

#### **United Nations** Climate Change Secretariat

#### **Nations Unies**

Secrétariat sur les changements climatiques

Date: Bonn, 10 January 2013 Reference: ODES/COP 18/12

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#### MESSAGE TO PARTIES

### Early submission of information and views

This note contains an updated table listing deadlines for submission of information and views invited by Convention and Kyoto Protocol bodies, as well as for submission of national communications, biennial reports and biennial update reports by Parties. The note has been prepared as a reference for Parties to facilitate the early submission of information and views.

The update reflects the outcomes of the recent sessions of the Conference of the Parties, the Conference of the Parties serving as the Meeting of the Parties to the Kyoto Protocol, the Subsidiary Body for Scientific and Technological Advice, the Subsidiary Body for Implementation, the Ad Hoc Working Group on Further Commitments for Annex I Parties under the Kyoto Protocol, the Ad Hoc Working Group on Long-term Cooperative Action under the Convention, and the Ad Hoc Working Group on the Durban Platform for Enhanced Action. Where the reports of the sessions are not yet ready the table refers to the appropriate in-session documents.

In order to facilitate preparation of submissions in a timely manner, it is important that the submissions reach the secretariat on time. Electronic submissions, preferably formatted in MS Word 2003 should be sent to <a href="mailto:secretariat@unfccc.int">secretariat@unfccc.int</a> where receipt will be acknowledged.

As submissions are compiled on an issue-by-issue basis, Parties are requested to identify each submission by its subject and mandate and, if possible, send each submission as a separate document.

Yours sincerely,

(signed by)

Christiana Figueres Executive Secretary

**Distribution:** To Parties and observer States through national focal points for climate change and diplomatic missions accredited to the Federal Republic of Germany.



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## I – Reminder of deadlines for submission of views or information by Parties

Submission		Date
	2012	
1.	Arrangements for intergovernmental meetings (SBI)	15 November
	Views from Parties on ways to improve efficiency and effectiveness, planning, as well as the structure of the process to streamline it, including budgetary implications	
	(FCCC/SBI/2012/15, paragraph 238)	

## $\boldsymbol{\Pi}$ - Upcoming deadlines for submission of views or information by Parties

	Submission	Date
	2013	
1.	Guidelines for the formulation of national adaptation plans (SBI)	13 February
	Information from Parties and relevant organizations on their experiences with the application of guidelines for the national adaptation plan process for least developed countries.	
	(Decision 5/CP.17, paragraph 7)	
2.	Addressing the Implications of decisions -2/CMP.7 to -5/CMP.7 on the previous decisions on methodological issues related to the Kyoto Protocol including those relating to Articles 5, 7 and 8 of the Kyoto Protocol (SBSTA)	15 February
	Views on and proposals or elements of proposals to address the implications referred to in paragraphs 6 and 8 of that decision.	
	(FCCC/KP/CMP/2012/L.4/Rev.1, paragraph 9)	
3.	Guidance related to joint implementation (SBI)	18 February
	Further views from Parties and admitted observer organizations on how the joint implementation guidelines and other decisions of the CMP pertaining to joint implementation should be revised.	
	(FCCC/KP/CMP/2012/L.7, paragraph 12)	
4.	Organization of the second and subsequent meetings of the Durban Forum on Capacity-building (SBI)	18 February
	Information from Parties on the activities that Parties have undertaken pursuant to decisions 2/CP.7, 2/CP.10, 1/CP.16 and 2/CP.17, including, inter alia, information on needs and gaps, experiences and lessons learned.	
	Views from Parties on specific issues to be considered at the second meeting of the Durban Forum and on the potential enhancement of the organization of the Durban Forum.	
	(FCCC/CP/2012/L.14/Rev.1, paragraph 75 (a) to (c))	



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	Submission	Date
	2013	
5.	Organization of the second meeting of the Durban Forum on Capacity-building: specific issues related to capacity-building for the implementation of the Kyoto Protocol (SBI)	18 February
	Views from Parties as part of their annual submissions in accordance with decisions 4/CP.12 and 6/CMP.2 on specific thematic issues related to capacity-building for the implementation of the Kyoto Protocol in developing countries, to be considered at the second meeting of the Durban Forum, to be held at SBI 38.	
	(FCCC/SBI/2012/L.38, paragraph 3)	
6.	Elements in the development of the further guidelines for the fifth review of the financial mechanism (COP)	1 March
	Views and recommendations from Parties for consideration by the Standing Committee on elements in the development of the further guidelines for the fifth review of the financial mechanism.	
	(FCCC/SBI/2012/L.45, paragraph 4)	
7.	Implementation of all the elements of decision 1/CP.17, (a) Matters related to paragraphs 2 to 6; (ADP) <sup>1</sup>	1 March
	Information, views and proposals from Parties and accredited observer organizations on matters related to the work of the ADP, including, inter alia, mitigation, adaptation, finance, technology, development and transfer, capacity-building, and transparency of action and support, addressing aspects such as those indicated in paragraph 13 (a)-(d) of the ADP conclusions.	
	(ADP conclusions as adopted, Planning of work, paragraph 13 (a)-(d)) <sup>2</sup>	
8.	Implementation of all the elements of decision 1/CP.17, ( (b) Matters related to paragraphs 7 and 8. (ADP) <sup>1</sup>	1 March
	Information, views and proposals from Parties and accredited observer organizations on actions, initiatives and options to enhance ambition, including through the workplan on enhancing mitigation ambition, with a particular focus on 2013, including aspects indicated in paragraph 15 (a)-(c) of the ADP conclusions.	
	(ADP conclusions as adopted, Planning of work, paragraph 15 (a)-(c)) <sup>3</sup>	
9.	Long-term finance (COP)	21 March
	Views from Parties on long-term finance.	
	(FCCC/CP/2012/L.15, paragraph 5)	

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<sup>&</sup>lt;sup>1</sup> The ADP invited Parties and admitted observer organizations to provide information, views and proposals on the work of the ADP before each session, as per FCCC/ADP/2012/L.4, paragraph 6.

<sup>&</sup>lt;sup>2</sup> http://unfccc.int/files/documentation/submissions\_from\_parties/adp/application/pdf/adp\_adv\_uneditedconclusions\_07122012.pdf.

http://unfccc.int/files/documentation/submissions\_from\_parties/adp/application/pdf/adp\_adv\_uneditedconclusions\_07122012.pdf



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10. Research and Systematic Observation (SBSTA)  Views from Parties on possible items for consideration as part of the research dialogue during SBSTA 38.  (FCCC/SBSTA/2012/L.25, paragraph 13)  and  Information from Parties and regional and international research programmes and	
Views from Parties on possible items for consideration as part of the research dialogue during SBSTA 38.  (FCCC/SBSTA/2012/L.25, paragraph 13)  and	
dialogue during SBSTA 38. (FCCC/SBSTA/2012/L.25, paragraph 13)  and	
and	
Information from Parties and regional and international research programmes and	
organizations active in climate change research on the technical and scientific aspects of emissions by sources, removals by sinks, and reservoirs of all greenhouse gases, including emissions and removals from terrestrial ecosystems such as steppe, savannah, tundra and peatlands, with a view to identifying and quantifying the impact of human activities, for consideration as a theme for the next research dialogue (SBSTA 38)	
(FCCC/SBSTA/2012/L.25, paragraph 17)	
11. Research and Systematic Observation (SBSTA) 25 March	
Views from Parties on the content of the workshop on technical and scientific aspects of ecosystems with high-carbon reservoirs not covered by other agenda items under the Convention, such as coastal marine ecosystems, in the context of wider mitigation and adaptation efforts.	
(FCCC/SBSTA/2012/L.25, paragraph 16)	
12. General guidelines for domestic measurement, reporting, and verification of domestically-supported nationally appropriate mitigation actions by developing country Parties (SBSTA)	
Views on the general guidelines for domestic measurement, reporting and verification of domestically supported nationally appropriate mitigation actions by developing country Parties.	
(FCCC/SBSTA/2012/L.24, paragraph 3)	
13. Land use, land-use change and forestry (LULUCF) under Article 3, paragraphs 3 and 4 of the Kyoto Protocol and under the clean development mechanism (SBSTA)	
Further views from Parties and admitted observer organizations on issues related to LULUCF referred to in paragraphs 116 - 118 of document FCCC/SBSTA/2012/2.	
(FCCC/SBSTA/2012/L.30, paragraph 5)	
14. Work programme on clarification of quantified economy-wide emission reduction targets of developed country Parties (SBSTA)  25 March	
Views by all Parties on the work programme referred to in paragraph 8 of FCCC/CP/2012/L.14/Rev.1	
Additional information by developed country Parties for the clarification of their targets and associated assumptions and conditions as outlined in decision 2/CP.17, paragraph 5.	
Invitation to developed country Parties to submit information on the progress made towards the formulation of their low-emission development strategies.	
(FCCC/CP/2012/L.14/Rev.1, paragraphs 10 and 11)	



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	Submission	Date
	2013	
15.	Framework for various approaches (SBSTA)  Views from Parties and admitted observer organizations on the matters referred to in	25 March
	paragraphs 44–46 of document FCCC/CP/2012/L.14/Rev.1, including information, experience and good practice relevant to the design and operation of various approaches.	
	(FCCC/CP/2012/L.14/Rev.1, paragraph 48)	
16.	Non-market-based approaches (SBSTA)	25 March
	Views from Parties and admitted observer organizations on the matters referred to in paragraph 47 of document FCCC/CP/2012/L.14/Rev.1, including information, experience and good practice relevant to the design and operation of various approaches.	
	(FCCC/CP/2012/L.14/Rev.1, paragraph 48)	
17.	New market-based mechanism (SBSTA)	25 March
	Views from Parties and admitted observer organizations on the matters referred to in paragraphs 50–51 of document FCCC/CP/2012/L.14/Rev.1, including information, experience and good practice relevant to the design and operation of the mechanism referred to in paragraph 50.	
	(FCCC/CP/2012/L.14/Rev.1, paragraph 52)	
18.	Guidance relating to the clean development mechanism (SBI)	25 March
	Views from Parties and admitted observer organizations on possible changes to the modalities and procedures for the clean development mechanism.	
	(FCCC/KP/CMP/2012/L.10, paragraph 12)	
19.	Forum and work programme on the impact of the implementation of response measures (SBSTA/SBI)	25 March
	Views from Parties and relevant organizations on the following areas of the work programme in accordance with decision 8/CP.17, paragraph 1:  - Area (c) - Assessment and analysis of impacts	
	<ul> <li>Area (d) - Exchanging experience and discussion of opportunities for economic diversification and transformation</li> <li>-Area (e) - Economic modelling and socio-economic trends</li> </ul>	
	- Area (g) - Just transition of the workforce, and the creation of decent work and quality job	
	(FCCC/SBSTA/2012/2, annex III and FCCC/SBI/2012/15, annex I)	
20.	Policy approaches and positive incentives on issues relating to reducing emissions from deforestation and forest degradation in developing countries; and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries (SBSTA/SBI)	25 March
	Views from Parties and admitted observer organizations on the matters referred to in paragraphs 34 and 35 of FCCC/CP/2012/L.14/Rev.1, including potential functions, modalities and procedures.	
	(FCCC/CP/2012/L.14/Rev.1, paragraph 36)	



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Submission	Date
2013	
21. Financial implications of the preparation of most recent national communications (SBI)	25 March
Views from other non-Annex I Parties that have not already done so, on detailed costs incurred, including in-kind contributions, for the preparation of its most recent national communication and on the financial resources received through the GEF.	
(FCCC/SBI/2012/L.52, paragraph 6)	
22. Arrangements to make the Climate Technology Centre and Network fully operational (SBI)	29 March
Parties to nominate their national designated entities for the development and transfer of technologies pursuant to decision 2/CP.17, annex VII, and decision 4/CP.13, paragraph 8, in order to facilitate the operationalization of the Climate Technology Centre and Network.	
(FCCC/CP/2012/L.10, paragraph 12)	
23. Revision of the UNFCCC reporting guidelines on annual inventories for Parties included in Annex I to the Convention (SBSTA)	3 May
Parties included in Annex I to the Convention, in addition to the inventory submitted in line with the <i>Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part I: UNFCCC reporting guidelines on annual inventories</i> adopted by decision 14/CP.11, to use the UNFCCC	
Annex I inventory reporting guidelines voluntarily during a trial period from October 2012 to May 2013, to submit their views on the experience of using these revised guidelines and the upgraded CRF Reporter to the secretariat.	
(Decision 15/CP.17, paragraph 3)	
24. Fully operational prototype of the registry (SBI)	31 May
Parties and entities to submit comments on the fully operational prototype of the registry.	
(FCCC/SBI/2012/L.39, paragraph 9)	
25. Work programme on the revision of the guidelines for the review of biennial reports, national communications, including national inventory reviews, for developed country Parties (SBSTA)	15 July
Additional views on the overall approach and views on the structure, outline, key elements and content of the review guidelines for NCs and BRs.	
(FCCC/SBSTA/2012/L.28, annex)	
26. Guidance to the operating entities of the financial mechanism of the Convention (COP)	2 September
Views and recommendations from Parties on the elements to be taken into account in developing guidance to the operating entities of the financial mechanism of the Convention.	
(FCCC/CP/2012/L.7, paragraph 7 and FCCC/CP/2012/L.17, paragraph 16)	
27. <u>Information on strategies and approaches for mobilizing scaled-up climate finance (COP)</u>	2 September
Developed country Parties to submit information on their strategies and approaches for mobilizing scaled-up climate finance to USD 100 billion per year by 2020 in the context of meaningful mitigation actions and transparency on implementation.	
(FCCC/CP/2012/L.14/Rev.1, paragraph 67)	



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	Submission	Date
	2013	
28.	Advancing the goal of gender balance in bodies (COP)	2 September
	Views from Parties and observer organizations on options and ways to advance the goal of gender balance in bodies	
	(FCCC/SBI/2012/L.36, paragraph 11)	
29.	Forum and work programme on the impact of the implementation of response measures (SBSTA/SBI)	2 September
	Views from Parties and relevant organizations on the following areas of the work programme in accordance with decision 8/CP.17, paragraph. 1: -Area (b) - Cooperation on response strategies	
	(FCCC/SBSTA/2012/2, annex III and FCCC/SBI/2012/15, annex I)	
30.	Nationally appropriate mitigation actions by developing country Parties in the context of sustainable development, supported and enabled by technology, financing and capacity-building, in a measurable, reportable and verifiable manner (SBSTA/SBI)	Open call
	Voluntary information by developing country Parties to the Conference of the Parties of their intention to implement nationally appropriate mitigation actions in association with decision 1/CP.16, paragraph 50.	
	(FCCC/CP/2012/L.14/Rev.1, paragraph 16)	
31.	Actions and plans in pursuit of economic diversification that have co-benefits in the form of emission reductions, adaptation to the impacts of climate change and response measures (SBSTA/SBI)	Open call
	Further information from Parties concerned and other Parties on actions and plans in pursuit of economic diversification that have co-benefits in the form of emission reductions, adaptation to the impacts of climate change and response measures.	
	(FCCC/CP/2012/L.11, paragraph 2)	

	Submission	Date
	Annually	
32.	Capacity-building under the Convention (SBI)  Information from Parties on the activities they have undertaken pursuant to decision 2/CP.7 and 2/CP.10, which should include, inter alia, such elements as needs and gaps, experiences and lessons learned.  (Decision 4/CP.12, paragraph 1 (a))	For 2013 18 February
33.	Capacity-building under the Kyoto Protocol (SBI)  Information from Parties on the activities that they have undertaken pursuant to decision 29/CMP.1 and reports from relevant multilateral and bilateral agencies and the private sector on their support of the implementation of the framework undertaken pursuant to decision 29/CMP.1, in accordance with national priorities and with the knowledge of relevant national authorities.  (Decision 6/CMP.2, paragraph 1 (a) and (b))	For 2013 18 February



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	Submission	Date
	Annually	
34.	Fast-Start Finance (COP) Information from developed country Parties on the resources provided to fulfil the commitment referred to in decision 1/CP.16, paragraph 95, including ways in which developing country Parties access these resources.  (Decision 1/CP.16, paragraphs 95–96)	For 2013 May
35.	Guidance to the operating entities of the financial mechanism of the Convention (COP)  Views and recommendations from Parties on the elements to be taken into account in the development of annual guidance for the operating entities of the financial mechanism of the Convention.  (FCCC/CP/2012/L.7, paragraph 7 and FCCC/CP/2012/L.17, paragraph 16)	No later than 10 weeks prior to a session of the COP
36.	Support provided for capacity-building in developing country Parties (SBI)  Information from developed country Parties in a position to do so, through annual submissions, to the secretariat and other appropriate channels, on the support they have provided for capacity-building in developing country Parties.  (Decision 1/CP.16, paragraph 133)	Open call
37.	Progress made in enhancing capacity to address climate change (SBI)  Information from developing country Parties in a position to do so, through annual submissions to the secretariat and other appropriate channels, on progress made in enhancing capacity to address climate change, including on the use of the support received.  (Decision 1/CP.16, paragraph 135)	Open call
38.	Financial, technology and capacity-building support (SBI)  Developed country Parties, the entity or entities entrusted with the operation of the financial mechanism, including the Global Environment Facility and the Green Climate Fund, multilateral, bilateral and other public donors, and private and non-governmental organizations that are in a position to do so, to submit, as appropriate, information on financial, technology and capacity-building support available and/or provided for the preparation and/or implementation of nationally appropriate mitigation actions, in accordance with decision 2/CP.17, paragraph 48.  (FCCC/SBI/2012/L.39, paragraph 2)	Open call
39.	Further individual nationally appropriate mitigation actions seeking international support (SBI)  Developing country Parties to submit, as appropriate, information on further individual nationally appropriate mitigation actions seeking international support in accordance with decision 2/CP.17, paragraph 46.  (FCCC/SBI/2012/L.39, paragraph 3)	Open call
40.	Other individual nationally appropriate mitigation actions (SBI)  Developing country Parties to submit other individual nationally appropriate mitigation actions, for their recognition, in accordance with decision 2/CP.17, paragraph 47.  (FCCC/SBI/2012/L.39, paragraph 4)	Open call



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	Submission	Date
	2014	
41.	Work programme on the revision of the guidelines for the review of biennial reports, national communications, including national inventory reviews, for developed country Parties (SBSTA)	15 February
	Detailed views on the structure, outline, key elements and content of the review guidelines for GHG inventories.	
	(FCCC/SBSTA/2012/L.28, annex)	
42.	Enhanced action on mitigation, A. Nationally appropriate mitigation commitments or actions by developed country Parties (UNFCCC biennial reporting guidelines for developed countries) (SBI)	1 March
	Views from Annex I Parties on their experience with reporting the first biennal reports.	
	(Decision 2/CP.17, paragraph 17)	
43.	Outcome of the work of the Ad Hoc Working Group on Further Commitments for Annex I Parties under the Kyoto Protocol (CMP)	30 April
	Submission by each Party with a quantified emission limitation and reduction commitment inscribed in the third column of Annex B as contained in annex I to the decision of information relating to its intention to increase the ambition of its commitment, including progress made towards achieving its quantified emission limitation and reduction commitment, the most recently updated projections for greenhouse gas emissions until the end of the second commitment period, and the potential for increasing ambition.	
	(FCCC/KP/CMP/2012/L.9, paragraph 9)	
44.	Work programme on the revision of the guidelines for the review of biennial reports, national communications, including national inventory reviews, for developed country Parties (SBSTA)	15 July
	Further views on the updated draft of the revised review guidelines for GHG inventories.	
	(FCCC/SBSTA/2012/L.28, annex)	
45.	Appropriate methodologies and systems used to measure and track climate finance (COP)	1 May
	Information from developed country Parties on the appropriate methodologies and systems used to measure and track climate finance.	
	(FCCC/CP/2012/L.16, paragraph 10)	
46.	Further guidance to the Least Developed Countries Fund (SBI)	1 August
	Information from Parties and relevant organizations on their experiences with the implementation of the remaining elements of the least developed countries work programme, considering the options contained in the report of the twenty-first meeting of the Least Developed Countries Expert Group.	
	(FCCC/SBI/2012/L.49)	



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	Submission	Date
	2015	
47.	Matters relating to Article 4, paragraphs 8 and 9, of the Convention. Matters relating to the least developed countries (SBI)	1 February
	Views from Parties on the work of the Least Developed Countries Expert Group.  (Decision 6/CP.16, paragraph 9 (b))	

	Submission	Date
	2016	
48.	Capacity-building under the Convention for countries with economies in transition (SBI)	29 February
	Information from Parties and relevant organizations on how they have implemented capacity-building activities in those countries with economies in transition that are currently receiving support. Parties could include this information as part of their annual submissions on capacity-building in accordance with decision 4/CP.12, paragraph 1(a).	
	(FCCC/SBI/2012/15/Add.1, page 4 paragraph 5)	
49.	Capacity-building under the Kyoto Protocol for countries with economies in transition (SBI)	29 February
	Information from Parties and relevant organizations on how they have implemented capacity-building activities in those countries with economies in transition that are currently receiving support. Parties could include this information as part of their annual submissions on capacity-building in accordance with decision 4/CP.12, paragraph 1(a).	
	(FCCC/SBI/2012/15/Add.1, page 6 paragraph 5)	
50.	Doha work programme on Article 6 of the Convention (SBI)	Open call
	Information from Parties (as part of their national communications, where appropriate) on their efforts and steps taken to implement the work programme and to share their experiences and best practices for the purpose of reviewing the programme in 2016 and 2020.	
	Information from Parties on the funding received from all sources, including the GEF. Bilateral and multilateral agencies and the United Nations organizations, for the implementation of Article 6 of the Convention.	
	(FCCC/SBI/2012/L.47, paragraphs 3 & 4)	



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	Submission	Date
2020		
51.	Doha work programme on Article 6 of the Convention (SBI)  Information from Parties (as part of their national communications, where appropriate) on their efforts and steps taken to implement the work programme and to share their experiences and best practices for the purpose of reviewing the programme in 2016 and 2020.	Open call
	Information from Parties on the funding received from all sources, including the GEF. Bilateral and multilateral agencies and the United Nations organizations, for the implementation of Article 6 of the Convention.  (FCCC/SBI/2012/L.47, paragraphs 3 & 4)	

# III - Upcoming deadlines for submission of national communications, biennial reports and biennial update reports by Parties

	Submission	Date	
2014			
1.	Enhanced action on mitigation, A. Nationally appropriate mitigation commitments or actions by developed country Parties (UNFCCC biennial reporting guidelines for developed country Parties) (SBI)  Submission of first biennial reports by developed country Parties.  (Decision 2/CP.17, paragraph 13)	1 January	
2.	Enhanced action on mitigation, A. Nationally appropriate mitigation commitments or actions by developed country Parties (UNFCCC biennial reporting guidelines for developed country Parties) (SBI)  Submission of a full national communication by Parties included in Annex I to the Convention every four years, noting that the next national communication is due by 1 January 2014 according to decision 9/CP.16.  (Decision 2/CP.17, paragraph 14 and decision 9/CP.16, paragraph 5)	1 January	
3.	Enhanced action on mitigation, B. Nationally appropriate mitigation actions by developing country Parties (UNFCCC biennial update reporting guidelines for Parties not included in Annex I to the Convention) (SBI)  First biennial update report by non-Annex I Parties; least developed country Parties and small island developing States may submit biennial update reports at their discretion.  (Decision 2/CP.17, paragraph 41(a))	31 December	