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**SUBSIDIARY BODY FOR IMPLEMENTATION**

**Twenty-first session**

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**Item 4 (c) of the provisional agenda**

**National communications from Parties not included in Annex I to the Convention**

**Provision of financial and technical support**

**Status of the preparation of national communications from Parties  
not included in Annex I to the Convention**

**Note by the secretariat**

*Summary*

This note contains information on the status of the preparation of national communications from Parties not included in Annex I to the Convention as of 30 September 2004.

## **I. Mandate**

1. The Conference of the Parties, by its decision 10/CP.2 (FCCC/CP/1996/15/Add.1), requested the secretariat to facilitate assistance to Parties, particularly developing country Parties, in the preparation of their initial national communications and to provide to the subsidiary bodies, at each of their sessions, a report on how it is facilitating such assistance. Further, the Subsidiary Body for Implementation, at its eighteenth session, requested the secretariat to make available to Parties information on the status of preparation of national communications from Parties not included in Annex I to the Convention (non-Annex I Parties) that had not yet submitted them, as well as details of the financial support provided to non-Annex I Parties by the Global Environment Facility (GEF) for the preparation of initial and subsequent national communications (FCCC/SBI/2003/8, para. 19 (b)).

## **II. Approach and scope of the note**

2. In response to this mandate, two tables have been prepared and annexed to this document. The first indicates the status of preparation of the second national communications from non-Annex I Parties and the status of GEF phase II enabling activity projects. The second contains information on the status of preparation of initial national communications from non-Annex I Parties that have yet to submit them. The information is based on responses from non-Annex I Parties to a questionnaire distributed by the secretariat in March 2004, other input from non-Annex I Parties and information provided by the GEF and its implementing agencies.

Annex I

**National communications submitted, status of Global Environment Facility (GEF) phase II enabling activity projects and status of second national communications from Parties not included in Annex I to the Convention**

Party <i>(Least developed countries in italics)</i>	Date of ratification of the Convention	Date of submission of initial national communication	Status of GEF phase II enabling activity project <i>(Expedited financing for (interim) measures for capacity-building in priority areas)</i>			Status of second national communication				
			Approved	Ongoing	Completed	GEF funding for stocktaking activities to prepare a project proposal			Preparation of the second national communication	
						Requested	Approved	Received	Ongoing	Completed
Albania	3 October 1994	13 September 2002	x				x			
Algeria	9 June 1993	30 April 2001	x						x <sup>a</sup>	
Antigua and Barbuda	2 February 1993	10 September 2001	x							
Argentina	11 March 1994	25 July 1997					x		x	
Armenia	14 May 1993	4 November 1998		x			x		x <sup>a</sup>	
Azerbaijan	16 May 1995	23 May 2000			x		x		x <sup>a</sup>	
Bahamas	29 March 1994	5 November 2001		x			x			
<i>Bangladesh</i>	15 April 1994	12 November 2002								
Barbados	23 March 1994	30 October 2001		x						
Belize	31 October 1994	16 September 2002								
<i>Benin</i>	30 June 1994	21 October 2002	x				x			
<i>Bhutan</i>	25 August 1995	13 November 2000	x							
Bolivia	3 October 1994	16 November 2000			x		x			
Botswana	27 January 1994	22 October 2001		x						
<i>Burkina Faso</i>	2 September 1993	16 May 2002			x		x			
<i>Burundi</i>	6 January 1997	23 November 2001			x				x <sup>a</sup>	

Party <i>(Least developed countries in italics)</i>	Date of ratification of the Convention	Date of submission of initial national communication	Status of GEF phase II enabling activity project <i>(Expedited financing for (interim) measures for capacity-building in priority areas)</i>			Status of second national communication				
			Approved	Ongoing	Completed	GEF funding for stocktaking activities to prepare a project proposal			Preparation of the second national communication	
						Requested	Approved	Received	Ongoing	Completed
<i>Cambodia</i>	18 December 1995	8 October 2002			x					
<i>Cape Verde</i>	29 March 1995	13 November 2000	x							
<i>Central African Republic</i>	10 March 1995	10 June 2003						x		
<i>Chad</i>	7 June 1994	29 October 2001	x							
Chile	22 December 1994	8 February 2000	x							
Colombia	22 March 1995	18 December 2001	x							
<i>Comoros</i>	31 October 1994	5 April 2003	x							
Congo	14 October 1996	30 October 2001	x			x			x <sup>c</sup>	
Cook Islands	20 April 1993	30 October 1999			x		x			
Costa Rica	26 August 1994	18 November 2000							x	
Côte d'Ivoire	29 November 1994	2 February 2001			x			x		
Cuba	5 January 1994	28 September 2001								
Democratic People's Republic of Korea	5 December 1994	7 May 2004								
<i>Democratic Republic of the Congo</i>	9 January 1995	21 November 2000	x							
<i>Djibouti</i>	27 August 1995	6 June 2002								
Dominica	21 June 1993	4 December 2001	x			x				
Dominican Republic	7 October 1998	4 June 2003		x			x		x <sup>d</sup>	
Ecuador	23 February 1993	15 November 2000			x	x				

Party <i>(Least developed countries in italics)</i>	Date of ratification of the Convention	Date of submission of initial national communication	Status of GEF phase II enabling activity project <i>(Expedited financing for (interim) measures for capacity-building in priority areas)</i>			Status of second national communication				
			Approved	Ongoing	Completed	GEF funding for stocktaking activities to prepare a project proposal			Preparation of the second national communication	
						Requested	Approved	Received	Ongoing	Completed
Egypt	5 December 1994	19 July 1999	x			x			x <sup>a</sup>	
El Salvador	4 December 1995	10 April 2000		x						
<i>Eritrea</i>	24 April 1995	16 September 2002								
<i>Ethiopia</i>	5 April 1994	16 October 2001	x							
<i>Gambia</i>	10 June 1994	6 October 2003	x							
Georgia	29 July 1994	10 August 1999			x		x			
Ghana	6 September 1995	2 May 2001	x				x			
Grenada	11 August 1994	21 November 2000		x						
Guatemala	15 December 1995	1 February 2002	x							
<i>Guinea</i>	7 May 1993	28 October 2002		x						
Guyana	29 August 1994	16 May 2002								
<i>Haiti</i>	25 September 1996	3 January 2002			x			x		
Honduras	19 October 1995	15 November 2000	x			x				
India	1 November 1993	22 June 2004								
Indonesia	23 August 1994	27 October 1999			x					
Iran (Islamic Republic of)	18 July 1996	31 March 2003		x			x			
Israel	4 June 1996	18 November 2000								
Jamaica	6 January 1995	21 November 2000		x						
Jordan	12 November 1993	6 March 1997		x						
Kazakhstan	17 May 1995	5 November 1998					x			

Party <i>(Least developed countries in italics)</i>	Date of ratification of the Convention	Date of submission of initial national communication	Status of GEF phase II enabling activity project <i>(Expedited financing for (interim) measures for capacity-building in priority areas)</i>			Status of second national communication				
			Approved	Ongoing	Completed	GEF funding for stocktaking activities to prepare a project proposal			Preparation of the second national communication	
						Requested	Approved	Received	Ongoing	Completed
Kenya	30 August 1994	22 October 2002		x				x		
<i>Kiribati</i>	7 February 1995	30 October 1999			x				x <sup>b</sup>	
Kyrgyzstan	25 May 2000	31 March 2003					x			
<i>Lao People's Democratic Republic</i>	4 January 1995	2 November 2000		x						
Lebanon	15 December 1994	2 November 1999	x							
<i>Lesotho</i>	7 February 1995	17 April 2000			x					
<i>Madagascar</i>	2 June 1999	22 February 2004						x		
<i>Malawi</i>	21 April 1994	2 December 2003			x					
Malaysia	13 July 1994	22 August 2000								
<i>Maldives</i>	9 November 1992	5 November 2001								
<i>Mali</i>	28 December 1994	13 November 2000			x					
Malta	17 March 1994	16 June 2004								
Marshall Islands	8 October 1992	24 November 2000			x	x				
<i>Mauritania</i>	20 January 1994	30 July 2002	x					x		
Mauritius	4 September 1992	28 May 1999	x					x		
Mexico	11 March 1993	9 December 1997								Submitted: 23 July 2001
Micronesia (Federated States of)	18 November 1993	4 December 1997			x	x				
Mongolia	30 September 1993	1 November 2001			x					

Party <i>(Least developed countries in italics)</i>	Date of ratification of the Convention	Date of submission of initial national communication	Status of GEF phase II enabling activity project <i>(Expedited financing for (interim) measures for capacity-building in priority areas)</i>			Status of second national communication				
			Approved	Ongoing	Completed	GEF funding for stocktaking activities to prepare a project proposal			Preparation of the second national communication	
						Requested	Approved	Received	Ongoing	Completed
Morocco	28 December 1995	1 November 2001							x <sup>a</sup>	
Namibia	16 May 1995	7 October 2002	x							
Nauru	11 November 1993	30 October 1999			x	x				
<i>Nepal</i>	2 May 1994	1 September 2004	x							
Nicaragua	31 October 1995	25 July 2001			x		x			
<i>Niger</i>	25 July 1995	13 November 2000	x				x			
Nigeria	29 August 1994	17 November 2003					x			
Niue	28 February 1996	2 October 2001			x			x		
Pakistan	1 June 1994	15 November 2003	x							
Palau	10 December 1999	18 June 2003								
Panama	23 May 1995	20 July 2001		x			x			
Papua New Guinea	16 March 1993	27 February 2002				x				
Paraguay	24 February 1994	10 April 2002	x				x			
Peru	7 June 1993	21 August 2001			x				x <sup>a</sup>	
Philippines	2 August 1994	19 May 2000	x				x			
Republic of Korea	14 December 1993	12 February 1998								Submitted: 1 December 2003
Republic of Moldova	9 June 1995	13 November 2000			x					
Saint Kitts and Nevis	7 January 1993	30 November 2001		x						
Saint Lucia	14 June 1993	30 November 2001		x		x				





Party <i>(Least developed countries in italics)</i>	Date of ratification of the Convention	Date of submission of initial national communication	Status of GEF phase II enabling activity project <i>(Expedited financing for (interim) measures for capacity-building in priority areas)</i>			Status of second national communication				
			Approved	Ongoing	Completed	GEF funding for stocktaking activities to prepare a project proposal			Preparation of the second national communication	
						Requested	Approved	Received	Ongoing	Completed
<i>United Republic of Tanzania</i>	17 April 1996	4 July 2003	x							
Uruguay	18 August 1994	15 October 1997								Submitted: 11 May 2004
Uzbekistan	20 June 1993	22 October 1999	x							
<i>Vanuatu</i>	25 March 1993	30 October 1999			x					
Viet Nam	16 November 1994	2 December 2003	x							
<i>Yemen</i>	21 February 1996	29 October 2001	x							
<i>Zambia</i>	28 May 1993	18 August 2004			x					
Zimbabwe	3 November 1992	25 May 1998	x							

<sup>a</sup> Project proposal under development.

<sup>b</sup> Project proposal submitted for expedited GEF financing.

<sup>c</sup> Project funding proposal complete.

<sup>d</sup> Proposal submitted to the United Nations Development Programme for funding as full project.

Annex II

**Status of the preparation of initial national communications from Parties not included in Annex I to the Convention that have yet to submit them**

<b>Party</b> <i>(Least developed countries in italics)</i>	<b>Date of ratification of the Convention</b>	<b>Date of initial disbursement of GEF funds</b>	<b>Indicated date of submission of national communication</b>	<b>GEF<sup>a</sup> funding for stocktaking activities to prepare a project proposal</b>	<b>Status of GEF project proposal</b>	<b>Status of national communication</b>
<i>Afghanistan</i>	19 September 2002				Project proposal being prepared	
<i>Angola</i>	17 May 2000				Has yet to finalize project proposal	
Bahrain	28 December 1994	November 1997	2004			Completed
Bosnia and Herzegovina	7 September 2000			Request submitted through UNDP <sup>b</sup>		
Brazil	28 February 1994	December 1996	Awaiting government approval			Finalized for submission
Cameroon	19 October 1994	May 1998	Awaiting government approval			Draft completed
China	5 January 1993	November 2001	2004			Completed
Cyprus	15 October 1997				Has not applied for funds	
<i>Equatorial Guinea</i>	16 August 2000				Has yet to finalize project proposal	
Fiji	25 February 1993	June 1997	2004			Ongoing
Gabon	21 January 1998	December 1998	2004			Completed
<i>Guinea-Bissau</i>	27 October 1995	September 1998	2004			Completed
Kuwait	28 December 1994				Has not applied for funds	
<i>Liberia</i>	5 November 2002			Funding received through UNEP <sup>c</sup>	Has yet to finalize project proposal	
Libyan Arab Jamahiriya	14 June 1999	February 2002	December 2004			Ongoing

<b>Party</b> <i>(Least developed countries in italics)</i>	<b>Date of ratification of the Convention</b>	<b>Date of initial disbursement of GEF funds</b>	<b>Indicated date of submission of national communication</b>	<b>GEF<sup>a</sup> funding for stocktaking activities to prepare a project proposal</b>	<b>Status of GEF project proposal</b>	<b>Status of national communication</b>
<i>Mozambique</i>	25 August 1995	September 1997	2004			In the process of finalizing
<i>Myanmar</i>	25 November 1994				Has yet to finalize project proposal	
Oman	8 February 1995				Project document being finalized	
Qatar	18 April 1996				Has not applied for funds	Ongoing
<i>Rwanda</i>	18 August 1998	November 2001	Early 2005			
San Marino	28 October 1994					
<i>São Tomé and Príncipe</i>	29 September 1999	June 2002	To be submitted by COP 10			Almost complete
Saudi Arabia	28 December 1994	June 2002	2004			Completed
Serbia and Montenegro	12 March 2001			Request submitted through UNDP	Project proposal being prepared	
<i>Sierra Leone</i>	22 June 1995	Disbursement data awaited			Restarted project under implementation. Project manager hired in February 2003	
Suriname	14 October 1996	December 1999	2004			First draft ready
Syrian Arab Republic	4 January 1996					
Tonga	20 July 1998	December 2000	2004			Ongoing
United Arab Emirates	29 December 1995		December 2004			Ongoing
Venezuela	28 December 1994	January 2002	Will be submitted at COP 10			Ongoing

<sup>a</sup> Global Environment Facility.

<sup>b</sup> United Nations Development Programme.

<sup>c</sup> United Nations Environment Programme.