sup>24</sup> This position was supported by Albania, Bosnia and Herzegovina, Croatia, The former Yugoslav Republic of Macedonia, Serbia, Montenegro and Ukraine.

<sup>25</sup> Adopted as document FCCC/SBI/2007/L.29.

of the New Delhi work programme on Article 6 of the Convention, the mandate of which expires at the end of 2007, and the elaboration of a work programme to succeed the New Delhi work programme. It also welcomed documents FCCC/SBI/2007/26 and FCCC/SBI/2007/MISC.12, prepared to support the evaluation of, and future work on, the prototype information network clearing house (CC:iNet).

51. The SBI noted with appreciation the progress made by Parties in planning and implementing activities relating to Article 6 in accordance with the New Delhi work programme, and recognized that:

- (a) The five-year New Delhi work programme has proved to be a good framework for action;
- (b) The regional workshops on Article 6 have helped to advance the New Delhi work programme by providing useful inputs into the work on Article 6 at the country level and identifying possible follow-up action at the national, subregional and regional levels;
- (c) CC:iNet is an important tool for promoting the implementation of Article 6, which should be further developed and promoted to enhance its relevance and usefulness, subject to the availability of resources.

52. The SBI noted that the implementation of Article 6 is a long-term process, which supports mitigation actions and adaptation solutions and strategies. A framework supporting its further implementation is needed to sustain and promote national and regional efforts.

53. The SBI acknowledged that the review of the implementation of the New Delhi work programme contained in document FCCC/SBI/2007/22 indicated that “the lack of financial and technical resources is the major impediment for non-Annex I Parties in their attempts to adequately implement Article 6 activities.”<sup>26</sup> This is particularly true for the LDCs and small island developing States (SIDS). At the same time the SBI acknowledged that funding may be secured through the integration of education and outreach components into the various projects funded by the GEF.

54. The SBI recognized the work of UNEP in supporting the implementation of Article 6 activities in non-Annex I Parties, LDCs and SIDS, and encouraged it and other United Nations agencies to continue to do so. The SBI acknowledged that there is still a need for continued support from the GEF and other bilateral and multilateral donors for Article 6 activities.

55. The SBI decided to recommend a draft decision<sup>27</sup> on this subject for adoption by the COP at its thirteenth session (for the text of the decision, see FCCC/SBI/2007/L.29/Add.1).

## **VII. Implementation of Article 4, paragraphs 8 and 9, of the Convention**

(Agenda item 7)

### **A. Progress on the implementation of decision 1/CP.10**

(Agenda item 7 (a))

#### **1. Proceedings**

56. The SBI considered this sub-item at its 3<sup>rd</sup> and 5<sup>th</sup> meetings, on 4 and 11 December, respectively. It had before it documents FCCC/SBI/2007/2, FCCC/SBI/2007/11, FCCC/SBI/2007/13, FCCC/SBI/2007/14, FCCC/SBI/2007/23, FCCC/SBI/2007/24, FCCC/SBI/2006/13, FCCC/SBI/2006/18 and FCCC/SBI/2006/19. Statements were made by representatives of five Parties, including one

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<sup>26</sup> The text in quotation marks has been adapted from document FCCC/SBI/2007/22, paragraph 76 (b).

<sup>27</sup> For the text as adopted, see document FCCC/CP/2007/6/Add.1, decision 9/CP.13.

speaking on behalf of AOSIS, one on behalf of the European Community and its member States,<sup>28</sup> and one on behalf of the Group of 77 and China.

57. At its 3<sup>rd</sup> meeting, the SBI agreed to consider this sub-item in a contact group co-chaired by Ms. Shayleen Thompson (Australia) and Mr. Philip Gwage (Uganda). At the 5<sup>th</sup> meeting, Ms. Thompson reported on the contact group's consultations.

58. At its 5<sup>th</sup> meeting, the SBI considered and adopted conclusions<sup>29</sup> proposed by the Chair.

## 2. Conclusions

59. The SBI discussed progress on the implementation of decision 1/CP.10, taking into consideration the outcomes of the regional workshops and the expert meeting on the adverse effects of climate change<sup>30</sup> and the pre-sessional expert meetings on the impact of the implementation of response measures<sup>31</sup> and possible elements for further action, including those listed in annex III to document FCCC/SBI/2007/15 and under the following areas:

- (a) With regard to the adverse effects of climate change:
  - (i) Financial resources;
  - (ii) Vulnerability and adaptation assessments;
  - (iii) Adaptation planning and implementation;
  - (iv) Risk management and risk reduction;
  - (v) Regional collaboration and cross-cutting issues;
  - (vi) Capacity-building, education, training and public awareness;
  - (vii) Data, systematic observation and monitoring;
- (b) With regard to the impact of the implementation of response measures:
  - (i) Financial risk management;
  - (ii) Modelling;
  - (iii) Economic diversification.

60. The SBI requested its Chair to convene an informal pre-sessional meeting, in conjunction with its twenty-eighth session, subject to the availability of resources, with representatives of interested Parties, to consider further actions, based on the information contained in paragraph 59 above, including sub-paragraph (a) on the adverse effects of climate change, and sub-paragraph (b) on the impact of the implementation of response measures.

61. The SBI recalled decision 1/CP.10, paragraph 22, and invited Parties to submit to the secretariat, by 21 March 2008, their views on the status of implementation referred to in that paragraph.

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<sup>28</sup> This position was supported by Albania, Bosnia and Herzegovina, Croatia, The former Yugoslav Republic of Macedonia, Serbia, Montenegro and Ukraine.

<sup>29</sup> Adopted as document FCCC/SBI/2007/L.31.

<sup>30</sup> FCCC/SBI/2006/19, FCCC/SBI/2007/2, FCCC/SBI/2007/11, FCCC/SBI/2007/13 and FCCC/SBI/2007/14.

<sup>31</sup> FCCC/SBI/2006/13 and FCCC/SBI/2006/18.

62. The SBI requested the secretariat to compile the submissions referred to in paragraph 61 above into a miscellaneous document for consideration by the SBI at its twenty-eighth session, with a view to providing input into the consideration of terms of reference for the assessment referred to in decision 1/CP.10, paragraph 22.

63. The SBI agreed to continue its deliberations on this matter at its twenty-eighth session, with a view to: (1) considering what further actions may be required by the COP at its fourteenth session to further the implementation of decision 1/CP.10, taking into account the discussions at the meeting mentioned in paragraph 60 above and the information contained in paragraph 59 above; and (2) initiating consideration of terms of reference for the assessment referred to in decision 1/CP.10, paragraph 22.

## **B. Matters relating to the least developed countries**

### (Agenda item 7 (b))

#### 1. Proceedings

64. The SBI considered this sub-item at its 3<sup>rd</sup> and 5<sup>th</sup> meetings, on 4 and 11 December, respectively. It had before it documents FCCC/SBI/2007/31 and FCCC/SBI/2007/32. Statements were made by representatives of 13 Parties, including one speaking on behalf of the European Community and its member States.<sup>32</sup>

65. At its 3<sup>rd</sup> meeting, the SBI agreed to consider this sub-item in a contact group co-chaired by Ms. Michelle Campbell (Canada) and Mr. Amjad Abdulla (Maldives). At the 5<sup>th</sup> meeting, Mr. Abdulla reported on the contact group's consultations.

66. At the 3<sup>rd</sup> and 5<sup>th</sup> meetings, the Chair invited Mr. Jallow, Chair of the Least Developed Countries Expert Group (LEG), to make a statement. At the 3<sup>rd</sup> meeting the Chair also invited the representative of the Food and Agriculture Organization of the United Nations to make a statement.

67. At its 5<sup>th</sup> meeting, the SBI considered and adopted conclusions<sup>33</sup> proposed by the Chair.

#### 2. Conclusions

68. The SBI welcomed the progress report of the Chair of the LEG and the report on the group's twelfth meeting, held in Bangkok, Thailand, from 6 to 8 September 2007.<sup>34</sup> It also welcomed the report of the LEG stocktaking meeting held in Bangkok, from 3 to 5 September 2007 on the progress made by Parties in the preparation and implementation of NAPAs.<sup>35</sup>

69. The SBI welcomed the 26 NAPAs that had been submitted to the secretariat as at 4 December 2007, and strongly encouraged those Parties that have not yet submitted their NAPAs to do so in a timely manner.

70. The SBI took note of the progress made by the LEG in completing its work programme for 2006–2007, including information on the expected outcomes of activities relating to the implementation of the work programme, as described in document FCCC/SBI/2007/31.

71. The SBI expressed its gratitude to the Governments of Austria, Belgium, Canada, Ireland, New Zealand and Norway for providing financial resources to support the work of the LEG.

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<sup>32</sup> This position was supported by Albania, Bosnia and Herzegovina, Croatia, The former Yugoslav Republic of Macedonia, Serbia, Montenegro and Ukraine.

<sup>33</sup> Adopted as document FCCC/SBI/2007/L.24.

<sup>34</sup> FCCC/SBI/2007/31.

<sup>35</sup> FCCC/SBI/2007/32.

72. The SBI encouraged Parties in a position to do so to continue to support the work of the LEG and to provide resources in support of the LEG work programme, in fulfilment of the group's mandate outlined in decision 29/CP.7.

73. The SBI decided to recommend a draft decision<sup>36</sup> on this subject for adoption by the COP at its thirteenth session (for the text of the decision, see FCCC/SBI/2007/L.24/Add.1).

## **VIII. Capacity-building under the Convention**

(Agenda item 8)

### **A. Capacity-building for developing countries**

(Agenda item 8 (a))

#### 1. Proceedings

74. The SBI considered this sub-item at its 3<sup>rd</sup> and 5<sup>th</sup> meetings, on 4 and 11 December, respectively. It had before it documents FCCC/CP/2007/3 and Corr.1, FCCC/SBI/2007/25, FCCC/SBI/2007/33 and FCCC/SBI/2007/MISC.8 and Add.1. Statements were made by representatives of 14 Parties, including one speaking on behalf of the Group of 77 and China, one on behalf of AOSIS and one on behalf of the European Community and its member States.<sup>37</sup>

75. At its 3<sup>rd</sup> meeting, the SBI agreed to consider this sub-item in a contact group co-chaired by Mr. Crispin D'Auvergne (Saint Lucia) and Mr. Helmut Hojesky (Austria). At the 5<sup>th</sup> meeting, Mr. D'Auvergne reported on the contact group's consultations.

76. A submission was received from the Group of 77 and China; this can be found in document FCCC/SBI/2007/CRP.3.

77. As Parties could not reach agreement on how to proceed with the consideration of this issue and in accordance with rule 16 of the draft rules of procedure being applied, the SBI agreed to forward the item to the twenty-eighth session of the SBI.<sup>38</sup>

### **B. Capacity-building for countries with economies in transition**

(Agenda item 8 (b))

#### 1. Proceedings

78. The SBI considered this sub-item at its 3<sup>rd</sup> and 5<sup>th</sup> meetings, on 4 and 11 December, respectively. It had before it documents FCCC/CP/2007/3 and Corr.1, FCCC/SBI/2007/18 and FCCC/SBI/2007/MISC.9. Statements were made by representatives of four Parties, including one speaking on behalf of the European Community and its member States.<sup>39</sup>

79. At its 3<sup>rd</sup> meeting, the SBI agreed to consider this sub-item in informal consultations convened by Mr. Vlad Trusca (Romania) and Mr. Hojesky. At the 5<sup>th</sup> meeting, Mr. Trusca reported on these consultations.

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<sup>36</sup> For the text as adopted, see document FCCC/CP/2007/6/Add.1, decision 8/CP.13.

<sup>37</sup> This position was supported by Albania, Bosnia and Herzegovina, Croatia, The former Yugoslav Republic of Macedonia, Serbia, Montenegro and Ukraine.

<sup>38</sup> Subsequently the President of the COP undertook consultations which resulted in the adoption of the conclusions under chapter VI D of document FCCC/CP/2007/6.

<sup>39</sup> This position was supported by Albania, Bosnia and Herzegovina, Croatia, The former Yugoslav Republic of Macedonia, Serbia, Montenegro and Ukraine.

80. At its 5<sup>th</sup> meeting, the SBI considered and adopted conclusions<sup>40</sup> proposed by the Chair.

## 2. Conclusions

81. The SBI reiterated the importance of capacity-building for countries with economies in transition (EIT countries) as a critical element in the implementation of the Convention.

82. The SBI welcomed and considered the report<sup>41</sup> by the secretariat on the implementation of the framework for capacity-building in EIT countries and the information provided by Parties and intergovernmental organizations.<sup>42</sup>

83. The SBI also welcomed the significant progress made in capacity-building by Parties and various organizations in EIT countries.

84. The SBI noted that the scope of needs as listed in the framework for capacity-building in EIT countries<sup>43</sup> is still relevant, and that the need for the improvement of reporting activities and methodologies for estimating the effects of policies and measures is of particular interest to EIT countries. Moreover, it noted that the enhancement of the capacity of climate change negotiators and efforts to continue to build the capacity of other key country-level actors (e.g. decision-makers and government officials, the scientific community, the media and educators) could assist in further strengthening capacity in these countries.

85. The SBI noted further that EIT countries still need support, and encouraged entities in a position to do so to continue to support capacity-building activities in these countries, in accordance with decision 3/CP.7, and to continue to improve the availability of information on the opportunities for technical and financial support for capacity-building. Such entities would include the GEF; Parties, in particular Parties included in Annex II to the Convention (Annex II Parties); and multilateral and bilateral agencies and other international organizations.

86. The SBI decided to review the status of the implementation of decision 3/CP.7 at the thirty-sixth session of the SBI, making use of the information referred to in paragraph 82 above; information provided by EIT Parties and Annex II Parties in their national communications; and information to be provided by the GEF and its implementing agencies, and multilateral and bilateral agencies and other international organizations.

87. The SBI invited Parties and relevant organizations referred to in paragraph 86 above to submit to the secretariat, by February 2012, information on how they have implemented capacity-building activities in EIT countries for consideration by the SBI at its thirty-sixth session.

88. The SBI requested the secretariat to prepare a compilation and synthesis report on the information provided by Parties and relevant organizations mentioned in paragraph 87 above, and make the report available for consideration by the SBI at its thirty-sixth session.

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<sup>40</sup> Adopted as document FCCC/SBI/2007/L.27.

<sup>41</sup> FCCC/SBI/2007/18.

<sup>42</sup> FCCC/SBI/2007/MISC.9 and FCCC/CP/2007/3 and Corr.1.

<sup>43</sup> Decision 3/CP.7, annex.



## **IX. Reporting and review of information submitted by Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol**

(Agenda item 9)

### **A. Compilation and synthesis of supplementary information incorporated in fourth national communications submitted in accordance with Article 7, paragraph 2, of the Kyoto Protocol**

(Agenda item 9 (a))

#### 1. Proceedings

89. The SBI considered this sub-item at its 4<sup>th</sup> and 5<sup>th</sup> meetings, on 5 and 11 December, respectively. It had before it document FCCC/SBI/2007/INF.7.

90. At its 4<sup>th</sup> meeting, the SBI agreed to consider this sub-item in a contact group co-chaired by Ms. Gera and Mr. Hongwei Yang. At the 5<sup>th</sup> meeting, Mr. Hongwei Yang reported on the contact group's consultations.

91. At its 5<sup>th</sup> meeting, the SBI considered and adopted conclusions<sup>44</sup> proposed by the Chair.

#### 2. Conclusions

92. The SBI took note of the compilation and synthesis of supplementary information incorporated in fourth national communications submitted in accordance with Article 7, paragraph 2, of the Kyoto Protocol.<sup>45</sup>

93. The SBI noted that some Annex I Parties that are also Parties to the Kyoto Protocol have not provided all the information under Article 7, paragraph 2, that addresses, inter alia, the concerns of developing country Parties, and requested Annex I Parties that are also Parties to the Kyoto Protocol to provide this information in their next national communications.

94. The SBI decided to recommend a draft decision<sup>46</sup> on this subject for adoption by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) at its third session (for the text of the decision, see FCCC/SBI/2007/L.25/Add.1).

### **B. Review of initial reports and supplementary information incorporated in fourth national communications submitted in accordance with Article 7, paragraph 2, of the Kyoto Protocol**

(Agenda item 9 (b))

#### 1. Proceedings

95. The SBI considered this sub-item at its 4<sup>th</sup> and 5<sup>th</sup> meetings, on 5 and 11 December, respectively. It had before it document FCCC/SBI/2007/INF.10.

96. At its 4<sup>th</sup> meeting, the SBI agreed to consider this sub-item in informal consultations convened by Ms. Anke Herold (European Community) and Mr. Nagmeldin Elhassan (Sudan). At the 5<sup>th</sup> meeting, Mr. Elhassan reported on these consultations.

97. At its 5<sup>th</sup> meeting, the SBI considered and adopted conclusions<sup>47</sup> proposed by the Chair.

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<sup>44</sup> Adopted as document FCCC/SBI/2007/L.25.

<sup>45</sup> FCCC/SBI/2007/INF.7.

<sup>46</sup> For the text as adopted, see document FCCC/KP/CMP/2007/9/Add.1, decision 8/CMP.3.

<sup>47</sup> Adopted as document FCCC/SBI/2007/L.22.

## 2. Conclusions

98. The SBI welcomed the report on activities relating to the review of initial reports submitted in accordance with decision 13/CMP.1.<sup>48</sup>

99. The SBI acknowledged the commendable effort made by Parties and experts, supported by the secretariat, that made it possible to conduct 36 reviews of the initial reports in 2007 in conjunction with the reviews of the 2006 greenhouse gas inventory submissions under the Convention and to publish the corresponding reports under established deadlines. However, it noted that the review process needs further strengthening, in particular through the participation of an increased number of well-trained review experts and more support from the secretariat, to continue with the review procedures established by the “Guidelines for review under Article 8 of the Kyoto Protocol”.

100. The SBI noted the importance of the training programme under the Kyoto Protocol. The SBI requested the secretariat to update and complement the training programme, in particular in relation to the review of activities under Article 3, paragraphs 3 and 4, the review of national registries and the review of information on assigned amounts, in particular the standard electronic format tables. The SBI encouraged Parties in a position to do so to provide the necessary funding for these activities.

101. The SBI also noted the need for consistent implementation of the technical guidance on adjustments in the reviews of inventory submissions under the Kyoto Protocol. The SBI requested the lead reviewers to consider this issue at their next meeting.

102. The SBI acknowledged that, in accordance with decision 22/CMP.1, Annex I Parties may start reporting on a voluntary basis information under Article 7, paragraph 1, with the inventory submission due under the Convention from the year following the submission of the initial report, and that this information shall be reviewed in accordance with the “Guidelines for review under Article 8 of the Kyoto Protocol”.

103. The SBI noted with concern the high volume of work in 2008 related to the reviews of the national communications, the rescheduled reviews of 2007 inventory submissions and the forthcoming reviews of 2008 inventory submissions, including the annual review of supplementary information submitted in accordance with Article 7, paragraph 1, on a voluntary basis. This will place considerable pressure on, and demand for resources from, the Parties, review experts and the secretariat.

104. The SBI also noted that, in accordance with the conclusions of the CMP at its second session,<sup>49</sup> there is a need for flexibility in the timing of review activities for the 2007 inventory submissions and that the review of the 2007 inventory submissions should be conducted in conjunction with the review of the 2008 inventory submissions, with a focus on the most recent submissions. The SBI requested the lead reviewers to consider this issue at their next meeting and to make recommendations on how to implement this flexibility.

105. The SBI further noted specific issues for expert review teams conducting reviews of inventories under the Kyoto Protocol, including the deadlines established under Article 8, the amount of information to review, the correction of problems identified during the reviews and the calculation of any adjustments during the review. It acknowledged the need for a continued assessment of these issues in future, with a view to identifying options to manage these issues. The SBI reiterated the need for continued analysis of the effectiveness of the review process under Article 8 in implementing decisions 12/CP.9 and 22/CMP.1.

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<sup>48</sup> FCCC/SBI/2007/INF.10.

<sup>49</sup> See document FCCC/KP/CMP/2006/10, paragraph 102.

## **X. Adaptation Fund**

(Agenda item 10)

### 1. Proceedings

106. The SBI considered this item at its 3<sup>rd</sup> and 5<sup>th</sup> meetings, on 4 and 11 December, respectively. Statements were made by representatives of 21 Parties, including one speaking on behalf of AOSIS, one on behalf of the European Community and its member States,<sup>50</sup> one on behalf of least developed countries, one on behalf of the Environmental Integrity Group and one on behalf of the Group of 77 and China.

107. At its 3<sup>rd</sup> meeting, the SBI agreed to consider this item in a contact group co-chaired by Mr. Ositadinma Anaedu (Nigeria) and Mr. Jukka Uosukainen (Finland). At the 5<sup>th</sup> meeting, Mr. Anaedu reported on the contact group's consultations.

108. At the 3<sup>rd</sup> meeting, the Chair invited the co-chairs to report to the SBI on the results of the pre-session consultations on the Adaptation Fund held in Bali, Indonesia, on 29 and 30 November.

109. At its 5<sup>th</sup> meeting, the SBI considered and adopted conclusions<sup>51</sup> proposed by the Chair.

### 2. Conclusions

110. The SBI decided to recommend a draft decision<sup>52</sup> for adoption by the CMP at its third session (for the text of the decision, see FCCC/SBI/2007/L.30).

## **XI. Capacity-building under the Kyoto Protocol**

(Agenda item 11)

### **A. Capacity-building for developing countries**

(Agenda item 11 (a))

#### Proceedings

111. The SBI considered this sub-item at its 4<sup>th</sup> and 5<sup>th</sup> meetings, on 5 and 11 December, respectively. It had before it documents FCCC/SBI/2007/25 and FCCC/SBI/2007/MISC.8 and Add.1. Statements were made by representatives of five Parties, including one speaking on behalf of the Group of 77 and China, and one on behalf of the European Community and its member States.

112. At its 4<sup>th</sup> meeting, the SBI agreed to consider this sub-item in a contact group co-chaired by Mr. D'Auvergne and Mr. Hojesky. At the 5<sup>th</sup> meeting, Mr. Hojesky reported on the contact group's consultations.

113. As Parties could not reach agreement on how to proceed with the consideration of this issue and in accordance with rule 16 of the draft rules of procedure being applied, the SBI agreed to forward the item to the twenty-eighth session of the SBI.<sup>53</sup>

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<sup>50</sup> This position was supported by Albania, Bosnia and Herzegovina, Croatia, The former Yugoslav Republic of Macedonia, Serbia, Montenegro and Ukraine.

<sup>51</sup> Adopted as document FCCC/SBI/2007/L.30.

<sup>52</sup> For the text as adopted, see document FCCC/KP/CMP/2007/9/Add.1, decision 1/CMP.3.

<sup>53</sup> Subsequently the President of the CMP undertook consultations which resulted in the adoption of the conclusions under chapter XII of document FCCC/KP/CMP/2007/9.

## **B. Capacity-building for countries with economies in transition**

(Agenda item 11 (b))

### 1. Proceedings

114. The SBI considered this sub-item at its 4<sup>th</sup> and 5<sup>th</sup> meetings, on 5 and 11 December, respectively. It had before it documents FCCC/CP/2007/3 and Corr.1, FCCC/SBI/2007/18 and FCCC/SBI/2007/MISC.9. A statement was made by the representative of one Party speaking on behalf of the European Community and its member States.<sup>54</sup>

115. At its 4<sup>th</sup> meeting, the SBI agreed to consider this sub-item in informal consultations convened by Mr. Trusca and Mr. Hojesky. At the 5<sup>th</sup> meeting, Mr. Hojesky reported on these consultations.

116. At its 5<sup>th</sup> meeting, the SBI considered and adopted conclusions<sup>55</sup> proposed by the Chair.

### 2. Conclusions

117. The SBI reiterated the importance of capacity-building for EIT countries as a critical element in the implementation of the Kyoto Protocol.

118. The SBI welcomed and considered the report<sup>56</sup> by the secretariat on the implementation of the framework for capacity-building in EIT countries and the information provided by Parties and intergovernmental organizations.<sup>57</sup>

119. The SBI also welcomed the significant progress made in capacity-building by Parties and various organizations in EIT countries.

120. The SBI noted that the scope of needs listed in the framework for capacity-building in EIT countries<sup>58</sup> that are applicable to the implementation of the Kyoto Protocol<sup>59</sup> is still relevant, and that the need to improve reporting activities and methodologies for estimating the effects of policies and measures and the capacity to fulfil the requirements to participate in joint implementation and emissions trading activities is of particular interest to EIT countries. Moreover, it noted that the enhancement of the capacity of climate change negotiators and efforts to continue to build the capacity of other key country-level actors (e.g. decision-makers and government officials, the scientific community, the media and educators) could assist in further strengthening capacity in these countries.

121. The SBI noted further that EIT Parties still need support, and encouraged entities in a position to do so to continue to support capacity-building activities in these countries, in accordance with decisions 3/CP.7 and 30/CMP.1, and to continue to improve the availability of information on the opportunities for technical and financial support for capacity-building. Such entities would include Parties, in particular Annex II Parties, and multilateral and bilateral agencies and other international organizations.

122. The SBI decided to review the status of the implementation of decisions 3/CP.7 and 30/CMP.1 at the thirty-sixth session of the SBI, making use of information referred to in paragraph 118 above; information provided by EIT Parties and Annex II Parties in their national communications; and information provided by multilateral and bilateral agencies and other international organizations.

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<sup>54</sup> This position was supported by Albania, Bosnia and Herzegovina, Croatia, The former Yugoslav Republic of Macedonia, Serbia, Montenegro and Ukraine.

<sup>55</sup> Adopted as document FCCC/SBI/2007/L.28.

<sup>56</sup> FCCC/SBI/2007/18.

<sup>57</sup> FCCC/SBI/2007/MISC.9 and FCCC/CP/2007/3 and Corr.1.

<sup>58</sup> Decision 3/CP.7.

<sup>59</sup> Decision 30/CMP.1.

123. The SBI invited Parties and relevant organizations referred to in paragraph 122 above to submit to the secretariat, by February 2012, information on how they have implemented capacity-building activities in EIT countries for consideration by the SBI at its thirty-sixth session.

124. The SBI requested the secretariat to prepare a compilation and synthesis report on the information provided by Parties and relevant organizations mentioned in paragraph 123 above, and make the report available to the SBI for consideration at its thirty-sixth session.

125. The SBI agreed that the synthesis report referred to in paragraph 124 above should be presented jointly with the report on capacity-building under the Convention referred to in paragraph 88 above.<sup>60</sup>

## **XII. Matters relating to Article 3, paragraph 14, of the Kyoto Protocol** (Agenda item 12)

126. The SBI considered this item at its 4<sup>th</sup> and 5<sup>th</sup> meetings, on 5 and 11 December, respectively. Statements were made by representatives of four Parties, including one speaking on behalf of the European Community and its member States.<sup>61</sup>

127. At the 4<sup>th</sup> meeting, the Chair announced that he would consult with interested Parties and report on the outcome of these consultations to the SBI at its closing plenary. At the 5<sup>th</sup> meeting, the Chair reported that Parties could not reach agreement on how to proceed with the consideration of this issue and in accordance with rule 16 of the draft rules of procedure being applied, the SBI agreed to forward the item to the twenty-eighth session of the SBI.

## **XIII. Report of the administrator of the international transaction log under the Kyoto Protocol** (Agenda item 13)

### 1. Proceedings

128. The SBI considered this item at its 4<sup>th</sup> and 5<sup>th</sup> meetings, on 5 and 11 December, respectively. It had before it document FCCC/KP/CMP/2007/5. Statements were made by representatives of four Parties, including one speaking on behalf of the European Community and its member States.<sup>62</sup>

129. At its 4<sup>th</sup> meeting, the SBI agreed to consider this item in informal consultations convened by Ms. Zheng Shuang (China). At the 5<sup>th</sup> meeting, Ms. Zheng Shuang reported on these consultations.

130. At its 5<sup>th</sup> meeting, the SBI considered and adopted conclusions<sup>63</sup> proposed by the Chair.

### 2. Conclusions

131. The SBI welcomed the annual report of the administrator of the international transaction log (ITL) for 2007.<sup>64</sup> The SBI welcomed the launch of the ITL with the first registries under the Kyoto Protocol, including the registry of the clean development mechanism (CDM). It noted the work of the secretariat with Parties to the Convention that are also Parties to the Kyoto Protocol with commitments

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<sup>60</sup> Conclusions adopted under agenda item 8 (b).

<sup>61</sup> This position was supported by Albania, Bosnia and Herzegovina, Croatia, The former Yugoslav Republic of Macedonia, Serbia, Montenegro and Ukraine.

<sup>62</sup> This position was supported by Albania, Bosnia and Herzegovina, Croatia, The former Yugoslav Republic of Macedonia, Serbia, Montenegro and Ukraine.

<sup>63</sup> Adopted as document FCCC/SBI/2007/L.21.

<sup>64</sup> FCCC/KP/CMP/2007/5.

inscribed in Annex B to the Kyoto Protocol (Annex B Parties) to connect their national registries to the ITL.

132. The SBI commended the Governments of Japan, New Zealand and Switzerland for successfully commencing the operation of their national registries with the ITL, and welcomed the first deliveries of certified emission reductions (CERs), issued for CDM project activities, to project participants with accounts in a national registry.

133. The SBI urged other Annex B Parties to initiate the operation of their national registries with the ITL as soon as possible during 2008 in order to allow the delivery of remaining CERs and to facilitate the full use of emissions trading once Annex B Parties become eligible to participate in the mechanisms under the Kyoto Protocol.

134. The SBI noted that the secretariat has implemented the common operational procedures relating to independent assessment reporting and data reconciliation and will start implementation of those relating to change and release management in early 2008.

135. The SBI requested the secretariat to include information in its annual reports on the performance of the services provided by the ITL and planned activities and staffing levels for the forthcoming year in order to facilitate the transparency of ITL administrator activities during the operational phase of the ITL.

136. The SBI requested the secretariat to continue work, in collaboration with administrators of registry systems, to facilitate the electronic submission by national registries of the information on Kyoto Protocol units to be reported by Annex B Parties in accordance with decisions 11/CP.4, 14/CMP.1 and 15/CMP.1 by 15 April each year.

137. The SBI requested the secretariat to facilitate the interactive exercise referred to in decision 12/CMP.1 during the twenty-eighth sessions of the subsidiary bodies.

138. The SBI requested the secretariat to provide a breakdown of the expenditures on the development and operation of the ITL and concrete proposals to optimize the cost structure.

139. The SBI reiterated the importance of ensuring that sufficient resources are made available to the secretariat for it to implement and operate the ITL and undertake related activities. It urged Annex B Parties that have not yet contributed their ITL fees for 2007 and 2008 to do so as soon as possible in order to ensure the continuing operation of the ITL.

#### **XIV. Amendment of the Kyoto Protocol in respect of procedures and mechanisms relating to compliance**

(Agenda item 14)

140. The SBI considered this item at its 4<sup>th</sup> and 5<sup>th</sup> meetings, on 5 and 11 December, respectively. Statements were made by representatives of three Parties, including one speaking on behalf of the European Community and its member States.

141. At the 4<sup>th</sup> meeting, the Chair announced that he would consult with interested Parties and report on the outcome of these consultations to the SBI at its closing plenary. At the 5<sup>th</sup> meeting, the Chair reported that there was agreement to continue to discuss further this issue at the twenty-eighth session of the SBI. This is in accordance with rule 16 of the draft rules of procedure being applied.

## **XV. Administrative, financial and institutional matters**

(Agenda item 15)

### **A. Budget performance for the biennium 2006–2007**

(Agenda item 15 (a))

#### 1. Proceedings

142. The SBI considered this sub-item at its 3<sup>rd</sup> and 5<sup>th</sup> meetings, on 4 and 11 December, respectively. It had before it documents FCCC/SBI/2007/19 and FCCC/SBI/2007/INF.11.

143. At its 5<sup>th</sup> meeting, the SBI considered and adopted conclusions<sup>65</sup> proposed by the Chair.

#### 2. Conclusions

144. The SBI took note of the information relating to income and budget performance as at 30 June 2007<sup>66</sup> and the status of contributions as at 15 November 2007.<sup>67</sup>

145. The SBI decided to recommend draft decisions<sup>68,69</sup> on administrative, financial and institutional matters for adoption by the COP at its thirteenth session (for the text of the decision, see FCCC/SBI/2007/L.23/Add.1) and by the CMP at its third session (for the text of the decision, see FCCC/SBI/2007/L.23/Add.2).

### **B. Continuing review of the functions and operations of the secretariat**

(Agenda item 15 (b))

#### 1. Proceedings

146. The SBI considered this sub-item at its 3<sup>rd</sup> and 5<sup>th</sup> meetings, on 4 and 11 December, respectively.

147. At its 5<sup>th</sup> meeting, the SBI considered and adopted conclusions<sup>70</sup> proposed by the Chair.

#### 2. Conclusions

148. The SBI took note of the continuing review of the functions and operations of the secretariat as contained in several documents, particularly in document FCCC/SBI/2007/19. The SBI agreed to consider this matter again at its twenty-ninth session, in accordance with its decision<sup>71</sup> taken at its twenty-first session to continue to consider this matter annually.

149. The SBI decided to recommend a draft decision<sup>72</sup> on administrative, financial and institutional matters for adoption by the COP at its thirteenth session (for the text of the decision, see FCCC/SBI/2007/L.23/Add.1).

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<sup>65</sup> Adopted as document FCCC/SBI/2007/L.23, paragraphs 1 and 3.

<sup>66</sup> FCCC/SBI/2007/19.

<sup>67</sup> FCCC/SBI/2007/INF.11.

<sup>68</sup> For the text as adopted, see document FCCC/CP/2007/6/Add.1, decision 12/CP.13.

<sup>69</sup> For the text as adopted, see document FCCC/KP/CMP/2007/9/Add.1, decision 10/CMP.3.

<sup>70</sup> Adopted as document FCCC/SBI/2007/L.23, paragraphs 2 and 3.

<sup>71</sup> FCCC/SBI/2004/19, paragraph 105.

<sup>72</sup> For the text as adopted, see document FCCC/CP/2007/6/Add.1, decision 12/CP.13.

### **C. Privileges and immunities for individuals serving on constituted bodies established under the Kyoto Protocol**

(Agenda item 15 (c))

#### **1. Proceedings**

150. The SBI considered this sub-item at its 3<sup>rd</sup> and 5<sup>th</sup> meetings, on 4 and 11 December, respectively. It had before it documents FCCC/SBI/2007/MISC.4 and Add.1–3, FCCC/KP/CMP/2007/2, FCCC/KP/CMP/2007/3 (Parts I and II), FCCC/KP/CMP/2007/4 (Parts I and II), FCCC/KP/CMP/2007/6, FCCC/TP/2007/2, FCCC/SBI/2006/20 and FCCC/SBI/2006/21. A statement was made by the representative of one Party speaking on behalf of the European Community and its member States.

151. At its 3<sup>rd</sup> meeting, the SBI agreed to consider this sub-item in a contact group chaired by Mr. Paul Watkinson (France). At the 5<sup>th</sup> meeting, Mr. Watkinson reported on the contact group's consultations.

152. At its 5<sup>th</sup> meeting, the SBI considered and adopted conclusions<sup>73</sup> proposed by the Chair.

#### **2. Conclusions**

153. The SBI took note of the report of the Executive Secretary on actions taken in accordance with decision 9/CMP.2 and agreed to recommend draft conclusions<sup>74</sup> on this subject for adoption by the CMP at its third session (for the text of the conclusions, see FCCC/SBI/2007/L.20).

## **XVI. Development and transfer of technologies**

(Agenda item 16)

154. The SBI considered this sub-item at its 3<sup>rd</sup> and 5<sup>th</sup> meetings, on 4 and 11 December, respectively. Statements were made by representatives of 19 Parties, including one speaking on behalf of the Group of 77 and China, and one on behalf of the European Community and its member States.

155. At its 3<sup>rd</sup> meeting, the SBI agreed to consider this item in a contact group co-chaired by Mr. Gwage and Mr. Uosukainen. At the 5<sup>th</sup> meeting, Mr. Uosukainen reported on the contact group's consultations.

156. As Parties could not reach agreement on how to proceed with the consideration of this issue and in accordance with rule 16 of the draft rules of procedure being applied, the SBI agreed to forward the item to the twenty-eighth session of the SBI.<sup>75</sup>

## **XVII. Other matters**

(Agenda item 17)

157. The SBI considered this item at its 4<sup>th</sup> meeting, on 5 December. At the 4<sup>th</sup> meeting a representative of Argentina made a statement on the Falkland Islands (Malvinas), the full text of which is contained in document FCCC/SBI/2007/MISC.14–FCCC/SBSTA/2007/MISC.30. Statements were made by representatives of three Parties.

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<sup>73</sup> Adopted as document FCCC/SBI/2007/L.20.

<sup>74</sup> For the text as adopted, see the conclusions under chapter XVII of document FCCC/KP/CMP/2007/9.

<sup>75</sup> Subsequently the President of the COP undertook consultations which resulted in the adoption of decision 4/CP.13 as contained in document FCCC/CP/2007/6/Add.1.



## **XVIII. Report on the session**

(Agenda item 18)

158. At its 5<sup>th</sup> meeting, on 11 December, the SBI considered and adopted the draft report on its twenty-seventh session (FCCC/SBI/2007/L.19). At the same meeting, on a proposal by the Chair, the SBI authorized the Rapporteur to complete the report on the session, with the assistance of the secretariat and under the guidance of the Chair.

## **XIX. Closure of the session**

159. At the 5<sup>th</sup> meeting, on 11 December, the representative of the Executive Secretary shared with the SBI a preliminary evaluation of the resource implications of conclusions adopted. This follows decision 16/CP.9, paragraph 20, which requests the Executive Secretary to provide an indication of the administrative and budgetary implications of decisions if these cannot be met from existing resources within the core budget.

160. Under Agenda item 9 (b), “Reporting and review of information by Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol: review of initial reports and supplementary information incorporated in fourth national communications submitted in accordance with Article 7, paragraph 2, of the Kyoto Protocol”, the SBI requested the secretariat to update and complement the training programme, in particular in relation to the review of activities under Article 3, paragraphs 3 and 4, the review of national registries and the review of information on assigned amounts, in particular the standard electronic format tables, which is estimated to cost in the region of USD 325,000. On behalf of the Executive Secretary, he encouraged interested Parties to consider making voluntary contributions to these activities.

161. The representative of the Executive Secretary indicated that the secretariat will further analyse the conclusions to determine the exact amount of additional resources required. He reminded delegates that the SBI at its twenty-sixth session had recommended a draft decision<sup>76</sup> to the COP at its thirteenth session and to the CMP at its third session on the secretariat’s programme budget and work programme for 2008–2009, amounting to USD 54,031,584, and had taken note of the resource requirements under the Trust Fund for Supplementary Activities (USD 19,930,187) and the Trust Fund for Participation in the UNFCCC Process (USD 5,650,000). The additional activities requested by Parties during this session would, for the most part, be covered through these resources.

162. At the same meeting, the Chair thanked delegates, the chairs of contact groups and convenors of informal consultations for their contributions. He also thanked the secretariat for its support.

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<sup>76</sup> For the text as adopted, see document FCCC/CP/2007/6/Add.1, decision 13/CP.13, and document FCCC/KP/CMP/2007/9/Add.1, decision 11/CMP.3.

Annex**Documents before the Subsidiary Body for Implementation  
at its twenty-seventh session****Documents prepared for the session**

FCCC/SBI/2007/16	Provisional agenda and annotations. Note by the Executive Secretary
FCCC/SBI/2007/17	Report on the workshop for small island developing States on Article 6 of the Convention. Note by the secretariat
FCCC/SBI/2007/18	Synthesis report on the implementation of the framework for capacity-building in countries with economies in transition. Note by the secretariat
FCCC/SBI/2007/19	Budget performance for the biennium 2006–2007 as at 30 June 2007. Note by the secretariat
FCCC/SBI/2007/20	Report of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention on the outcomes of its examination of national communications from Parties not included in Annex I to the Convention. Note by the Chair of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention
FCCC/SBI/2007/21	An assessment of the funding necessary to assist developing countries in meeting their commitments relating to the Global Environment Facility replenishment cycle. Note by the secretariat
FCCC/SBI/2007/22	Review of the implementation of the New Delhi work programme on Article 6 of the Convention. Note by the secretariat
FCCC/SBI/2007/23	Synthesis of available information related to the impacts of response measures under decision 1/CP.10, paragraph 20. Note by the secretariat
FCCC/SBI/2007/24	Synthesis of available information related to the adverse effects of climate change under decision 1/CP.10, paragraph 14. Note by the secretariat
FCCC/SBI/2007/25	Synthesis report on the implementation of the framework for capacity-building in developing countries. Note by the secretariat
FCCC/SBI/2007/26	Issues relating to the information network clearing house (CC:iNet): evaluation, synthesis of views from Parties and next steps. Note by the secretariat
FCCC/SBI/2007/27	Integration of information contained in national adaptation programmes of action into second and subsequent national communications. Note by the Chair of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention
FCCC/SBI/2007/28	Progress report on the work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention. Note by the secretariat

FCCC/SBI/2007/29	Possible elements of a work programme to succeed the New Delhi work programme on Article 6 of the Convention. Note by the secretariat
FCCC/SBI/2007/30	National greenhouse gas inventory data for the period 1990–2005. Note by the secretariat
FCCC/SBI/2007/31	Report on the twelfth meeting of the Least Developed Countries Expert Group. Note by the secretariat
FCCC/SBI/2007/32	Report on the Least Developed Countries Expert Group stocktaking meeting on the progress made by Parties in the preparation and implementation of national adaptation programmes of action. Note by the secretariat
FCCC/SBI/2007/33	Report on the expert workshop on monitoring and evaluating capacity-building in developing countries. Note by the secretariat
FCCC/SBI/2007/INF.6	Compilation and synthesis of fourth national communications. Executive summary. Note by the secretariat
FCCC/SBI/2007/INF.6/Add.1	Compilation and synthesis of fourth national communications. Note by the secretariat. Addendum. Policies, measures, past and projected future greenhouse gas emission trends of Parties included in Annex I to the Convention
FCCC/SBI/2007/INF.6/Add.2	Compilation and synthesis of fourth national communications. Note by the secretariat. Addendum. Financial resources, technology transfer, vulnerability, adaptation and other issues relating to the implementation of the Convention by Parties included in Annex I to the Convention
FCCC/SBI/2007/INF.7	Compilation and synthesis of supplementary information incorporated in fourth national communications submitted in accordance with Article 7, paragraph 2, of the Kyoto Protocol. Note by the secretariat
FCCC/SBI/2007/INF.8	Status of submissions and review of fourth national communications. Note by the secretariat
FCCC/SBI/2007/INF.9	Information on financial support provided by the Global Environment Facility for the preparation of initial and subsequent national communications from Parties not included in Annex I to the Convention. Note by the secretariat
FCCC/SBI/2007/INF.10	Status of submissions and review of initial reports submitted in accordance with decision 13/CMP.1. Note by the secretariat
FCCC/SBI/2007/INF.11	Status of contributions as at 15 November 2007. Note by the secretariat
FCCC/SBI/2007/MISC.7 and Add.1 and 2	Views on the mandate and terms of reference of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention. Submissions from Parties
FCCC/SBI/2007/MISC.8 and Add.1	Information on activities to implement the framework for capacity-building under decision 2/CP.7. Submissions from Parties and relevant organizations

- FCCC/SBI/2007/MISC.9 Information relating to the comprehensive review of the implementation of the framework for capacity-building in countries with economies in transition. Submissions from Parties and relevant organizations
- FCCC/SBI/2007/MISC.10 Views on possible elements of a work programme to succeed the New Delhi work programme on Article 6 of the Convention. Submissions from Parties
- FCCC/SBI/2007/MISC.11 and Add.1 Views and recommendations on the funding available in the climate change focal area. Submissions from Parties
- FCCC/SBI/2007/MISC.12 Views on issues relating to the work of the information network clearing house (CC:iNet). Submissions from Parties
- FCCC/SBI/2007/MISC.13 and Add.1 Views from Parties on their current experiences with the Global Environment Facility and its implementing agencies in relation to the provision of financial support for the preparation of national communications. Submissions from Parties
- FCCC/SBI/2007/MISC.14–  
FCCC/SBSTA/2007/MISC.30 Other matters. Submission from Argentina
- FCCC/SBI/2007/L.19 Draft report of the Subsidiary Body for Implementation on its twenty-seventh session
- FCCC/SBI/2007/L.20 Privileges and immunities for individuals serving on constituted bodies established under the Kyoto Protocol. Draft conclusions proposed by the Chair. Recommendation of the Subsidiary Body for Implementation
- FCCC/SBI/2007/L.21 Report of the administrator of the international transaction log under the Kyoto Protocol. Draft conclusions proposed by the Chair
- FCCC/SBI/2007/L.22 Review of initial reports and supplementary information incorporated in fourth national communications submitted in accordance with Article 7, paragraph 2, of the Kyoto Protocol. Draft conclusions proposed by the Chair
- FCCC/SBI/2007/L.23 Administrative, financial and institutional matters. Draft conclusions proposed by the Chair
- FCCC/SBI/2007/L.23/Add.1 Administrative, financial and institutional matters. Draft conclusions proposed by the Chair. Addendum. Recommendation of the Subsidiary Body for Implementation
- FCCC/SBI/2007/L.23/Add.2 Administrative, financial and institutional matters. Draft conclusions proposed by the Chair. Addendum. Recommendation of the Subsidiary Body for Implementation
- FCCC/SBI/2007/L.24 Matters relating to the least developed countries. Draft conclusions proposed by the Chair
- FCCC/SBI/2007/L.24/Add.1 Matters relating to the least developed countries. Draft conclusions proposed by the Chair. Addendum. Recommendation of the Subsidiary Body for Implementation

- FCCC/SBI/2007/L.25      Compilation and synthesis of supplementary information incorporated in fourth national communications submitted in accordance with Article 7, paragraph 2, of the Kyoto Protocol. Draft conclusions proposed by the Chair
- FCCC/SBI/2007/L.25/Add.1      Compilation and synthesis of supplementary information incorporated in fourth national communications submitted in accordance with Article 7, paragraph 2, of the Kyoto Protocol. Draft conclusions proposed by the Chair. Addendum. Recommendation of the Subsidiary Body for Implementation
- FCCC/SBI/2007/L.26      Compilation and synthesis of fourth national communications. Draft conclusions proposed by the Chair
- FCCC/SBI/2007/L.26/Add.1      Compilation and synthesis of fourth national communications. Draft conclusions proposed by the Chair. Addendum. Recommendation of the Subsidiary Body for Implementation
- FCCC/SBI/2007/L.27      Capacity-building for countries with economies in transition. Draft conclusions proposed by the Chair
- FCCC/SBI/2007/L.28      Capacity-building for countries with economies in transition. Draft conclusions proposed by the Chair
- FCCC/SBI/2007/L.29      Article 6 of the Convention. Draft conclusions proposed by the Chair
- FCCC/SBI/2007/L.29/Add.1      Article 6 of the Convention. Draft conclusions proposed by the Chair. Addendum. Recommendation of the Subsidiary Body for Implementation
- FCCC/SBI/2007/L.30      Adaptation Fund. Draft conclusions proposed by the Chair. Recommendation of the Subsidiary Body for Implementation
- FCCC/SBI/2007/L.31      Progress on the implementation of decision 1/CP.10. Draft conclusions proposed by the Chair
- FCCC/SBI/2007/L.32      Provision of financial and technical support. Draft conclusions proposed by the Chair
- FCCC/SBI/2007/L.33      Work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention. Draft conclusions proposed by the Chair
- FCCC/SBI/2007/L.34      Fourth review of the financial mechanism. Draft conclusions proposed by the Chair
- FCCC/SBI/2007/L.34/Add.1      Fourth review of the financial mechanism. Draft conclusions proposed by the Chair. Addendum. Recommendation of the Subsidiary Body for Implementation
- FCCC/SBI/2007/L.35      Additional guidance to the Global Environment Facility. Draft conclusions proposed by the Chair. Recommendation of the Subsidiary Body for Implementation

- FCCC/SBI/2007/CRP.1 Additional guidance to the Global Environment Facility related to national communications from non-Annex I Parties. Draft decision proposed by the Group of 77 and China
- FCCC/SBI/2007/CRP.2 Terms of reference of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention. Text submitted to the co-chairs on 8 December 2007 by the United States of America
- FCCC/SBI/2007/CRP.3 Draft decision proposed by the Group of 77 and China
- Other documents before the session**
- FCCC/CP/2007/3 and Corr.1 Report of the Global Environment Facility to the Conference of the Parties. Note by the secretariat
- FCCC/KP/CMP/2007/2 Privileges and immunities for individuals serving on constituted bodies under the Kyoto Protocol: implementation of decision 9/CMP.2. Note by the secretariat
- FCCC/KP/CMP/2007/3 (Parts I and II) Annual report of the Executive Board of the clean development mechanism to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol. Note by the secretariat
- FCCC/KP/CMP/2007/4 (Parts I and II) Annual report of the Joint Implementation Supervisory Committee to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol. Note by the secretariat
- FCCC/KP/CMP/2007/5 Annual report of the administrator of the international transaction log under the Kyoto Protocol. Note by the secretariat
- FCCC/KP/CMP/2007/6 Annual report of the Compliance Committee to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol
- FCCC/SBI/2007/2 Report on the African regional workshop on adaptation. Note by the secretariat
- FCCC/SBI/2007/11 Report on the expert meeting on adaptation for small island developing States. Note by the secretariat
- FCCC/SBI/2007/13 Report on the Asian regional workshop on adaptation. Note by the secretariat
- FCCC/SBI/2007/14 Synthesis of outcomes of the regional workshops and expert meeting on adaptation under decision 1/CP.10. Note by the secretariat
- FCCC/SBI/2007/15 and Corr.1 Report of the Subsidiary Body for Implementation on its twenty-sixth session, held at Bonn from 7 to 18 May 2007
- FCCC/SBI/2007/MISC.4 and Add.1–3 Views on privileges and immunities for individuals serving on constituted bodies established under the Kyoto Protocol. Submissions from Parties
- FCCC/SBI/2006/13 Report on the expert meeting on response measures. Note by the secretariat

FCCC/SBI/2006/18	Report on the expert meeting on economic diversification. Note by the secretariat
FCCC/SBI/2006/19	Report on the Latin American regional workshop on adaptation. Note by the secretariat
FCCC/SBI/2006/20	Consultations by the secretariat with the Secretary-General of the United Nations on privileges and immunities for individuals serving on constituted bodies established under the Kyoto Protocol. Note by the secretariat
FCCC/SBI/2006/21	Privileges and immunities for individuals serving on constituted bodies established under the Kyoto Protocol. Note by the secretariat
FCCC/SBI/2004/19 and Corr.1	Report of the Subsidiary Body for Implementation on its twenty-first session, held at Buenos Aires from 6 to 14 December 2004
FCCC/SB/2007/INF.2	Relationship of various provisions of the Mauritius Strategy to the work of the Convention and its Kyoto Protocol. Note by the secretariat
FCCC/TP/2007/2	Privileges and immunities for individuals serving on bodies established by these organizations: Review of the legal regime and practice of organizations in the United Nations system. Technical paper
FCCC/TP/2007/4 and Corr.1	Review of the experience of international funds, multilateral financial institutions and other sources of funding relevant to the current and future investment and financial needs of developing countries. Technical paper

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