

Proposals by co-facilitators for Article 4 on adaptation and related decision paragraphs

03 December 2015 at 07:00

A. DRAFT AGREEMENT

1. Parties hereby establish the global goal of enhancing adaptive capacity, strengthening resilience and reducing vulnerability to climate change, [in accordance with the objective, principles and provisions of the Convention, including common but differentiated responsibilities and respective capabilities,] with a view to [contributing to sustainable development] [and] [ensuring adaptation in the context of the goal of holding the increase in the global average temperature [below 2 °C][below [2 or] 1.5 °C] referred to in Article 2].
Parties recognize that adaptation is a global challenge faced by all with local, subnational, national, regional and international dimensions, and that it is a key component of and contribution to the long-term global response to climate change to protect people, livelihoods and ecosystems[, taking into account the urgent and immediate needs of those developing countries that are particularly vulnerable].
2. **[Option 1:** Parties recognize that adaptation [will][may] be needed regardless of the level of mitigation reached [and that greater aggregate levels of mitigation can reduce the need for additional adaptation efforts].
Option 2: [The global goal for adaptation shall be the basis for, inter alia:
 - (a) Assessment of the adequacy of support from developed country Parties¹] to developing country Parties²], and a platform to undertake such an assessment through strengthened measurement, reporting, and verification of support;
 - (b) Recognition of the adaptation efforts of developing countries;
 - (c) Recognition of increased adaptation needs and associated costs in the light of mitigation efforts, taking into account that adaptation [will][may] be needed regardless of the level of mitigation reached, and also taking into account the relationship between aggregate mitigation ambition, associated climate change impacts and adaptation needs and cost, and recognizing there are limits to adaptation.]]
3. Parties acknowledge that adaptation action should follow a country-driven, gender-responsive, participatory and fully transparent approach, taking into consideration [human rights,][the rights of people under occupation,] vulnerable groups, communities and ecosystems, and should be based on and guided by the best available science and, as appropriate, traditional, indigenous peoples knowledge and local knowledge systems, [taking into account relevant activity on adaptation of United Nations specialized organizations,] with a view to integrating adaptation into relevant socioeconomic and environmental policies and actions, where appropriate.
4. Parties further recognize the importance of [international cooperation and] support for adaptation efforts and the importance of taking into account the needs of those developing countries that are particularly vulnerable, recognizing the particular vulnerabilities of the LDCs and SIDS. [Parties included in Annex II to the Convention shall enhance the support for the implementation of paragraph 7 of this Article, national adaptation plans and other adaptation actions, in accordance with the provisions of Article 4 of the Convention, recognizing the urgent and immediate needs and special circumstances of developing country Parties, especially those that are particularly vulnerable.]
5. Parties [shall][should] enhance [their] cooperation [for enhancing action on adaptation, taking into account the Cancun Adaptation Framework], including with respect to:
 - (a) Sharing information, good practices, experiences and lessons learned, including, as appropriate, as it relates to science, planning, policies and implementation of adaptation actions;
 - (b) [Strengthening institutional arrangements [, including those] under the Convention] to support the synthesis of relevant information and knowledge as well as the provision of technical [support and] guidance [and support][to the Parties];]

¹ Whenever the context is support, read "developed country Parties" as "developed country Parties [and other Parties in a position to do so]".

² Whenever the context is support, read "developing country Parties" as "developing country Parties and other Parties in need of support, including countries with economies in transition".

- (c) Strengthening scientific knowledge on climate, including research and systematic observation of the climate system in a manner that informs the development and delivery of climate services and to support decision-making.
- (d) [Assessment of the [effectiveness][adequacy] of support [referred to in paragraph 2 (option 2 (a))] of this Article with a view to ensuring transparency and accountability;]
- (e) [Assisting [developing] countries^{2, 3} to identify effective adaptation practices, adaptation needs, priorities, support provided and received for adaptation actions and efforts and challenges and gaps in a manner consistent with encouraging good practices;]
- (f) [Improving the effectiveness and durability of adaptation actions.]
6. Each Party, in accordance with [Article 4, paragraph 1, of the Convention and] its national circumstances [, commitments related to sustainable development,] and priorities should engage in the implementation of adaptation planning processes and actions, including the development or enhancement of relevant plans, policies, and/or contributions, which may include:
- (a) [Process to formulate and implement] national adaptation plans;
- (b) Assessments of climate change impacts and vulnerability, prioritizing action with respect to the most vulnerable people, places, ecosystems and sectors;
- (d) Strengthening [governance and enabling environments for][arrangements for the implementation of] adaptation;
- (e) Monitoring[, reporting], evaluating and learning from adaptation plans, policies, programmes and actions;
- (f) [Building resilience of socioeconomic and ecological systems, including through economic diversification and sustainable management of natural resources;]
- (g) [Enhancing the implementation of plans, policies and actions with continuous and enhanced international support;]
- (h) [Strategies and approaches for enhancing the effectiveness of adaptation planning and actions in the context of broader economic and development efforts.]
7. Each Party [shall][should][may] submit [[an] adaptation communication[s]][[or undertakings on adaptation] that [shall][should][may] include:
- (a) Its priorities[, experiences, efforts], needs, plans [and actions];
- (b) [The level of support needed by developing country Parties to enhance adaptation action;]
- (c) [Actions on provision of support to developing country Parties by developed country Parties;]
- (d) Good practices, experiences and lessons learned, including on progress in implementing adaptation action and building capacity.
8. [The [adaptation communication[s]][[or undertakings on adaptation] referred to in paragraph 7 of this Article [shall][should][may] be:
- (a) Submitted independently[, included in,] or submitted in conjunction with another communication, including a national adaptation plan, a[n] [intended] nationally determined contribution and/or a national communication[, in a manner that does not create an additional burden for [developing country] Parties;]
- (b) [Updated [periodically] every [X][five] years [periodically] in [conjunction with the mitigation cycle][accordance with modalities to be decided by the [CMA][CMP].]
9. [The [[an] adaptation communication[s]][[or undertakings on adaptation] referred to in paragraph 7 of this Article shall be [recorded in a registry][made publicly available by the secretariat [on the UNFCCC website]] [in accordance with modalities to be decided by the [CMA][CMP] at its first session].]
10. **Option 1:** [There shall be a [high-level session][global stocktake] on adaptation every [X] years with the purpose of [raising the profile of adaptation efforts undertaken and considering experiences, challenges and future priorities, with a view to increasing the effectiveness of adaptation actions][enhancing the implementation of adaptation action based on [the] [an] adaptation communication[s]][[or undertakings on adaptation] referred to in paragraph 7 of this Article, assessing the adequacy of support and recognizing the adaptation efforts of developing countries], guided by modalities to be adopted by the [CMA][CMP] at its first session.]
- Option 2:** [The [CMA][CMP] shall facilitate the communication of adaptation efforts for the stocktake referred to in Article 10.]
11. The Adaptation Committee, the Least Developed Countries Expert Group and other relevant institutional arrangements for adaptation under the Convention shall serve this Agreement. The CMA shall, based on a review of institutional arrangements under the Convention, further enhance coherence and effectiveness, and intensify the work of these institutional arrangements, as appropriate, within their respective mandates.

³ Including Parties whose special circumstances are recognized by COP decisions.

12. **[Option 1:** [[Developing country] Parties [in need][other Parties in need of support] are eligible for support in the implementation of this Article.]
- Option 2:** [Developed country Parties shall provide developing country Parties, taking into account the needs of those that are particularly vulnerable, with long-term, scaled-up, predictable, new and additional finance, technology and capacity-building, in accordance with the relevant provisions of the Convention, to implement urgent and short-, medium- and long-term adaptation actions, plans, programmes and projects at the local, national, subregional and regional levels, in and across different economic and social sectors and ecosystems.][Developed countries [shall][should] transfer technology, in particular for early warning systems through United Nations mechanisms in order to make it accessible for all].]

B. DRAFT DECISION

47. *[Decides that the activities referred to in Article 4, paragraph 6, of the Agreement should:*
- (a) Not be prescriptive or result in the duplication of efforts;
 - (b) Facilitate country-owned and country-driven action;
 - (c) Involve and facilitate the participation of relevant stakeholders, in particular women[, local communities] and indigenous peoples, in planning, decision-making and monitoring and evaluation and give priority to the poorest and most vulnerable communities and people;
 - (d) Be participatory and inclusive, building on existing community-driven and traditional adaptation efforts[, in all interested developing countries, in particular in the least developed countries (LDCs), small island developing States (SIDS) and countries in Africa][, recognizing the urgent and immediate needs and special circumstances of developing country Parties, especially those that are particularly vulnerable];
 - (e) Promote climate resilience and sustainable development trajectories;
 - (f) [Facilitate access to, while not being a prerequisite for, financial, technological and capacity-building support for adaptation action;]
48. *[Requests the [SBSTA][Adaptation Committee (AC)][Least Developed Countries Expert Group (LEG)] to develop modalities and procedures to assist developing countries to assess their adaptation needs without placing an undue burden on them, [taking into account the urgent needs of those developing countries that are particularly vulnerable,] through existing mechanisms under the Convention;]*
49. *[Requests the [SBI][AC][Standing Committee on Finance (SCF)][LEG] to develop methodologies for ensuring that the level of support provided meets the needs for adaptation in developing countries, in the context of the limit on the global average temperature increase referred to in Article 2 of the Agreement, and to make recommendations for adoption by the Conference of the Parties (COP) at its [X] session;]*
50. *[Requests the [AC][SBSTA][SCF][LEG] to develop methodologies and approaches for recognizing the adaptation efforts of developing countries to respond to climate change;]*
51. *[Requests the operating entities of the Financial Mechanism of the Convention and developed country Parties to provide financial support for undertaking the adaptation needs assessments referred to in paragraph 48 above;]*
52. *[Requests the AC to:*
- (a) Review the work of the adaptation-related institutional arrangements under the Convention in 2017 in order to ensure and enhance the coherence of their work, to prepare recommendations for consideration by the COP at its twenty-third session and to identify improvements in the articulation of those arrangements, in order to respond effectively to the needs of Parties, for consideration by the COP at its twenty-third and the IPC at its second session;
 - (b) Establish and maintain stronger linkages with the GCF, [taking into account the balanced 50:50 approach of the allocation of financing between mitigation and adaptation,] the AF and other funds;
 - (c) Evaluate information on finance, technology and capacity-building support for adaptation;
 - (d) Provide recommendations on existing methodologies for adaptation;
 - (e) Take any other actions that may be appropriate to enhance and support via finance, technology transfer and capacity-building for adaptation efforts;
 - (f) Identify, as outlined in Article 4, paragraph [X], of the Agreement, the implications of the aggregate mitigation efforts for projected regional impacts on the basis of the best available science, with the aim of assisting developing countries particularly vulnerable to the adverse effects of climate change, including the LDCs, SIDS and Africa with:
 - (i) National adaptation planning;

- (ii) Identifying gaps in capacities and knowledge in the light of projected impacts;
 - (iii) Developing strategies for addressing projected impacts;]
53. *[Decides that the AC shall report annually to the CMA on priority areas of concern for regions;]*
54. **[Option 1:** *Requests* the [SBSTA][SBI][AC [and the LEG]] to develop modalities and procedures for the operation of the [registry] [arrangement] referred to in Article 4, paragraph 9, of the Agreement with a view to making recommendations to the [IPC][CMP][CMA] at its [second][first] session;
Option 2: *Requests* the [AC][LEG][SBSTA][SBI] to [record][compile] priorities and needs communicated by Parties as established in Article 4, paragraph 7, of the Agreement with a view to the IPC making a recommendation to the [CMA][CMP] at its [first][X] session;]
55. *[Requests* the GCF to establish programmes for expedited support for the LDCs for the formulation of NAPs as defined in decisions 1/CP.16 and 5/CP.17 and the subsequent implementation of policies, projects and programmes identified by them;]
56. *[Requests* the secretariat to make available an interim registry in the first half of 2016 for the recording of [adaptation communication[s]][or undertakings on adaptation], in accordance with Article 4 of the Agreement, [such as plans, priorities, needs, actions and/or contributions], pending the adoption by the CMA of the modalities and procedures referred to in paragraph 54 above;]
58. *[Establishes* a technical and knowledge platform under [the AC, building on] the Nairobi work programme on impacts, vulnerability and adaptation to change, in order to[, inter alia][, facilitate]:
- (a) Disseminate and enhance the use of climate change scenarios and of methods and tools for assessing impacts and vulnerability, as well as information on the [outcomes and effectiveness of adaptation][adaptation benefits, practices and outcomes, in line with Article 4, paragraphs 1(g) and 5, of the Convention];
 - (b) Develop and strengthen [global,] regional, national and local capacities, as well as social capacities, to address adaptation concerns [and to use adaptation metrics];
 - (c) Promote, coordinate and strengthen adaptation knowledge platforms, centres and networks at all levels in order to fill adaptation knowledge gaps;
 - (e) Incorporate the sustainable management of ecosystems into adaptation planning and actions;
 - (f) Enhance the understanding of the global and regional implications of adaptation actions, of interlinkages among local, national, regional and international adaptation actions[and of progress made in reducing vulnerability at all levels and in achieving the global goal for adaptation referred to in [Article 4, paragraph 1, of the Agreement][Article 2 and Article 4, paragraph 1, of the Agreement]];
59. *[Requests* the AC to operationalize the technical and knowledge platform referred to in paragraph 58 above [and, where possible, to build on existing work and processes to strengthen coherence];]
60. *[Requests* the SBI and the SBSTA to review, by 2016, the institutional arrangements for adaptation under the Convention, encompassing the Cancun Adaptation Framework, the AC, the Nairobi work programme and the LEG, to enhance the coherence and effectiveness of their work in the light of Article 4 of the Agreement;]
61. *Invites* all relevant United Nations institutions and international, regional and national financial institutions to provide information to Parties through the secretariat on how their [development assistance][climate finance] programmes and finance incorporate ‘climate proofing’ and climate-resilience measures;
62. *[Requests* Parties to strengthen regional cooperation on adaptation where appropriate and, where necessary, to establish regional centres and networks, in particular in developing countries, with support from developed country Parties, as previously agreed to in decision 1/CP.16, paragraph 13;]
63. *Requests* the [IPC][COP][AC][LEG] to prepare a recommendation[, including modalities for streamlining and simplifying existing adaptation reporting instruments and mechanisms], for the [CMA] [CMP] regarding Article 4, paragraph 7, of the Agreement;
64. *[Also requests* the IPC to prepare a recommendation for the CMA regarding Article 4, paragraph 10, of the Agreement;]