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**SUBSIDIARY BODY FOR SCIENTIFIC AND TECHNOLOGICAL ADVICE**

**Twenty-fifth session**

**Nairobi, 6–14 November 2006**

**Item 6 of the provisional agenda**

**Research and systematic observation**

## **Proposal for possible revision of the UNFCCC reporting guidelines on global climate change observing systems**

### **Submission from the Global Climate Observing System secretariat**

1. The Subsidiary Body for Scientific and Technological Advice (SBSTA), at its twenty-third session, agreed to revise the “UNFCCC reporting guidelines on global climate change observing systems” in order to reflect the priorities of the Global Climate Observing System (GCOS) implementation plan and incorporate the reporting on essential climate variables (FCCC/CP/1999/7, chapter III). Parties also noted the need to revise the more comprehensive supplementary reporting format (FCCC/SBSTA/2005/10, para. 97). The SBSTA agreed to consider this issue at its twenty-fifth session. It invited the GCOS secretariat to submit to the SBSTA, by September 2006, a proposal on ways and means to address these needs. This document contains the above-mentioned proposals from the GCOS secretariat.
2. In accordance with the procedure for miscellaneous documents, this submission is reproduced\* in the language in which it was received and without formal editing.

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## SUBMISSION FROM THE GLOBAL CLIMATE OBSERVING SYSTEM SECRETARIAT

### **Proposed Guidelines on Systematic Observations of the Global Climate System**

The Conference of the Parties, in decision 5/CP.5, adopted the “UNFCCC Reporting Guidelines on Global Climate Change Observing Systems (FCCC/CP/1999/7). These guidelines were developed to assist the Parties in preparing their national communications with regard to global climate observing systems, development of observational networks and, as appropriate, support for non-Annex I Parties to the Convention, as defined in Articles 4.1(g) and (h), 5 and 12.1(b) of the Convention. The guidelines were designed, *inter alia*, to provide information for the preparation of the Second Report<sup>1</sup> on the Adequacy of Global Observing Systems for Climate in Support of the UNFCCC (Second Adequacy Report). At the request of some Parties, a set of “Supplementary Guidance” was published that provided additional guidance on information that could be included in the national reports.

Following consideration of the Second Adequacy Report and the subsequent development of an Implementation Plan<sup>2</sup> for Global Observing Systems for Climate in Support of the UNFCCC (GCOS Implementation Plan), the SBSTA at its 23<sup>rd</sup> meeting concluded that the guidelines were in need of revision if national reports were to play any useful part in the preparation of the Third Report on the Adequacy of Global Observing Systems for Climate in Support of the UNFCCC that is due for completion in 2009.

Therefore, the SBSTA, in December 2005, invited the GCOS secretariat to provide a report on the ways and means to revise the "UNFCCC reporting guidelines on global climate change observing systems" in order to reflect the priorities of the GCOS Implementation Plan and incorporate the reporting on Essential Climate Variables, as defined in the Second Adequacy Report. The Parties also noted the need to revise the more comprehensive supplementary reporting format.

The GCOS Secretariat, having consulted with its observing system partners and a number of national GCOS representatives has prepared a set of revised guidelines<sup>3</sup> that, if adopted, would replace both the existing guidelines and the supplementary guidance. The new guidelines are based on the Essential Climate Variables and are designed to collect information on the action of the Parties in response to the adoption of the GCOS Implementation Plan. National reports based upon these revised guidelines should provide a solid basis for the comprehensive report on progress with the GCOS Implementation Plan due in 2009.

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<sup>1</sup> The Second Report on the Adequacy of the Global Observing Systems for Climate in Support of the UNFCCC, GCOS-82, April 2003 (WMO/TD No. 1143).

<sup>2</sup> The Implementation Plan for Global Observing Systems for Climate in Support of the UNFCCC, GCOS – 92, October 2004 (WMO/TD No. 1219).

<sup>3</sup> Proposed Revised UNFCCC Reporting Guidelines on Global Climate Observing Systems.

# **PROPOSED UNFCCC REPORTING GUIDELINES ON GLOBAL CLIMATE OBSERVING SYSTEMS**

## **I. INTRODUCTION**

### **A. Objective**

1. The purpose of these guidelines for reporting on systematic observation of the global climate system for Annex I and, as appropriate, non-Annex I Parties to the Convention, is to assist Parties in reporting their actions with regard to global climate observing systems; development of observational networks; and, as appropriate, providing support for non-Annex I Parties to the Convention, as defined in Articles 4.1(g) and (h), 5 and 12.1(b) of the Convention.

### **B. Structure**

2. The information identified in these guidelines shall be communicated by the Party in a single document and submitted to the Conference of the Parties through the secretariat, and shall be in one of the official languages of the United Nations. Parties may include a reference to a national focal point and/or web site where additional copies may be obtained. The submitting Party may decide the length of the report but every effort shall be made to avoid over-lengthy reports. Parties should also provide an electronic version of their reports to the secretariat.

## **II. REPORTING**

### **A. General approach to reporting on systematic observation**

3. Parties shall describe the status of their programmes for contributing observations of the Essential Climate Variables (ECVs) to the International Community<sup>4</sup>. (For a complete list of ECVs see Appendix 1). The Implementation Plan<sup>5</sup> for the Global Climate Observing System ('GCOS Implementation Plan'), which was developed specifically for the Convention, identifies those global observations of the climate system required by the Parties to the Convention. When preparing their reports, Parties should take note of the performance indicators that were included with each action contained in the GCOS Implementation Plan. Parties may, if they so wish, provide additional information to that sought in these guidelines, including maps of networks and participation in other relevant programmes that will contribute observations of the ECVs, such as relevant work on climate observations being undertaken in climate research programmes. A list of the technical acronyms used in these guidelines is given in Appendix 2.

4. Parties may wish to prepare the report with five (5) chapters. Chapter 1 would deal with a number of common elements, as outlined in paragraphs 5-10. Paragraphs 5,6 and 7 deal with planning, implementation, quality control, international data exchange, and data analysis. Paragraph 8 requests Annex 1 Parties to report on their capacity-building activities. Paragraph 9 requests those Parties with palaeoclimate programmes to report on their activities in setting current climatic changes within a historical context. Paragraph 10 requests information on any difficulties encountered in using these guidelines and preparing the required report. The next three (3) chapters of the report deal with the technical aspects of the GCOS Implementation Plan and request the Parties to provide detailed

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<sup>4</sup> While this report focuses, as it must, on the global requirements, the same observations are also required to support national and regional activities.

<sup>5</sup> Implementation Plan for the Global Observing System for Climate in Support of the UNFCCC (GCOS – 92, WMO/TD No. 1219), prepared by the GCOS Secretariat at the request of the UNFCCC (Decision 11/CP.9) and considered by them in Decision 5/CP.10.

information on the ECV networks and/or systems they are operating and their response to the actions identified in the GCOS Implementation Plan. Chapter 2 focuses on atmospheric ECVs as outlined in paragraphs 11-14. Chapter 3 focuses on oceanic ECVs as outlined in paragraphs 15-19. Chapter 4 focuses on terrestrial ECVs as outlined in Paragraphs 20-24. The final chapter is optional and could contain information on national climate programmes that are additional to that sought in these guidelines, such as relevant work on climate observations being undertaken in climate research programmes and/or programmes that provide climate information at a higher resolution or frequency.

## **B. Chapter 1: Common Issues**

5. In describing their national programmes, Parties should, where relevant, report on actions they have undertaken to introduce and/or enhance national coordination, as well as planning activities for the production and adoption of their own national implementation plans for observing, archiving and analysing their national contribution of ECVs.
6. Parties should describe the efforts being undertaken to ensure that high-quality climate data records are collected, retained and made accessible for use by the current and future generations of scientists and decision-makers of all Parties by reporting on:
  - Any national policy or guidance that has been promulgated relevant to the international exchange of ECV data;
  - Any policy-level barriers to the international exchange of climate data and their provision to International Data Centres;
  - Efforts undertaken to ensure that ECV-observing activities adhere to the GCOS Climate Monitoring Principles (GCMPs) (adopted by the Convention in decision 11/CP.9; see Appendix 3), including efforts undertaken to ensure that inhomogeneities resulting from changes in technology and observing practices are (a) kept to a minimum, and (b) capable of being effectively calculated and allowed for in the long-term climate record; and
  - Difficulties being encountered in protecting the integrity of their long-term climate data records and steps being taken or required to address those difficulties.
7. Parties should report on efforts undertaken to ensure that International Data Centres are established and/or strengthened for all ECVs. (See Appendix 4). Specifically: (relevant actions from the GIP are in quotes and parentheses)
  - Parties with responsibility for ECV International Data Centres, including those with responsibility for the World Data Centres, may wish to report on the actions undertaken to “prepare the data sets and meta-data, including historical data records, for climate analyses and reanalyses”; (C11)
  - Parties supporting data centres that undertake ECV analysis may wish to report on the actions undertaken to “establish sustainable systems for the routine and regular analysis of the ECVs, ... including measures of uncertainty”; (C12)
  - Parties supporting data centres that undertake reanalysis may wish to report on steps taken to “establish a sustained capacity for global climate reanalysis and ensure coordination and collaboration between reanalysis centres”; (C13) and
  - Parties supporting World Meteorological Organization /Commission on Basic Systems (WMO/CBS) Lead Centres for GCOS may wish to report on their experiences in diagnosing quality, availability and communications issues with climate data.
8. Parties should describe actual and/or planned activities for capacity-building in least-developed countries, small island developing states and countries with economies in transition related to the collection, exchange and/or utilization of observations of the ECVs, including implementation of the Regional Action Plans developed from the GCOS Regional Workshop Programme. Included in

this regard are activities undertaken through both multilateral and bilateral technical cooperation programmes, including participation in the GCOS Cooperation Mechanism as encouraged in decision 5/CP.10.

9. Recognizing the importance of setting current climatic changes within a historical context, Parties are requested to report on initiatives undertaken to acquire palaeoclimate data, in particular to extend the data record in time and into new regions, and to improve the synthesis of these data.

10. Where information required in these guidelines cannot be provided, Parties should report on any difficulties encountered, needs that should be met to facilitate improved reporting, and steps taken to improve availability of information.

### **C. Chapter 2: Atmospheric ECVs**

11. Parties shall, where relevant, describe their national contributions of atmospheric ECV observations to the international community, paying specific attention to requirements outlined in the GCOS Implementation Plan.

12. To facilitate integration of national reports, Parties should complete Tables 1a,b, and c. These tables are focussed on the national contributions of observations from well-established systems and networks whose current operations can be quantified. A narrative report is requested in paragraph 14 on those atmospheric elements of the GCOS Implementation Plan that are less quantifiable and were aimed at making changes and improvements to the overall climate observing system so that it meets the requirements of the Convention.

**Table 1a. National Contributions to the Surface-based Atmospheric ECVs**

<b>Contributing Networks specified in the GCOS Implementation Plan</b>	<b>ECVs<sup>6</sup></b>	<b>Number of Stations or Platforms currently operating</b>	<b>Number of Stations or Platforms operating in accordance with the GCMPs</b>	<b>Number of Stations or Platforms expected to be operating in 2010</b>	<b>Number of Stations or Platforms providing data to the International Data Centres</b>	<b>Number of Stations or Platforms with complete historical record available in International Data Centres</b>
<b>GCOS Surface Network (GSN)</b>	Air-Temperature					
	Precipitation					
<b>Full World Weather Watch/Global Observing System (WWW/GOS) surface network</b>	Air-Temperature Air-Pressure Wind Speed/ Direction Water Vapour					
	Precipitation					
<b>Baseline Surface Radiation Network (BSRN)</b>	Surface Radiation					
<b>Sunshine networks</b>	Surface Radiation					
<b>Ocean drifting buoys</b>	Air-Temperature Air-Pressure					
<b>Moored buoys</b>	Air-Temperature Air-Pressure					
<b>Voluntary Observing Ship Climate Project (VOSclim) ships</b>	Air-Temperature Air-Pressure Wind Speed/ Direction Water Vapour					
<b>Ocean Reference Mooring Network and sites on small isolated islands</b>	Air-Temperature Wind Speed/ Direction Air-Pressure					
	Precipitation					

<sup>6</sup> Parties should note that the list of ECVs given for each network is indicative of the expected observations from that network. A single response is expected for the network except in the case of precipitation where a separate response is requested due its particular importance to the Convention.

**Table 1b. National Contributions to the Upper-Air Atmospheric ECVs**

Contributing Networks specified in the GCOS Implementation Plan	ECVs	Number of Stations or Platforms currently operating	Number of Stations or Platforms operating in accordance with the GCMPs	Number of Stations or Platforms expected to be operating in 2010	Number of Stations or Platforms providing data to the International Data Centres	Number of Stations or Platforms with complete historical record available in International Data Centres
<b>GCOS Upper Air Network (GUAN)</b>	Upper-Air-Temperature Upper-Air Wind Speed/ Direction Upper-Air Water Vapour					
<b>Full WWW/GOS Upper Air Network</b>	Upper-Air-Temperature Upper-Air Wind Speed/ Direction Upper-Air Water Vapour					

**Table 1c. National Contributions to the Atmospheric Composition**

<b>ECVs Contributing Networks specified in the GCOS Implementation Plan</b>	<b>ECVs</b>	<b>Number of Stations or Platforms currently operating</b>	<b>Number of Stations or Platforms operating in accordance with the GCMPs</b>	<b>Number of Stations or Platforms expected to be operating in 2010</b>	<b>Number of Stations or Platforms providing data to the International Data Centres</b>	<b>Number of Stations or Platforms with complete historical record available in International Data Centres</b>
<b>World Meteorological Organization/Global Atmosphere Watch (WMO/GAW) Global Atmospheric CO<sub>2</sub> &amp; CH<sub>4</sub> Monitoring Network</b>	Carbon Dioxide (CO <sub>2</sub> )					
	Methane (CH <sub>4</sub> )					
	Other greenhouse gases					
<b>WMO/GAW ozone sonde network<sup>7</sup></b>	Ozone					
<b>WMO/GAW column ozone network<sup>8</sup></b>	Ozone					
<b>WMO/GAW Aerosol Network<sup>9</sup></b>	Aerosol optical depth					
	Other Aerosol Properties					

13. Satellite observations are essential to complete the information base for atmospheric observations. Therefore, Parties with space programmes involving Earth observations should comment on their plans to ensure availability of past and future data and meta-data records of the satellite measurements for the atmospheric ECVs and associated global products contained in Table 2<sup>10</sup>.

<sup>7</sup> Including SHADOZ, NDACC, remote sensing and ozone sondes.

<sup>8</sup> Including filter, Dobson and Brewer stations.

<sup>9</sup> Including AERONET, SKYNET, BSRN and GAWPFR.

<sup>10</sup> Derived from the document entitled "Systematic Observation Requirements for Satellite-based Products for Climate – Supplemental details to the satellite-based component of the GCOS Implementation Plan" (GCOS-107, WMO/TD No. 1338).



**Table 2: Global Products requiring Satellite Observations – Atmospheric ECVs**

<b>ECVs / Global Products requiring Satellite Observations</b>	<b>Fundamental Climate Data Records required for Product Generation (from past, current and future missions)</b>
<b>Surface Wind Speed and Direction</b> Surface vector winds analyses, particularly from reanalysis	Passive microwave radiances and scatterometry
<b>Upper-air Temperature</b> Homogenized upper-air temperature analyses; Extended MSU-equivalent temperature record; New record for upper-troposphere and lower-stratosphere temperature using data from radio occultation; Temperature analyses obtained from reanalyses	Passive microwave radiances; GPS radio occultation; High-spectral resolution IR radiances for use in reanalysis
<b>Water Vapour</b> Total column water vapour over the ocean and over land; Tropospheric and lower- stratospheric profiles of water vapour	Passive microwave radiances; UV/VIS radiances; IR imagery and soundings in the 6.7um band; Microwave soundings in the 183 GHz band
<b>Cloud Properties</b> Cloud radiative properties (initially key ISCCP products)	VIS/IR imagery; IR and microwave soundings
<b>Precipitation</b> Improved estimates of precipitation, both as derived from specific satellite instruments and as provided by composite products	Passive microwave radiances; High-frequency geostationary IR measurements; Active radar (for calibration)
<b>Earth Radiation Budget</b> Top-of-atmosphere Earth radiation budget on a continuous basis	Broadband radiances; Spectrally-resolved solar irradiances; Geostationary multi-spectral imagery
<b>Ozone</b> Profiles and total column of ozone	UV/VIS and IR microwave radiances
<b>Aerosol Properties</b> Aerosol optical depth and other aerosol properties	VIS/NIR/SWIR radiances
<b>Carbon Dioxide, Methane and other GHG</b> Distribution of greenhouse gases, such as CO <sub>2</sub> and CH <sub>4</sub> , of sufficient quality to estimate regional sources and sinks	NIR/IR radiances
<b>Upper-air Wind</b> Upper-air wind analyses, particularly from reanalysis	VIS/IR imagery; Doppler wind lidar
<b>Atmospheric Reanalyses</b>	Key FCDRs and products identified in this report, and other data of value to the analyses

14. Parties are also requested to provide a narrative commentary on any actions they have taken in response to the following recommended actions on atmospheric ECVs contained in the GCOS Implementation Plan (relevant action numbers from the Plan are given in parentheses):

- Applying the GCMPs to all surface climate networks; (A3)
- Incorporating atmospheric pressure sensors in drifting buoy programmes; (A5)
- Ensuring availability of 3-hourly mean sea-level pressure and wind speed and direction data from GSN stations; (A10)
- Implementing a Reference Network of high-altitude, high-quality radiosondes; (A16)
- Operating the WWW/GOS radiosonde network in full compliance with the GCMPs and coding conventions; (A17)
- Submitting meta-data records and inter-comparisons for radiosonde observations to the specified International Data Centres; (A18)
- Developing a network of ground-based Global Positioning System (GPS) receivers for measuring water vapour; (A21)
- Sustained measurements of the Atmospheric Composition ECVs, supplementary to those activities implicit in the Table 1c.

### D. Chapter 3: Oceanic ECVs

15. Parties shall, where relevant, describe their national contributions of oceanographic ECV observations to the international community, paying specific attention to the requirements outlined in the GCOS Implementation Plan.

16. A brief narrative report is requested on their actions in a) nominating national focal points for implementation of the oceanic observing system for climate, b) establishing effective partnerships between the ocean research and operational communities.

17. To facilitate integration of national reports, Parties should complete Table 3. This table is focussed on the national contributions of observations from well-established systems and networks whose current operations can be quantified. A narrative report is requested in paragraph 19 on those oceanic elements of the GCOS Implementation Plan that are less quantifiable and were aimed at making changes and improvements to the overall climate observing system so that it meets the requirements of the Convention.

**Table 3. National contributions to the Oceanic ECVs**

<b>Contributing Networks specified in the GCOS Implementation Plan</b>	<b>ECVs</b>	<b>Number of Stations or Platforms currently operating</b>	<b>Number of Stations or Platforms operating in accordance with the GCMPs</b>	<b>Number of Stations or Platforms expected to be operating in 2010</b>	<b>Number of Stations or Platforms providing data to the International Data Centres</b>	<b>Number of Stations or Platforms with complete historical record available in International Data Centres</b>
<b>Global surface drifting buoy array on 5x5 degree resolution</b>	Sea -Surface Temperature, Sea -Level Pressure, Position-change-based Current					
<b>Global tropical moored buoy network</b>	All feasible surface and subsurface ECVs					
<b>Voluntary Observing Ships (VOS)</b>	All feasible surface ECVs					
<b>Global reference mooring network</b>	All feasible surface and subsurface ECVs					
<b>GLOSS Core Sea-level Network</b>	Sea level					
<b>Argo network</b>	Temperature, Salinity, Current					

18. Satellite observations are essential to complete the information base for oceanic ECV observations. Therefore, Parties with space programmes involving Earth observations should comment on their plans to ensure availability of past and future data and meta-data records of the satellite measurements for the oceanic ECVs and associated global products contained in Table 4<sup>11</sup>.

<sup>11</sup> Derived from the document entitled “Systematic Observation Requirements for Satellite-based Products for Climate – Supplemental details to the satellite-based component of the GCOS Implementation Plan” (GCOS-107, WMO/TD No. 1338).

**Table 4: Global Products requiring Satellite Observations – Oceans**

ECVs / Global Products requiring Satellite Observations	Fundamental Climate Data Records required for Product Generation (from past, current and future missions)
<b>Sea Ice</b> Sea-ice concentration	Microwave and visible imagery
<b>Sea Level</b> Sea level and variability of its global mean	Altimetry
<b>Sea Surface Temperature</b> Sea surface temperature	Single and multi-view IR and microwave imagery
<b>Ocean Colour</b> Ocean colour and oceanic chlorophyll-a concentration derived from ocean colour	Multi-spectral VIS imagery
<b>Sea State</b> Wave height and other measures of sea state (wave direction, wavelength, time period)	Altimetry
<b>Ocean Salinity</b> Research towards the measurement of changes in sea-surface salinity	Microwave radiances
<b>Ocean Reanalyses</b> Altimeter and ocean surface satellite measurements	Key FCDRs and products identified in this report, and other data of value to the analyses

19. Parties are also requested to provide a narrative commentary on any actions they have taken in response to the following recommended actions on oceanic ECVs contained in the GCOS Implementation Plan (relevant action numbers from the Plan are given in parentheses):

- Improving meta-data acquisition and data management for the VOSclim subset of the VOS; (O6)
- Ensuring that high-frequency (hourly or less) sea-level observations are available for all coastal tide gauges, including historical records, corrected for sea level pressure and are submitted to the specified International Data Centre; (O13)
- Including sea-level objectives in the capacity-building programmes of GOOS, JCOMM, WMO, other related bodies, and the system-improvement programme of GCOS; (O14)
- Developing a robust programme to observe sea-surface salinity including VOS ships, research ships, reference moorings, and drifting buoys; (O15)
- Implementing a program for measuring surface pCO<sub>2</sub>; (O17)
- Implementing a wave measurement component as part of the Surface Reference Mooring Network; (O19)
- Improving the *in situ* sea-ice observations from buoys, visual surveys (Ship of Opportunity (SOOP) and Aircraft), and upward looking sonars; implementing observations in the Arctic and Antarctic; (O23)
- Conducting the systematic global full-depth water column sampling of 30 sections repeated every 10 years (including ocean carbon inventory change); (O25)
- Performing the 41 Ship-of-Opportunity XBT/XCTD trans-oceanic sections; (O26)
- Developing capability for systematic measurement of biogeochemical and ecological ECVs; (O30)
- Supporting data rescue projects; implementing regional, specialized and global data and analysis centres; (O36, O37)
- Developing plans and pilot projects for the production of global products based on data assimilation into models for all possible ECVs; (O40) including undertaking pilot projects of reanalysis of ocean data. (O24, O41)

**E. Chapter 4: Terrestrial ECVs**

20. Parties shall, where relevant, describe their national contributions of terrestrial ECV observations to the international community, paying specific attention to the requirements outlined in the GCOS Implementation Plan.

21. As part of their report describing their national programmes, Parties should, where relevant, report on their efforts to introduce national coordination and planning of terrestrial programme activities.

22. To facilitate integration of national reports, Parties should complete Table 5. This table is focussed on the national contributions of observations from well-established systems and networks whose current operations can be quantified. A narrative report is requested in paragraph 24 on those terrestrial elements of the GCOS Implementation Plan that are less quantifiable and were aimed at making changes and improvements to the overall climate observing system so that it meets the requirements of the Convention.

**Table 5 National Contributions to the Terrestrial Domain ECVs.**

<b>Contributing Networks specified in the GCOS Implementation Plan</b>	<b>ECVs</b>	<b>Number of Stations or Platforms currently operating</b>	<b>Number of Stations or Platforms operating in accordance with the GCMPs</b>	<b>Number of Stations or Platforms expected to be operating in 2010</b>	<b>Number of Stations or Platforms providing data to the International Data Centres</b>	<b>Number of Stations or Platforms with complete historical record available in International Data Centres</b>
<b>GCOS Baseline River Discharge Network (GTN-R)</b>	River Discharge					
<b>GCOS Baseline Lake Level/Area/Temperature Network (GTN-L)</b>	Lake Level/Area/Temperature					
<b>WWW/GOS synoptic network</b>	Snow Cover					
<b>GCOS Glacier monitoring network (GTN-G)</b>	Glaciers mass balance and length, also Ice sheet mass balance					
<b>GCOS Permafrost Monitoring Network (GTN-P)</b>	Permafrost borehole-temperatures and active-layer thickness					

23. Satellite observations are essential to complete the information base for terrestrial ECV observations. Therefore, Parties with space programmes involving Earth observations should comment on their plans to ensure availability of past and future data and meta-data records of the

satellite measurements for the terrestrial ECVs and their associated global products contained in Table 6<sup>12</sup>.

**Table 6: Global Products requiring Satellite Observations – Terrestrial**

<b>ECVs / Global Products requiring Satellite Observations</b>	<b>Fundamental Climate Data Records required for Product Generation (from past, current and future missions)</b>
<b>Lakes</b> Maps of lakes; lake levels; and surface temperatures of lakes in the Global Terrestrial Network for Lakes	VIS/NIR imagery, and radar imagery; Altimetry; High-resolution IR imagery
<b>Glaciers and Ice Caps</b> Maps of the areas covered by glaciers other than ice sheets; Ice sheet elevation changes for mass balance determination	High-resolution VIS/NIR/SWIR optical imagery; Altimetry
<b>Snow Cover</b> Snow areal extent	Moderate-resolution VIS/NIR/IR and passive microwave imagery
<b>Albedo</b> Directional hemispherical (black sky) albedo	Multi-spectral and broadband imagery
<b>Land Cover</b> Moderate-resolution maps of land cover type; High-resolution maps of land cover type, for the detection of land cover change	Moderate-resolution multi-spectral VIS/NIR imagery; High-resolution multi-spectral VIS/NIR imagery
<b>fAPAR</b> Maps of fAPAR	VIS/NIR imagery
<b>LAI</b> Maps of LAI	VIS/NIR imagery
<b>Biomass</b> Research towards global, above ground forest biomass and forest biomass change	L band / P band SAR; Laser altimetry
<b>Fire Disturbance</b> Burnt area, supplemented by active fire maps and fire radiated power	VIS/NIR/SWIR/TIR moderate-resolution multi-spectral imagery
<b>Soil Moisture</b> <sup>13</sup> Research towards global near-surface soil moisture map (up to 10cm soil depth)	Active and passive microwave

24. Parties are also requested to provide a narrative commentary on any actions they have taken in response to the following recommendations on terrestrial ECVs contained in the GCOS Implementation Plan(relevant action numbers from the Plan are given in parentheses):

- Developing a global network of some 30 sites based on a progressive evolution of existing reference sites to monitor key biomes and providing the observations required for the calibration and validation of satellite data; (T3)
- Maintaining and expanding programmes for ground water and aquifer monitoring;
- Archiving and disseminating information related to irrigation and water resources; (T9)
- Strengthening existing snow-cover and snowfall observing sites, and recovering and submitting historical data to the specified International Data Centres; (T10)
- Maintaining current glacier observing sites and adding additional sites and infrastructure in South America, Africa, the Himalayas and New Zealand; (T13)
- Adding the 150 additional permafrost sites identified by GTN-P to cover the high mountains of Asia, Europe, Southern Hemisphere and North American alpine and lowlands; and providing data to the specified International data Centres; (T16)

<sup>12</sup> Derived from the document entitled “Systematic Observation Requirements for Satellite-based Products for Climate – Supplemental details to the satellite-based component of the GCOS Implementation Plan” (GCOS-107, WMO/TD No. 1338).

<sup>13</sup> Soil moisture is not listed as an ECV, but has been recognized by the GCOS Implementation Plan as an emerging ECV.

- Reanalyzing historical data concerning terrestrial ECVs.

#### **F. Chapter 5: Additional Information**

25. Parties may, if they wish, provide additional information on their national climate programmes that contribute observations of the ECVs not reported elsewhere in this report, such as relevant work on climate observations being undertaken in climate research programmes and/or programmes that provide climate information at a higher resolution or frequency.

**Appendix 1**  
**Essential Climate Variables**

**Table A1. Essential Climate Variables that are both currently feasible for global implementation and have a high impact on UNFCCC requirements.**

<b>Domain</b>	<b>Essential Climate Variables</b>
<b>Atmospheric</b> (over land, sea and ice)	<p><b>Surface:</b> Air temperature, Precipitation, Air pressure, Surface radiation budget, Wind speed and direction, Water vapour.</p> <p><b>Upper-air:</b> Earth radiation budget (including solar irradiance), Upper-air temperature (including MSU radiances), Wind speed and direction, Water vapour, Cloud properties.</p> <p><b>Composition:</b> Carbon dioxide, Methane, Ozone, Other long-lived greenhouse gases<sup>14</sup>, Aerosol properties.</p>
<b>Oceanic</b>	<p><b>Surface:</b> Sea-surface temperature, Sea-surface salinity, Sea level, Sea state, Sea ice, Current, Ocean colour (for biological activity), Carbon dioxide partial pressure.</p> <p><b>Sub-surface:</b> Temperature, Salinity, Current, Nutrients, Carbon, Ocean tracers, Phytoplankton.</p>
<b>Terrestrial</b> <sup>15</sup>	River discharge, Water use, Ground water, Lake levels, Snow cover, Glaciers and ice caps, Permafrost and seasonally-frozen ground, Albedo, Land cover (including vegetation type), Fraction of absorbed photosynthetically active radiation (fAPAR), Leaf area index (LAI), Biomass, Fire disturbance.

<sup>14</sup> Including nitrous oxide (N<sub>2</sub>O), chlorofluorocarbons (CFCs), hydrochlorofluorocarbons (HCFCs), hydrofluorocarbons (HFCs), sulphur hexafluoride (SF<sub>6</sub>), and perfluorocarbons (PFCs).

<sup>15</sup> Includes runoff (m<sup>3</sup> s<sup>-1</sup>), ground water extraction rates (m<sup>3</sup> yr<sup>-1</sup>) and location, snow cover extent (km<sup>2</sup>) and duration, snow depth (cm), glacier/ice cap inventory and mass balance (kg m<sup>-2</sup> yr<sup>-1</sup>), glacier length (m), ice sheet mass balance (kg m<sup>-2</sup> yr<sup>-1</sup>) and extent (km<sup>2</sup>), permafrost extent (km<sup>2</sup>), temperature profiles and active layer thickness, above ground biomass (t/ha), burnt area (ha), date and location of active fire, burn efficiency (%vegetation burned/unit area).

## Appendix 2

### DEFINITION OF ACRONYMS USED IN THE GUIDELINES

AERONET Aerosol Robotic Network  
AOPC Atmospheric Observation Panel for Climate  
Argo Global Array of Profiling Floats  
ASDAR Aircraft to Satellite Data Acquisition and Relay  
AVHRR Advanced Very High Resolution Radiometer  
BSRN Baseline Surface Radiation Network  
CAS Commission for Atmospheric Sciences of the WMO  
CBS Commission on Basic Systems of the WMO  
CCI Commission for Climatology of the WMO  
CDIAC Carbon Dioxide Information Analysis Center  
CEOS Committee on Earth Observation Satellites  
CGMS Coordination Group for Meteorological Satellites  
CHy Commission for Hydrology of the WMO  
COP Conference of the Parties  
DWD Deutscher Wetterdienst (German Weather Service)  
ECMWF European Centre for Medium Range Weather Forecasts  
ECVs Essential Climate Variables  
ETHZ Eidgenössische Technische Hochschule Zurich (Swiss Federal Institute of Technology Zurich)  
FAGS Federation of Astronomical and Geophysical Data Analysis Services  
fAPAR Fraction of Absorbed Photosynthetically Active Radiation  
FCDR Fundamental Climate Data Record  
GAW Global Atmosphere Watch of the WMO  
GAWPFR Global Atmosphere Watch Precision Filter Radiometer Network  
GCMPs: GCOS Climate Monitoring Principles  
GCOS Global Climate Observing System  
GDPFS Global Data Processing and Forecasting Systems of the WMO  
GEO Group on Earth Observations  
GEOSS Global Earth Observation System of Systems  
GHG Greenhouse Gas  
GIP GCOS Implementation Plan (Implementation Plan for the Global Observing System for Climate in Support of the UNFCCC)(GCOS-92, WMO/TD 1219)  
GLOSS Global Sea Level Observing System  
GOOS Global Ocean Observing System  
GPS Global Positioning System  
GRDC Global Runoff Data Centre  
GSICS Global Space-based Intercalibration System  
GSN GCOS Surface Network  
GTN-G Global Terrestrial Network – Glaciers  
GTN-L Global Terrestrial Network – Lakes  
GTN-P Global Terrestrial Network – Permafrost  
GTN-R Global Terrestrial Network – Rivers  
GTSPG Global Temperature-Salinity Profile Program  
GTOS Global Terrestrial Observation System  
GOS Global Observing System of the WMO  
GUAN GCOS Upper Air Network



ICOADS International Comprehensive Ocean-Atmosphere Data Set project  
ICSU International Council for Science  
IGBP International Geosphere-Biosphere Programme  
IOC Intergovernmental Oceanographic Commission of UNESCO  
IOCCG International Ocean Colour Coordination Group  
IOCCP International Ocean Carbon Coordination Project  
IPCC Intergovernmental Panel on Climate Change  
IP Implementation Plan  
IR InfraRed  
ISCCP International Satellite Cloud Climatology Project  
JCOMM Joint WMO/IOC Technical Commission for Oceanography and Marine Meteorology  
JMA Japan Meteorological Agency  
JRC Joint Research Centre  
LAI Leaf Area Index  
MSC Meteorological Service of Canada  
MSU Microwave Sounding Unit  
NASA National Aeronautics and Space Administration  
NCDC National Climatic Data Center  
NDACC Network for the Detection of Atmospheric Composition Change  
NIR Near InfraRed  
NOAA National Oceanic and Atmospheric Administration  
NSIDC National Snow and Ice Data Center  
RA Regional Association of the World Meteorological Organization  
RO Radio Occultation  
SAR Synthetic Aperture Radar  
SBSTA Subsidiary Body for Scientific and Technological Advice of the UNFCCC  
SFC Drifters Surface Drifters  
SHADOZ Southern Hemisphere Additional Ozonesondes  
SKYNET Sky Radiometer Network  
SLP Sea-Level Pressure  
SOOP Ship of Opportunity Programme  
SST Sea-Surface Temperature  
SWIR Short-Wave InfraRed  
TCDR Thematic Climate Data Record  
TIR Thermal InfraRed  
UNEP United Nations Environment Programme  
UNESCO United Nations Educational, Scientific and Cultural Organization  
UNFCCC United Nations Framework Convention on Climate Change  
UV UltraViolet  
VIS Visible  
VOS Voluntary Observing Ship  
VOSCLim VOS Climate Project  
WCRP World Climate Research Programme  
WDC World Data Centre  
WDC-GG World Data Centre for Greenhouse Gases  
WGMS World Glacier Monitoring Service  
WMO World Meteorological Organization  
WOAP WCRP Observation and Assimilation Panel  
WODC World Ocean Database Centre  
WOUDC World Ozone and UltraViolet Radiation Data Centre

WRDC World Radiation Data Centre  
WWW World Weather Watch of the WMO  
XBT Expendable Bathythermograph  
XCTD Expendable Conductivity, Temperature and Depth System

Appendix 3  
**GCOS CLIMATE MONITORING PRINCIPLES (GCMPs)**

*Effective monitoring systems for climate should adhere to the following principles\*:*

1. The impact of new systems or changes to existing systems should be assessed prior to implementation.
2. A suitable period of overlap for new and old observing systems is required.
3. The details and history of local conditions, instruments, operating procedures, data processing algorithms and other factors pertinent to interpreting data (i.e., metadata) should be documented and treated with the same care as the data themselves.
4. The quality and homogeneity of data should be regularly assessed as a part of routine operations.
5. Consideration of the needs for environmental and climate-monitoring products and assessments, such as IPCC assessments, should be integrated into national, regional and global observing priorities.
6. Operation of historically-uninterrupted stations and observing systems should be maintained.
7. High priority for additional observations should be focused on data-poor regions, poorly-observed parameters, regions sensitive to change, and key measurements with inadequate temporal resolution.
8. Long-term requirements, including appropriate sampling frequencies, should be specified to network designers, operators and instrument engineers at the outset of system design and implementation.
9. The conversion of research observing systems to long-term operations in a carefully-planned manner should be promoted.
10. Data management systems that facilitate access, use and interpretation of data and products should be included as essential elements of climate monitoring systems.

*Furthermore, operators of satellite systems for monitoring climate need to:*

- (a) Take steps to make radiance calibration, calibration-monitoring and satellite-to-satellite cross-calibration of the full operational constellation a part of the operational satellite system; and*
- (b) Take steps to sample the Earth system in such a way that climate-relevant (diurnal, seasonal, and long-term interannual) changes can be resolved.*

*Thus satellite systems for climate monitoring should adhere to the following specific principles:*

11. Constant sampling within the diurnal cycle (minimizing the effects of orbital decay and orbit drift) should be maintained.
12. A suitable period of overlap for new and old satellite systems should be ensured for a period adequate to determine inter-satellite biases and maintain the homogeneity and consistency of time-series observations.
13. Continuity of satellite measurements (i.e. elimination of gaps in the long-term record) through appropriate launch and orbital strategies should be ensured.
14. Rigorous pre-launch instrument characterization and calibration, including radiance confirmation against an international radiance scale provided by a national metrology institute, should be ensured.
15. On-board calibration adequate for climate system observations should be ensured and associated instrument characteristics monitored.
16. Operational production of priority climate products should be sustained and peer-reviewed new products should be introduced as appropriate.
17. Data systems needed to facilitate user access to climate products, metadata and raw data, including key data for delayed-mode analysis, should be established and maintained.
18. Use of functioning baseline instruments that meet the calibration and stability requirements stated above should be maintained for as long as possible, even when these exist on de-commissioned satellites.

Complementary in situ baseline observations for satellite measurements should be maintained through appropriate activities and cooperation.

19. Random errors and time-dependent biases in satellite observations and derived products should be identified.

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*\* The ten basic principles (in paraphrased form) were adopted by the Conference of the Parties (COP) to the United Nations Framework Convention on Climate Change (UNFCCC) through decision 5/CP.5 at COP-5 in November 1999. The complete set of principles was adopted by the Congress of the World Meteorological Organization (WMO) through Resolution 9 (Cg-XIV) in May 2003; agreed by the Committee on Earth Observation Satellites (CEOS) at its 17<sup>th</sup> Plenary in November 2003; and adopted by COP through decision 11/CP.9 at COP-9 in December 2003.*

**Appendix 4  
International Data Centres**

International Data Centres have been established for many of the ECV networks and systems. Additional centres will be added over time. The GCOS Secretariat (email address [GCOSJPO@wmo.int](mailto:GCOSJPO@wmo.int)) maintains a current list of all International Data Centres associated with GCOS together with a list of current contacts at those centres.

**Table A4-1. International Data Centres and Archives – Atmospheric Domain.**

<b>Network or System</b>	<b>International Data Centres and Archives</b>	<b>Coordinating Bodies</b>
<b>Atmosphere Surface</b>		
<b>GCOS Surface Network (GSN)</b>	GSN Monitoring Centre (DWD, JMA) GSN Analysis Centre (NCDC, Hadley Centre) GSN Archive (WDC Asheville) CBS GCOS Lead Centres (JMA, NCDC and others) Global Precipitation Climatology Centre (DWD)	AOPC with CBS
<b>Full WWW/GOS synoptic network</b>	Integrated Surface Hourly (WDC Asheville) Global Precipitation Climatology Centre (DWD)	CBS
<b>National surface networks</b>	National responsibility; Submission to WDC Global Precipitation Climatology Centre (DWD)	CCI, CBS and RAs
<b>Baseline Surface Radiation Network (BSRN)</b>	World Radiation Monitoring Centre (ETHZ)	WCRP
<b>Sunshine networks</b>	World Radiation Data Centre (WRDC St Petersburg)	CAS
<b>Atmosphere Upper-air</b>		
<b>GCOS Upper-air Network (GUAN)</b>	GUAN Monitoring Centres (ECMWF, Hadley Centre) GUAN Analysis Centres (Hadley Centre, NCDC) GUAN Archive (WDC Asheville) CBS GCOS Lead Centre (NCDC)	AOPC with CBS
<b>Full WWW/GOS Upper-air Network</b>	GDPFS World Centres GDPFS Regional/Specialized Meteorological Centres WDC Asheville	CBS
<b>Reference network high- altitude radiosondes</b>	GUAN Centres (proposed)	AOPC with WCRP
<b>Aircraft (ASDAR etc.)</b>	GDPFS World Centres GDPFS Regional/Specialized Meteorological Centres WDC Asheville	CBS
<b>Profiler (radar) network</b>	GDPFS World Centres GDPFS Regional/Specialized Meteorological Centres WDC Asheville	CBS
<b>Ground-based GPS receiver network</b>		

<b>Atmosphere Composition</b>		
<b>GAW CO<sub>2</sub> and CH<sub>4</sub> monitoring network</b>	WDC-GG (JMA) Carbon Dioxide Information Analysis Center (Oak Ridge National Laboratory)	CAS
<b>WMO/GAW Ozone sonde Network</b>  <b>WMO/GAW Column Ozone Network</b>	WOUDC (MSC) Network for Detection of Atmospheric Composition Change (NDACC) Archive Norwegian Institute for Air Research Southern Hemisphere Additional Ozone sondes (SHADOZ – NASA) Archive	CAS
<b>WMO/GAW Aerosol Network</b>	AERONET, SKYNET, BSRN and GAWPFR data centres, World Data Centre for Aerosols (JRC Ispra)	CAS

**Table A4-2. International Data Centres and Archives – Oceanic Domain.**

<b>Network or System</b>	<b>International Data Centres and Archives</b>	<b>Coordination Bodies</b>
<b>Surface Drifting Buoys</b>	NCDC	JCOMM, ICOADS
<b>Moored Buoys</b>	NCDC, WODC	JCOMM, Ocean Sites
<b>Voluntary Observing Ships</b>	VOSCLIM Data Centre NCDC	JCOMM, ICOADS, VOSCLIM
<b>Delayed-mode monthly and annual mean Tide Gauges</b>	Permanent Service for Mean Sea Level, Proudman Laboratory	JCOMM, GLOSS
<b>Real-time Tide Gauges</b>	University of Hawaii Sea Level Centre, Honolulu, USA	JCOMM, GLOSS
<b>Argo Floats</b>	Argo Data Centres, GTSPP, WODC	Argo Science Team
<b>Repeat XBT Sections</b>	GTSPP, WODC	JCOMM, GTSPP
<b>Repeat Hydrography/Carbon Sections</b>	WODC, CDIAC	IOCCG, GCOS, WCRP
<b>Sea Ice variables</b>	NSIDC	JCOMM, GCOS, WCRP
<b>Ocean colour</b>	None at present (GLOB COLOUR Pilot Project)	IOCCP

**Table A4-3. International Data Centres and Archives – Terrestrial Domain.**

<b>Network or System</b>	<b>International Data Centre and Archives</b>	<b>Coordinating Bodies</b>
<b>Global Terrestrial Network - Glaciers</b>	World Glacier Monitoring Service (WGMS); NSIDC	ICSU, FAGS
<b>Global Terrestrial Network – Lakes</b>	None designated <sup>16</sup>	CHy
<b>Global Terrestrial Network - Permafrost</b>	NSIDC	International Permafrost Association
<b>Global Terrestrial Network – Rivers</b>	GRDC	CHy
<b>Snow Cover (WWW/GOS synoptic network)</b>	NCDC, NSIDC	CBS

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<sup>16</sup> International Data Centre responsibilities are in the process of being developed.