United Nations Framework Convention on Climate Change

CGE HANDS-ON TRAINING WORKSHOP FOR VULNERABILITY AND ADAPTATION ASSESSMENT

Integration, Mainstreaming, Monitoring, and Evaluation





Outline

- Integration
- Mainstreaming
- Monitoring and evaluation (M&E)



Understanding integration



- Unite
- Combine existing and new
- Incorporate into a larger unit
- Bring together into a single system that functions as one



 End result - of a process that aims to bring together different elements so that climate change becomes part of a larger, more comprehensive system.



What is the principal objective of doing integration of vulnerability and adaption assessment outcomes across sectors?

 The objective of integration is to understand the interrelationships between sector-specific climate change and the relative importance of risks to help inform impact and adaptation priorities across sectors.



Why is integration important?

- Impacts do not happen in isolation:
 - a) Impacts in one sector can adversely or positively affect another
 - b) Some sectors are affected directly and indirectly
 - c) Others just indirectly
 - d) Sometimes a change in one sector can offset the effect of climate change in another sector
- Integration across an economy or society can help in understanding the vulnerability of a municipality, region, or country
- Climate change adaptation Sustainable development



Main approaches to integration of results

Integrating impact assessment outcomes:

a) Cross-sector:

- Link related sectors
 - Water and Agriculture
 - Water and Human health
 - Coastal Resources

b) Multi-sector:

- Economy or system wide
- Sectors may not be related to each other
 - Integrated assessment models
 - Economic models



Cross-sector integration

- Qualitative:
 - a) Identify links between sectors and highlight the direction of impacts
 - b) Rely on the application of expert judgement:
 - c) Extended process using a broader group of stakeholders
- Quantitative integration
 - a) Use common metric, e.g., water supply to examine

linkages



Multi-sector integration

- Should be as comprehensive as possible, covering as many affected sectors, regions and populations as possible
- A clear understanding of how society as a whole might be affected by climate change:
 a) Breadth of impacts
 - b) Severity of impacts
- Two common approaches:
 - a) Economic impacts as common currency (based for example on general equilibrium economic models)
 - b) Qualitative prioritization



Multi sector-integration: Simple approach-matrix/ranking

SECTORS	Climate Change	Water Resources	Agriculture	Forests and Biodiversity	Energy Production	Glaciers and GLOFs	Human Health
Climate Change	-	XXX	XXX	ХХ	ХХ	ХХХ	XX
Water Resources	XXX	-	XXX	XX	XXX	ХХ	XX
Agriculture	ХХХ	XXX	-	XXX	Х	ХХ	XX
Forestry and Biodiversity	ХХ	ХХ	XXX	-	ХХ	ХХ	х
Energy Production	ХХ	XXX	х	XX	-	ХХ	х
Glaciers and GLOFs	XXX	ХХ	ХХ	XX	ХХ	-	XX
Human Health	ХХ	ХХ	ХХ	Х	х	ХХ	-
X: Little Impact	XX: Significant Impact			XXX: Very Significant Impact			

Table 4.9.1: Cross-linkages between the targeted sectors



Mainstreaming Climate Change



Why mainstream?

- Mainstreaming focuses how to incorporate climate change vulnerability and adaptation in planning, both at the local and/or national level.
- It is to ensure that:
 - a) The potential impacts of climate change is given due consideration in the development.
 - b) The necessary resources/budget are provided for in plans, projects and programmes.



UNDP principles for mainstreaming

- Understand linkages between climate change and development
- Mainstream into ongoing processes, e.g.,
 a) National development plans
 b) Sustainable development plans
 c) Poverty reduction plans
 - c) Poverty reduction plans
- Incorporate adaptation into a) Budgeting and finance
 - b) Implementation
 - c) M&E



Approach

- Find entry points:
 - a) Identify links between climate change and national development priorities
- Mainstream into policy processes: a) National development plans
- Implementation challenge:
 - a) Ensuring that it is mainstreamed into budgets and financing
 - b) Implementation and monitoring



What is the difference between integration and mainstreaming?



Monitoring and Evaluation

- Identifies progress towards defined objectives
- Identifies factors that affect progress

 a) Factors that contribute to success
 b) Barriers that limit or inhibit success
- Provides useful information to contribute to modification of design or implementation of programs



When could Monitoring and Evaluation be used

Programme design: Can help clarify objectives

Mid term review: Facilitates modification

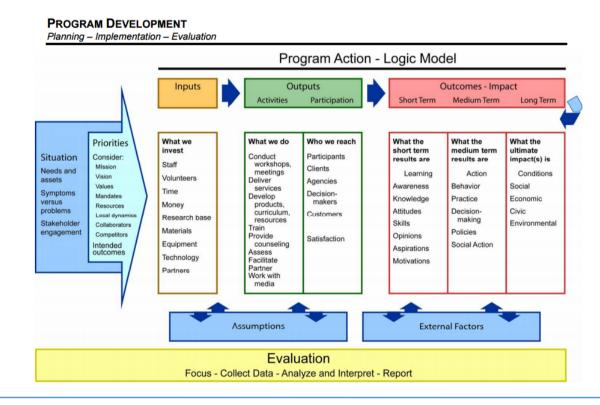
Retrospective: After the programme is completed

Real time evaluation: Done throughout the programme



Major Monitoring and Evaluation components

- Questions that M&E needs to answer
- Evaluation framework
 - a) Typically logic model or theory of change





Challenges of Monitoring and Evaluation

- Monitoring performance of adaptation can be challenging:
 - a) Defining successful adaptation
 - b) Defining and evaluating success against moving baselines
 - c) Determining contribution of the program
 - d) Identifying conclusive indicators
 - e) Gathering relevant performance data to assist in evaluating impacts



Evaluation

- The evaluation of both the process undertaken and the outcomes is an important component.
- Evaluation of the process should consider any particular problems encountered during the process or suggestions for improvement and be recorded for future reference.
- Evaluation of outcomes considers the appropriateness of any predictions made.



Elements of Evaluation

- a) Progress of implementation of the recommended adaptation measures, including the involvement of key stakeholders and the incorporation of impacts of climate change into planning processes;
- **b) Baseline indicators** established during the scoping stages are used as reference for assessment of changes over time.
- c) Monitoring and surveillance of the status of the potentially affected sectors/systems. It may be relevant to include new mechanisms for collection data that demonstrates links to climate conditions;



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