



## Progress tracker

### Work programme resulting from the relevant requests contained in decision 1/CP.21

(Information available as at 10 April 2017)

<b>Color Code</b>			
PA Art. 4 and Dec. 1/CP.21, §§12 – 32 and §35	PA Art. 7 and Dec. 1/CP.21, §§41 – 46	PA Art 13 Dec. 1/CP.21; §§84 – 98	Dec. 1/CP.21, §§105 – 132
PA Art. 4.15 Dec. 1/CP.21, §§33 & 34	PA Art. 8 Dec. 1/CP.21; §§47 – 51	PA Art. 14 Dec. 1/CP.21; §§99 – 101	Dec. 1/CP.21, §§133 – 136
PA Art. 6 Dec. 1/CP.21, §§36 – 40	PA Arts. 9 – 12 and Dec. 1/CP.21, §§43 & 46 and §§52 – 83	PA Art. 15 Dec. 1/CP.21; §§102 & 103	

*Note: On 4 November 2016, the Paris Agreement entered into force. The first part of the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (CMA 1) took place in Bab Ighli, Marrakech, Morocco in conjunction with COP 22 and CMP 12. For information on how the CMA will conduct its business, please see [decision 1/CMA.1](#)*

Background information, including on the approach to this progress tracker can be found [here](#)

<i>Mandate<sup>1</sup></i>	<i>Body/Actor</i>	<i>Timeline<sup>2</sup></i>	<i>Status</i>	<i>Next steps<sup>3</sup></i>
§14: Continue to publish the INDCs communicated by Parties on the UNFCCC website.	Secretariat	Ongoing.	<a href="#">Ongoing.</a>	<a href="#">Ongoing.</a>
§15: Provide support for the preparation and communication of the INDCs of Parties that may need such support.	Developed country Parties; Operating entities of the FM and any other organizations	Ongoing.	Ongoing.	Ongoing.
§19: Update the INDC synthesis report so as to cover all the information in the INDCs communicated by Parties pursuant to decision 1/CP.20 by 4 April 2016 and to make it available by 2 May 2016.	Secretariat	2 May 2016	<a href="#">Completed.</a>	<a href="#">Completed.</a>
§20: Convene a facilitative dialogue among Parties in 2018 to take stock of the collective efforts of Parties in relation to progress towards the long-term goal referred to in Article 4.1 of the Agreement and to inform the preparation of NDCs pursuant to Article 4.8 of the Agreement.	COP	2018	Ongoing.	COP22 and COP23 Presidents to undertake inclusive and transparent consultations during SB46 (8–18 May 2017) and COP23 (November 2017) and to jointly report back at COP23.

<sup>1</sup> \* Indicates mandates with progress updates reflected in this version of the progress tracker.

<sup>2</sup> The SBSTA, SBI, APA and the constituted bodies are to accelerate their work on the PA work programme, and forward the outcomes to COP24 (December 2018), at the latest. For details, please see [decision 1/CMA.1](#) and [decision 1/CP.22](#).

<sup>3</sup> APA1.2 (November 2016) invited Parties to submit, by 30 April 2017, views on how to progress work in the period after APA1.3 (May 2017). See document [FCCC/APA/2016/4, §§13, 28 and 29](#).

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				See <a href="#">decision 1/CP.22</a>
§22: Communicate first NDC no later than when the Party submits its respective instrument of ratification, acceptance, approval or accession of the PA. <sup>4</sup>	Parties	No later than when a Party submits instrument of ratification, acceptance, approval or accession.	<a href="#">Ongoing.</a>	<a href="#">Ongoing.</a>
§25: Submit to the secretariat NDCs referred to in Article 4 of the Agreement at least 9 to 12 months in advance of the relevant session of the CMA with a view to facilitating the clarity, transparency and understanding of these contributions, including through a synthesis report prepared by the secretariat.	Parties Secretariat	In advance of relevant CMA.	Report to be prepared in advance of relevant CMA.	Report to be prepared in 2020.
*§26: Develop further guidance on features of the NDCs for consideration and adoption by CMA1.	APA CMA	Third part of the first CMA session (2018), at the latest.	Negotiations continued at APA1.2. See document <a href="#">FCCC/PA/2016/4, §§17&amp;18.</a> Also see <a href="#">Informal note by the Co-Facilitators</a> ;  The APA Co-Chairs issued a <a href="#">reflections note</a> on 8 February 2017 (see §§7–14);  Parties submitted <a href="#">views</a> by 1 April 2017.	Negotiations to continue at APA 1.3 (8–18 May 2017);  Secretariat to compile submissions before APA1.3;  Secretariat to organize, under the guidance of the APA co-chairs, a roundtable on 6 May 2017. For details, see <a href="#">APA1.3 website</a> .
PA Art. 4.10: Consider common timeframes for the NDCs.	SBI CMA	Third part of the first CMA session (2018), at the latest.	The CMA agreed to send this item to SBI 47 for its consideration. See <a href="#">FCCC/PA/CMA/2016/3, §24.</a>	To be considered at SBI47 in November 2017.
*PA Art. 4.11: At any time adjust existing NDC with a view to enhancing level of ambition, in accordance with guidance adopted by the CMA.	Parties CMA	Third part of the first CMA session (2018), at the latest.	APA 1. 2 identified this issue as a possible additional matter relating to the implementation of the PA. See the <a href="#">Informal note by the APA Co-Chairs</a> ;  CMA1 invited the COP to request the APA to continue its consideration of possible additional matters relating to the implementation of the PA. See <a href="#">decision 1/CMA1, §9</a> ;  Also see <a href="#">reflections note</a> by APA Co-Chairs issued on 8 February 2017, §§45–48	Considerations to continue at APA1.3 (8–18 May 2017).

<sup>4</sup> If the Party has communicated an INDC prior to joining the Agreement, that Party shall be considered to have satisfied this provision unless that Party decides otherwise.

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*§28: Develop further guidance for the information to be provided by Parties in order to facilitate clarity, transparency and understanding of NDCs for consideration and adoption by CMA1.	APA CMA	Third part of the first CMA session (2018), at the latest.	Negotiations continued at APA1.2. See document <a href="#">FCCC/APA/2016/4, §§17&amp;18</a> . Also see <a href="#">Informal note by the Co-Facilitators</a> ;  The APA Co-Chairs issued a <a href="#">reflections note</a> on 8 February 2017 (see §§7–14);  Parties submitted <a href="#">views</a> by 1 April 2017.	Negotiations to continue at APA1.3 (8–18 May 2017);  Secretariat to compile submissions before APA1.3;  Secretariat to organize, under the guidance of the APA co-chairs, a roundtable on 6 May 2017. For details, see <a href="#">APA1.3 website</a> .
§29: Develop modalities and procedures for the operation and use of the public registry referred to in Article 4.12 of the Agreement for consideration and adoption by CMA1.	SBI CMA	Third part of the first CMA session (2018), at the latest.	Negotiations continued at SBI45. See document <a href="#">FCCC/SBI/2016/20, §§38–42</a> .	Negotiations to continue at SBI46 (8–18 May 2017)
§30: Make available an interim public registry in the first half of 2016 for the recording of NDCs submitted in accordance with Art. 4 of the Agreement, pending the adoption by the CMA of modalities and procedures referred to in paragraph 29.	Secretariat	First half of 2016.	<a href="#">Completed</a> .	<a href="#">Completed</a> .
*§31: Elaborate, drawing from approaches established under the Convention and its related legal instruments as appropriate, guidance for accounting for Parties' NDCs, as referred to in Article 4.13 of the Agreement for consideration and adoption by CMA1; (see para 31 (a–d) for further details).	APA CMA	Third part of the first CMA session (2018), at the latest.	Negotiations continued at APA1.2. See document <a href="#">FCCC/APA/2016/4, §§17&amp;18</a> . Also see <a href="#">Informal note by the Co-Facilitators</a> ;  The APA Co-Chairs issued a <a href="#">reflections note</a> on 8 February 2017 (see §§7–14);  Parties submitted <a href="#">views</a> by 1 April 2017.	Negotiations to continue at APA1.3 (8–18 May 2017);  Secretariat to compile submissions before APA1.3;  Secretariat to organize, under the guidance of the APA co-chairs, a roundtable on 6 May 2017. For details, see <a href="#">APA1.3 website</a> .
*§34: Recommend, for consideration and adoption by CMA1, the modalities, work programme and functions of the forum on the impact of the implementation of response measures to address the effects of the implementation of response measures under the Agreement by enhancing cooperation amongst Parties on understanding the impacts of mitigation actions under the Agreement and the exchange of information, experiences, and best practices amongst Parties to raise their resilience to these impacts.	SBSTA and SBI CMA	Third part of the first CMA session (2018), at the latest.	Negotiations continued at SB45; See document <a href="#">FCCC/SBI/2016/20, §§103 – 105</a> ;  The chairs of the SBI and SBSTA, with the help of the secretariat, issued a <a href="#">reflections note</a> on 27 March 2017.	Negotiations to continue at SB46 in (8–18 May 2017).
§35: Publish on the UNFCCC website Parties' low greenhouse gas emission development strategies as communicated by Parties.	Secretariat	Ongoing.	<a href="#">Ongoing</a> .	<a href="#">Ongoing</a> .
*§36: Develop and recommend the guidance referred to under Article 6.2 of the Agreement for consideration and adoption by	SBSTA CMA	Third part of the first CMA session (2018), at the latest.	Negotiations continued at SBSTA45. See document	Negotiations to continue at SBSTA46 (8–18 May 2017);

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CMA1, including guidance to ensure that double counting is avoided on the basis of a corresponding adjustment by Parties for both anthropogenic emissions by sources and removals by sinks covered by their NDCs under the Agreement.			<a href="#">FCCC/SBSTA/2016/4, §§81 – 104</a> ; Parties submitted <a href="#">views</a> by 17 March 2017.	A roundtable discussion among Parties to be held in conjunction with SBSTA46 (8–18 May 2017). For details, see <a href="#">SBSTA46 website</a> .
*§38: Develop and recommend rules, modalities and procedures for the mechanism referred to in paragraph 37 (mechanism established by Article 6.4 of the Agreement) for consideration and adoption by CMA1 ( <i>see para 37 (a–f) for further details</i> ).	SBSTA CMA	Third part of the first CMA session (2018), at the latest.	Negotiations continued at SBSTA45. See document <a href="#">FCCC/SBSTA/2016/4, §§81 – 104</a> ; Parties submitted <a href="#">views</a> by 17 March 2017.	Negotiations to continue at SBSTA46 (8–18 May 2017); A roundtable discussion among Parties to be held in conjunction with SBSTA46 (8–18 May 2017). For details, see <a href="#">SBSTA46 website</a> .
*§39: Undertake a work programme under the framework for non-market approaches to sustainable development referred to in Article 6.8 of the Agreement, with the objective of considering how to enhance linkages and create synergy between, inter alia, mitigation, adaptation, finance, technology transfer and capacity-building, and how to facilitate the implementation and coordination of non-market approaches.	SBSTA CMA	Third part of the first CMA session (2018), at the latest.	Negotiations continued at SBSTA45. See document <a href="#">FCCC/SBSTA/2016/4, §§81 – 104</a> ; Parties submitted <a href="#">views</a> by 17 March 2017.	Negotiations to continue at SBSTA46 (8–18 May 2017); A roundtable discussion among Parties to be held in conjunction with SBSTA46 (8–18 May 2017). For details, see <a href="#">SBSTA46 website</a> .
*PA Art. 7.10 & 7.11: Develop further guidance in relation to the adaptation communication, including, inter alia, as a component of nationally determined contributions, referred to in Article 7, paragraphs 10 and 11, of the Paris Agreement.	APA CMA	Third part of the first CMA session (2018), at the latest.	Negotiations continued at APA1.2; See document <a href="#">FCCC/APA/2016/4, §§19–22</a> . Also see <a href="#">Informal Note by the Co-Facilitators</a> ;  Secretariat published an <a href="#">information note</a> on 15 February 2017;  The APA Co-Chairs issued a <a href="#">reflections note</a> on 8 February 2017 (see §§15–20);  Parties submitted <a href="#">views</a> by 30 March 2017.	Negotiations to continue at APA1.3 (8–18 May 2017); Secretariat to prepare synthesis report by 30 April 2017. Secretariat to organize, under the guidance of the APA co-chairs, a round table on 6 May 2017. For details, see <a href="#">APA1.3 website</a> .
PA Art. 7.12: Develop modalities and procedures for the operation and use of the public registry referred to in Article 7.12 of the Agreement for consideration and adoption by CMA1.	SBI CMA	Third part of the first CMA session (2018), at the latest.	Negotiations continued at SBI45. See document <a href="#">FCCC/SBI/2016/20, §§43–46</a> .	Negotiations to continue at SBI46 (8–18 May 2017).
*§41: Jointly develop modalities to recognize the adaptation efforts of developing country Parties, as referred to in Article 7.3 of the Agreement, and make recommendations for consideration and adoption by CMA1.	AC and LEG CMA	Third part of the first CMA session (2018), at the latest.	The AC/LEG continued their work on this mandate at a joint meeting on 8 March 2017;  <a href="#">Meeting outcomes</a> .	The AC/LEG will hold a joint event (12 May 2017) during SB46 (8–18 May 2017) to present latest findings and to

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				collect feedback from Parties and non-Party stakeholders.
*§42: Taking into account its mandate and its second three-year workplan, and with a view to preparing recommendations for consideration and adoption by CMA1: (a) Review, in 2017, the work of adaptation-related institutional arrangements under the Convention, with a view to identifying ways to enhance the coherence of their work, as appropriate, in order to respond adequately to the needs of Parties.	AC CMA	in 2017.	Parties and other stakeholders submitted <a href="#">views</a> by 12 January 2017;  The AC continued its work on this mandate at its <a href="#">11<sup>th</sup> meeting</a> (7–10 March 2017) during which, it began drafting its <a href="#">recommendations for the CMA</a> .	AC12 (September 2017) to consider revised recommendations and to finalize them for the CMA.
*§42 Taking into account its mandate and its second three-year workplan, and with a view to preparing recommendations for consideration and adoption by CMA1 (b) Consider methodologies for assessing adaptation needs with a view to assisting developing country Parties, without placing an undue burden on them.	AC CMA	Third part of the first CMA session (2018), at the latest.	Parties and other stakeholders submitted <a href="#">views</a> by 12 January 2017; The AC continued its work on this mandate at its <a href="#">11<sup>th</sup> meeting</a> (7–10 March 2017); Secretariat undertook <a href="#">analysis as input</a> for AC11 (7–10 March 2017).	Small expert meeting on this matter to be held on the margins of SB46.  The AC will develop draft recommendations at AC12 (September 2017).
§43: Provide information to Parties through the secretariat on how their development assistance and climate finance programmes incorporate climate-proofing and climate resilience.	UN agencies and international, regional and national financial institutions Secretariat	Ongoing.	Ongoing.	Ongoing.
*§45: Develop methodologies, and make recommendations for consideration and adoption by CMA1 on: (a) Taking the necessary steps to facilitate the mobilization of support for adaptation in developing countries in the context of the limit to global average temperature increase referred to in Article 2 of the Agreement; (b) Reviewing the adequacy and effectiveness of adaptation and support referred to in Article 7.14(c) of the Agreement.	AC and LEG, in collaboration with SCF and other relevant institutions CMA	Third part of the first CMA session (2018), at the latest.	The AC/LEG, in collaboration with the SCF, continued their work on this mandate at a joint meeting on 8 March 2017.  <a href="#">Meeting outcomes</a> .	The AC/LEG will hold a joint event (12 May 2017) during SB46 (8–18 May 2017) to present latest findings and to collect feedback from Parties and non-Party stakeholders.
§46: Expedite support for the least developed countries and other developing country Parties for the formulation of national adaptation plans, consistent with decisions 1/CP.16 and 5/CP.17, and for the subsequent implementation of policies, projects and programmes identified by them.	GCF	No timeline specified.	Ongoing.  GCF reported to COP22 in November 2016. See decision <a href="#">Decision 10/CP.22</a> .	Countries to continue working with accredited entities and delivery partners to develop their NAPs readiness proposals.
*§48: Establish a clearing house for risk transfer that serves as a repository for information on insurance and risk transfer, in order to facilitate the efforts of Parties to develop and implement comprehensive risk management strategies.	WIM Excom	Initiate work at the first meeting post Paris and report on progress thereon in its annual report.	Ongoing Excom continued its work on this mandate at its <a href="#">5th meeting</a> (21-24 March 2017). It began work related to the development of a mock-up version of the clearing house with a view to launching a beta	An inter-sessional working group of the Excom to, before Excom 6 (October 2017): (i) identify and engage potential partners, agree on their roles; (ii) develop a ‘mock-up’ version of the clearing house, for feedback by Excom.

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			site of the clearing house by COP 23.	Relevant work to advance the operationalization of this mandate to be included in the five-year rolling workplan of the WIM Excom. See decision <a href="#">3/CP.22</a> .
*§49: Establish, according to its procedures and mandate, a task force to complement, draw upon the work of and involve, as appropriate, existing bodies and expert groups under the Convention including the AC and the LEG, as well as relevant organizations and expert bodies outside the Convention, to develop recommendations for integrated approaches to avert, minimize and address displacement related to the adverse impacts of climate change.	WIM Excom	Initiate work at the first meeting post Paris and report on progress thereon in its annual report.	<a href="#">Excom 4</a> (September 2016) adopted the <a href="#">Terms of reference</a> . The process for the <a href="#">nomination</a> of technical experts is ongoing.	First meeting of the Task Force to be organized;  Relevant work to advance the operationalization of this mandate to be included in the five-year rolling workplan of the WIM Excom. See decision <a href="#">3/CP.22</a> .
§55: Initiate process to identify information to be provided by Parties, in accordance with Article 9.5 of the Paris Agreement, with a view to providing a recommendation for consideration and adoption by CMA1.	COP CMA	Initiate work at COP22 (Nov 2016).	Work was launched at COP22 (November 2016); <a href="#">Decision 13/CP.22</a> ;  Parties requested the secretariat to organize a round-table discussion during SB46 (8–18 May 2017).	Secretariat to organize a round-table discussion during SB46 (8–18 May 2017);  Secretariat to prepare a summary report on the round-table discussion for consideration by COP23 (November 2017).
*§§59 & 60: Consider the issue referred to in Paragraph 59 (i.e. that the Adaptation Fund may serve the Agreement, subject to relevant decisions by the CMP and the CMA) and make a recommendation to CMA1.	CMP, COP APA CMA	Third part of the first CMA session (2018), at the latest.	Adaptation fund should serve the Paris Agreement; <a href="#">Decision 1/CMA.1, §11</a> and <a href="#">Decision 1/CP.22, §§ 14 &amp; 15</a>  Also see <a href="#">reflections note, §§41–44</a> by the APA Co-Chairs issued on 8 February 2017;  Parties submitted <a href="#">views</a> on this mandate by 31 March 2017.	Negotiations to continue at APA 1.3 (8–18 May 2017);  APA to address governance and institutional arrangements, safeguards and operating modalities for the Adaptation Fund to serve the Paris Agreement.
§57: Develop modalities for the accounting of financial resources provided and mobilized through public interventions in accordance with Article 9.7 of the Agreement for consideration by COP 24, with a view to making a recommendation for consideration and adoption by CMA1.	SBSTA CMA	Third part of the first CMA session (2018), at the latest.	Work continued at SBSTA45 in November 2016; an in-session workshop was held on 8 November 2016. See document <a href="#">FCCC/SBSTA/2016/4, §§ 105–112</a> .	Work to continue at SBSTA46 (8–18 May 2017), taking into account key considerations included in the <a href="#">reflections note</a> by the co-chairs for this item.
§64: Enhance the coordination and delivery of resources to support country-driven strategies through simplified and efficient application and approval procedures, and through continued readiness support to developing country Parties, including the least	Institutions serving the Agreement	Ongoing.	Ongoing.	Ongoing.

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developed countries and small island developing States, as appropriate.				
§66: In supporting the implementation of the Agreement, to undertake further work relating to, inter alia: (a) Technology research, development and demonstration; (b) The development and enhancement of endogenous capacities and technologies.	TEC and CTCN	No timeline specified.	TEC: Work in the two areas is included in the <a href="#">Rolling workplan of the TEC for 2016-2018</a> ;  The TEC held its <a href="#">14th meeting</a> on 28–31 March 2017;  CTCN: The taskforce on Research, development and demonstration (RD&D) has <a href="#">identified actions</a> on RD&D within the CTCN's mandate;  TEC and CTCN have begun implementing these actions.	TEC and CTCN: To continue the implementation of the RD&D actions contained in the <a href="#">Joint annual report of the TEC &amp; CTCN for 2016</a> and their respective workplans.
*§67: Initiate, at SBSTA 44, the elaboration of the technology framework established under Article 10.4 of the Agreement and to report on its findings to the COP, with a view to the COP making a recommendation on the framework to CMA1 for consideration and adoption ( <i>see para 67 (a–d) for further details</i> ).	SBSTA COP CMA	Initiate: SBSTA44 (May 2016). Report: COP. For: Third part of the first CMA session (2018), at the latest.	Negotiations continued at SBSTA45. See document <a href="#">FCCC/SBSTA/2016/4, §§23–31</a> ;  Parties, observers, and stakeholders are submitting <a href="#">views</a> on principles and structure of Technology Framework (10 April 2017).	Negotiations to continue at SBSTA46 (8–18 May 2017);  For details, see document <a href="#">FCCC/SBSTA/2016/4, §§23–31</a> .
§69: Undertake a periodic assessment of the effectiveness of and the adequacy of the support provided to the Technology Mechanism in supporting the implementation of the Agreement on matters relating to technology development and transfer.	COP	Periodic ( <i>see para 70</i> ).	<i>See para 70.</i>	<i>See para 70.</i>
*§70: Initiate, at SBI 44, the elaboration of the scope of and modalities for the periodic assessment referred to in paragraph 69 above, taking into account the review of the CTCN as referred to in decision 2/CP.17, annex VII, paragraph 20, and the modalities for the global stocktake referred to in Article 14 of the Agreement, for consideration and adoption by COP 25.	SBI COP	Initiate: SBI 44 (May 2016). For: COP25 (2019).	Negotiations continued at SBI45. See document <a href="#">FCCC/SBI/2016/20, §§76–79</a> ;  Parties and observers submitted <a href="#">views</a> by 25 January 2017.	Negotiations to continue at SBI46 (8–18 May 2017);  The secretariat will compile and synthesize those views for consideration at SBI46. For details, see document <a href="#">FCCC/SBI/2016/20, §§76–79</a> .
§§72 & 73: Manage and oversee the workplan referred to in paragraph 73 ( <i>workplan for 2016-2020: see para 73 (a–i) for activities of the workplan; see also para79</i> ); §74: Annual focus on an area or theme related to enhanced technical exchange on capacity-building;	§§72 & 73: PCCB  §74: PCCB  §75: SBI	§§72 & 73: Annually to SBI ( <i>see para. 80</i> ).  §74: Annually.	Negotiations continued at SBI45. See <a href="#">decision 2/CP.22</a>  The terms of reference for the PCCB were adopted at COP22	PCCB to further develop and adopt its working modalities and procedures at its first meeting (11–13 May 2017);

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<p>§75: Organize annual in-session meetings of the PCCB;</p> <p>§76: Develop the terms of reference for the PCCB, in the context of the third comprehensive review of the implementation of the capacity-building framework, also taking into account paragraphs 71–75 above and paragraphs §79 and 80 below, with a view to recommending a draft decision on this matter for consideration and adoption by COP 22;</p> <p>§80: Prepare annual technical progress reports on its work, and to make these reports available at the sessions of the SBI coinciding with the sessions of the COP;</p> <p>§81: Review the progress, need for extension, the effectiveness and enhancement of the PCCB and to take any action it considers appropriate, with a view to making recommendations to CMA1 on enhancing institutional arrangements for capacity-building consistent with Article 11.5 of the Agreement.</p>	<p>§76: SBI</p> <p>§80: PCCB</p> <p>§81: COP CMA</p>	<p>§75: Annually (once PCCB is constituted).</p> <p>§76: COP22 (2016).</p> <p>§80: Annually at SBI sessions coinciding with COPs.</p> <p>§81: COP25 (2019).</p>	(November 2016). See annex to decision <a href="#">decision 2/CP.22.</a>	First focus area in 2017 to be on capacity-building activities for the implementation of NDCs. Representatives of the GEF, GCF, AC, LEG, SCF and TEC to attend first meeting of the committee (11–13 May 2017); For details, see <a href="#">decision 2/CP.22.</a>
<p>§83: Explore ways of enhancing the implementation of training, public awareness, public participation and public access to information so as to enhance actions under the Agreement.</p>	SBI CMA	Third part of the first CMA session (2018), at the latest.	The CMA agreed to send this item to SBI47 for its consideration. See document <a href="#">FCCC/PA/CMA/2016/3, §24</a>	To be considered at SBI47 in November 2017.
<p>§86: Make arrangements to support the establishment and operation of the Capacity-building Initiative for Transparency as a priority reporting-related need, including through voluntary contributions to support developing country Parties in the sixth replenishment of the GEF and future replenishment cycles, to complement existing support under the GEF (<i>also see 84 &amp; 85</i>).</p>	GEF	GEF6 replenishment and future cycles.	New Trust Fund for Capacity-building Initiative for Transparency established and approved by <a href="#">50th GEF Council</a> (June 2016), with World Bank as Trustee. Programming Directions also approved by GEF Council. Notification on CBIT establishment sent to all GEF Operational Focal Points, Council members, and Agencies on 31 August 2016. <a href="#">Project templates</a> finalized and uploaded to GEF website. The Trustee finalizing contribution agreements with prospective donors. Currently engaging with GEF agencies, countries, and relevant transparency/MRV initiatives. CBIT was <a href="#">officially launched at COP22</a> in November 2016.	<a href="#">Ongoing.</a>
<p>§87: Assess the implementation of the Capacity-building Initiative for Transparency in the context of the 7th review of the Financial Mechanism.</p>	COP	In the context of the 7 <sup>th</sup> review of the FM.	N/A.	§87: to be initiated before 2021;



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§88: Include in its annual report to the COP the progress of work in the design, development and implementation of the Capacity-building Initiative for Transparency starting in 2016.	GEF	2016 and beyond.	Progress on CBIT establishment included in GEF annual report: Report: <a href="#">FCCC/CP/2016/6/Add.2 Decision 11/CP.22.</a>	Progress of work to be included in the GEF annual report to COP23 (November 2017).
*§91: Develop recommendations for modalities, procedures and guidelines in accordance with Article 13.13 of the Agreement, and to define the year of their first and subsequent review and update, as appropriate, at regular intervals, for consideration by COP 24, with a view to forwarding them to CMA1 for consideration and adoption ( <i>see paras 92–95 and 97–98 for further details</i> ) (PA Art. 13.5 & 13.13).	APA CMA	Third part of the first CMA session (2018), at the latest ( <i>see also para 96, 97</i> ).	Negotiations continued at APA1.2; See document <a href="#">FCCC/APA/2016/4, §§23 &amp; 24.</a> Also see <a href="#">Informal Note by the Co-Facilitators</a> for this item.  The APA Co-Chairs issued a <a href="#">reflections note</a> on 8 February 2017 ( <i>see §§21–28</i> );  Parties submitted <a href="#">views</a> by 15 February, 2017.  Secretariat, under the guidance of the APA Co-Chairs, organized an <a href="#">intersessional workshop from 16–18 March 2017 in Bonn, Germany</a> .	Negotiations to continue at APA1.3 (8–18 May 2017);  The APA Co-Chairs, with the assistance of the secretariat, to prepare a workshop report for consideration at APA1.3;  For details, see <a href="#">APA1.3 website</a> .
*§99: Identify the sources of input for the global stocktake referred to in Article 14 of the Agreement and to report to the COP, with a view to the COP making a recommendation to CMA1 ( <i>see para 99 (a–c) for further details</i> ).	APA COP CMA	Third part of the first CMA session (2018), at the latest.	Negotiations continued at APA1.2. See document <a href="#">FCCC/APA/2016/4, §25</a> . Also see <a href="#">Informal Note by the co-facilitators</a> for this item;  The APA Co-Chairs issued a <a href="#">reflections note</a> on 8 February 2017 ( <i>see §§29–35</i> ).	Negotiations to continue at APA1.3 (8–18 May 2017);  Parties to submit views by 30 April 2017.
§100: Provide advice on how the assessments of the Intergovernmental Panel on Climate Change can inform the global stocktake of the implementation of the Agreement pursuant to its Article 14 and to report on this matter to APA 2.	SBSTA APA	APA1.2.	SBSTA45 concluded the work on this mandate. SBSTA45 provided advice, consistent with the mandate. See document <a href="#">FCCC/SBSTA/2016/4 §§47–56</a> APA1.2 welcomed the advice; See document <a href="#">FCCC/APA/2016/4, §25</a> .	<a href="#">Completed</a> .

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*§101: Develop modalities for the global stocktake referred to in Article 14 of the Agreement and to report to the COP, with a view to the COP making a recommendation to CMA1.	APA COP CMA	Third part of the first CMA session (2018), at the latest.	Negotiations continued at APA1.2. See document <a href="#">FCCC/APA/2016/4, §25</a> . Also see <a href="#">Informal Note by the co-facilitators</a> for this item;  The APA Co-Chairs issued a <a href="#">reflections note</a> on 8 February 2017. See §§29–35	Negotiations to continue at APA1.3 (8–18 May 2017);  Parties to submit views on by 30 April 2017.
*§103: Develop the modalities and procedures for the effective operation of the committee referred to in Article 15.2 of the Agreement, with a view to the APA completing its work on such modalities and procedures for consideration and adoption by CMA1.	APA CMA	Third part of the first CMA session (2018), at the latest.	Negotiations continued at APA1.2. See document <a href="#">FCCC/APA/2016/4, §26</a> ; Also see <a href="#">Informal Note by the Co-Facilitators</a> for this item;  The APA Co-Chairs issued a <a href="#">reflections note</a> on 8 February 2017 (see §§36–40);  Parties submitted <a href="#">views</a> by 30 March 2017.	Negotiations to continue at APA1.3 (8–18 May 2017).
*§ 109. <i>Resolves</i> to strengthen, in the period 2016–2020, the existing technical examination process on mitigation as defined in decision 1/CP.19, paragraph 5(a), and decision 1/CP.20, paragraph 19, taking into account the latest scientific knowledge, including by:  (c): In accordance with their respective mandates: (i) To engage in the technical expert meetings (TEMs) and enhance their efforts to facilitate and support Parties in scaling up the implementation of policies, practices and actions identified during this process; (ii) To provide regular updates during the TEMs on the progress made in facilitating the implementation of policies, practices and actions previously identified during this process; (iii) To include information on their activities under this process in their joint annual report to the COP.	TEC and CTCN COP	During TEMs and annually at COP (until 2020).	TEC: Work in this area is included in <a href="#">rolling workplan of the TEC for 2016–2018</a> ; TEC and CTCN engaged and actively participated in <a href="#">TEMs on Mitigation</a> during SB44; TEC & CTCN reported the activities in their <a href="#">joint annual report to COP 22</a> ; TEC organized <a href="#">thematic dialogue on industrial energy efficiency</a> at TEC14 (28–31 March 2017) to facilitate the scaling up of policies and actions identified in previous TEMs	TEC & CTCN will continue to engage in the <a href="#">TEP on Mitigation</a> , including in a thematic session at TEMs Mitigation during SB46 (8–18 May 2017); TEC & CTCN will report their activities to COP23.
§110: Engage in the technical expert meetings and to inform participants of their contribution to facilitating progress in the implementation of policies, practices and actions identified during the technical examination process.	Operating entities of FM (GCF, GEF)	Annually with COP and TEMs (until 2020).	GCF and GEF took part in <a href="#">TEMs on Mitigation</a> and <a href="#">adaptation</a> during SB44.	Ongoing.
*§111: Organize the process referred to in Paragraph 109 above and disseminate its results, including by (a): organizing, in consultation with the TEC and relevant expert organizations, regular TEMs focusing on specific policies, practices and actions	Secretariat: in consultation with the TEC and relevant expert organizations (§111(a));	(§111(b)): Annually (until 2020); (§111(c)): Two months in advance of each COP.	TEC, SB Chairs and Champions provided input on topics to the secretariat for <a href="#">TEMs on Mitigation</a> 2017.	<a href="#">TEMs on Mitigation</a> to be held during SB46 (8–18 May 2017), including an opening and closing plenary and <a href="#">7 thematic events</a> .

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representing best practices and with the potential to be scalable and replicable; (b) Updating, on an annual basis, following the meetings, and in time to serve as input to the summary for policy makers, a technical paper on mitigation benefits and co-benefits of policies, practices and actions for enhancing mitigation, as well as on options for supporting their implementation, information on which should be made available in a user friendly online format; (c) preparing, in consultation with the champions a summary for policymakers, with information on specific policies, practices and actions representing best practices and with potential to be scalable and replicable, and on options to support their implementation, as well as on relevant collaborative initiatives, and publishing the summary at least two months in advance of each session of the Conference of the Parties as input for the high-level event.	in consultation with the champions (§111(c))			<p>A technical paper to be published after the SB46;</p> <p>A user-friendly format of the technical paper to be applied and published;</p> <p>A joint mitigation and adaptation summary for policy makers to be prepared after SB46;</p> <p>Under guidance of the champions, a high-level event to be held at COP23.</p>
§112: Jointly organize the process referred to in paragraph 109 (technical examination process on mitigation), on an ongoing basis until 2020.	SBI and SBSTA	Annually with COP and TEMs (until 2020).		
§113: Conduct in 2017 an assessment of the process referred to in para 109 above so as to improve its effectiveness.	COP	COP23 (2017).	N/A.	An assessment of the <a href="#">TEMs on Mitigation</a> to be conducted in 2017.
§115: Conduct a facilitative dialogue in conjunction with COP22 to assess the progress in implementing decision 1/CP.19, paragraphs 3 and 4, and identify relevant opportunities to enhance the provision of financial resources, including for technology development and transfer, and capacity-building support, with a view to identifying ways to enhance the ambition of mitigation efforts by all Parties, including identifying relevant opportunities to enhance the provision and mobilization of support and enabling environments.	COP	COP22 (2016).	<a href="#">Organized at COP 22</a> in November 2016 as two-part event (part 1 on 11 November 2016, part 2 on 16 November 2016).	<a href="#">Completed.</a>
§120: Convene, pursuant to decision 1/CP.20, paragraph 21, building on the Lima-Paris Action Agenda and in conjunction with each session of the Conference of the Parties during the period 2016–2020, a high-level event ( <i>see para 120 (a–d) for further details</i> ).	COP	COP sessions from 2016–2020.	A <a href="#">high-level event</a> was held at COP22.	A high-level event to be held at COP23 (November 2017).
§121: Act on behalf of the President of the COP to facilitate through strengthened high-level engagement in the period 2016–2020 the successful execution of existing efforts and the scaling-up and introduction of new or strengthened voluntary efforts, initiatives and coalition ( <i>see para 121 (a–c) for further details</i> ).	Two high-level champions	Ongoing from 2016–2020.	<a href="#">Ongoing.</a>	<a href="#">Ongoing.</a>
*§126: The process referred to in paragraph 124 (technical examination process on adaptation) should be organized jointly by SBI and SBSTA, on an ongoing basis until 2020, and be conducted by the Adaptation Committee.	Organized by SBI and SBSTA; conducted by AC	Ongoing until 2020.	The 2017 TEP-A topic is: <i>Integrating climate change adaptation with the SDGs and the Sendai Framework for Disaster Risk Reduction</i>	<a href="#">TEM on Adaptation</a> to be organized at SB46 on 16 and 17 May 2017;

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*§129: Support the process referred to in paragraph 124 by (a) organizing regular TEMs (b) Preparing annually a technical paper on opportunities to enhance adaptation action as well as options to support their implementation.	Secretariat AC	Ongoing until 2020.	The topic was proposed by the AC <a href="#">TEP-A working group</a> , endorsed by the AC and supported by the SB Chairs and Champions;  A broad agenda is available <a href="#">here</a> ;  The AC has also identified possible topics for the TEP-A in 2018 and 2019:  <ul style="list-style-type: none"> <li>• 2018: Adaptation planning for vulnerable groups, communities and ecosystems;</li> <li>• 2019: Adaptation finance, including the private sector.</li> </ul>	A technical paper to be published after SB46 (8–18 May 2017);  A joint mitigation and adaptation summary for policymakers to be prepared after SB46;  Under the guidance of the champions, a high-level event to be held at COP23, covering adaptation and mitigation.
§130: In conducting the process referred to in paragraph 124, engage with and explore ways to take into account, synergize with and build on the existing arrangements for adaptation-related work programmes, bodies and institutions under the Convention so as to ensure coherence and maximum value.	AC	On an ongoing basis until 2020.	<a href="#">AC10</a> (13–16 September 2016) established a <a href="#">TEP-A working group</a> to provide operational support to the AC. In addition to AC members, the working group comprises representatives of the LEG, TEC, SCF and observer constituencies.	Ongoing
§131: Conduct, in conjunction with the assessment referred to in paragraph 113 (assessment of technical examination process on mitigation), an assessment of the process referred to in paragraph 124 (technical examination process on adaptation), so as to improve its effectiveness.	COP	COP23 (2017).	N/A.	An assessment of the TEP-A to be conducted in 2017.
§134: Scale up efforts and support actions to reduce emissions and/or to build resilience and decrease vulnerability to the adverse effects of climate change and demonstrate these efforts via the NAZCA platform.	Non-Party Stakeholders	Ongoing.	<a href="#">Ongoing.</a>	<a href="#">Ongoing.</a>

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*§135: Indigenous Peoples Platform for the exchange of experiences and sharing of best practices on mitigation and adaptation in a holistic and integrated manner.	COP	<i>no timeline specified.</i>	Parties adopted an incremental approach to developing the local communities and indigenous peoples platform with a view to ensuring its effective operationalization (see <a href="#">FCCC/CP/2016/10, §§167–168</a> );  Parties and other stakeholders submitted <a href="#">views</a> by 31 March 2017 on operationalization of the local communities and indigenous peoples platform.	The SBSTA Chair to convene an <a href="#">open multi-stakeholder dialogue</a> on 16–17 May 2017 at SBSTA46 (8–18 May 2017), to be co-moderated by the Chair of the SBSTA and a representative of indigenous peoples organizations;  A report will be prepared on the outcomes of both the submissions and the dialogue;  The SBSTA will be requested to consider this report in conjunction with SBSTA47 and SBI47 under a new agenda item, “ <i>Local communities and indigenous peoples platform</i> ”; The SBSTA will be requested to forward recommendations for operationalization of the platform to COP23.

## Abbreviations

AC	Adaptation Committee
APA	Ad Hoc Working Group on the Paris Agreement
CMA	Conference of the Parties serving as the meeting of the Parties to the Paris Agreement
CMP	Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol
COP	Conference of the Parties
CTCN	Climate Technology Centre and Network
FM	Financial Mechanism of the Convention
GCF	Green Climate Fund
GEF	Global Environment Facility
INDCs	intended nationally determined contribution
LEG	Least Developed Countries Expert Group
MPGs	Modalities, Procedures and Guidelines
NDCs	nationally determined contributions
PA	Paris Agreement
PCCB	Paris Committee on Capacity-building

SBI ..... Subsidiary Body for Implementation  
SBSTA ..... Subsidiary Body for Scientific and Technological Advice  
SCF..... Standing Committee on Finance  
TEC ..... Technology Executive Committee  
TEMs..... technical expert meetings  
TEP..... technical examination process  
WIM Excom ...Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts