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SUBSIDIARY BODY FOR IMPLEMENTATION Twenty-first session Buenos Aires, 6–14 December 2004

Item 4 (c) of the provisional agenda National communications from Parties not included in Annex I to the Convention Provision of financial and technical support

### Information on activities by the Global Environment Facility

#### Note by the secretariat

- 1. The Conference of the Parties, by its decision 10/CP.2, requested the secretariat to make available to the Subsidiary Body for Implementation (SBI), at each of its sessions, details of the financial support made available to Parties not included in Annex I to the Convention (non-Annex I Parties) from the interim operating entity of the financial mechanism for the preparation of their initial national communications. This information is to cover projects proposed by each Party, the funding decision, and the date and amount of funds made available to the Party (FCCC/CP/1996/15/Add.1).
- 2. The SBI, at its twentieth session, requested the secretariat to make available at each of its sessions details of the financial support provided by the Global Environment Facility (GEF) for the preparation of initial and subsequent national communications (FCCC/SBI/2004/10, para. 30). The GEF secretariat has provided the secretariat with information on its support for the preparation of initial and second national communications.
- 3. The information attached in annex I to this document classifies projects as those approved under expedited procedures, full projects approved under standard procedures, and projects covering limited components of first national communications from non-Annex I Parties. It also contains information on dates of disbursement.
- 4. Annex II to this document provides information on the status of second national communications. It shows the amounts provided to non-Annex I Parties for the self-assessment exercise prior to the preparation of second national communications. It also shows funding provided to Argentina, Costa Rica and Uruguay for the preparation of their second national communications.
- 5. The information attached in annexes I and II is reproduced as received without formal editing.

<sup>&</sup>lt;sup>1</sup> Annex II also contains information on funding for the first national communications of two non-Annex I Parties.

#### Annex I

## GEF PROJECTS IN SUPPORT OF INITIAL NATIONAL COMMUNICATIONS

#### BY NON-ANNEX I PARTIES

As of September 24, 2004

Country*	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT <sup>2</sup>	DATE OF GEF COUNCIL APPROVAL <sup>2</sup>	DATE OF PROJECT DOCUMENT SIGNATURE BY AGENCY <sup>2</sup>	DATE OF FIRST DISBURSEMENT <sup>3</sup>	AMOUNT OF GEF ALLOCATION (IN US\$ MILLIONS)	COMMENTS	_
			I. EXP	EDITED PROCED	OURES				•
Albania	UNDP	Enabling Albania to prepare its First National Communication	5/15/96	7/30/96	4/6/98	12/96	0.38	Initial National Communication submitted in September 2002.	<u>-</u> N
Algeria	UNDP	Enabling Algeria to prepare its First National Communication to the UNFCCC	8/8/96	1/22/98	2/11/98	6/1998	0.36	Initial National Communication submitted in April 2001.	

<sup>\*</sup> asterisk indicates Least Developed Country status (Source: Human Development Report 1999, UNDP, website: <a href="http://www.undp.org/hdro/report.html">http://www.undp.org/hdro/report.html</a> ); brackets indicate change to Annex I status

<sup>&</sup>lt;sup>2</sup> Month/Day/Year (or Month/Year)

<sup>&</sup>lt;sup>3</sup> Month/Year of financial statement report in which first disbursement is recorded. Actual disbursement would have occurred in fiscal quarter preceding the date.

Country*	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT <sup>2</sup>	DATE OF GEF COUNCIL APPROVAL <sup>2</sup>	DATE OF PROJECT DOCUMENT SIGNATURE BY AGENCY <sup>2</sup>	DATE OF FIRST DISBURSEMENT <sup>3</sup>	AMOUNT OF GEF ALLOCATION (IN US\$ MILLIONS)	COMMENTS	
			I. EXPI	EDITED PROCED	OURES				
Antigua and Barbuda	UNDP	Enabling Antigua and Barbuda to prepare its First National Communication in response to UNFCCC commitments	4/16/97	7/21/97	12/19/97	6/1998	0.26	Initial National Communication submitted in September 2001.	
Azerbaijan	UNDP	Enabling Azerbaijan to prepare its First National Communication to the UNFCCC	12/26/96	7/16/97	12/25/97	6/98	0.42	Initial National Communication submitted in May 2000.	
Bahamas	UNDP	Enabling The Bahamas to prepare its First National Communication to the UNFCCC	10/22/96	8/26/97	12/2/97	9/98	0.28	Initial National Communication submitted in November 2001.	ω
Bahrain	UNEP	Enabling Activity for the preparation of Initial National Communication to UNFCCC	6/17/97	10/1/97	11/10/97	11/13/97	0.34	All sectoral reports completed. Country finalising the national communications.	
Bangladesh*	UNEP	Preparation of Initial National Communication in response to UNFCCC	1/11/99	3/15/99	8/9/99	1/5/00	0.18	Initial National Communication submitted in November 2002.	

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			I. EXP	EDITED PROCED	OURES				_
Barbados	UNDP	Enabling Activity for the preparation of First National Communication	11/20/96	10/31/97	5/18/98	12/99	0.19	Initial National Communication submitted in October 2001.	_
Belize	UNDP	Enabling Belize to prepare its First National Communication to the UNFCCC	11/3/97	1/8/98	6/9/98	12/98	0.19	Initial National Communication submitted in September 2002.	
Benin*	UNDP	Enabling Benin to Prepare the First National Communications in response to commitments to the UNFCCC	9/26/97	9/3/98	3/24/99	9/98	0.20	Initial National Communication submitted in October 2002.	-
Bolivia	UNDP	Enabling Bolivia to Prepare its First National Communication in response to commitments to the UNFCCC	6/24/98	7/7/98	9/1/98	12/98	0.29	Initial National Communication submitted in November 2000.	
Burkina Faso*	UNDP	Enabling Burkina Faso to prepare its First National Communication to the UNFCCC	10/10/96	5/19/97	1/27/98	12/97	0.34	Initial National Communication submitted in May 2002.	

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			I. EXP	EDITED PROCED	OURES				
Burundi*	UNDP	Preparation of the First National Communication to the UNFCCC	8/27/98	9/18/98	1/19/99	9/99	0.45	Initial National Communication submitted in November 2001.	_
Cambodia*	UNDP	Enabling Activity for the preparation of First National Communication	11/12/96	3/24/97	8/24/98	9/98	0.42	Initial National Communication submitted in October 2002.	
Cameroon	UNEP	Enabling Activity for the preparation of Initial National Communication to UNFCCC	12/26/96	7/16/97	8/29/97	5/98	0.27	Draft National Communication completed. Awaiting Government endorsement and submission.	Q
Cape Verde*	UNDP	Enabling Cape Verde to Prepare its first National Communication in Response to its Commitments to the UNFCCC	5/26/96	3/18/97	8/21/97	12/97	0.42	Initial National Communication submitted in November 2000.	
Chad*	UNDP	Enabling Chad to Prepare its first National Communication in Response to its Commitments to the UNFCCC	5/13/99	8/23/99	02/01/00	03/00	0.20	Initial National Communication submitted in October 2001.	

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			I. EXPI	EDITED PROCED	OURES			
Central African Republic*	UNEP	Enabling Activity for the preparation of Initial National Communication to UNFCCC	7/17/97	8/26/97	11/20/97	8/98	0.35	Initial National Communication submitted in June 2003
Chile	UNDP	Enabling Chile to fulfil its commitments to the UNFCCC	5/30/96	8/30/96	4/11/97	12/97	0.45	Initial National Communication submitted in February 2000.
Colombia	UNDP	Enabling Colombia to fulfill its commitments to the UNFCCC		6/24/99		6/01	0.45	Initial National Communication submitted in December 2001.
Comoros*	UNEP	Enabling Activities for the Preparation of Initial National Communication related to the UNFCCC	2/2/98	11/6/98	1/99	2/99	0.31	Initial National Communication submitted in April 2003.
Congo (Rep.)	UNDP	Preparation of the First National Communication to the UNFCCC	12/16/97	9/18/98	2/15/99	12/2000	0.42	Initial National Communication submitted in October 2001.
Côte d'Ivoire	UNEP	Enabling Activity for the preparation of Initial National Communication to UNFCCC	12/11/97	3/26/98	5/4/98	5/98	0.32	Initial National Communication submitted in February 2001.

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			I. EXPI	EDITED PROCED	OURES				
Cuba	UNDP	Enabling Cuba to Prepare its initial National Communication to the UNFCCC	7/21/98	8/18/98	3/5/99	12/98	0.15	Initial National Communication submitted in September 2001.	
Djibouti*	UNEP	Enabling Activity for the preparation of Initial National Communication to UNFCCC		11/23/98		6/99	0.35	Initial National Communication submitted in June 2002.	
Dominica	UNDP	Enabling the Commonwealth of Dominica to prepare its First National Communication to the UNFCCC	10/30/97	1/6/98	2/12/98	12/98	0.27	Initial National Communication submitted in December 2001.	7
Dominican Republic	UNDP	Enabling Dominican Republic to prepare its First National Communication to the UNFCCC	2/9/99	3/29/99	5/4/99	9/1/99	0.45	Initial National Communication submitted in June 2003.	
Ecuador	UNDP	Enabling Ecuador to prepare its First National Communication to the UNFCCC	11/17/98	3/29/99	4/16/99	12/99	0.32	Initial National Communication submitted in November 2000.	

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			I. EXPI	EDITED PROCED	OURES				
El Salvador	UNDP	Enabling El Salvador to prepare its First National Communication to the UNFCCC	1/9/97	3/24/97	9/9/97	9/97	0.42	Initial National Communication submitted in April 2000.	
Eritrea*	UNDP	Enabling Eritrea to prepare its first national communication	7/5/96	9/4/96	4/98	12/97	0.30	Initial National Communication submitted in September 2002.	
Ethiopia*	UNDP	Enabling Ethiopia to prepare its First National Communication to the UNFCCC	2/11/97	5/19/97	7/27/98	9/97	0.31	Initial National Communication submitted in October 2001.	•
Gabon	UNDP	Preparation of the First National Communication to the UNFCCC	1/21/98	9/10/98	3/4/99	12/98	0.42	Initial National Communication finalized and will be submitted in 2004.	
Gambia, The*	UNDP	Enabling Gambia to prepare its first National Communication to the UNFCCC	11/12/96	3/24/97	11/17/97	6/97	0.24	Initial National Communication submitted in October 2003.	
Georgia	UNDP	Enabling Georgia to fulfill its Commitments to the UNFCCC	5/24/96	9/4/96	1/20/97	6/97	0.43	Initial National Communication submitted in August 1999.	

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			I. EXPI	EDITED PROCED	URES			
Ghana	UNDP	Preparation of Initial National Communication for the Implementation of the UNFCCC	4/9/97	7/16/97	1/98	9/97	0.20	Initial National Communication submitted in May 2001.
Grenada	UNDP	Enabling Grenada to prepare its initial National Communication in Response to its commitments to the UNFCCC	7/21/98	8/26/98	12/11/98	6/99	0.18	Initial National Communication submitted in November 2000.
Guatemala	UNDP	Enabling Guatemala to Prepare Its First National Communication in Response to Its Commitments to the UNFCCC	11/18/96	3/24/97	1/13/98	6/98	0.42	Initial National Communications submitted in February 2002.
Guinea*	UNDP	Enabling Guinea to prepare its First National Communication to the UNFCCC	2/7/97	5/19/97	2/9//98	6/98	0.45	Initial National Communication submitted in October 2002.
Guinea Bissau*	UNDP	Enabling Activity for the preparation of initial National Communication	2/97	6/5/97	2/8/01—	9/99	0.35	Initial National Communication was finalised in 2003 and will be submitted in 2004.

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			I. EXPI	EDITED PROCED	URES			
Guyana	UNDP	Enabling Activity for the preparation of initial National Communication	9/30/97	10/27/97	6/5/98	12/98	0.20	Initial National Communication submitted in May 2002.
Haiti*	UNEP	Enabling Activity for the preparation of Initial National Communication to UNFCCC	11/25/97	1/26/98	4/24/98	12/00	0.35	Initial National Communication submitted in January 2002.
Honduras	UNDP	Enabling Honduras to Prepare Its First National Communication in Response to Its Commitments to the UNFCCC	8/6/96	3/24/97	11/7/97	12/97	0.43	Initial National Communication submitted in November 2000.
Indonesia	UNDP	Enabling Activity for the preparation of initial National Communication	8/29/97	11/13/97	7/28/98	12/98	0.33	Initial National Communication submitted in October 1999.
Iran	UNDP	Enabling Iran to prepare its First National Communication to the UNFCCC	2/11/97	6/24/97	9/97	12/98	0.45	Initial National Communication submitted in March 2003.
Jamaica	UNDP	Enabling Jamaica to prepare its First National Communication to the UNFCCC	11/3/97	1/9/98	3/9/98	01/99	0.33	Initial National Communication submitted in November 2000.

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			I. EXP	EDITED PROCED	URES			
Jordan	UNDP	Vulnerability and Adaptation Study		12/15/97	Add on to main project	06/98	0.09	Initial National Communication submitted in March 1997.
Kenya	UNEP	Enabling Activities for the Preparation of initial National Communication Related to the UNFCCC	10/23/98	10/27/98	3/99	7/99	0.17	Initial National Communication submitted in October 2002.
Korea, Democratic People's Republic of	UNDP	Enabling the DPRK to prepare its First National Communication to the UNFCCC	1/17/94	1/23/97	4/3/97	12/97	0.15	Initial National Communication submitted in May 2004.
Kyrgyz Republic	UNDP	Enabling Kyrgyz Republic to prepare its First National Communication in response to the UNFCCC	5/19/00	8/7/00	12/19/00	06/01	0.43	Initial National Communication submitted in March 2003.
Lesotho*	UNEP	Enabling Activity for the preparation of Initial National Communication to UNFCCC	6/21/96	9/4/96	11/14/96	12/96	0.35	Initial National Communication submitted in April 2000.
Libya	UNEP	Enabling Activity for the preparation of Initial National Communication to UNFCCC	3/8/01	5/16/01	1/31/02	2/02	0.28	Prepared draft GHG Inventory.

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			I. EXPI	EDITED PROCED	URES			
Madagascar	UNDP	Enabling Madagascar to prepare its first communication in response to its commitments to the UNFCCC	1/25/99	6/24/99	02/18/00	12/00	0.35	Initial National Communication submitted in February 2004.
Malawi*	UNDP	Enabling Malawi to prepare its first communication in response to its commitments to the UNFCCC	8/6/96	3/18/97	5/22/98	9/97	0.29	Initial National Communication submitted in December 2003.
Mali*	UNDP	Enabling Mali to prepare its First National Communication to the UNFCCC	4/3/97	7/16/97	1/9/98	6/98	0.20	Initial National Communication submitted in November 2000.
Malta	UNDP	Enabling Malta to prepare its First National Communication to the UNFCCC	10/25/99	11/9/99	12/31/99	01/02	0.36	Initial National Communication submitted in June 2004.
Mauritania*	UNEP	Enabling Activity for the preparation of Initial National Communication to UNFCCC	2/4/97	7/22/97	2/4/98	2/98	0.35	Initial National Communication submitted in July 2002.

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			I. EXP	EDITED PROCED	URES			_
Mauritius	UNEP	Enabling Activity for the preparation of Initial National Communication to UNFCCC	6/17/97	7/17/97	8/7/97	11/98	0.14	Initial National Communication submitted in May 1999.
Moldova	UNDP	Enabling Moldova to prepare its First National Communication to the UNFCCC	7/7/97	8/15/97	12/22/97	6/98	0.43	Initial National Communication submitted in November 2000.
Mongolia	UNEP	Preparation of initial national communication in response to the UNFCCC	9/23/98	10/5/98	11/12/98	11/98	0.24	Initial National Communication submitted in November 2001.
Morocco	UNDP	Enabling Morocco to prepare its First National Communication to the UNFCCC	3/9/99	10/6/99	2/22/00	12/00	0.14	Initial National Communication submitted in November 2001.
Mozambique*	UNDP	Enabling Mozambique to prepare its first national communication to the	10/96	7/16/97	2/98	9/97	0.22	Initial National Communication will be submitted in 2004.
Namibia	UNDP	UNFCCC Enabling Activities for the Preparation of Initial National Communication Related to UNFCCC	5/19/01	7/19/01	8/31/01	8/01	0.23	Initial National Communication submitted in October 2002.

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			I. EXP	EDITED PROCED	URES			
Nepal*	UNEP	Enabling Activity for the preparation of Initial National Communication to UNFCCC	1/12/98	3/4/98	8/99	12/00	0.31	Initial national communication submitted in September 2004.
Nicaragua	UNDP	Enabling Nicaragua to Prepare its First National Communication in Response to its Commitments to the UNFCCC	4/3/97	2/3/98	7/14/98	9/98	0.40	Initial National Communication submitted in July 2001.
Niger*	UNDP	Enabling Niger to prepare its First National Communication to the UNFCCC	9/2/96	8/25/97	4/1//98	12/97	0.45	Initial National Communication submitted in November 2000.
Nigeria	UNDP	Enabling Activities for the Implementation of UNFCCC	8/7/96	3/18/97	10/23/97	12/97	0.26	Initial National Communication submitted in November 2003.
Niue	UNEP	Enabling Activity for the preparation of Initial National Communication to UNFCCC	7/23/97	9/29/97	11/11/97	7/98	0.30	Initial National Communication submitted in October 2001.

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			I. EXPI	EDITED PROCEI	DURES				
Oman	UNDP	Capacity Building to enable Sultanate of Oman to Prepare its National Action Plan and First National Communication	02/05/00	03/28/02			0.30	Project document being finalized	_
Pakistan	UNEP	Enabling Activity for the Preparation of the Initial National Communication Related to the UNFCCC	11/28/98	12/2/98	2/9/99	2/99	0.27	Initial National Communication submitted in November 2003.	
Palau	UNDP	Enabling Palau to prepare its First National Communication and National Implementation Strategy in Response to the UNFCCC	5/11/00	9/5/00	12/7/00	6/01	0.31	Initial National Communication submitted in June 2003.	15
Panama	UNDP	Enabling Panama to prepare its First National Communication to the UNFCCC	7/14/97	8/25/97	11/8/98	12/98	0.40	Initial National Communication Submitted in July 2001.	
Paraguay	UNDP	Enabling Paraguay to Prepare its First National Communication to the UNFCCC	6/25/98	7/27/98	2/22/99	12/99	0.29	Initial National Communication submitted in April 2002	

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			I. EXPI	EDITED PROCED	OURES				
Peru	UNDP	Enabling Peru to prepare its First National Communication to the UNFCCC	4/9/97	7/16/97	2/4/98	6/98	0.33	Initial National Communication Submitted in August 2001.	
Philippines	UNDP	Enabling the Philippines to Prepare A National Communication Program in Response to its Commitments to the UNFCCC	5/15/97	7/24/97	11/27/97	12/97	0.25	Initial National Communication submitted in May 2000.	
Rwanda*	UNEP	Enabling Activity for the preparation of Initial National Communication in response to its commitment to UNFCCC	6/12/00	6/22/01	8/28/01	11/01	0.33	Produced the draft GHG Inventory.	16
Sao Tome and Principe*	UNDP	Enabling Activity to prepare its First National Communication for its implementation of the UNFCCC	09/12/00	1/23/01	04/19/01	06/02	0.35	Inventory completed and initial national communication will be submitted at COP10.	
Saudi Arabia	UNDP	Enabling Saudi Arabia to Prepare its First National Communications in response to commitments to the UNFCCC	07/10/00	02/27/02	9/24/02	06/02	0.35	Initial National Communication finalized for submission in 2004.	

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	I. EXPEDITED PROCEDURES								
St. Kitts & Nevis	UNDP	Enabling St. Kitts & Nevis to prepare its First National Communication to the UNFCCC	12/19/97	2/3/98	10/8/98	06/98	0.26	Initial National Communication submitted in November 2001.	
St. Lucia	UNDP	Enabling St. Lucia to prepare its First National Communication to the UNFCCC	5/15/96	8/6/97	7/16/98	12/98	0.27	Initial National Communication submitted in November 2001.	
St. Vincent and the Grenadines	World Bank	Enabling St. Vincent and the Grenadines to Prepare its First National Communication in Response to its Commitments to the UNFCCC	3/16/98	4/28/98	7/23/98	6/99	0.35	Initial National Communication submitted in November 2000.	17
Senegal*	UNDP	Enabling Senegal to prepare its First National Communication to the UNFCCC	6/97	8/25/97	11/28/97	12/97	0.20	Initial National Communication submitted in December 1997.	
Seychelles	UNDP	Enabling Seychelles to prepare its first national communication	11/16/95	7/30/96	12/3/96	9/97	0.35	Initial National Communication submitted in November 2000.	

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			I. EXPI	EDITED PROCED	URES			_
Sierra Leone*	UNDP	Enabling Sierra Leone to prepare its first national communication to the UNFCCC	7/9/96	9/11/96	04/09/02	12/02	0.31	Restarted project under implementation. Project manager hired in February 2003.
South Africa	UNEP	Enabling Activity for the preparation of Initial National Communication to UNFCCC	4/17/98	6/1/98	10/16/98	10/98	0.32	Initial National Communication submitted in November 2003.
Sri Lanka	UNDP	Enabling Sri Lanka to prepare its first national communication	6/7/96	3/2/97	11/4/97	12/97	0.21	Initial National Communication submitted in November 2000.
Suriname	UNDP	Enabling Suriname to prepare its initial National Communication in Response to its Commitments to the UNFCCC	5/19/98	9/10/98	10/19/98	12/1/99	0.35	Initial National Communication finalized for submission in 2004.
Swaziland	UNDP	Enabling Activity for implementation of the UNFCCC	11/22/96	3/18/97	11/28/97	12/97	0.30	Initial National Communication submitted in May 2002

Country*	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT <sup>2</sup>	DATE OF GEF COUNCIL APPROVAL <sup>2</sup>	DATE OF PROJECT DOCUMENT SIGNATURE BY AGENCY <sup>2</sup>	DATE OF FIRST DISBURSEMENT <sup>3</sup>	AMOUNT OF GEF ALLOCATION (IN US\$ MILLIONS)	COMMENTS	
			I. EXP	EDITED PROCED	OURES				
Tajikistan	UNDP	Enabling Tajikistan to Prepare its First National Communication in Response to its Commitments to the UNFCCC	3/7/00	6/23/00	10/17/00	06/01	0.33	Initial National Communication submitted in October 2002.	
Tanzania*	UNEP	Enabling activity for the preparation of First National Communication	5/2/97	7/17/97	8/30/97	3/98	0.25	Initial National Communication submitted in July 2003.	
Thailand	UNDP	Enabling Thailand to prepare its first national communication to the UNFCCC	9/22/97	11/13/97	6/5/98	3/98	0.29	Initial National Communication submitted in November 2000.	19
The F.Y.R. of Macedonia	UNDP	Enabling the F.Y.R. of Macedonia to Prepare its First National Communication in Response to its Commitments to the UNFCCC	10/8/98	2/5/99	8/4/99	12/99	0.45	Initial National Communication submitted in March 2003.	
Togo*	UNDP	Enabling Togo to prepare its first national communication to the UNFCCC	2/20/97	6/18/97	4/98	9/97	0.44	Initial National Communication submitted in December 2001.	

Country*	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT <sup>2</sup>	DATE OF GEF COUNCIL APPROVAL <sup>2</sup>	DATE OF PROJECT DOCUMENT SIGNATURE BY AGENCY <sup>2</sup>	DATE OF FIRST DISBURSEMENT <sup>3</sup>	AMOUNT OF GEF ALLOCATION (IN US\$ MILLIONS)	COMMENTS
			I. EXP	EDITED PROCED	URES			
Tonga	UNDP	Enabling Tonga to prepare its first national communication to the UNFCCC	5/10/99	10/27/99	6/5/00	12/00	0.42	Initial National Communication will be submitted in 2004.
Trinidad & Tobago	UNDP	Enabling activity for the preparation of First National Communication	1/5/98	1/22/98	5/18/98	6/99	0.32	Initial National Communication submitted in November 2001.
Turkmenistan	UNEP	Enabling activity for the preparation of First National Communication	5/13/97	6/17/97	8/11/97	1/98	0.35	Initial National Communication submitted in November 2000.
Uganda*	UNDP	Enabling Uganda to prepare its first national communications	8/22/95	7/30/96	12/28/97	6/97	0.19	Initial National Communication submitted in October 2002.
Venezuela	UNDP	Support of the Government of Venezuela for the preparation of the First National Communication on Climate Change	5/18/00	11/28/00	24/04/01	01/02	0.35	Initial national communication will be submitted at COP10.
Vietnam	UNEP	Preparation of initial national communication related to the UNFCCC	5/29/98	11/6/98	3/99	3/99	0.21	Initial National Communication submitted in December 2003.

Country*	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT <sup>2</sup>	DATE OF GEF COUNCIL APPROVAL <sup>2</sup>	DATE OF PROJECT DOCUMENT SIGNATURE BY AGENCY <sup>2</sup>	DATE OF FIRST DISBURSEMENT <sup>3</sup>	AMOUNT OF GEF ALLOCATION (IN US\$ MILLIONS)	COMMENTS
			I. EXPI	EDITED PROCEI	OURES			
Yemen*	UNDP	Enabling Yemen to prepare its First National Communication to the UNFCCC	4/22/97	5/19/97	8/12/97	9/98	0.30	Initial National Communication submitted in October 2001.
Zambia*	UNEP	Enabling Activity for the preparation of Initial National Communication to UNFCCC	11/14/96	4/24/97	6/23/97	7/98	0.26	Draft Initial National Communication approved by the Government in June 2002.
Zimbabwe	UNEP	Enabling Activity for the preparation of Initial National Communication to	11/4/96	2/7/97	3/7/97	2/98	0.09	Initial National Communication submitted in May 1998

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COUNTRY*	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT <sup>2</sup>	DATE OF GEF COUNCIL APPROVAL <sup>2</sup>	DATE OF PROJECT DOCUMENT SIGNATURE BY AGENCY <sup>2</sup>	DATE OF FIRST DISBURSEMENT <sup>3</sup>	AMOUNT OF GEF ALLOCATION (IN US\$ MILLIONS)	COMMENTS
			II. FULL PROJEC	TS (Standard app	oval procedures)			
Cook Islands, Fiji, Kiribati*, Marshall Islands, Fed. States of Micronesia, Nauru, Samoa*, Solomon Islands*, Tuvalu*, Vanuatu*.	UNDP	Pacific Island Climate Change Assistance Project (PICCAP)	N.A. Multi-Country Project	3/20/97	4/8/97	6/97	3.44	Project worked closely with CC: Train (Phase 2). Niue participated in PICCAP with its own resources. Micronesia submitted its Initial National Communication in December 1997, with an Addendum in October 1999. Cook Islands, Kiribati, Nauru, Samoa, Tuvalu and Vanuatu submitted theirs in October 1999, and Marshall Islands in November 2000. Fiji and Solomon Islands will submit in 2004.
Argentina	UNDP	Country Study on Climate Change	12/7/94	1/17/96	2/16/96	6/96	1.00	Initial National Communication submitted in July 1997, with an Addendum in March 1999.

<sup>\*</sup> asterisk indicates Least Developed Country status (Source: Human Development Report 1999, UNDP, website: <a href="http://www.undp.org/hdro/report.html">http://www.undp.org/hdro/report.html</a>); brackets indicate change to Annex I status <sup>2</sup> Month/Day/Year (or Month/Year) <sup>3</sup> Month/Year of financial statement report in which first disbursement is recorded. Actual disbursement would have occurred in fiscal quarter preceding the date.

Country*	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT <sup>2</sup>	DATE OF GEF COUNCIL APPROVAL <sup>2</sup>	DATE OF PROJECT DOCUMENT SIGNATURE BY AGENCY <sup>2</sup>	DATE OF FIRST DISBURSEMENT <sup>3</sup>	AMOUNT OF GEF ALLOCATION (IN US\$ MILLIONS)	COMMENTS
			II. FULL PROJEC	ΓS (Standard app	roval procedures)			
Armenia	UNDP	Country Study on Climate Change	3/31/95	12/15/95	5/7/96	12/96	0.45	Initial National Communication submitted in November 1998.
Bhutan*, Kingdom of	UNDP	Bhutan National Greenhouse Gas Project	1/30/96	6/26/96	8/13/96	6/97	0.40	Initial National Communication submitted in November 2000.
Botswana	UNDP	Enabling Botswana to fulfill its commitments to the UNFCCC	11/18/94	8/14/96	9/5/96	9/96	0.45	Initial National Communication submitted in October 2001.
Brazil	UNDP	Enabling Brazil to fulfill its commitments to the UNFCCC	8/31/95	6/14/96	8/1/96	12/96	1.50	Initial National Communication finalized for submission.
China	UNDP	Enabling China to Prepare its Initial National Communication	2/25/00	5/9/00	7/18/01	11/01	3.50	Initial National Communication finalized for submission in 2004.
Costa Rica	UNDP	Building National Technical Capacity to Develop Options for GHG emissions reductions and carbon sinks enhancement	12/8/94	6/7/96	6/14/96	12/96	0.47	Initial National Communication submitted in November 2000.

Country*	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT <sup>2</sup>	DATE OF GEF COUNCIL APPROVAL <sup>2</sup>	DATE OF PROJECT DOCUMENT SIGNATURE BY AGENCY <sup>2</sup>	DATE OF FIRST DISBURSEMENT <sup>3</sup>	AMOUNT OF GEF ALLOCATION (IN US\$ MILLIONS)	COMMENTS	
			II. FULL PROJEC	TS (Standard app	roval procedures)				•
Congo*, Democratic Republic of	UNDP	Enabling DR.Congo to fulfill its commitments to the UNFCCC	8/17/95	3/7/97	7/97	12/99	0.45	Initial National Communication submitted in November 2000.	
Egypt	UNDP	Building Capacity for GHG Inventory and Action Plans in Egypt	11/16/94	4/22/96	6/12/96	12/96	0.45	Initial National Communication submitted in July 1999.	
India	UNDP	Enabling Activity for the Preparation of India's Initial commitments to the UNFCCC	9/28/99	12/17/99	6/01	7/01	2.00	Initial National Communication finalized for submission in 2004.	24
Jordan	UNDP	Capacity Building to enable response to the UNFCCC	11/12/94	10/17/95	4/18/96	9/96	0.43	Additional top-up for US\$87,500 for V&A incorporated into original activities.  Initial National Communication submitted in March 1997.	
Lao People's Democratic Republic*	UNDP	National GHG Inventory Project	7/19/95	7/29/96	3/97	6/97	0.41	Initial National Communication submitted in November 2000.	

Country*	IMPLEMENTING AGENCY	Project Title	DATE OF COUNTRY ENDORSEMENT <sup>2</sup>	DATE OF GEF COUNCIL APPROVAL <sup>2</sup>	DATE OF PROJECT DOCUMENT SIGNATURE BY AGENCY <sup>2</sup>	DATE OF FIRST DISBURSEMENT <sup>3</sup>	AMOUNT OF GEF ALLOCATION (IN US\$ MILLIONS)	COMMENTS	
			II. FULL PROJEC	TS (Standard app	roval procedures)				
Lebanon	UNDP	Building capacity for GHG Inventory and Action Plans in response to the UNFCCC.	3/11/95	7/11/96	12/11/96	9/97	0.29	Initial National Communication submitted in November 1999.	
Malaysia	UNDP	National GHG Inventory and Response to the UNFCCC	4/1/95	9/13/96	10/30/96	12/97	0.47	Initial National Communication submitted in August 2000.	
Maldives*	UNDP	National GHG Inventory and Adaptation Assessment to climate change	10/29/94	10/4/96	11/16/96	6/97	0.86	Initial National Communication submitted in November 2001.	22
Mexico	UNDP	Development of GHG Emission Coefficients from Live Systems in Central Mexico & development of a related information management system	12/2/94	6/7/96	10/29/96	12/96	0.31	Initial National Communication submitted in December 1997.	
Papua New Guinea	UNDP	Climate Change Assistance Project	1/17/96	12/30/96	1/98	9/98	0.35	Initial National Communication submitted in February 2002	
Sudan*	UNDP	Capacity Building to enable the Sudan's response and communication to the UNFCCC.	3/20/95	10/3/96	2/4/97	12/97	0.39	Initial National Communication submitted in June 2003.	

Country*	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT <sup>2</sup>	DATE OF GEF COUNCIL APPROVAL <sup>2</sup>	DATE OF PROJECT DOCUMENT SIGNATURE BY AGENCY <sup>2</sup>	DATE OF FIRST DISBURSEMENT <sup>3</sup>	AMOUNT OF GEF ALLOCATION (IN US\$ MILLIONS)	COMMENTS
			II. FULL PROJECT	ΓS (Standard app	roval procedures)			
Tunisia	UNDP	National Strategy and Action Plans for emission reduction and communications under the UNFCCC.	2/3/95	8/14/96	9/6/96	6/97	0.57	Initial National Communication submitted in October 2001.
Uruguay	UNDP	Institutional strengthening and enabling activities to comply with the UNFCCC.	11/25/94	11/13/95	12/18/96	6/96	0.70	Initial National Communication submitted in October 1997.
Uzbekistan	UNDP	Country Study on Climate Change	8/7/95	1/2/97	4/2/97	6/97	0.43	Initial National communication submitted in October 1999.

COUNTRY*	Implementing Agency	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT <sup>2</sup>	DATE OF GEF COUNCIL APPROVAL/ ENTRY INTO GEF WORK PROGRAM <sup>2</sup>	DATE OF PROJECT DOCUMENT SIGNATURE <sup>2</sup>	DATE OF FIRST DISBURSEMENT <sup>3</sup>	GEF ALLOCATION (IN US\$ MILLIONS)	COMMENTS I
	III. PROJE	CTS COVERING LIMITED CO	OMPONENTS OF FIR	ST NATIONAL	COMMUNICAT	ION FROM NON-A	NNEX 1 PART	IES
Global	UNDP/UNEP	National Communications Support Programme	N.A.	3/31/98	8/98	N.A.	3.20	All project activities have been completed. Twenty-eight thematic and regional exchange workshops were held: 5 on inventories; 6 on abatement; 8 on vulnerability and adaptation (V&A); 1 training on V&A and 8 on national communications.  Technical reviews on national communication components have been carried out for 40 countries; 1 in-depth country review carried out  13 key publications have been disseminated; a further 5 will be published in 2003.  A website and list-server remain active.

<sup>\*</sup> asterisk indicates Least Developed Country status (Source: Human Development Report 1999, UNDP, website: <a href="http://www.undp.org/hydro/report.html">http://www.undp.org/hydro/report.html</a> ); brackets indicate change to Annex I status <sup>2</sup> Month/Day/Year (Month/Year) <sup>3</sup> Month/Year of financial statement report in which first disbursement is recorded. Actual disbursement would have occurred in fiscal quarter preceding the date.

Country*	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT <sup>2</sup>	DATE OF GEF COUNCIL APPROVAL/ ENTRY INTO GEF WORK PROGRAM <sup>2</sup>	DATE OF PROJECT DOCUMENT SIGNATURE <sup>2</sup>	DATE OF FIRST DISBURSEMENT <sup>3</sup>	GEF ALLOCATION (IN US\$ MILLIONS)	COMMENTS
	III. PROJE	CTS COVERING LIMITED CO	OMPONENTS OF FIR	ST NATIONAL	COMMUNICAT	ION FROM NON-A	NNEX 1 PART	IES
Antigua Barbuda, Bahamas, Barbados, Belize, Dominica, Grenada, Guyana, Jamaica, St. Kitts and Nevis, St. Lucia, Trinidad and Tobago	World Bank	Regional (CARICOM) Planning for Adaptation to Climate Change	N.A. Multi-Country Project	2/10/97	03/13/97	4/30/97	6.30	
Antigua and Barbuda, Cameroon, (Estonia), Pakistan	UNEP	Country Studies on Climate Change Impacts and Adaptation Assessment	N.A. Multi-Country Project	2/96	5/1/96	N.A.	2.00	Project completed. All country reports submitted. External evaluation conducted.
Argentina, Ecuador, (Estonia), (Hungary), Indonesia, Mauritius, Senegal*, Vietnam	UNEP	Economics of GHG Limitation- Methodological Framework for Climate Change Mitigation Assessment	N.A. Multi-Country Project	1/18/96	3/18/96	N.A.	3.00	Project completed and external evaluation conducted.

Country*	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT <sup>2</sup>	DATE OF GEF COUNCIL APPROVAL/ ENTRY INTO GEF WORK PROGRAM <sup>2</sup>	DATE OF PROJECT DOCUMENT SIGNATURE <sup>2</sup>	DATE OF FIRST DISBURSEMENT <sup>3</sup>	GEF Allocation (in US\$ millions)	COMMENTS I
	III. PROJE	CTS COVERING LIMITED CO	OMPONENTS OF FIR	ST NATIONAL	COMMUNICAT	ION FROM NON-A	NNEX 1 PART	IES
Benin*, Bolivia, Chad*, Cook Islands, Cuba, Ecuador, Fiji, Kiribati*, Marshall Islands, Nauru, Paraguay, Peru, Senegal*, Solomon Islands*, Tuvalu*, Vanuatu*, Samoa*	UNDP	Climate Change Capacity Building: CC: TRAIN- Phase II	N.A. Multi-Country project	3/26/96	3/27/96	N.A.	2.70	All Regional Training Workshops now completed. Operating in parallel with PICCAP for Pacific countries. Operating in close collaboration with activities undertaken by UNDP Sub-Saharan Africa capacity building project.
Vietnam	UNEP	Climate Change Capacity Building	N.A.			N.A.	0.90	

COUNTRY*	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT <sup>2</sup>	DATE OF GEF COUNCIL APPROVAL/ ENTRY INTO GEF WORK PROGRAM <sup>2</sup>	DATE OF PROJECT DOCUMENT SIGNATURE <sup>2</sup>	DATE OF FIRST DISBURSEMENT <sup>3</sup>	GEF ALLOCATION (IN US\$ MILLIONS)	COMMENTS
	III. PROJE	CTS COVERING LIMITED C	OMPONENTS OF FIR	ST NATIONAL	COMMUNICAT	ION FROM NON-A	NNEX 1 PART	IES
Algeria, Libya, Morocco, & Tunisia	UNDP	Building Capacity in the Maghreb to respond to the challenges and opportunities created by the UNFCCC	N.A.	5/93	12/7/94	N.A.	2.50	Project completed in March 2003; final evaluation has taken place. Phase II proposal under development.
Bangladesh*, China, Indonesia, Korea (DPR), Korea (RO)², Mongolia, Myanmar*, Pakistan, Philippines, Thailand & Vietnam	UNDP	Asia Least-cost GHG Abatement Strategy (ALGAS)	N.A.	12/91	8/18/94	N.A.	9.50	Project operations for 10 of 12 countries have been completed. The Final Project Review Board meeting took place April 1999. A donor consultative meeting was held early 1999. Korea (RO) submitted its Initial National Communication February 1998.
Costa Rica, Gambia*, Mexico, Morocco, (Poland), Senegal*, Tanzania*, Uganda*, Venezuela	UNEP	Country Case Studies on Sources and Sinks of Greenhouse Gases	N.A.	11/91	9/28/92	N.A.	4.50	Project completed in March 1997.

<sup>&</sup>lt;sup>2</sup> The Republic of Korea participated in Asia Least-cost GHG Abatement Strategy (ALGAS) at its own expense.

COUNTRY*	IMPLEMENTING AGENCY	PROJECT TITLE	DATE OF COUNTRY ENDORSEMENT <sup>2</sup>	DATE OF GEF COUNCIL APPROVAL/ ENTRY INTO GEF WORK PROGRAM <sup>2</sup>	DATE OF PROJECT DOCUMENT SIGNATURE <sup>2</sup>	DATE OF FIRST DISBURSEMENT <sup>3</sup>	GEF ALLOCATION (IN US\$ MILLIONS)	COMMENTS
	III. PROJE	CTS COVERING LIMITED CO	OMPONENTS OF FIR	ST NATIONAL	COMMUNICAT	TON FROM NON-A	NNEX 1 PART	ES
Ghana, Kenya, Mali* and Zimbabwe	UNDP	Building capacity in Sub- Saharan Africa to respond to the UNFCCC	N.A.	12/92	8/16/95	N.A.	2.00	Activities completed. Final evaluation mission in October 1998 concluded that project had successfully achieved all objectives. Results were presented at the COP4 in November 1998 and were very well received by all participants.
China	UNDP	Issues and Options in GHG emissions control	N.A.	5/91	3/6/92		2.00	Project completed in April 1995 and provides a framework for identifying new GEF climate change projects in China.
India	UNDP	Cost-Effective Options for Limiting GHG emissions	N.A.	5/93	6/4/98	12/98	1.50	Project under implementation. Top-up proposal to complete national communications is in the pipeline.

## **Terms used in the Table**

'Date of GEF Council Approval': For 'Expedited Procedure Projects', 'Date of Council Approval' is the date of CEO approval.

'Disbursement data awaited': Disbursement data not yet available for approved commitment by the Implementing Agency. 'Expedited Procedure Projects': Projects approved using 'Expedited Procedures' whereby CEO approves project under authority delegated to him by the GEF Council.

'First Date of Disbursement':

The data is drawn from the relevant quarterly financial statement report submitted by the Implementing Agency to the Trustee. The first date of disbursement therefore indicates the date of the quarterly financial report in which the first disbursement under the project is reported to the Trustee. (see Explanatory Notes). Actual disbursement would

have occurred earlier in quarter preceding the date of the report.

'N.A.': Not applicable

'Pilot Phase Projects': Projects that were approved before the Instrument for the Establishment of the Restructured GEF was accepted in

March 1994.

'Projects Approved through Standard Procedures':

Projects approved under the full project cycle of the restructured GEF, including approval by the Council.

#### **Explanatory Notes**

UNDP disbursement data: Note that UNDP began submitting quarterly financial reports in September 1995.

Annex II

# Status of Second National Communications (As of October 2004)

Party	Implementing Agency	Approved Allocation (self- assessment exercise)	Status	Date of Approval by Implementing Agency	Note
Albania	UNDP	15,000	Activities completed; proposal for SNC being finalized	04/20/04	
Trinidad and Tobago	UNDP	15,000	Funding provided; activities in progress	04/21/04	
Bolivia	UNDP		Activities completed; proposal for SNC being finalized	04/21/04	
Bosnia & Herzegovina	UNDP	15,000	Funding provided; activities in progress	04/20/04	First National Communication
Georgia	UNDP		Activities completed; proposal for SNC being finalized	04/20/04	
Macedonia	UNDP		Activities completed; proposal for SNC being finalized	04/20/04	
Nigeria	UNDP	15,000	Funding provided; activities in progress	05/11/04	
Armenia	UNDP		Activities completed; proposal for SNC being finalized	04/20/04	
Nicaragua	UNDP		Activities completed; proposal for SNC being finalized	04/21/04	
Azerbaijan	UNDP	15,000	Funding provided; activities in progress	05/06/04	
Kyrgyz Republic	UNDP	15,000	Funding provided; activities in progress	04/20/04	
Paraguay	UNDP	15,000	Request pending for fund disbursement	09/24/04	
Panama	UNDP	15,000	Funding provided; activities in progress	04/21/04	

# Status of Second National Communications (As of October 2004)

Party	Implementing Agency	Approved Allocation (self- assessment exercise)	Status	Date of Approval by Implementing Agency	Note
Bahamas	UNDP	15,000	Funding provided; activities in progress	04/21/04	
Philippines	UNDP	15,000	Funding provided; activities in progress	05/14/04	
Samoa	UNDP	15,000	Funding provided; activities in progress	05/14/04	
Kazakhstan	UNDP	15,000	Funding provided; activities in progress	04/20/04	
Morocco	UNDP	0	No request for funding; proposal for SNC being finalized	NA	Second draft received by IA 08- 15-04
Benin	UNDP	15,000	Funding provided; activities in progress	07/15/04	
Niger	UNDP	15,000	Funding provided; activities in progress	06/22/04	
Thailand	UNDP	15,000	Funding provided; activities in progress	07/13/04	
Tajikistan	UNDP	15,000	Funding provided; activities in progress	04/20/04	
Iran	UNDP	15,000	Funding provided; activities in progress	05/20/04	
Cook Islands	UNDP	15,000	Funding provided; activities in progress	05/14/04	
Burkina Faso	UNDP	15,000	Funding provided; activities in progress	06/25/04	
Ghana	UNDP	15,000	Funding provided; activities in progress	06/22/04	
Dominican Republic	UNDP	15,000	Funding provided; activities in progress	06/08/04	

# Status of Second National Communications

(As of October 2004)

Party	Implementing Agency	Approved Allocation (self- assessment exercise)	Status	Date of Approval by Implementing Agency	Note
Belize	UNDP	15,000	Funding provided; activities in progress	08/06/04	
Congo (Republic of)	UNDP	15,000	Funding provided; activities in progress	07/13/04	
Togo	UNDP	15,000	Funding provided; activities in progress	07/13/04	
Honduras	UNDP	15,000	Funding provided; activities in progress	07/20/04	
Nauru	UNDP	15,000	Funding provided; activities in progress	08/11/04	
Micronesia	UNDP	15,000	Funding provided; activities in progress	08/11/04	
Solomon Islands	UNDP	15,000	Funding provided; activities in progress	08/11/04	
Papua New Guinea	UNDP	15,000	Request pending for fund disbursement	09/03/04	
Egypt	UNDP	15,000	Request pending for fund disbursement	09/03/04	
Serbia & Montenegro	UNDP	15,000	Request pending for fund disbursement	09/20/04	First National Communication
Eritrea	UNDP	15,000	Request pending for fund disbursement	10/08/04	
Lebanon	UNDP	15,000	Request pending for fund disbursement	10/13/04	
Ecuador	UNDP	15,000	First draft sent to Country Office	NA	
St. Lucia	UNDP	15,000	First draft sent to Country Office	NA	

# Status of Second National Communications

(As of October 2004)

Party	Implementing Agency	Approved Allocation (self- assessment exercise)	Status	Date of Approval by Implementing Agency	Note
Dominica	UNDP	15,000	First draft sent to Country Office	NA	
Central African Republic	UNEP	15,000	Funding provided; activities in progress	05/21/04	
Côte d'Ivoire	UNEP	15,000	Funding provided; activities in progress	09/06/04	
Haiti	UNEP	15,000	Funding provided; activities in progress	05/13/04	
Kenya	UNEP	15,000	Funding provided; activities in progress	05/13/04	
Liberia	UNEP	15,000	Funding provided; activities in progress	06/02/04	
Madagascar	UNEP	15,000	Funding provided; activities in progress	05/21/04	
Mauritania	UNEP	15,000	Funding provided; activities in progress	05/13/04	
Mauritius	UNEP	15,000	Funding provided; activities in progress	05/13/04	
Niue	UNEP		Activities completed; proposal for SNC being finalized	05/13/04	
Palau	UNEP	15,000	Funding provided	10/11/04	
Turkmenistan	UNEP	15,000	Funding provided; activities in progress	05/17/04	
Uzbekistan	UNEP		No request for funding; proposal for SNC being finalized	NA	
Costa Rica	UNDP	350,000	Funding provided; activities in progress	01/30/04	Funding approved for SNC

## **Status of Second National Communications**

(As of October 2004)

Party	Implementing Agency	Approved Allocation (self- assessment exercise)	Status	Date of Approval by Implementing Agency	Note
Uruguay	UNDP	595,000	Completed; SNC submitted 05-11-04	10/04/00	Funding approved for SNC
Argentina	WB		Appraisal took place in FY03 and Board approval in FY04.	12/18/03	Funding approved for SNC

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