

# 2018-2019 Programme Budget



1. Budget documentation and communication designed to enhance transparency
2. Key features of the 2018-2019 proposed budget and the zero growth scenario
3. Next steps



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Budget documentation and communication  
designed to enhance transparency



**The budget is presented in document FCCC/SBI/2017/4 and its two addenda:**

- Main document - Programme budget for 2018-2019
- Addendum 1 - Work programme for the secretariat for 2018-2019
- Addendum 2 - Trust Fund for the International Transaction Log

**Additional documentation and communication on the UNFCCC website:\***

- Details of projects proposed to be funded under the Trust Fund for Supplementary Activities.
- Unaudited financial statements for 2016.
- An update on budget implementation.
- Backgrounders on the organization of conferences/meetings and on the action agenda.
- Questions and answers in relation to the 2018-2019 budget. Will be posted before and during the SBI session.



- The main document contains the budget for the biennium
- The document contains information on inter alia:
  - The budget context and approach (chapter III);
  - The budget by cluster, by object of expenditure and in terms of posts (chapter IV for the proposed budget and annex III for the zero growth scenario);
  - Resource requirements for the Trust Fund for Supplementary Activities (chapter V and annex I)
- Synthesis table: Core budget, Trust Fund for Supplementary Activities, Other trust funds (annex II)
- The mandated zero nominal growth scenario (annex III)



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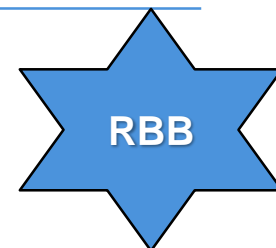


Table 11

**Objective 1 of the Mitigation, Data and Analysis programme: support the implementation of the current measurement, reporting and verification framework, with an emphasis on transparency and mitigation ambition, and the development and implementation of the Paris Agreement rule book, in particular for transparency, nationally determined contributions and response measures<sup>a</sup>**

*Expected results*

*Performance indicators*

Implementation of the measurement, reporting and verification framework for developed and developing countries

- Number of multilateral assessment summary reports of developed country Parties made available on the UNFCCC website in the biennium within the mandated time frame. Baseline: 44. Target: 44
- Number of records of facilitative sharing of views of developing country Parties made available on the UNFCCC website in the biennium within the mandated time frame. Baseline: not applicable.<sup>b</sup> Target: 48
- Number of greenhouse gas inventory reviews for Annex I Parties conducted during the biennium within the mandated time frame. Baseline: 88. Target: 88
- Number of technical assessment reports made available on the REDD-plus<sup>c</sup> web platform within the mandated time frame. Baseline: not applicable.<sup>d</sup> Target: 16

Support is provided for the development of the guidelines, rules and modalities (the rule book) of the Paris Agreement, and for the transition to their implementation, with a focus on transparency, nationally determined contributions and response measures

- Arrangements for mandated technical working sessions such as workshops or round tables, and the preparation of the related documentation (such as workshop reports), undertaken within the mandated time frames. Baseline: not applicable.<sup>e</sup> Target: 100% for all arrangements
- Percentage of mandated inputs from Parties, such as submissions of views or proposals, processed and made publicly available within three working days of their receipt in intersessional periods and within one working day during sessional periods. Baseline: not applicable.<sup>e</sup> Target: 100% for all inputs

## Planned outputs are provided for each objective to enhance transparency

57. → During the biennium 2018–2019, the following outputs will be delivered in support of achieving MDA objective 1:¶

- (a) → Status reports for GHG inventory submissions from Annex I Parties (88);¶
- (b) → Assessment reports for GHG inventory submissions from Annex I Parties (88);¶
- (c) → Reports on individual reviews of GHG inventories (88);¶
- (d) → Sets of guidelines, reference materials and tools to support the annual review process for GHG inventories from Annex I Parties (2 sets per biennium);¶
- (e) → Full (2) and summary (2) reports on GHG emissions/removals for Annex I Parties;¶
- (f) → Compilation and accounting reports under the Kyoto Protocol for Parties to the Convention that are also Parties to the Kyoto Protocol with commitments inscribed in Annex B to the Kyoto Protocol (Annex B Parties) (2);¶
- (g) → Records in the compilation and accounting database reflecting the outcomes of the GHG inventory review process for Annex B Parties under the Kyoto Protocol (76);¶
- (h) → Maintenance releases of the CRF Reporter software (2, subject to the availability of resources);¶
- (i) → Annual updates of the Virtual Team Room supporting the review process for

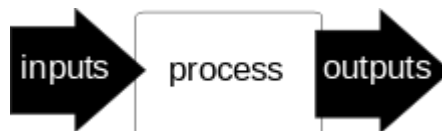
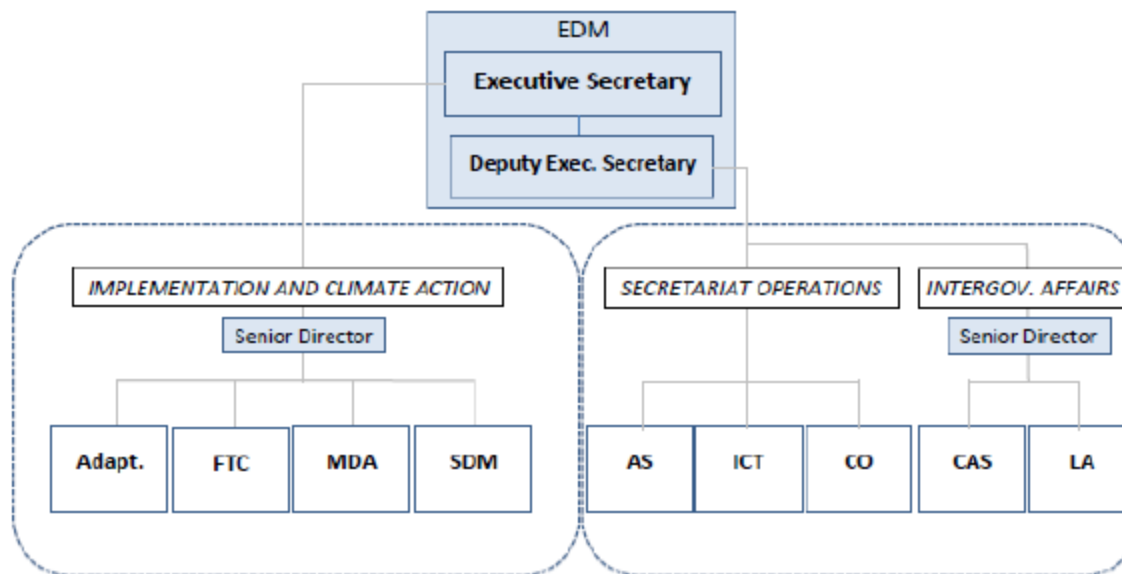




Figure 1  
Organization of the secretariat as of January 2017



*Abbreviations:* Adapt. = Adaptation, AS = Administrative Services, CAS = Conference Affairs Services, CO = Communications and Outreach, Deputy Exec. Secretary = Deputy Executive Secretary, EDM = Executive Direction and Management, FTC = Finance, Technology and Capacity-building, Intergov. Affairs = Intergovernmental Affairs, ICT = Information and Communication Technology, LA = Legal Affairs, MDA = Mitigation, Data and Analysis, SDM = Sustainable Development Mechanisms.

## Annex

### Core budget posts by cluster and programme

Table 63 indicates the proposed core budget posts<sup>1</sup> by cluster and programme under the proposed budget for the biennium 2018–2019.

Table 63  
2018–2019 core budget posts by cluster and programme

		<i>USG</i>	<i>ASG</i>	<i>D-2</i>	<i>D-1</i>	<i>P-5</i>	<i>P-4</i>	<i>P-3</i>	<i>P-2</i>	<i>Subtotal</i>	<i>GS</i>	<i>Total</i>
EDM												
	2016–2017	1.0	1.0	1.0	1.0	1.0	1.0	2.0	1.0	9.0	7.5	16.5
	2018–2019	1.0	1.0	–	–	1.0	1.0	1.0	1.0	6.0	6.5	12.5
	Variance	–	–	(1.0)	(1.0)	–	–	(1.0)	–	(3.0)	(1.0)	(4.0)
ICA cluster												
	2016–2017	–	–	–	–	–	–	–	–	–	–	–
	2018–2019	–	–	1.0	–	1.0	1.0	–	–	3.0	1.0	4.0
MDA												
	2016–2017	–	–	–	1.0	3.0	15.0	19.0	6.0	44.0	16.0	60.0
	2018–2019	–	–	–	1.0	3.0	16.0	19.0	6.0	45.0	16.0	61.0
	Variance	–	–	–	–	–	1.0	–	–	1.0	–	1.0

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Key features of the 2018-2019 proposed budget and the zero growth scenario



# Vision

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NDCs and NAPs lead to concrete actions, policy interventions and investment plans without delay



Participation of all actors, governments, United Nations entities and the many non-Party stakeholders, as well as enhanced coordination of those efforts for maximum impact

# Four objectives of the secretariat

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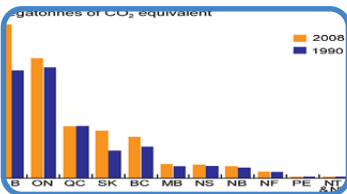
Provide effective technical expertise and technical support to Parties to **facilitate ongoing negotiations** under the Convention and its Kyoto Protocol and the swift completion of the Paris Agreement **rule book**



Enable and support Parties in the implementation of the Convention, the Kyoto Protocol and the Paris Agreement and the **mobilization** of climate action as an integral element of the 2030 Agenda for Sustainable Development



Provide optimal **support** to the UNFCCC intergovernmental process in its **oversight of the global response** to climate change



Strengthen the secretariat's capacity to respond to the evolution of the international climate change regime by adapting skill sets, streamlining services, adopting innovative approaches to work and enhancing its role as the **global hub for official data and information on climate change**



New feature: by programme/cluster

Table 1

**Proposed core budget for 2018–2019 by programme/cluster**

	2018 (EUR)	2019 (EUR)	Total (EUR)
A. Appropriations			
→ Executive Direction and Management	1 490 010	1 490 010	2 980 020
↘ Implementation and climate action	14 773 894	14 591 594	29 365 488
Intergovernmental affairs and secretariat operations	9 802 798	9 899 720	19 702 518
<b>Expenditures</b>	<b>26 066 702</b>	<b>25 981 324</b>	<b>52 048 026</b>
B. Programme support costs (overheads) <sup>a</sup>	3 388 671	3 377 572	6 766 243
C. Adjustment to working capital reserve <sup>b</sup>	175 278	-	175 278
<b>Total (A + B + C)</b>	<b>29 630 651</b>	<b>29 358 896</b>	<b>58 989 547</b>
Income			
Contribution from the Host Government	766 938	766 938	1 533 876
Indicative contributions	28 863 713	28 591 958	57 455 671
<b>Total income</b>	<b>29 630 651</b>	<b>29 358 896</b>	<b>58 989 547</b>

<sup>a</sup> Standard 13 per cent applied for administrative support. See annex VI to this document.

<sup>b</sup> In accordance with the financial procedures (decision 15/CP.1), the core budget is required to maintain a working capital reserve of 8.3 per cent (one month of operating requirements).

## Key features of the proposed budget: Enhanced delivery



Support to (constituted bodies) AC, SCF, TEC, PCCB for their **expanding work plans**



Support through core resources for **three meetings per biennium** of the AC, the TEC, the SCF, the CGE, the Executive Committee of the Warsaw International Mechanism for Loss and Damage, the Compliance Committee of the Kyoto Protocol and the LEG



Strengthening the technical support provided to Parties in their **preparation, communication and implementation of NDCs**, and preparing **analyses and technical documentation** in support of related activities, including the dialogues and partnerships



Supporting the implementation of the MRV framework and its **transition to the transparency framework** under the Paris Agreement



A **more coherent approach to the engagement of a broad range of stakeholders** and the showcasing of their climate action as an important complement to Party action on implementation



Enhancing **mobilization of public and private actors and collaboration with relevant United Nations entities** to assist implementation by Parties at the national level, including in relation to delivery of and access to climate finance and technology and the building of lasting partnerships



Strengthening the support to the activities of the **ad hoc technical expert groups on response measures**, including the development of a training programme and the preparation of technical papers and guidelines

Table 8

**Zero nominal growth scenario for 2018–2019 by cluster**

	2018 (EUR)	2019 (EUR)	Total (EUR)
<b>A. Appropriations</b>			
Executive Direction and Management	1 480 010	1 480 010	2 960 020
Implementation and climate action	13 376 340	13 098 140	26 474 480
Intergovernmental affairs and secretariat operations	9 488 405	9 426 393	18 914 798
<b>Programme expenditures (A + B)</b>	<b>24 344 755</b>	<b>24 004 543</b>	<b>48 349 298</b>
<b>B. Programme support costs (overheads)<sup>a</sup></b>	<b>3 164 818</b>	<b>3 120 591</b>	<b>6 285 409</b>
<b>C. Adjustment to working capital reserve<sup>b</sup></b>	<b>13 777</b>	<b>-</b>	<b>13 777</b>
<b>Total (A + B + C)</b>	<b>27 523 350</b>	<b>27 125 134</b>	<b>54 648 484</b>
<b>Income</b>			
Contribution from the Host Government	766 938	766 938	1 533 876
Indicative contributions	26 756 412	26 358 196	53 114 608
<b>Total income</b>	<b>27 523 350</b>	<b>27 125 134</b>	<b>54 648 484</b>

Zero percent growth

<sup>a</sup> Standard 13 per cent applied for administrative support. See annex VI to this document.

<sup>b</sup> In accordance with the financial procedures (decision 15/CP.1), the core budget is required to maintain a working capital reserve of 8.3 per cent (one month of operating requirements).





Comparison of tables 1 and 8 (proposed budget and zero growth scenario)

<b>Zero nominal growth scenario for 2018–2019 by cluster</b>		<b>Proposed core budget for 2018–2019 by programme/cluster</b>		
	<i>Total (EUR)</i>		<i>Total (EUR)</i>	<i>Increase in percent</i>
Indicative contributions	€ 53,114,608.00	Indicative contributions	€57,455,671.00	8.2%
<b>Total income</b>	<b>€ 54,648,484.00</b>	<b>Total income</b>	<b>€58,989,547.00</b>	<b>7.9%</b>



The proposed staffing table: Six additional posts (1 P-2, 1 P-3, 3 P-4, 1 P-5), but 2 existing posts to be defunded

### Secretariat-wide staffing funded from the core budget

	2017	2018	2019
Professional category and above <sup>a</sup>			
USG	1	1	1
ASG	1	1	1
D-2	2	2	2
D-1	7	7	7
P-5	15	16	16
P-4	35	38	38
P-3	43	44	44
P-2	16	17	17
<b>Subtotal Professional category and above</b>	<b>120</b>	<b>126<sup>b</sup></b>	<b>126<sup>b</sup></b>
<b>Subtotal General Service category</b>	<b>53.5</b>	<b>53.5</b>	<b>53.5</b>
<b>Total</b>	<b>173.5</b>	<b>179.5</b>	<b>179.5</b>

<sup>a</sup> Under-Secretary-General (USG), Assistant Secretary-General (ASG), Director (D) and Professional (P).

<sup>b</sup> One post at the P-3 level and one at the P-4 level will not be funded in order to partly absorb the increase in actual staff costs.



- The Kyoto Protocol share for the 2018–2019 core budget requirements is prorated at 15.0 per cent of the overall proposed budget, compared with 28.2 per cent in the biennium 2016–2017.
- There will continue to be two scales of indicative contributions: one for the Convention and the Paris Agreement, and the other for the Kyoto Protocol.



Table 2  
**Proposed core budget by commitment item**

<i>Commitment item</i>	<i>2016–2017 (EUR)</i>	<i>2018–2019 (EUR)</i>	<i>Difference (%)</i>
Staff costs	37 844 705	40 070 687	5.9
Consultants	1 697 786	2 206 486	30.0
Travel of staff	1 436 256	1 208 451	(15.9)
Experts and expert groups	1 852 926	2 045 420	10.4
General operating expenses	3 392 577	3 888 887	14.6
Supplies, materials, equipment, furniture	1 139 924	1 010 383	(11.4)
Training	392 222	387 672	(1.2)
Contributions to common services	1 195 840	1 230 040	2.9
Grants and contributions (IPCC)	489 510	-	(100.0)
Lump sum adjustment <sup>a</sup>	(1 043 200)	-	100.0
<b>Total core programme budget</b>	<b>48 398 546</b>	<b>52 048 026</b>	<b>7.5</b>
Programme support costs	6 291 811	6 766 243	7.5
Adjustment to working capital reserve <sup>b</sup>	(41 873)	175 278	
<b>Grand total</b>	<b>54 648 484</b>	<b>58 989 547</b>	<b>7.9</b>

*Abbreviation:* IPCC = Intergovernmental Panel on Climate Change.

<sup>a</sup> A lump sum adjustment was made to non-staff costs during the consideration by the Subsidiary Body for Implementation at its forty-second session of the budget for the biennium 2016–2017 to achieve the targeted overall amount.

<sup>b</sup> In accordance with the financial procedures (decision 15/CP.1, annex I, para. 14), the working capital reserve will increase to EUR 2,444,796 in 2018 and will be kept at that level in 2019.



## Core budget requirements and anticipated expenditures under other trust funds for the biennium 2018-2019

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	<b>Core Budget</b>	<b>Trust fund for supplementary activities</b>	<b>Other Trust Funds</b>	<b>Total (Euro)</b>
<b>Total</b>	58,989,547	52,915,055	45,518,198	157,422,800
<b>% of total Budget</b>	37%	34%	29%	100%

**Other trust funds includes:**

- *Trust fund for the Clean Development Mechanism*
- *Trust fund for the special Annual contribution from the Government of Germany (Bonn Fund)*
- *Trust fund for the International Transactions Log*





- Questions from Parties will be posted on the UNFCCC website together with answers from the secretariat;
- It is expected that a contact group be established and hold its first meeting on the first day of the session of the SBI;
- It is expected that a split-off group will consider the proposed budget for the ITL;
- The SBI is expected to recommend the programme budget and the ITL budget for adoption by the COP23/CMP13;
- The secretariat will notify Parties of their indicative contributions for 2018 in August/September 2017.



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# Questions and Answers

